

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jordan, Robert L.	2. Court or Organization District Court - E.D. Tenn.	3. Date of Report 4/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 800 Market Street Suite 141 Knoxville, TN 37902		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Tennessee Consolidated Retirement System Judicial Retirement	\$15,386.82
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T Inc.	A	Dividend	J	T					
2. BB&T	D	Dividend	N	T					
3. BP PLC	A	Dividend	J	T					
4. Comcast	A	Dividend	K	T					
5. DowDuPont Inc. (formerly Dow Chem.)	A	Dividend	K	T					
6. Dell		None	J	T					
7. First Horizon	B	Dividend	L	T					
8. General Electric	B	Dividend	K	T					
9. IBM	A	Dividend	K	T					
10. Lumentum Holdings, Inc.		None	K	T					
11. Viavi Solutions, Inc.		None	K	T					
12. John Hancock Small Cap		None	K	T					
13. Johnson & Johnson	A	Dividend	J	T					
14. Medtronic PLC	A	Dividend	K	T					
15. NASDAQ - 100		None	J	T					
16. Pfizer	A	Dividend	L	T					
17. Putnam Global Growth	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Guggenheim StylePlus Large Core - A	A	Dividend	J	T					
19. Sun Trust Bank	C	Dividend	P1	T					
20. Twin Cities Financial, Inc.	A	Dividend	K	T					
21. Cohen & Steers Select Infrastructure	B	Dividend	K	T					
22. Vanguard Growth Index Fund	E	Dividend	M	T					
23. Vanguard 500 Index Fund	E	Dividend	M	T					
24. Vanguard Mid Cap Index Fund	E	Dividend	M	T					
25. Vanguard Small Cap Value Fund	E	Dividend	M	T					
26. Vanguard Health Care Fund	E	Dividend	M	T					
27. INTEREST, CDS, SAVINGS, ETC.									
28. Regions Bank	A	Interest	L	T					
29. Citizens Bank of Blount County	A	Interest	J	T					
30. First Tennessee	C	Interest	N	T					
31. Home Federal	B	Interest	N	T					
32. SunTrust	B	Interest	N	T					
33. Pinnacle	C	Interest	N	T					
34. FirstBank (formerly Clayton B&T)	C	Interest	N	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.									
36. Vanguard Int'l Value Fund	D	Dividend	L	T					
37. Vanguard Prime Cap Core Fund	E	Dividend	L	T					
38. Atmos Energy	B	Dividend	K	T					
39.									
40. Merrill Lynch Custodian IRA									
41. - Regions Bank	A	Dividend	K	T					
42. - General Electric	A	Dividend	K	T					
43. - Johnson & Johnson	A	Dividend	K	T					
44. - Bank of America	A	Interest	J	T					
45. - PerkinElmer	A	Dividend	J	T					
46. - Sun Trust Bank	A	Dividend	J	T					
47. - Tanger Factory Outlet	B	Dividend	K	T	Sold (part)	12/26/17	J	B	Self (line 105)
48. - Capital Bank	A	Dividend			Merged (with line 7)	12/08/17	J	A	
49. - Microsoft Corp.	A	Dividend	K	T					
50. - Ingersoll-Rand	A	Dividend	K	T					
51. - Medtronic PLC	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52.									
53. DEFERRED COMPENSATION - STATE OF TENNESSEE									
54. - Plan I - 457									
55. Fidelity Puritan Fund	B	Distribution	K	T					
56. Fidelity International Discovery	B	Distribution	K	T					
57. Fidelity OTC Portfolio	B	Distribution	L	T					
58. Fidelity Contrafund	B	Distribution	L	T					
59. Nationwide Bank Account	B	Distribution	K	T					
60. - Plan II - 401K									
61. Nationwide Bank Account	A	Distribution	J	T					
62. Voya VP Growth and Income	D	Distribution	M	T					
63. Vanguard Institutional Index Fund	E	Distribution	L	T					
64.									
65. Ingersoll-Rand	A	Dividend	K	T					
66. Verizon Communications	A	Dividend	J	T					
67. TN Valley Fin. Hldr. Inc.		None	J	T					
68. Caterpillar Inc.	A	Dividend	K	T					

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69. TE Connectivity	A	Dividend	J	T					
70. Vanguard REIT	B	Dividend	K	T					
71. DEERE CO.	A	Dividend	K	T					
72. Foothills B&T	B	Interest	N	T					
73. Alcoa FCU		None	J	T					
74. Home Depot	A	Dividend	K	T					
75. Cisco Sys	A	Dividend	J	T					
76. Proctor & Gamble	A	Dividend	K	T					
77. TN Engy. Bond	A	Interest	K	T					
78. Monsanto	A	Dividend	K	T					
79. Exxon-Mobil	A	Dividend	K	T					
80. Genl-Dyn	A	Dividend	K	T					
81. Merck	A	Dividend	J	T					
82. Kroger	A	Dividend	K	T	Buy (add'l)	08/31/17	J		
83. Virtus Inv. Ptrs.	A	Dividend	J	T					
84. Frontier Comm. Corp.		None			Sold	07/15/17	J		Odd Lot Sale
85. Bristol-Myers Squibb	A	Dividend	J	T					

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86. Johnson Controls	A	Dividend	J	T					
87. Boeing Co.	A	Dividend	K	T					
88. TN ENG ACQ CRP	C	Interest	L	T					
89. Texas Inst.	A	Dividend	K	T					
90. Pentair Ltd.	A	Dividend	J	T					
91. Renasant Bank	B	Interest	N	T					
92. The Mosaic Co.	A	Dividend	J	T					
93. AIG	A	Dividend	J	T					
94. Joy Global	A	Dividend			Sold	04/16/17	J	A	Buy Out - Komatsu
95. Stewart County, Tennessee, Bonds	B	Interest	K	T					
96. Mallinckrodt PLC		None	J	T	Buy (add'l)	01/18/17	J		
97. Allegion	A	Dividend	J	T					
98. Weyerhaeuser (formerly Plum Creek Timber Co.)	A	Dividend	J	T					
99. Walgreen Co.	A	Dividend	K	T					
100. Seventy-Seven Energy, Inc.		None	J	T					
101. Merrill Lynch CMA Money Fund	A	Interest	M	T					
102. Ford Motor Co.	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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103. Adient PLC		None	J	T					
104. Hess Corp.	A	Dividend	K	T	Buy	03/14/17	K		
105. Tanger Factory Outlet		None	J	T	Buy	12/26/17	J		MLC IRA (line 47)

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Self-initiated amendment to correct the inadvertant non-disclosure of two stock sales occuring in calendar year 2017. Part VII, lines 84 and 94.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert L. Jordan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544