

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zobel, Rya W.	2. Court or Organization DC MA,	3. Date of Report 04/28/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. D.J., senior since 04/01/2014	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 1 Courthouse Way Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Irrevocable Trust
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Zobel, Rya W.

Date of Report

04/28/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	New York Intellectual Property Law Association, Annual Dinner	March 28, 2014	New York, NY	Meeting	transportation, lodging, food
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Zobel, Rya W.

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04/28/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Zobel, Rya W.

Date of Report

04/28/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Assets									
2. Fidelity Select Retailing FSRPX	A	Dividend	J	T					
3. T.Rowe Price Blue Chip Growth, Inc TRBCX	A	Dividend	J	T					
4. Calamos Growth Class A CVGRX	A	Dividend	J	T					
5. Ishares Comex Gold Trust IAU	A	Dividend	J	T	Buy	03/20/14	J		
6. SPDR SER TR S&P Homebuilders XHB	A	Dividend			Sold	05/13/14	K	B	
7. Ishares TR MSCI Emerging Markets Index EEM	A	Dividend			Sold	02/04/14	J	A	
8. Sector SPDR TR SHS Ben Int Financial XLF (X)	A	Dividend	J	T					
9. Powershares QQQ TR Unit Ser 1 QQQ (X)	A	Dividend	K	T	Buy (add'l)	09/12/14	J		
10. IShares TR Russell 2000Index FD IWM	A	Dividend	K	T	Buy (add'l)	11/18/14	J		
11. Amex SPDR Energy Select Index XLE	A	Dividend	J	T					
12. Amex SPDR Consumer Dis Select XLY	A	Dividend	J	T					
13. SPDR S&P Retail ETF XRT	A	Dividend	K	T	Buy (add'l)	09/12/14	J		
14. IShares DJ US Broker Dealers IAI	A	Dividend	J	T					
15. Amex SPDR Technology Select Index XLK	A	Dividend	K	T	Buy (add'l)	09/12/14	J		
16.					Buy (add'l)	11/11/14	J		
17. Market Vectors Coal ETF KOL	A	Dividend	J	T	Buy (add'l)	09/12/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Zobel, Rya W.

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04/28/2015

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	11/18/14	J		
19.					Buy (add'l)	11/28/14	J		
20. Northern Lights Braver Tact Opp BRAVX	A	Dividend	J	T					
21. IShares Biotechnology IBB	A	Dividend	K	T	Buy	03/20/14	J		
22.					Buy (add'l)	09/12/14	J		
23. IShares Mid-Cap 400 Growth IJK	A	Dividend	K	T	Buy	11/28/14	J		
24.					Buy (add'l)	12/26/14	J		
25. IShares Core S&P 500 IVV	A	Dividend	J	T	Buy	11/28/14	J		
26. SPDR S&P Hi Yld Aristocrats IDX SDY	A	Dividend	J	T	Buy	11/28/14	J		
27. Citizens Bank, checking	A	Interest	L	T					
28. Hanscom Federal Credit Union, savings	A	Interest	K	T					
29.									
30. Assets [REDACTED] Irrevocable Trust									
31. Fidelity Total Bond FTBFX	B	Dividend	K	T					
32. American High Income Class F AHTEX	A	Dividend	J	T					
33. US Treasury NTS 4.0%	A	Interest	K	T					
34. US Treasury NTS 4.5%	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Zobel, Rya W.

Date of Report

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35. Forward Select Income KIFAX	B	Dividend	K	T					
36. Putnam Diversified Income FD CL Y, PDVYX	A	Dividend	J	T					
37. Putnam Income FD CL Y, PNCYX	A	Dividend	J	T					
38. Goldman Sachs Global Income Institutional, GSGLX	A	Dividend	J	T					
39. Goldman Sachs Local Emrg Mkts Debt CL I, GIMDX	A	Dividend	J	T					
40. Payden Short ond, PYSBX	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Zobel, Rya W.

Date of Report

04/28/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On the report for 2013 on line 24 I inadvertently listed a sale of all of the asset shown, Fidelity Total Bond FTBFX. It was in fact a sale of part of that holding. The value of the portion sold was J and there was no gain.

I have also changed the previously incorrectly reported type of income on lines 31, 32, 35 from interest to dividend.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Zobel, Rya W.

Date of Report

04/28/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rya W. Zobel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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