

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Scheindlin, Shira A.	2. Court or Organization S.D.N.Y.	3. Date of Report 09/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge/senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address USDC-SDNY 500 Pearl Street New York, N.Y. 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Justice Resource Center
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	West Services, Inc. Book Royalties	\$5,223.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1-12/2014	State University of New York
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bar Association	1/29/14-2/01/14	Tampa, FL	Speaker	Travel, Food, Lodging
2. University of Miami Law School	2/14/14-2/16/14	Miami, FL	Speaker	Travel, Food, Lodging
3. IQPC	2/21/14-2/28/14	Berlin, Germany	Speaker	Travel, Food, Lodging
4. Arizona State University	3/11/14-3/15/14	Tempe, AZ	Speaker	Travel, Food, Lodging
5. Arizona State University	3/27/14-3/29/14	Tempe, AZ	Speaker	Travel, Food, Lodging
6. DePaul University	4/23/14-4/25/14	Chicago, Illinois	Speaker	Travel, Food, Lodging
7. Guidance Software	5/19/14-5/20/14	Las Vegas, NV	Speaker	Travel, Food, Lodging

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8.	American Bar Association	5/30/14-5/31/14	Philadelphia, PA	Attend Meeting	Travel, Food, Lodging
9.	American Bar Associationl	8/7/14-8/8/14	Boston, Mass.	Speaker, Attend Meeting	Travel, Food, Lodging
10.	Northern Kentucky University	9/23/14-9/24/14	Highland Heights, KY	Speaker	Travel, Food, Lodging
11.	American Conference Institute	9/26/14-9/30/14	PLos Angeles, CA	Speaker	Travel, Food, Lodging
12.	University of Missouri	10/11/14-10/14/14	Kansas City, MI	Speaker	Travel, Food, Lodging
13.	Howard University	10/17/14-10/17/14	Washington DC	Speaker	Travel, Food
14.	Loyola University of Chicago	10/23/14-10/24/14	Chicago, IL	Speaker	Travel, Food, Lodging
15.	The Sedona Conference	11/04/14-11/07/14	New Orleans, LA	Speaker	Travel, Food, Lodging
16.	University of Pennsylvania	11/14/14-11/14/14	Philadelphia, PA	Speaker	Travel, Food

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental Property #1, Brooklyn, Kings County, NY	F	Rent	P1	W					
2. IRA #1 (formerly Equity Services, now TIAA-CREF)									
3. Sentinel Intl Equity Class A (SWRLX)		None			Sold	04/07/14	K	E	
4. Sentinel Small Company A (SAGWX)		None			Sold	04/07/14	K	E	
5. Sentinel Common Stock Class A (SENCX)	A	Dividend			Sold	04/07/14	L	E	
6. Alger Spectra Fund (SPECX)		None			Sold	04/07/14	K	D	
7. JP Morgan Mid Cap Value Fund (JAMCX)		None			Sold	04/07/14	K	D	
8. JohnHancock STR Inc (JIPAX)	A	Dividend			Sold (part)	04/07/14	K	B	
9.					Sold	04/14/14	J	A	
10. PIMCO Real Return C1 A (PRTNX)	A	Dividend			Sold (part)	04/07/14	K	A	
11.					Sold	04/14/14	J	A	
12. Sunamerica focussws dividend (FDSAX)	A	Dividend			Sold	04/07/14	K	D	
13. Prime Fund Daily Money Class (sweep account) *	A	Dividend			Closed	04/03/14	J	A	
14. TIAA-CREF Emerging Mkts Equity Index Fd (TEQLX)	A	Dividend	K	T	Buy	04/07/14	K		
15.					Buy (add'l)	12/30/14	J		
16. TIAA-CREF Int'l Equity Index FD (TCIEX)	A	Dividend	K	T	Buy	04/07/14	K		
17.					Buy (add'l)	12/16/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. ishares TR Msci EAFE small cap etf (SCZ)	A	Dividend	K	T	Buy	04/07/14	K		
19.					Buy (add'l)	10/13/14	J		
20. ishares TR Tips BD ETF (TIP)	A	Dividend	J	T	Buy	04/07/14	J		
21.					Buy (add'l)	04/08/14	J		
22.					Buy (add'l)	07/09/14	J		
23. ishares TR Russell Mid-Cap Value ETF (IWS)	A	Dividend	K	T	Buy	04/07/14	K		
24. ishares TR Russell Mid-cap ETF (IWR)	A	Dividend	K	T	Buy	04/07/14	K		
25. ishares TR Russell 2000 Value ETF (IWN)	A	Dividend	J	T	Buy	04/07/14	J		
26. ishares TR Russell 2000 Growth ETF (IWO)	A	Dividend	J	T	Buy	04/08/14	J		
27. TIAA-CREF Large Cap Value Index FD (TILVX)	A	Dividend	K	T	Buy	04/07/14	K		
28.	A	Distribution							
29. TIAA-CREF Large Cap Growth Index FD (TILIX)	A	Dividend	K	T	Buy	04/07/14	K		
30.	A	Distribution			Buy (add'l)	04/08/14	J		
31. TIAA-CREF Bond Index FD (TBIIX)	A	Dividend	J	T	Buy	04/07/14	J		
32.	A	Distribution							
33. Fidelity Advisor Emerging Mkts (FMKIX)	A	Dividend	J	T	Buy	04/07/14	J		
34.	A	Distribution							

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35. SPDR SER TR Dow Jones REIT (RWR)	A	Dividend	J	T	Buy	04/07/14	J		
36. ishares TR IBOXX \$ Hi-Yield BD ETF (HYG)	A	Dividend	J	T	Buy	04/07/14	J		
37. Dreyfus Gov't Prime Inv Sh (IRA Cash Sweep Acct)	A	Interest	J	T	Buy	04/07/14	J		
38. Retirement Accounts									
39. Teachers Insurance Annuity Association	F	Interest	P1	T					
40. College Retirement Equities Fund	A	Dividend	P1	T					
41. Supplemental and Group Retirement Annuities	A	Dividend	O	T					
42. Other Miscellaneous Investments/Bank Accounts									
43. AXA Financial Insurance Company Stock	A	Int./Div.	J	T					
44. Pfizer	C	Dividend	L	T					
45. Investors Bank	A	Interest	J	T					
46. Investors Bank	A	Interest	J	T					
47. Chase Manhattan Bank	A	Interest	J	T					
48. Chase Manhattan Bank	A	Interest	J	T					
49. Chase Manhattan Bank	A	Interest	K	T					
50. Chase Manhattan Bank	A	Interest	K	T					
51. Chase Manhattan Bank	A	Interest	L	T					

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52. Chase Manhattan Bank	A	Interest	M	T					
53. Brokerage Account #1 (US Trust)									
54. ASTON Montag & Caldwell Grth FD CL 1 (MCGIX)	A	Dividend	L	T					
55.	D	Distribution		T					
56. Columbia DIV. INC FD CLZ (GSFTX)	D	Dividend	N	T	Sold (part)	02/28/14	J	B	
57.	E	Distribution		T					
58. Columbia FDS SER TR 1 Select LGE CAP Grth FD CL Z (UMLGX)	B	Distribution	M	T					
59.	B	Dividend							
60. BOFA NY Tax Exempt Res. Tr. Cl.(cash sweep)	A	Dividend	K	T					
61. Columbia Small Cap Grth FD (CMSCX)	C	Distribution	K	T					
62. Columbia NY Inter Muni Bd Fund Cl Z (GNYTX)	B	Interest	L	T					
63. Columbia Short Term Muni Bd Fd Cl Z	A	Int./Div.	J	T	Sold (part)	06/10/14	J		
64. Janus Invest. FD Enterprise (JMGRX)	A	Dividend	M	T					
65. Lazard Fd. Inc. Emerg. Mkts. (LZEMX)	A	Dividend	K	T					
66.	A	Distribution							
67. Thornburg Int. Value Fd (TGVIX)	A	Dividend	K	T					
68.	C	Distribution							

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69. Harbor Intl Fund (HAINX)	A	Int./Div.	K	T					
70. Vanguard REIT ETF	A	Dividend			Sold	03/04/14	J	B	
71. AQR Diversified Arbitrage fund	A	Int./Div.			Sold	12/31/14	K		
72. Columbia High Yield Municipal Fund (SHRMX)	A	Interest	K	T	Buy (add'l)	02/28/14	J		
73. Eaton Vance Atlanta Cap. (EISMX)		None	K	T					
74. Columbia Emerging Mkt Fd Cl Z (UMEMX)	A	Dividend	L	T	Buy (add'l)	10/20/14	J		
75.					Buy (add'l)	11/05/14	J		
76. Ivy Asset Strategy Fd	A	Int./Div.			Buy (add'l)	02/28/14	J		
77.					Buy (add'l)	06/10/14	J		
78.					Buy (add'l)	10/20/14	J		
79.					Sold	12/31/14	K		
80. PIMCO All Assets All AUTH. Fd.	B	Int./Div.			Buy (add'l)	02/28/14	J		
81.					Sold	12/10/14	K		
82. PIMCO All Asset FD		None	K	T	Buy	12/10/14	K		
83. Artisan Int. Fd (ARTIX)	A	Dividend	M	T	Buy (add'l)	11/05/14	L		
84. Oppenheimer Intl Gth Fd	A	Int./Div.	M	T	Sold (part)	11/05/14	L		
85. PIMCO Commodities Plus Strategies	C	Dividend	L	T	Sold (part)	10/20/14	K		

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86.	A	Distribution			Buy (add'l)	12/10/14	L		
87. Prudential Global Real Estate (PURZX)	A	Dividend	K	T					
88. Robeco Boston Partners Long/Short Research Fund (BPIRX)	B	Distribution	L	T	Buy (add'l)	02/28/14	J		
89.					Buy (add'l)	12/10/14	J		
90. Franklin FTLG Rate Daily Access Fd	B	Int./Div.			Sold	02/28/14	K	A	
91. PIMCO Commodity Real Return		None			Buy	10/20/14	L		
92.					Buy (add'l)	11/05/14	J		
93.					Sold	12/10/14	L		
94. AMG (Managers)Real Estate Secs. FD (MRESX)	A	Dividend	L	T	Buy	02/28/14	K		
95.	B	Distribution			Buy (add'l)	10/20/14	J		
96. Columbia Tax-Exempt FD		None	K	T	Buy	02/28/14	K		
97. Victory Small Co Oppty FD	C	Distribution	K	T	Buy	02/28/14	J		
98.	A	Dividend	K	T	Buy (add'l)	06/10/14	J		
99.					Buy (add'l)	10/20/14	J		
100.					Buy (add'l)	11/05/14	J		
101. Brokerage Acct. #2 (TIAA-CREF)									
102. Fidelity Advantage Small Cap.(FSCTX)	D	Distribution	K	T					

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103. Fidelity Advantage Mid Cap (FMCAX)	A	Dividend	L	T					
104. Barclays Bk MSCI India (INP)		None			Sold	04/15/14	J	A	
105. MSCI Pacific (EPP)	A	Dividend			Sold	04/15/14	K	A	
106. MSCI Mexico (EWW)	A	Dividend			Sold	04/15/14	J	A	
107. MSCI Hong Kong (EWH)	A	Dividend	K	T					
108. SPDR Gold Trust (GLD)		None	K	T					
109. Harbor Bond Fund (HABDX)	A	Int./Div.			Sold	04/15/14	K	A	
110. Long Leaf Partners Fund (LLPFX)	A	Dividend	J	T					
111.	B	Distribution							
112. Perritt Micro Cap FD (PRCGX)	B	Distribution	K	T					
113. Scottrade Sweep US Bank NA	A	Interest			Closed	04/15/14	J	A	
114. Oakmark Select Fund (OAKLX)	B	Distribution	J	T					
115. Fairholme Fund (FAIRX)	A	Distribution	J	T					
116. SPDR Series TR S&P regl. B (KRE)	A	Dividend	J	T					
117. PIMCO Emerging Local Bond fund (PLBDX)	A	Int./Div.			Sold	04/15/14	J	A	
118. M&I marshall Ilsley Bank (sweep Acc't)		None			Closed	04/15/14	J	A	
119. Federated NY Muni Cash Series (TIAA-CREF sweep)	A	Interest	J	T	Open	04/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Brokerage Acct. #3 (TIAA-CREF)									
121. Bernstein Diversified Mun Portfolio Fund Advisor (SNDPX)	A	Distribution	J	T	Buy	04/15/14	J		
122. TIAA-CREF Emerging Mkts Equity Index FD (TEQLX)	A	Dividend	J	T	Buy	04/15/14	J		
123. Franklin Hi-Yield Tax Free Income FD (FHYVX)	A	Distribution	J	T	Buy	04/15/14	J		
124. Thornburg Intermed Municipal National Inst (THMIX)	A	Distribution	J	T	Buy	04/15/14	J		
125. Wells Fargo Advtg Ultra Short term Muni income fd (SMAVX)	A	Distribution	J	T	Buy	04/15/14	J		
126. T Rowe Price Summit Muicipal Intermediate (PRSMX)	A	Distribution	J	T	Buy	04/15/14	J		
127. Fidelity Advisor Emerging Mkts Income (FMKIX)	A	Distribution	J	T	Buy	04/15/14	J		
128.	A	Dividend							
129. ishares TR MSCI EAFE ETF (EFA)	A	Dividend	J	T	Buy	04/15/14	J		
130. ishares TR Russell Mid-cap value ETF (IWS)	A	Dividend	J	T	Buy	04/15/14	J		
131. ishares TR Russell mid-cap ETF (IWR)	A	Dividend	J	T	Buy	04/15/14	J		
132. ishares TR Russell 1000 Value ETF (IWD)	A	Dividend	K	T	Buy	04/15/14	K		
133. ishares TR Russell 1000 Growth ETF (IWF)	A	Dividend	J	T	Buy	04/15/14	J		
134. ishares TR Russell 2000 Value EYF (IWN)	A	Dividend	J	T	Buy	04/15/14	J		
135.	A	Distribution							
136. ishares TR Russell 2000 Growth ETF (IWO)	A	Dividend	J	T	Buy	04/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ishares TR MSCI EAFE Small Cap ETF (SCZ)	A	Dividend	J	T	Buy	04/15/14	J		
138. SPDR Ser TR Dow Jones Reit ETF (RWR)	A	Dividend	J	T	Buy	04/15/14	J		
139. Brokerage Acc't # 4 (Scottrade)									
140. Vanguard Int'l Growth Fund VWIGX	A	Dividend	J	T					
141. Windstream (WIN)	A	Dividend	J	T					
142. Markwest (MWE)	A	Int./Div.	J	T					
143. SPDR Index SHS FDS INT ETF (IPF)	A	Int./Div.	J	T					
144. MSCI Hong Kong (EWH)	A	Int./Div.	J	T					
145. Fairholme FND (FAIRX)	A	Distribution	J	T	Buy	08/05/14	J		
146. US Bank NA (sweep acc't)	A	Interest	J	T					
147. Fidelity Nordic (FNORX)	A	Int./Div.	J	T					
148. Fidelity EMEA FND (FEMEX)	A	Int./Div.	J	T					
149. Fidelity Emerging Asia FND (FSEAX)	A	Int./Div.	J	T					
150. Fidelity Value Fund (FDVLX)	A	Dividend	J	T	Buy	02/06/14	J		
151. Columbia Aggressive Value (CAMAX)	A	Dividend	J	T	Buy	07/10/14	J		
152. T Rowe Price Cap Appreciation FND (PRWCX)	A	Dividend	J	T					
153. Powershares Dynamic FND (PBE)	A	Dividend	J	T	Buy	02/14/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Market Vectors Gold FND (GDX)	A	Dividend	J	T					
155. iShares TR Nasdaq Biotech ETF (IBB)	A	Dividend	J	T	Buy	09/30/14	J		
156. ETF GXC	A	Dividend			Sold	08/05/14	J		
157. Market Vectors Brazil Small Cap ETF BRF					Sold	08/05/14	J		
158. Brokerage Account #5 (JP Morgan Chase)									
159. SPDR S & P 500 ETF (SPY)	A	Dividend	J	T	Sold (part)	07/25/14	J	A	
160. American Century Interterm (AXBIX)	A	Dividend	J	T					
161. Black Rock FDS US (BHYIX)	A	Dividend	J	T					
162. Cohen & Steers Realty (CSDIX)	A	Dividend			Sold	11/19/14	J	A	
163. Goldman Sachs Emerging Market (GSDIX)	A	Dividend	J	T					
164. Oakmark Fund (OAKMX)	A	Dividend	J	T					
165. JP Morgan TRI/inter tax free BD FND Instl CL (JITIX)	A	Dividend	J	T					
166. JP Morgan TRI U S Equity FD Instl Cl (JMUEX)	A	Dividend	J	T					
167. JP Morgan TRI/Midcap Equity (VSNGX)	A	Dividend	J	T					
168.	A	Distribution							
169. JP Morgan TRI Int'l Value FD Instl Cl (JNUSX)	A	Dividend	J	T					
170. JP Morgan TRI Em. Mkts Equity FDS Instl (JMIEX)	A	Dividend			Sold (part)	02/10/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	09/19/14	J	A	
172. JPMorgan High Yield (OHYFX)	A	Dividend	J	T					
173. JP Morgan TR II Short Inter Mun Bd Fd Instl (JIMIX)	A	Dividend	J	T					
174. MFS Ser. Tr. X Emerging Market (MEDIX)	A	Dividend	J	T					
175. T Rowe Price Tax Free Income (PATAX) *									
176. T Rowe Price Summit (PRSMX)	A	Dividend	J	T					
177. Thornburg International Value Fund (TGVIX)	A	Dividend			Sold (part)	02/10/14	J	A	
178.					Sold	03/07/14	J	A	
179. Vanguard Sector Index Funds (VNQ)	A	Dividend			Sold	11/19/14	J		
180. iShares Trust Russell 2000 (IWM)	A	Dividend	J	T					
181. John Hancock Inc. Fd (JSTIX)	A	Dividend	J	T					
182. Massachusetts Investors (MITIX)	A	Dividend	J	T					
183. Mainstay (MHYIX)	A	Dividend	J	T					
184. MFS Research International (MRSIX)	A	Dividend	J	T					
185. American Century Value Fund (AVLIX)	A	Dividend	J	T					
186. Goldman Sachs Small Cap (GSSIX)	A	Dividend	J	T					
187. JP Morgan TRI Small Cap (VSEIX)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.	A	Distribution							
189. JP Morgan Growth Advantage (JGASX)	A	Distribution	J	T					
190. Wells Fargo Advantage FDS - Emerging Mkts Equity FD Admin (EMGYX)	A	Dividend			Merged (with line 191)	08/08/14	J		
191. Wells Fargo Adv FDS - Emerging Mkts Equity FD Inst'l (EMGNX)	A	Distribution	J	T	Open	08/08/14	J		
192.					Sold (part)	09/19/14	J	A	
193. iShares MSCI EAFE ETF (EFA)	A	Dividend	J	T	Buy (add'l)	02/10/14	J		
194. Alliance Bernstein Inter (AIDAX)	A	Dividend	J	T					
195. PIMCO Low Duration Fund (PTLDX)	A	Dividend	J	T					
196. JP Morgan TR 1 US Equity Fd Inst'l CL (JMUEX)	A	Dividend	J	T					
197.	A	Distribution							
198. Dodge & Cox Funds (DODFX)	A	Dividend	J	T	Buy	09/19/14	J		
199. Blackrock Int'l Index FD Inst'l CI (MAIHX)	A	Dividend	J	T	Buy	11/19/14	J		
200. Delaware GRP Caps Emerging DEMIX	A	Dividend	J	T					
201. JP Morgan Deposit Sweep MGD (QCPCM)	A	Interest	J	T					
202. Brokerage Acct #6 (JP Morgan Chase)/									
203. JPM STR Inc Op Fd	A	Dividend			Sold (part)	02/19/14	J	A	
204.					Sold	02/20/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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205. Harbor High Yield Bond Fund	A	Dividend			Sold (part)	01/07/14	J	A	
206.					Sold	04/29/14	J	A	
207. Harbor Convertible Securities Fund	A	Dividend			Sold	04/29/14	J	A	
208. SIT Mut. FDS Inc. Div. Growth (SDVG)	A	Dividend			Sold	04/29/14	J	B	
209. Avenue Mut FDS TR*	A	Dividend			Sold	04/29/14	J	A	
210. Ridgeworth FDS SEIX FLRT	A	Dividend			Sold	04/29/14	J	A	
211. Gateway FD (GTEY)	A	Dividend			Sold	03/17/14	J	A	
212. Matthews Asia Div. Inst (MIPI)	A	Dividend			Sold (part)	03/17/14	J	A	
213.					Sold	04/29/14	J	A	
214. Eaton Vance Floating Rate ADV (EIFA)	A	Dividend			Sold	04/29/14	J	A	
215. Black Rock High Yield Bd	A	Dividend			Sold	04/29/14	J	A	
216. Cohen and Steere Pref Sec. and Income Cl A (CSEIX)	A	Dividend			Sold (part)	04/29/14	J	A	
217.	A	Distribution			Buy	05/01/14	J		
218.					Buy (add'l)	05/05/14	J		
219.					Merged (with line 220)	08/08/14	J		
220. Cohen and Steere Real Estate Sec FD Cl 1 (CSDIX)	A	Dividend	J	T	Open	08/08/14	J		
221.	A	Distribution			Buy	10/29/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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222.					Sold (part)	12/22/14	J	A	
223. Doubleline Total Ret BD-I	A	Dividend			Buy	03/18/14	J		
224.					Sold	04/29/14	K	A	
225. T Rowe Price Instl. Income Fs/D Floating Rate Fd	A	Dividend			Sold	04/29/14	J	A	
226. HSBC FDS Total Return 1 (HTRI)	A	Dividend			Sold	04/29/14	J	A	
227. Managed Portfolio Ser Tortoise Instl. (TORI)	A	Dividend			Sold	04/29/14	J	A	
228. Nuveen Global Infrastructure Share Class	A	Distribution			Sold	01/17/14	J	A	
229.	A	Dividend							
230. Clearbridge Equity (SOPY)	A	Dividend			Sold	04/29/14	J	A	
231. JPM Intrepid Europe FD (JFEI)	A	Dividend			Sold	04/29/14	J	A	
232. Metropolitan West Total Return Institutional Share Class	A	Dividend			Sold	04/29/14	J	A	
233. Columbia FD SER TRT (NCIA)	A	Dividend			Buy (add'l)	02/20/14	J		
234.					Sold	04/29/14	J	A	
235. JP Morgan Sweep Acc't	A	Interest	J	T					
236. JPM Multisector Inc	A	Dividend			Buy	03/17/14	J		
237.					Sold	04/29/14	J	A	
238. Alliance Bernstein Int. (AIDAX)	A	Dividend	J	T	Buy	05/01/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Scheindlin, Shira A.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	05/05/14	J		
240.					Buy (add'l)	10/29/14	J		
241. Aston/Fairpointe MidCap Inc. (ABMIX)	A	Dividend	K	T	Buy	05/01/14	J		
242.	B	Distribution			Buy (add'l)	05/05/14	J		
243.					Buy (add'l)	10/29/14	J		
244. Fidelity Advisors New Insights (FINSX)	A	Dividend	K	T	Buy	05/01/14	J		
245.	B	Distribution			Buy (add'l)	05/05/14	J		
246.					Buy (add'l)	10/29/14	J		
247. Franklin NY Intermediaterm tax free Fd (FKNIX)	A	Dividend			Buy	05/01/14	J		
248.					Buy (add'l)	05/05/14	J		
249.					Merged (with line 250)	08/08/14	J		
250. Franklin NY Tax Free TR (FNYZX)	A	Dividend	J	T	Buy	10/29/14	J		
251. Goldman Sachs Small Cap (GSSIX)	A	Dividend	J	T	Buy	05/01/14	J		
252.	A	Distribution			Buy (add'l)	05/05/14	J		
253. Goldman Sachs Emerging Markets (GSDIX)	A	Dividend	J	T	Buy	05/01/14	J		
254.					Buy (add'l)	05/05/14	J		
255.					Buy (add'l)	10/29/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Scheidlin, Shira A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold (part)	12/22/14	J	A	
257. Oakmark Fd (OAKMX)	A	Dividend	J	T	Buy	05/01/14	J		
258.	B	Distribution			Buy (add'l)	05/05/14	J		
259.					Buy (add'l)	10/29/14	J		
260. JP Morgan Trll Small Cap Growth (JISEX)	A	Distribution	J	T	Buy	05/01/14	J		
261.					Buy (add'l)	05/05/14	J		
262. JPM Diversified MidCap (HLGEX)	A	Distribution	J	T	Buy	05/01/14	J		
263.	A	Dividend			Buy (add'l)	05/05/14	J		
264.					Buy (add'l)	10/29/14	J		
265. Oppenheimer Develop. Mkts FD Cl A (ODMAX)	A	Dividend			Buy	05/01/14	J		
266.	A	Distribution			Buy (add'l)	05/05/14	J		
267.					Merged (with line 268)	08/08/14	J		
268. Oppenheimer Develop kts FD Cl Y (ODYVX)	A	Dividend	K	T	Buy (add'l)	10/29/14	J		
269.	A	Distribution			Buy (add'l)	12/22/14	J		
270. TRPrice Summit Muncpl Fd (PRINX)	A	Dividend	J	T	Buy	05/01/14	J		
271.	A	Distribution			Buy (add'l)	05/05/14	J		
272.					Buy (add'l)	09/22/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Scheindlin, Shira A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	10/29/14	J		
274. TRPrice Tax Free (PRFHX)	A	Dividend	J	T	Buy	05/01/14	J		
275.	A	Distribution			Buy (add'l)	05/05/14	J		
276.					Buy (add'l)	10/29/14	J		
277. iShates Russell 2000 ETF (IWM)	A	Dividend	J	T	Buy	05/05/14	J		
278.	A	Distribution			Buy (add'l)	09/22/14	J		
279. iRussell 1000 Grth (IWF)	A	Dividend	K	T	Buy	05/05/14	J		
280.	B	Distribution			Buy (add'l)	05/07/14	J		
281.					Buy (add'l)	10/28/14	J		
282. iRussell 1000 ETF (IWB)	B	Distribution	K	T	Buy	05/05/14	J		
283.	A	Dividend			Buy (add'l)	10/31/14	J		
284. Dodge and Cox Fds Inter.(DODFX)	A	Dividend	J	T	Buy	05/01/14	J		
285.					Buy (add'l)	05/05/14	J		
286.					Buy (add'l)	10/29/14	J		
287. Dodge and Cox Stock Fds (DODGX)	A	Dividend	J	T	Buy	05/01/14	J		
288.	A	Distribution			Buy (add'l)	05/05/14	J		
289.					Buy (add'l)	10/29/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Scheindlin, Shira A.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. iShares MSCI EAFE ETF (EFA)	A	Dividend	K	T	Buy	05/05/14	J		
291.					Buy (add'l)	05/07/14	J		
292.					Buy (add'l)	09/24/14	J		
293.					Buy (add'l)	10/31/14	J		
294. Brokerage Acct #7 (Morgan Stanley)									
295. Invesco Hi-Yield Muni C (ACTFX)	A	Dividend	J	T	Buy	07/01/14	J		
296.					Buy (add'l)	12/24/14	J		
297. Invesco Short Term Bond C	A	Dividend			Buy	06/24/14	J		
298.					Sold	07/02/14	J	A	
299.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Line 13: This sweep fund (Prime Fund Daily Money Class) was the sweep account used by Equity Services for my IRA. It was in use in 2013 but I inadvertently failed to list it in the 2013 report. It never held more than \$1,500 cash. When I transferred my IRA to TIAA-CREF in April, 2014 the Equity Services sweep account was closed as indicated on my 2014 report because TIAA CREF uses its own sweep fund.

Part VII. Line 175. T. Rowe Price Tax Free (PATAX) was sold on September 5, 2013 at a loss. This sale should have appeared on the 2013 report. Its value was always under \$15,000. This investment should not have been in the 2014 Report as it was not owned during the reporting period.

Part VII. Line 209. Ave Mut FDS TR: This fund was purchased in November of 2013 according to the statements from JP Morgan. It was inadvertently omitted from the 2013 report. Its value was (and is) under \$15,000 and it produces income of under \$1,000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Shira A. Scheindlin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544