

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwood, Bruce A.	2. Court or Organization United States Bankruptcy Court - District of New Hampshire	3. Date of Report 09/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 06/30/2013
7. Chambers or Office Address United States Bankruptcy Court 1000 Elm Street Manchester, NH 03101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	American Bankruptcy Institute (term expired April, 2013)
2.	Shareholder and Officer	Sheehan Phinney Bass + Green PA (employment ended March 10, 2013; stock fully redeemed March 15, 2013)
3.	Participant	Sheehan Phinney Bass + Green PA Profit Sharing Plan and Trust (no control; final cash distribution received in full Mar-Apr 2013)
4.	Trustee	Trust #1 ()
5.	Trustee	Trust #2 ()
6.	Trustee	Trust #3 ()
7.	Trustee	Trust #4 ()
8.	Trustee	Trust #5 ()
9.	Trustee	Trust #6 ()

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)
☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 6/17/97	Shareholder and Employment Agreement; Sheehan Phinney Bass + Green PA (employment terminated March 10, 2013)

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2. 1/1/75	Sheehan Phinney Bass + Green PA Profit Sharing Plan and Trust (no control; account fully distributed March-April 2013)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Sheehan Phinney Bass + Green, PA; Gross Salary	\$345,000.00
2. 2013	Sheehan Phinney Bass + Green, PA; Gross Salary	\$30,000.00
3. 2011	Sheehan Phinney Bass + Green, PA; Gross Salary	\$310,000.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judicial Center	Feb 3-8, 2013	New York, NY	Phase I Judges Training	Transportation, Lodging, Meals
2. Federal Judicial Center	Apr 14-17, 2013	New Orleans, LA	Judges Workshop	Transportation, Lodging, Meals
3. American Bankruptcy Institute	Apr 17-21, 2013	Washington, DC	Annual Spring Meeting (teach)(board of directors meeting)	Transportation, Lodging, Meals
4. Federal Judicial Center	May 16-18, 2013	Washington, DC	Educational Seminar (teach)	Transportation
5. American Bankruptcy Institute	July, 11-14, 2013	Newport, RI	Educational Seminar (Northeast Conference) (teach)	Transportation, Lodging, Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Common ABT - ABBOT LABORATORIES	B	Dividend	J	T					
2. Common ABBV - ABBVIE INC COM	A	Dividend	J	T					
3. Common APD - AIR PROD 7 CHEM INC	A	Dividend	J	T					
4. Common ACE - ACE LTD	A	Dividend	J	T					
5. Common AXP - AMERICAN EXPRESS CO	A	Dividend	J	T					
6. Common AAPL - APPLE INC	D	Dividend	J	T					
7. Common MO - ALTRIA GROUP INC	B	Dividend	J	T					
8. Common ADP - AUTOMATIC DATA PROCESSING INC	A	Dividend	J	T					
9. Common BLK - BLACKROCK INC	A	Dividend	J	T					
10. Common CMNIX - CALAMOS MARKET NEUTRAL INC I	A	Dividend	K	T					
11. Common CAT - CATERPILLAR INC	A	Dividend	J	T					
12. Common CP - CDN PACIFIC RY LTD NEW	A	Dividend	J	T					
13. Common CVX - CHEVRON CORP	A	Dividend	J	T					
14. Common EOG - EOG RESOURCES	A	Dividend	J	T					
15. Common FOXA - TWENTY-FIRST CENTURY FOX	A	Dividend	J	T					
16. Common CMSA - COMCAST CORP (NEW) CLASS A	A	Dividend	J	T					
17. Common COP - CONOCO PHILLIPS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Common DEO - DIAGEO PLC SPON ADR NEW	A	Dividend	J	T					
19. Common EL - ESTEE LAUDER CO INC CL A	A	Dividend	J	T					
20. Common - XOM EXXON MOBIL CORP	C	Dividend	K	T					
21. Common BEN - FRANKLIN RESOURCES	A	Dividend	J	T					
22. Common FCX - FREEPORT MCMORAN CP&GLD	B	Dividend	J	T					
23. Common GE - GENERAL ELECTRIC CO	A	Dividend	J	T					
24. Common HBC - HSBC HOLDING PLC SPON ADR NEW	A	Dividend	J	T					
25. Common INTC - INTEL CORP	A	Dividend	J	T					
26. Common IBM - INTL BUSINESS MACHINES CORP	A	Dividend	J	T					
27. Common ISRG - INTUITIVE SURGICAL INC	A	Dividend	J	T					
28. Common KO - COCA COLA CO	A	Dividend	K	T					
29. Common NVS - NOVARTIS AG	A	Dividend	J	T					
30. Common IQHX - IQ ALPHA HEDGE STRAT INST	A	Dividend	K	T					
31. Common TIP - ISHARES BARCLAYS TIPS BD ED	C	Dividend	N	T					
32. Common SCZ - ISHARES TR MSCI SMALL CAP	A	Dividend	L	T					
33. Common JNJ - JOHNSON & JOHNSON	A	Dividend	J	T					
34. Common JPM - JPMORGAN & CHASE CO	A	Dividend	J	T					

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35. Common KRFT - KRAFT FOODS GROUP INC COM	A	Dividend	J	T					
36. Common MCD - MCDONALDS CORP	A	Dividend	J	T					
37. Common MRK - MERCK & CO INC NEW COM	B	Dividend	J	T					
38. Common MDLZ - MONDELEZ INTL INC COM	A	Dividend	J	T					
39. Common NSRGY - NESTLE SPON ADR REP REG SHR	A	Dividend	J	T					
40. Common NWSA - NEWS CORP LTD CL A DEL	A	Dividend	J	T					
41. Common NVO - NOVO NORDISK A/S ADR	A	Dividend	J	T					
42. Common OXY - OCCIDENTAL PETROLEUM CORP DE	A	Dividend	J	T					
43. Common ORCL - ORACLE CORP	A	Dividend	J	T					
44. Common PEP - PEPSICO INCE NC	A	Dividend	J	T					
45. Common PM - PHILIP MORRIS INTL INC	B	Dividend	K	T					
46. Common PSX - PHILLIPS 66 COM	B	Dividend	J	T					
47. Common PALPX - PIMCO ALL ASSET P	B	Dividend	N	T					
48. Common PCRPX - PIMCO COMMOD REAL RET STRATP	C	Dividend	L	T					
49. Common PFBPX - PIMCO FOREIGN BD US \$ HEDGETD P	C	Dividend	M	T					
50. Common PX - PRAXAIR INC	A	Dividend	J	T					
51. Common PG - PROCTOR & GAMBLE	A	Dividend	J	T					

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52. Common QCOM - QUALCOMM INC	A	Dividend	J	T					
53. Common IPPXX - INVESCO PREMIER INST	C	Dividend	K	T					
54. Common RHHBY - ROCHE HOLDINGS ADR	A	Dividend	J	T					
55. Common RDSA - ROYAL DUTCH SHELL PLC	A	Dividend	J	T					
56. Common SBMRY - SABMILLER PLC SPONS ADR	A	Dividend	J	T					
57. Common RWX - SPDR DJ WILSHIRE INTL REAL EST	A	Dividend	J	T					
58. Common TFI - SPDR NUVEEN BARCLAYS CAPITAL M	D	Int./Div.	M	T					
59. Common TGT - TARGET CORP	A	Dividend	J	T					
60. Common TXN - TEXAS INSTRUMENTS	A	Dividend	J	T					
61. Common TWC - TIME WARNER CABLE INC NEW	A	Dividend	J	T					
62. Common TOT - TOTAL S A SPON ADR	B	Dividend	J	T					
63. Common UTX - UNITED TECHNOLOGIES CORP	A	Dividend	J	T					
64. Common VVO - VANGUARD FTSE EMERGING MARKETS E	A	Dividend	M	T					
65. Common VUG - VANGUARD GROWTH ETF	D	Dividend	M	T					
66. Common VEA - VANGUARD MSCI EAFE ETF	C	Dividend	M	T					
67. Common VNQ - VANGUARD REIT ETF	B	Dividend	L	T					
68. Common BSV - VANGUARD SHORT TERM BND	A	Dividend	L	T					

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69. Common VBK - VANGUARD SM CAP GROWTH ETF	B	Dividend	L	T					
70. Common VBR - VANGUARD SM VALUE ETF	A	Dividend	L	T					
71. Common BND - VANGUARD TOTAL BOND MARKET	E	Dividend	L	T					
72. Common VTV - VANGUARD VALUE ETF INDEX	C	Dividend	M	T					
73. Common WMT - WAL MART STORES	B	Dividend	J	T					
74. Common WAG - WALGREEN CO	C	Dividend	J	T					
75. Common DIS - WALT DISNEY HOLDING CO	A	Dividend	J	T					
76. Common XLNX - XILINX INC	A	Dividend	J	T					
77. Common WFC - WELLS FARGO & CO NEW	A	Dividend	J	T					
78. Common APA - APACHE CORP (no longer held)	C	Dividend							
79. Common ARCO - ARCO DORADOS HLDGS INC (no longer held)	A	Dividend							
80. Common BDX - BECTON DICKINSON & CO (no longer held)	B	Dividend							
81. Common DMXX - DREFUS MMKT INSTRUMENTS	A	Dividend	J	T					
82. Common GD - GENL DYNAMICS CORP (no longer held)	C	Dividend							
83. Common PEIXX - INVESCO PREMIER TX EXEMPT INST	A	Dividend	J	T					
84. Common KFT - KRAFT FOODS INC (no longer held)	A	Dividend							
85. Common MHP - MCGRAW HILL COS INC (no longer held)	C	Dividend							

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86. Common MDT - MEDTRONIC (no longer held)	B	Dividend							
87. Common ILAF - MS LIQUID ASSET FUND (no longer held)	A	Dividend							
88. Common RIO - RIO TINTO PLC SPON ADR (no longer held)	B	Dividend							
89. Common CITINIA - CITIBANK N.A.	A	Dividend	J	T					
90. Common GJRTX - GOLDMAN SACHS ABSLT RET TRCK (no longer held)	D	Dividend							
91. Common GMCR - GREEN MOUNTAIN COFFEE INC (no longer held)	B	Dividend							
92. Common GAFYX - NATIXIS ASG GLOBAL ALTERNAT (no longer held)	D	Dividend							
93. Common PRINCIPAL FINANCIAL GROUP INC	A	Dividend	J	T					
94. Common BENGUET CORP		None	J	T					
95. Common ENERGIZER HOLDINGS INC (Custodian)	A	Dividend	J	T					
96. Common BOEING COMPANY (Custodian)		None	J	T					
97. Trust #1 (H)									
98. - CITIZENS BANK - bank account	A	Interest	J	T					
99. - TD BANK - bank account	A	Interest	K	T					
100. - HAMPSHIRE FIRST BANK - bank account	A	Interest	J	T					
101. Trust #2 (H)									
102. - TD BANK - bank account	A	Interest	K	T					

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103. - HAMPSHIRE FIRST BANK - bank account	A	Interest	J	T					
104. Trust #3 (H)									
105. - CITIZENS BANK - bank account	A	Interest	K	T					
106. - HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
107. Trust #4 (H)									
108. - HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
109. Trust #5 (H)									
110. - HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
111. Trust # 6 (H)									
112. - HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Harwood, Bruce A.

Date of Report

09/06/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Harwood, Bruce A.

Date of Report

09/06/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bruce A. Harwood**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544