

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Craig, Carla E.	2. Court or Organization U.S. Bankruptcy Court, E.D.N.Y.	3. Date of Report 08/2/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 271 Cadman Plaza E. Suite 1595 Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Kidspirit Magazine
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Schulte Roth and Zabel LLP-partnership distribution

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Mt. Kellett Capital Partners II LP	Commitment to make capital contributions to investment limited partnership	PI
2.	RS Global Energy and Power Private Investors VI	Commitment to make capital contributions to investment LLC	N
3.	Yeshiva University	Commitment to make tuition payments	K
4.	Mautner-Glick Corp.	Obligation to make rent payment	K
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Checking and Money Market Accounts	B	Interest	P1	T					
2. J.P. Morgan Money Market Fund	A	Dividend	J	T					
3. JPM US Real Estate Income and Growth Direct LP ()		None	O	T					
4. JPM Floating Rate Income Fund (JPHSX)	E	Dividend	O	T					
5. JP Morgan Strategic Income Opportunities Fund 3844 (JSOSX)	C	Dividend	M	T					
6. iShares MSCI All Country Asia Ex Japan Index Fund (AAXJ)	C	Dividend	M	T					
7. JP Morgan Intl. Value Fund (JIESX)	E	Dividend	O	T					
8. CSFR Private Investors LLC ()		None	J	T					
9. Starwood Waypoint homes f/k/a Colony Starwood Homes	D	Dividend			Sold	08/25/17	N	F	
10. JP Morgan Tax Aware R/R Fund	C	Dividend	M	T					
11. iShares MSCI EAFE Index Fund (EFA)	D	Dividend	N	T					
12. JP Morgan Equity Income Fund-Select Fund (HLIEX)	D	Dividend	N	T					
13. RS Global Energy Energy and Power Private Investors VI ()		None	N	T					
14. Artisan High Income Adv (APDFX)/f/k/a Artisan High Income Inv (ARTFX)	E	Dividend	N	T	Buy (add'l)	02/03/17	L		
15.					Buy (add'l)	02/14/17	J		
16.					Sold (part)	03/07/17	K	B	
17.					Buy (add'l)	09/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	12/19/17	J		
19.					Buy (add'l)	12/21/17	J		
20. Fidelity Money Market Account (FCASH)		None	J	T					
21. Fidelity Environment & Alternative Energy (FSLEX)	D	Dividend	M	T	Buy (add'l)	04/12/17	J		
22.					Buy (add'l)	12/01/17	J		
23.					Sold (part)	12/28/17	J	A	
24. Fidelity Health Care Delivery (FSHCX)		None	M	T	Buy	12/21/17	M		
25.					Buy (add'l)	12/28/17	J		
26. Fidelity Int'l Discovery (FIGRX)	D	Dividend	M	T					
27. Fidelity Adv Intl Growth Z (FZAJX) f/k/a Fidelity Intl Growth (FIGFX)	C	Dividend	M	T	Buy (add'l)	03/07/17	K		
28.					Sold (part)	04/03/17	K	B	
29.					Sold (part)	05/04/17	K	C	
30. Fidelity Japan Small Companies (FJSCX)	C	Dividend	M	T	Buy (add'l)	12/08/17	J		
31.					Sold (part)	12/28/17	J	D	
32. Fidelity Leisure (FDLSX)	D	Dividend	M	T	Buy	05/05/17	M		
33.					Buy (add'l)	12/15/17	J		
34.					Buy (add'l)	12/28/17	J		

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

Name of Person Reporting

Craig, Carla E.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Fidelity Low Priced Stock (FLPSX)	E	Dividend	O	T	Buy (add'l)	02/01/17	J		
36.					Buy (add'l)	02/03/17	J		
37.					Sold (part)	02/10/17	J	A	
38.					Buy (add'l)	02/14/17	J		
39.					Sold (part)	03/07/17	J	B	
40.					Buy (add'l)	09/21/17	J		
41.					Buy (add'l)	12/19/17	J		
42.					Buy (add'l)	12/21/17	J		
43. Fidelity Mega Cap Stock Z (FZALX)/fka Fidelity Mega Cap Stock (FGRTX)	F	Dividend	O	T	Buy (add'l)	02/03/17	J		
44.					Sold (part)	02/10/17	J	A	
45.					Buy (add'l)	02/14/17	J		
46.					Sold (part)	03/07/17	K	B	
47.					Buy (add'l)	08/16/17	K		
48.					Buy (add'l)	12/19/17	J		
49.					Buy (add'l)	12/21/17	J		
50. Fidelity Natural Gas (FSNGX)		None			Sold	02/09/17	L	D	
51. Fidelity NY Muni Money Market nka Government Cash Reserves(FDRXX)	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 20

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Craig, Carla E.

Date of Report

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52. Fidelity MSCI Real Estate (FREL) fkaFidelity Real Estate (FRESX)	C	Dividend	M	T	Buy (add'l)	04/17/17	L		
53.					Buy (add'l)	06/22/17	J		
54.					Buy (add'l)	09/20/17	J		
55.					Buy (add'l)	12/20/17	J		
56.					Buy (add'l)	12/28/17	K		
57. Fidelity Select Banking (FSRBX)	A	Dividend			Buy (add'l)	04/07/17	J		
58.					Sold	04/13/17	L	D	
59. Fidelity Select Biotechnology (FBIOX)	B	Dividend			Buy	01/09/17	L		
60.					Buy (add'l)	12/01/17	J		
61.					Sold	12/21/17	M	E	
62. Fidelity Select Multimedia (FMBPX)	B	Dividend			Buy	01/09/17	K		
63.					Buy (add'l)	04/07/17	J		
64.					Sold	05/15/17	M	C	
65. Fidelity Select Semiconductors (FSELX)	E	Dividend	M	T	Buy (add'l)	04/12/17	J		
66.					Buy (add'l)	12/01/17	J		
67.					Sold (part)	12/28/17	J	C	
68. Fidelity Short Term Bond Fund (FSHBX)	A	Dividend			Buy (add'l)	01/09/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 8 of 20

Name of Person Reporting

Craig, Carla E.

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69.					Sold	01/09/17	M		
70. Fidelity Wireless (FWRLX)	C	Dividend	M	T	Buy	02/09/17	L		
71.					Buy (add'l)	04/12/17	J		
72.					Buy (add'l)	12/01/17	J		
73.					Buy (add'l)	12/28/17	J		
74. Hartford Healthcare F (HGHFX) fka Hartford Healthcare Y (HGHYX)	D	Dividend	M	T	Buy (add'l)	02/03/17	J		
75. Hartford Healthcare Fund I (HGHIX) fka Hartford Healthcare A (HGHAX)	A	Dividend	J	T	Buy (add'l)	02/01/17	J		
76.					Buy (add'l)	12/19/17	J		
77.					Buy (add'l)	12/21/17	J		
78. iShares S&P National Municipal Bond (MUB)	D	Dividend	M	T	Sold (part)	02/16/17	L	A	
79.					Sold (part)	03/07/17	N	B	
80.					Sold (part)	03/10/17	M	A	
81. Primecap Odyssey Aggressive Growth (POAGX)	D	Dividend	O	T	Buy (add'l)	02/01/17	J		
82.					Buy (add'l)	02/03/17	K		
83.					Sold (part)	02/10/17	J	C	
84.					Buy (add'l)	02/14/17	K		
85.					Sold (part)	03/07/17	J	B	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 20

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Craig, Carla E.

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86.					Buy (add'l)	12/18/17	J		
87.					Buy (add'l)	12/21/17	J		
88. Primecap Odyssey Stock (POSKX)	D	Dividend	O	T	Buy (add'l)	02/03/17	J		
89.					Sold (part)	02/10/17	J	C	
90.					Sold (part)	04/25/17	J	C	
91.					Buy (add'l)	12/21/17	J		
92. Seafarer Overseas Instl (SIGIX)	A	Dividend	M	T	Buy	04/03/17	K		
93.					Buy (add'l)	05/04/17	K		
94.					Buy (add'l)	05/23/17	K		
95. Seafarer Overseas Inv (SFGIX)	A	Dividend	J	T	Buy	04/03/17	J		
96.					Buy (add'l)	12/21/17	J		
97. Vanguard Dividend Growth (VDIGX)	E	Dividend	O	T	Sold (part)	02/10/17	J	A	
98.					Buy (add'l)	02/14/17	J		
99.					Sold (part)	03/07/17	K	E	
100.					Buy (add'l)	12/21/17	J		
101. Vanguard Intermediate Term Tax Exempt (VWIUX)	D	Dividend	O	T	Buy	02/03/17	M		
102.					Sold (part)	02/10/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 10 of 20

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103.					Sold (part)	02/16/17	M		
104.					Buy (add'l)	03/07/17	N		
105.					Buy (add'l)	03/10/17	M		
106.					Sold (part)	05/23/17	J	A	
107.					Buy (add'l)	08/16/17	K		
108. Vanguard Intermediate Term Investment Grade Admiral (VFIDX)	A	Dividend	K	T	Buy (add'l)	02/01/17	J		
109.					Buy (add'l)	02/14/17	J		
110.					Buy (add'l)	09/21/17	J		
111.					Buy (add'l)	12/19/17	J		
112.					Buy (add'l)	12/21/17	J		
113. Vanguard Limited Term Tax Exempt (VMLUX)	D	Dividend	O	T	Buy	01/23/17	O		
114.					Buy (add'l)	02/03/17	J		
115.					Sold (part)	02/10/17	J	A	
116.					Sold (part)	02/16/17	N		
117.					Buy (add'l)	03/07/17	M		
118.					Buy (add'l)	05/05/17	K		
119.					Sold (part)	05/23/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120.					Buy (add'l)	08/16/17	J		
121. Vanguard Short Term Investment Grade (VFSUX)	A	Dividend	K	T	Buy (add'l)	02/14/17	J		
122.					Buy (add'l)	12/19/17	J		
123.					Buy (add'l)	12/21/17	J		
124. WisdomTree Japan Hedged Equity (DJX)	D	Dividend			Sold	02/03/17	N	E	
125. NY College Savings Program (H)									
126. -Moderate Age Based Income Option Portfolio		None	K	T					
127. Vanguard Educational IRA(H)									
128. -Vanguard Small Cap Index Fund Investor Shares		None	K	T					
129. Vanguard Educational IRA (H)									
130. -Vanguard Small Cap Index Fund Investor Shares		None	K	T					
131. BlackRock CollegeAdvantage (H)									
132. -BR Growth Portfolio Option A		None	K	T					
133. B & McG Holdings (H)									
134. -Shelter Venture Fund	C	Distribution	K	T					
135. Special Situations Technology Fund, L.P.	G	Dividend	P1	U					
136. SRZ Savings Plan		None	P1	T	Buy (add'l)	08/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Wells Fargo Money Mkt Fund	A	Dividend	J	T					
138. -Merck common stock	A	Dividend	L	T					
139. -Pfizer common stock	A	Dividend	K	T					
140. SRZ Cash Balance Plan		None	O	T	Buy (add'l)	08/24/17	L		
141. SRZ Thrift Plan		None	O	T	Buy (add'l)	08/24/17	J		
142.					Buy (add'l)	12/28/17	K		
143. -T. Rowe Price U.S. Treasury Money Market	A	Dividend	L	T					
144. SRZ Partners Pension Plan		None	O	T	Buy (add'l)	08/24/17	L		
145. Schulte Roth & Zabel Supplemental Retirement Fund		None	P1	T	Buy (add'l)	12/22/17	M		
146. -DWS Small Cap Index VIP-Class A Shares		None	N	T	Buy (add'l)	12/22/17	J		
147. -American Funds Ins Ser International - Class 2 Shares		None	N	T	Buy (add'l)	12/22/17	J		
148. -Fidelity VIP Index 500-Initial Class		None	M	T	Buy (add'l)	12/22/17	J		
149. -Fidelity VIP Real Estate-Initial Class		None	N	T	Buy (add'l)	12/22/17	J		
150. -Invesco V.I. International Growth Fund- Series I Shares		None	M	T	Buy (add'l)	12/22/17	J		
151. -MainStay VP Eagle Small Cap Growth Portfolio Initial Class		None	N	T	Buy (add'l)	12/22/17	J		
152. -MFS Global Tactical Allocation-Initial Class		None	N	T	Buy (add'l)	12/22/17	J		
153. -MFS VIT Value Series-Initial Class		None	N	T	Buy (add'l)	12/22/17	K		

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FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -T Rowe Price Blue Chip Growth Portfolio		None	O	T	Buy (add'l)	12/22/17	K		
155. -Mainstay Cash Management		None	J	T					
156. -Tremont Opportunity Fund		None	J	T					
157. Special Situation Fund III QP, LLP	E	Dividend	O	U					
158. SRZ Group Trust		None	N	T					
159. -Candlewood Structured Credit Fund nka Structured Credit Harvest Fund		None	M	T	Redeemed (part)	02/06/17	J		
160.					Redeemed (part)	04/10/17	J		
161.					Redeemed	05/12/17	J		
162. -Castle Hook Offshore Fund, Ltd.		None	K	T	Buy	02/12/17	K		
163. -Cevian Capital Fund II Ltd.		None	K	T					
164. -Citadel Kensington Global Strategies		None	K	T					
165. -Cognis I Fund LP		None	J	T					
166. -D.E. Shaw Composite International Fund		None		T					
167. -D.E. Shaw Oculus International Fund		None	J	T					
168. -Discovery Global Macro Fund, Ltd.		None			Redeemed	04/05/17	L		
169. -Echo Street Capital Partners Ltd (QP)		None	K	T	Buy (add'l)	07/31/17	J		
170. -Golden Tree Offshore Fund Ltd		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Highfields Capital IV LP		None	K	T					
172. -Hutchin Hill Capital Offshore Fund, Ltd.		None	J	T	Redeemed (part)	10/31/17	J		
173. -iShares Global Energy ETF (IXC)		None	J	T	Buy	08/18/17	J		
174.					Buy (add'l)	09/05/17	J		
175.					Buy (add'l)	09/28/17	J		
176.					Buy (add'l)	10/31/17	J		
177.					Sold (part)	11/29/17	J		
178.					Buy (add'l)	12/29/17	J		
179. -iShares Global Tech ETF (IXN)		None			Buy	08/21/17	J		
180.					Buy (add'l)	09/05/17	J		
181.					Buy (add'l)	09/06/17	J		
182.					Sold (part)	09/28/17	J		
183.					Sold (part)	10/31/17	J		
184.					Buy (add'l)	11/29/17	J		
185.					Sold	12/29/17	J		
186. --iShares Global Financials ETF (IXG)		None	J	T	Buy	08/21/17	J		
187.					Buy (add'l)	09/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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188.					Sold (part)	09/28/17	J		
189.					Buy (add'l)	10/31/17	J		
190.					Buy (add'l)	11/29/17	J		
191.					Sold (part)	12/29/17	J		
192. -iShares Global Materials ETF (MXI)		None	J	T	Buy	08/21/17	J		
193.					Buy (add'l)	09/01/17	J		
194.					Buy (add'l)	09/06/17	J		
195.					Buy (add'l)	09/28/17	J		
196.					Buy (add'l)	10/31/17	J		
197.					Sold (part)	11/29/17	J		
198.					Sold (part)	12/29/17	J		
199. -iShares Global Consumer Stap ETF (KXI)		None	J	T	Buy	08/21/17	J		
200.					Buy (add'l)	09/01/17	J		
201.					Buy (add'l)	09/06/17	J		
202.					Sold (part)	09/28/17	J		
203.					Buy (add'l)	10/31/17	J		
204.					Sold (part)	11/29/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

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205.					Sold (part)	12/29/17	J		
206. -iShares Global Healthcare ETF (IXJ)		None			Buy	09/01/17	J		
207.					Sold (part)	09/28/17	J		
208.					Buy (add'l)	10/31/17	J		
209.					Buy (add'l)	11/29/17	J		
210.					Sold	12/29/17	J		
211. -Jennison Global Healthcare Offshore Fund					Sold	03/10/17	J		
212. -Vanguard REIT ETF (VNQ)		None	J	T	Buy	08/21/17	J		
213.					Buy (add'l)	09/01/17	J		
214.					Buy (add'l)	09/28/17	J		
215.					Buy (add'l)	10/31/17	J		
216.					Sold (part)	11/29/17	J		
217.					Sold (part)	12/29/17	J		
218. -Kylin Offshore Fund, Ltd.		None	J	T	Redeemed (part)	11/03/17	J		
219. -Kylin Offshore Long Fund Ltd.			J	T	Buy	10/03/17	J		
220. -Lone Pinion Ltd.		None			Redeemed (part)	04/17/17	J		
221.					Redeemed	08/23/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

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222. -Lone Slavin, Ltd.		None			Redeemed (part)	04/17/17	J		
223.					Redeemed	09/18/17	J		
224. -Lone Spruce LP		None			Redeemed (part)	04/17/17	J		
225.					Redeemed	09/18/17	J		
226. -Millenium International Ltd		None	K	T					
227. -Pennant Onshore Partners LP		None			Redeemed	03/22/17	J		
228. -Pennant Windward Ltd		None			Redeemed	03/21/17	J		
229. -PFM Healthcare Offshore Fund		None	K	T	Buy	03/29/17	K		
230. -Renaissance Institutional Equities Fund LLC		None	K	T	Buy	03/29/17	K		
231.					Buy (add'l)	08/29/17	J		
232. -Scopus Fund Ltd.		None	K	T	Buy (add'l)	03/29/17	J		
233. -Seer Capital Partners Offshore Fund Ltd.		None	J	T	Redeemed (part)	04/28/17	K		
234. -Spruce Hedge Fund Program SPC LTD- Portfolio II SP		None	J	T	Redeemed (part)	02/12/17	J		
235.					Redeemed (part)	05/15/17	K		
236.					Redeemed (part)	08/17/17	J		
237.					Redeemed (part)	11/14/17	J		
238. -Spruce Hedge Fund Program SPC LTD- Portfolio I SP		None			Redeemed (part)	02/12/17	K		

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

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239.					Redeemed	07/11/17	J		
240. -SQN Investors Offshore Ltd.		None	J	T	Buy	10/30/17	J		
241. -Steelhead Pathfinder Fund Ltd.		None	K	T					
242. -The Childrens' Investment Fund		None	K	T					
243. -Two Sigma Absolute Return Macro Cayman Fund, Ltd.		None	K	T					
244. -Vanguard Wellesley Income Fund (VWIAAX)		None	K	T	Buy	10/31/17	K		
245.					Buy (add'l)	11/08/17	J		
246.					Buy (add'l)	12/06/17	J		
247. -JP Morgan Trust Co. of Delaware deposit account		None	O	T					
248. AutoNation common stock		None	L	T					
249. Akami Technology common stock		None	K	T					
250. CollegeBound Fund (Alliance-RI)									
251. -CBF Appreciation Portfolio Alt-AX		None	K	T					
252. Northwestern Mutual Whole Life Ins. Policy		None	N	T					
253. AXA Life Insurance Policy-whole life		None	K	T					
254. Mt. Kellett Capital Partners II LP	G	Distribution	N	T					
255. Merrill Lynch Retirement Account-Blackrock Global (MDLOX)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 14, 27, 43, 44, 52, 74, 75-for each of these entries I have used "fka" to indicate that these shares were exchanged for shares of a different class of the same fund. No gain or loss occurred.

Part VII, lines 158-247 - the SRZ Group Trust is a Revenue Ruling 81-100 Trust that pools the employee pension plan sponsored by the law firm of which my [REDACTED] is a member and IRAs of partners and former partners of the firm. He does not have an interest in any of the assets of the Group Trust; however, because he is a member of the committee which oversees Group Trust's investments, I have reported the assets in the Group Trust. The SRZ Savings Plan (line 136), the SRZ Cash Balance Plan (line 140), the SRZ Partners Pension Plan (line 144) and the SRZ Thrift Plan (line 141-142) are invested in the SRZ Group Trust. The value of the investment in the Group Trust Shown in lines 158-245 includes the value of his investments in the Group Trust through the SRZ Savings Plan, Cash Balance Plan, Partners Pension Plan and Thrift Plan. I have reported "None" in Column B throughout as I am unable to provide a breakdown of the amount of income earned because the statements provided do not disclose the income earned for each of the individual assets held in the Group Trust.

Part VII, Lines 145-156-the SRZ Supplemental Retirement Fund is a retirement fund in which my [REDACTED] has an interest. Because he directs the investments for his share of the fund, I have reported the assets in which his interest is invested. I have reported "none" in column B because the statements provided to not disclose the income earned for each of the individual assets held in this retirement fund.

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Craig, Carla E.

Date of Report

08/2/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carla E. Craig

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544