

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Meisel, Stacey L.	2. Court or Organization U.S. Bankruptcy Court, New Jersey	3. Date of Report 04/26/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address MLK Federal Courthouse 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. JUDICIAL ADVISOR	ASSOCIATION FOR FEDERAL BAR
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 03/26/08	Becker Meisel LLC 401(k) Plan
2. 9/23/15	Becker LLC - Partnership Buy-Out Agreement To be Paid by 2019
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	West Services Inc - book royalties	\$2,630.00
2. 2017	Becker LLC - buyout payments	\$75,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Becker Meisel LLC - draw and membership distribution "Self Employed Attorney"
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Eastern District of PA Bankruptcy Con Annual Forum	1/19/17 & 1/20/17	Atlantic City NJ	Professional Assoc.	Travel, & Hotel
2. IWIRC & TMA Judges Panel	3/16/17 & 3/17/17	Atlanta GA	Professional Assoc.	Travel & Hotel
3. TMA Mid-Atlantic Regional Symposium	6/7/17 & 6/8/17	Atlantic City NJ	Professional Assoc.	Hotel
4. ABI Mid-Atlantic Bankruptcy Workshop	8/3/17-8/5/17	Hersey PA	Professional Assoc.	Hotel
5. American Bar Assoc., Annual Meeting	9/14/17-9/16/17	Chicago ILL	Professional Assoc.	Travel, & Hotel

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6.	PBI Annual Bankruptcy Institute	10/26/17	Philadelphia PA	Professional Assoc.	Travel & Hotel
7.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Richard Mandelbaum [REDACTED] [REDACTED] 10/22/17.	Flight [REDACTED] to & from MN Viking Football Game and attend game.	\$2,100.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TD Bank Cash Accounts	A	Int./Div.	M	T					
2. Frankling Income Fund (b) class c	A	Dividend	J	T					
3. Hooper Holmes (a)	A	Dividend	J	T					
4. Targa Resources Pfd 9% (a)	B	Dividend	K	T					
5. Calamos Strategic Total Return (a)	A	Dividend	J	T					
6. Intel Corp (a)	A	Dividend	J	T					
7. Franklin Income Fund (a)	B	Dividend	K	T					
8. Citigroup Note 10% 8/19/35 (a)	A	Int./Div.	K	T					
9. United States Oil Fund (a)	C	Dividend	K	T					
10. Regal Bank Money Market (a) Cash Account (X)	A	Interest	J	T					
11. 1. Becker LLC 401(k) Plan (a) (H)									
12. 2. JH Multimanager Growth LS	A	Int./Div.			Sold	07/14/17	K	D	
13. 3. JH Multimanager Moderate LS	A	Int./Div.			Sold	07/14/17	M	D	
14. 4. Blackrock Lifepath Index 2035	A	Int./Div.	M	T	Buy	07/14/17	M		
15. 1. Becker LLC 401 (k) Plan (c) (H)									
16. 2. JH Multimanager Aggressive LS	A	Int./Div.			Sold	07/14/17	L	D	
17. 3. JH Multimanager Growth LS	A	Int./Div.			Sold	07/14/17	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 4. JH Multimanager Balanced LS	A	Int./Div.			Sold	07/18/17	K	D	
19. 5. JH Multimanager Moderate LS	A	Int./Div.			Sold	07/14/17	L	E	
20. 6. JH Multimanager Conserv LS	A	Int./Div.			Sold	07/14/17	K	D	
21. 7. Blackrock Lifepath Index 2035	A	Int./Div.	N	T	Buy	07/14/17	M		
22.					Buy (add'l)	12/29/17	J		
23. Franklin Income Fund 529 Plan (d)	B	Dividend	L	T					
24. Alibaba (b)	A	Dividend	L	T					
25. American Int'l Group (b)	A	Dividend	J	T					
26. Exxon Mobil (b)	B	Dividend	K	T					
27. Franklin Income Fund (b) class A	D	Dividend	M	T					
28. Targa Resources Pfd (b)	A	Dividend	J	T					
29. United States Oil (b)	E	Dividend			Sold	11/08/17	L		
30. Citibank CD (b)	A	Int./Div.	K	T					
31. Citigroup Steepener Note 10% (b) 8/19/35	A	Int./Div.	K	T					
32. Citigroup Note 8-16% (b)	A	Int./Div.			Sold	04/28/17	J		
33. EPR PPTYS 5.75 PFD (b)	A	Dividend	L	T	Buy	11/21/17	L		
34. Goldman Sachs Note 3.6% due 10/30/27 (b)	A	Int./Div.			Sold	04/28/17	J		

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35. Morgan Stanley 10% due 08/19/33 (b)	A	Int./Div.	K	T					
36. Vornado Rlty PFD (b)	A	Dividend	K	T	Buy	12/05/17	K		
37. Regal Bank Money Market (c) Cash Account (X)	A	Interest	J	T					
38. Alibaba (c)	A	Dividend	L	T					
39. Citibank CD 2.9% 11/15/33 (c)	A	Int./Div.	J	T					
40. Citigroup Note 10% 11/26/34 (c)	A	Int./Div.	J	T					
41. JP Morgan Chase CD 10% 7/21/34 (c)	B	Int./Div.	K	T					
42. Franklin Income Fund (c)	A	Dividend	J	T					
43. Comcast (c)	A	Dividend			Sold	06/20/17	J	A	
44. Neuberger Berman Real Estate (c)	A	Dividend			Sold	06/20/17	J		
45. United States Oil Fund (c)	A	Dividend			Sold	06/20/17	J		
46. Exxon Mobil (d)	B	Dividend	K	T	Sold (part)	07/13/17	K	D	
47. Targa Resources PFD 9% (a)	B	Dividend	J	T					
48. Franklin Income Fund 529 Plan Portfolio (d) (Y)									
49. Franklin Income Fund 529 Plan (d) (Y)									
50. TransAmerica Universal Life Insurance (a)	A	Dividend	J	T					
51. I. MassMutual Variable Universal Life Insurance (c) policy 710 (H)									

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52. 2. -MML Equity Index (c)	A	Dividend	J	T					
53. 3. -Oppenheimer Main Street	A	Dividend	J	T					
54. 4. -MML Money Market	A	Dividend	J	T					
55. 5. -Oppenheimer Core Bond	A	Dividend	J	T					
56. 6. -Janus Aspen Global Research	A	Dividend	J	T					
57. 1. MassMutual Variable Universal Life Insurance (c) policy 712 (H)									
58. 2. -MML Equity Index (c)	A	Dividend	J	T					
59. 3. -Oppenheimer Main Street	A	Dividend	J	T					
60. 4. -MML Money Market	A	Dividend	J	T					
61. 5. -Oppenheimer Core Bond	A	Dividend	J	T					
62. 6. -Janus Aspen Global Research	A	Dividend	J	T					
63. Seelaus Money Market (c) cash account (Y)									
64. Seelaus Money Market (a) cash account	A	Interest	J	T					
65. Century Bank 9/15/39 (b)	C	Interest	K	T	Buy (add'l)	08/02/17	J		
66. Seelaus Money Market (b) cash account	A	Interest	J	T					
67. Seelaus Money Market (d) cash account	A	Interest	J	T					
68.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The John Hancock 401(k) information has been valued as of 12/31/17. I estimated the income and gains on the sale of the 401(k) assets because I could not obtain that information from John Hancock.

As per my broker, the cash value of the TransAmerica life insurance policies are held by TransAmerica and not a third party intermediary like a bank. I have Universal Life Insurance policies. To the extent the premiums exceed the basic cost of insurance, they are considered to be the cash value of the account but are left in the company's general account. The company uses the general account to pay claims, meet policyholder demands and set aside reserves against future claims as required by various State Insurance Commissioners. The company credits policyholder funds in the general account with interest. The company guarantees a minimum interest rate but may set the rate higher than the minimum. The interest crediting rates are set annually by management and approved by the Board.

Part VII Page 5 Lines 3-10 are IRA assets that were transferred from the Seelaus IRA Brokerage Account to a Regal Wealth Advisors IRA Account.

Part VII Page 7 Lines 37-42 are IRA assets that were transferred from the Seelaus IRA Brokerage Account to a Regal Wealth Advisors IRA Account.

Part VII Page 7 Lines 48 and 49 show the Franklin Income Fund 529 Plans as investments by mistake. The 529 Plans are for my relatives who are not my dependents.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stacey L. Meisel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544