

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burns, Ellen B.	2. Court or Organization U.S. District Court, CT	3. Date of Report 07/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge - Retired	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 07/14/2015
7. Chambers or Office Address United States Courthouse 141 Church Street New Haven, CT 06510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee Emerita	Albertus Magnus College
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	State of Connecticut pension	\$68,953.44
2. 2015	State of Connecticut pension	\$68,953.44
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Webster Bank	B	Interest	N	T					
2. Merrill Lynch money market account	A	Interest	K	T					
3. Verizon	B	Dividend	K	T					
4. AT&T stock	C	Dividend	L	T					
5. U.S. Series E Bonds		None	L	T					
6. Nuveen Conn. Premium Inc. Municipal Fund	A	Interest	K	T					
7. Dreyfus Conn. Municipal money market Fund	A	Interest	K	T					
8. Univ. CT Series A Bond	B	Interest	K	T					
9. Sprint Nextel Communication stock (Y)									
10. Dreyfus Short Int. Govt. Fund	A	Dividend	J	T					
11. IBM stock	A	Dividend	K	T					
12. Alcatel Lucent (Y)									
13. Mattel, Inc. stock (Y)									
14. Pfizer, Inc. stock (Y)									
15. Sara Lee Corp. stock (Y)									
16. Vodafone Air Touch stock	A	Dividend	J	T					
17. Conn. State Series F Bond	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. CT HEFA Rev. Fairfield Bond	A	Interest	J	T					
19. United Illuminating Holdings Corp.	A	Dividend	J	T					
20. Hartford Financial Services (Y)									
21. Conn. ST Series B Bond	A	Interest	J	T					
22. Kraft Foods stock	A	Dividend	J	T					
23. Novartis Ag. Spon ADR	A	Dividend	K	T					
24. Bank of America	C	Interest	L	T					
25. AIG America Classic V annuity	A	Interest	K	T					
26. Comcast Corp. stock	A	Dividend	J	T					
27. Conn. State Series D Bond	B	Interest	K	T					
28. Conn. State Series D Bond	A	Interest	J	T					
29. Conn. State Housing Finance Bond	A	Interest	J	T					
30. Procter & Gamble stock	A	Dividend	K	T					
31. Torrington Conn. Bond	A	Interest	K	T					
32. Seymour Conn. Bond	A	Interest	J	T					
33. Glastonbury Conn. Bond	A	Interest	K	T					
34. Embark Corp. (Y)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. LSI Corp. (Y)									
36. Conn. ST.Health Bond	A	Interest	K	T					
37. Dreyfus Pem. State Mun. Bond FD CT Ser CLA	A	Interest	J	T					
38. Trumbull CT Bond	A	Interest	J	T					
39. Black Rock Global Fund	B	Dividend	M	T					
40. Time Warner (Y)									
41. Frontier Communications (Y)									
42. Peoples United Financial, Inc.	A	Dividend	J	T					
43. Liberty Bank (Y)									
44. Guggenheim Fund 1095	B	Dividend	N	T					
45.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I RETIRED FROM SERVICE ON MARCH 31, 2015. I DID NOT HAVE NOTICE THAT MY FINAL REPORT WAS DUE UNTIL A FEW DAYS AGO. I NO LONGER HAVE ACCESS TO MY OFFICE EMAIL. PLEASE WAIVE ANY LATE FEE.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ellen B. Burns**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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