

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hegarty, Michael E.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th Street Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. Various

Jefferson County Public Schools

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank	A	Interest	K	T					
2. Wells Fargo Checking	A	Interest	J	T					
3. Apple Common Stock	A	Dividend	J	T	Buy (add'l)	06/01/15	J		Dependent Child #4
4. Baron Opportunity Fund	A	Dividend	J	T					
5. Fairholme Fund	A	Dividend			Sold	03/02/15	J	A	
6. Fidelity Select Biotechnology Fund	A	Dividend	J	T					
7. Fidelity Contra Fund	A	Dividend			Donated				
8. Fidelity Low Priced Stock Fund	A	Dividend	K	T					
9. Fidelity Leveraged Company Stock Fund	A	Dividend	J	T					
10. FMI Large Cap Fund	A	Dividend	K	T					
11. FPA Crescent Institutional Fund	A	Dividend	J	T					
12. Fidelity Small Cap Discovery Fund	A	Dividend	J	T					
13. Fidelity Strategic Dividend & Income Fund	A	Dividend	J	T					
14. Hennessy Gas Utility Index Fund	A	Dividend	J	T					
15. Hodges Small Cap Fund	A	Dividend	K	T	Buy (add'l)	03/02/15	J		
16. Janus Balanced Fund Class T	A	Dividend	J	T					
17. Janus Triton Fund Class T Shares	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Pimco Fundamental Index Plus AR Fund	A	Dividend	J	T					
19. TCW Total Return Bond Fund Class 1	A	Dividend	J	T					
20. Tocqueville Gold Fund	A	Dividend			Sold	06/01/15	K		
21. Vanguard Small Cap Index Fund	A	Dividend	J	T	Buy (add'l)	06/10/15	J		
22. Vanguard Total Stock Market Index ETF	A	Dividend	J	T	Buy (add'l)	06/10/15	J		
23. DC ## 4-11 Wells Fargo Accounts	A	Interest	J	T					
24. DC # 4 Apple Stock	A	Dividend			Sold	06/01/15	J	B	Michael Hegarty
25. DC##4-11 Mitchell Capital Management Allocation 70% Equity 30% Bond	A	Int./Div.			Closed	04/14/15	M		
26. DC##5-11 Ameritas Investment Corporatio Allocation 70% Equity 30% Bond	A	Int./Div.	M	T	Buy	04/14/15	M		
27. Phillips Edison Grocery Center REIT II	B	Int./Div.	L	T	Buy	06/17/15	K		
28. Cole Capital Credit Property Trust IV, Inc. REIT	C	Int./Div.	L	T					
29. Retirement Phillips Edison ARC Shopping Cntr REIT	B	Int./Div.	L	T					
30. Spouse Retirement Phillips Edison ARC Shopping Cntr REIT	A	Int./Div.	K	T					
31. RetirementFS Investment Corporation II	B	Int./Div.	L	T					
32. Inherited IRA Mitchell Capital Management Alloc. 75% Equity 25% Bond	A	Int./Div.	M	T	Distributed (part)	03/19/15	J	A	
33. Spouse Inherited IRA Edward Jones AT&T Stock	A	Int./Div.	J	T					
34. Spouse Inherited IRA Edward Jones Duke Energy Corp. Stock	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Spouse Inherited IRA Edward Jones General Mills Inc. Stock	A	Int./Div.	J	T					
36. Spouse Inherited IRA Edward Jones Illinois Tool Works Inc. Stock	A	Int./Div.	J	T					
37. Spouse Inherited IRA Edward Jones Capital Income Builder Fund Class A	A	Int./Div.	K	T					
38. Spouse Inherited IRA Edward Jones Income Fund of America Fund Class A	A	Int./Div.	K	T					
39. Spouse Inherited IRA Edward Jones Berkshire Hathaway Inc. Del. Class B	A	Int./Div.	J	T					
40. Spouse Inherited IRA Edward Jones Johnson Controls Inc. Stock	A	Int./Div.	J	T					
41. Spouse Inherited IRA Edward Jones Royal Dutch Shell PLC Stock	A	Int./Div.	J	T					
42. Spouse Inherited IRA Edward Jones US Bancorp Stock	A	Int./Div.	J	T					
43. Spouse Inherited IRA Edward Jones Ishares Dow J US Tech. Sect. Indx Fd	A	Int./Div.	J	T					
44. Spouse Inherited IRA Edward Jones Ishares DJ US Healthcare Sector Fd	A	Int./Div.	J	T					
45. Spouse Inherited IRA Edward Jones Bond Fund of America Class A	A	Int./Div.	J	T	Distributed (part)	04/30/15	J	A	
46. Spouse Inherited IRA Edward Jones Capital World Bond Fund	A	Int./Div.	J	T					
47. Spouse Inherited IRA Edward Jones CBA Aggressive Growth Fund Class A	A	Int./Div.	J	T					
48. Spouse Inherited IRA Edward Jones Columbia Smallcap Value Fund Class A	A	Int./Div.	J	T					
49. Spouse Inherited IRA Edward Jones Fundamental Investors Fund	A	Int./Div.	J	T					
50. Spouse Inherited IRA Edward Jones Growth Fund of America Class A	A	Int./Div.	J	T					
51. Spouse Inherited IRA Edward Jones Harbor Cap'l Apprec. Fund Investr Cl	A	Int./Div.	J	T					

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52. Spouse Inherited IRA Edward Jones Harbor Int'l Fund Investor Class	A	Int./Div.	J	T					
53. Spouse Inherited IRA Edward Jones Invesco Comstock Fund C1 A	A	Int./Div.	J	T					
54. Spouse Inherited IRA Edward Jones MFS Int'l New Discovery Fund C1 I	A	Int./Div.	J	T					
55. Spouse Inherited IRA Edward Jones MFS Value Fund CL I	A	Int./Div.	J	T					
56. Spouse Inherited IRA Edward Jones Mutual Global Discovery Fund C1 A	A	Int./Div.	J	T					
57. Spouse Inherited IRA Edward Jones New World Fund Class A	A	Int./Div.	J	T					
58.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael E. Hegarty**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544