

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) EATON, RICHARD K.	2. Court or Organization U.S. COURT OF INTERNATIONAL TRADE	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. COURT OF INTERNATIONAL TRADE ONE FEDERAL PLAZA NEW YORK, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust # 1 (the assets of Trust # 1 are in two accounts listed as Trust and Trust II)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	Catholic University of Lyon Law School	10/03/2016 to 10/14/2016	Lyon, France	Teaching	Transportation, Food and Lodging
----	---	-----------------------------	--------------	----------	----------------------------------

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA-CREF CREF Stock Fund	B	Dividend	L	T					
2. TIAA-CREF CREF Global Equities Fund	B	Dividend	L	T					
3. TIAA-CREF CREF Global Fund	B	Dividend	L	T					
4. Berkshire Hathaway Common		None	K	T					
5. Goldman Sachs Common	A	Dividend	K	T					
6. Valley National Bank Common	A	Dividend	J	T					
7. Berkshire Hathaway Common (Held in IRA)		None	N	T					
8. Oaktree CAP GRP Mutual Fund (Held in IRA)	A	Dividend	J	T					
9. Schwab 1000 Mutual Fund (Held in IRA)	A	Dividend	J	T					
10. Vanguard Div. Mutual Fund (Held in IRA)	A	Dividend	J	T					
11. Alphabet Common (Held in IRA)		None			Sold	12/21/16	J	A	
12. ABB Ltd. (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
13. Ace Ltd. Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
14. Ametek Inc. Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
15. Anadarko Pet (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
16. Apple Common (Held in IRA)	A	Dividend			Sold	12/22/16	J	A	
17. Amazon Common (Held in IRA)		None			Sold	12/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. American Exp. Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
19. Blackrock Inc. Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
20. Bristol-Myers Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
21. Cabot Oil & Gas Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
22. Cerner Corp. Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
23. Chevron Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
24. Chubb Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
25. Comcast Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
26. Costco Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
27. Cytek Indus. Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
28. Cummins Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
29. CVS Healthgrp Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
30. Disney Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
31. Dow Chemical (Held in IRA)	A	Dividend			Sold	12/22/16	J	A	
32. Emerson Elec. Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
33. Estee Lauder Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
34. Express Scripts Common (Held in IRA)		None			Sold	12/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Fortnet Common (Held in IRA)		None			Sold	12/21/16	J	A	
36. Honeywell Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
37. Gilead Common (Held in IRA)		None			Sold	12/21/16	J	A	
38. LAM Research Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
39. McKesson Corp. Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
40. Mead Johnson (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
41. Microsoft Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
42. Nestle (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
43. Oracle (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
44. Mondelez Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
45. Novo-Nordisk Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
46. Regeneron Pharm. Common (Held in IRA)		None			Sold	12/21/16	J	A	
47. Salesforce.com Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
48. U.S. Bancorp Common (Held in IRA)	A	Dividend			Sold	12/22/16	J	A	
49. Diageo Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
50. JP Morgan Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
51. Merck (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	

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52. Precision Cast. Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
53. Schlumberger Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
54. VF Group Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
55. Wisdomtree ETF (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
56. Vanguard Emerging Markets Mutual Fund (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
57. Verizon Common (Held in IRA)	A	Dividend			Sold	12/21/16	J	A	
58. Valley National Bancorp Common	G	Dividend	P1	T					
59. Citibank Accounts	D	Interest	K	T					
60. House, Cabin and Land, Park County, Montana	D	Rent	P1	W					
61. Abbott Laboratories Common	B	Dividend			Sold	12/21/16	L	A	
62. ABBVIE Common	B	Dividend			Sold	12/21/16	K	A	
63. Alphabet Common		None			Sold	12/21/16	M	C	
64. Ametek Common	A	Dividend			Sold	12/21/16	K	B	
65. Apple Common	D	Dividend			Sold	12/21/16	N	C	
66. Bristol-Myers Common	B	Dividend			Sold	12/21/16	K	C	
67. Broadcom Ltd. Common	B	Dividend			Sold	12/21/16	M	B	
68. Celgene Corp. Common		None			Sold	12/21/16	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Cerner Corp. Common		None			Sold	12/21/16	L	C	
70. Cytek Industries Common	A	Dividend			Sold	12/21/16	K	B	
71. Costco Common	A	Dividend			Sold	12/21/16	K	B	
72. General Electric Common	B	Dividend			Sold	12/21/16	K	B	
73. Gilead Sciences Common		None			Sold	12/21/16	L	B	
74. Honeywell Common	B	Dividend			Sold	12/21/16	K	B	
75. JP Morgan Common	B	Dividend			Sold	12/21/16	L	B	
76. Microchip Teck Common	B	Dividend			Sold	12/21/16	K	B	
77. McDonalds Common	B	Dividend			Sold	12/21/16	L	C	
78. McKesson Corp. Common	A	Dividend			Sold	12/21/16	L	C	
79. Nike Common	A	Dividend			Sold	12/21/16	L	B	
80. Philip Morris Common	B	Dividend			Sold	12/21/16	L	C	
81. Qualcomm Common	B	Dividend			Sold	12/21/16	L	B	
82. Schlumberger Ltd. Common	B	Dividend			Sold	12/21/16	L	C	
83. Starbucks Common	B	Dividend			Sold	12/21/16	L	B	
84. Samsung Common	A	Dividend			Sold	12/21/16	K	B	
85. Union Pacific Common	B	Dividend			Sold	12/22/16	L	C	

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86. United Tech Common	A	Dividend			Sold	12/21/16	K	D	
87. Verizon	A	Dividend			Sold	12/21/16	L	C	
88. VF Corp. Common	A	Dividend			Sold	12/21/16	K	B	
89. Ace Ltd. Common	A	Dividend			Sold	12/21/16	L	B	
90. Altria Group Inc. Common	C	Dividend			Sold	12/21/16	L	C	
91. Amazon Common		None			Sold	12/21/16	L	C	
92. Blackrock Common	B	Dividend			Sold	12/21/16	L	C	
93. Chevron Texaco Common	B	Dividend			Sold	12/21/16	L	C	
94. Comcast Common	A	Dividend			Sold	12/21/16	K	B	
95. Covidien Common	A	Dividend			Sold	12/21/16	K	C	
96. Diageo Common	A	Dividend			Sold	12/21/16	L	B	
97. Exxon Mobil Corp. Common	A	Dividend			Sold	12/21/16	K	B	
98. Estee Lauder Common	A	Dividend			Sold	12/21/16	K	B	
99. Express Scripts Common		None			Sold	12/21/16	K	B	
100. Nestle Common	B	Dividend			Sold	12/21/16	K	B	
101. Novonordisk Common	A	Dividend			Sold	12/21/16	K	B	
102. Met Life Inc., Common	A	Dividend			Sold	12/21/16	K	B	

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103. Wisdomtree Ener. Common	A	Dividend			Sold	12/21/16	M	C	
104. Franklin Sm Cp Fd Common Mutual Fund	B	Dividend			Sold	12/21/16	L	C	
105. Oppenheimer Dev. Mkts Mutual Fund	A	Dividend			Sold	12/21/16	L	C	
106. Oak Ridge Small Cp Mutual Fund		None			Sold	12/21/16	L	C	
107. Pioneer Sm Cp Mutual Fund		None			Sold	12/21/16	L	C	
108. Templeton Foreign Equity Mutual Fund	A	Dividend			Sold	12/21/16	K	C	
109. Vodafone ADR	B	Interest			Sold	12/21/16	K	A	
110. Anheuser-Busch Corp. Bds	B	Interest			Sold	12/21/16	M	A	
111. Caterpillar Corp. Bds.	B	Interest			Sold	12/21/16	M	A	
112. Dominion Resources Corporate Bonds	D	Interest			Sold	12/21/16	M	A	
113. Goldman Sachs Corporate Bonds	D	Interest			Sold	12/21/16	M	A	
114. Sempa Energy Corporate Bonds	D	Interest			Sold	12/21/16	M	A	
115. Simon PPTY Gp Corp. Bds.	C	Interest			Sold	12/21/16	M	A	
116. Yum Brand Corporate Bonds	C	Interest			Sold	12/21/16	M	A	
117. Mineola NY Municipal Bonds	B	Interest			Sold	12/21/16	M	A	
118. Nassau County Revenue Bond Municipal Bond	B	Interest			Sold	12/21/16	L	A	
119. Plandome NY Municipal Bonds	B	Interest			Sold	12/21/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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EATON, RICHARD K.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pennfield NY Municipal Bonds	B	Interest			Sold	12/21/16	M		
121. NYS Environ Bond Municipal Bond	C	Interest			Sold	12/21/16	L		
122. Taconic Opportunity Mutual Fund X	E	Distribution	P1	T					
123. Adhesion S&P 500 Managed Strategy Mutual Fund		None	P1	T	Buy	12/30/16	P1		
124. ACK Asset Partners Mutual Fund		None	N	T	Buy	12/30/16	N		
125. Breckenridge Municipal Bond Fund		None	O	T	Buy	12/30/16	O		
126. Charles Schwab Cash and Money Markets		None	P1	T	Buy	12/30/16	P1		
127. Amazon Common (Trust)		None			Sold	12/21/16	J	A	
128. Ametek Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
129. American Tower REIT Common (Trust)	A	Dividend			Sold	12/21/16	K	A	
130. Anadarko Pet Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
131. B B & T Holdings Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
132. Blackrock Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
133. Borg Warner Common (Trust)	A	Dividend			Sold	12/21/16	K	A	
134. Cabot Oil Common (Trust)	A	Dividend			Sold	12/21/16	K	A	
135. Celgene Common (Trust)		None			Sold	12/21/16	K	A	
136. Cerner Corp. Common (Trust)		None			Sold	12/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

EATON, RICHARD K.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Chevron Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
138. Cummins Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
139. Danaher Corp. Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
140. Diageo Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
141. Express Scripts Common (Trust)		None			Sold	12/21/16	J	A	
142. Exxon Common (Trust)	A	Dividend			Sold	12/22/16	J	A	
143. Estee Lauder Common (Trust)	A	Dividend			Sold	12/22/16	J	A	
144. Facebook Common (Trust)		None			Sold	12/21/16	J	A	
145. Fortnet Common (Trust)		None			Sold	12/21/16	J	A	
146. IBM Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
147. JP Morgan Chase Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
148. LAM Research Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
149. Lowes Common (Trust)	A	Dividend			Sold	12/21/16	K	A	
150. Mastercard Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
151. Mead Johnson Nutrition Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
152. Merck Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
153. Microchip Common (Trust)	A	Dividend			Sold	12/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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EATON, RICHARD K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Mondelez Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
155. Morgan Stanley Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
156. Polaris Industries Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
157. Precision Cast. Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
158. Rengeron Common (Trust)		None			Sold	12/21/16	K	A	
159. Roche Common (Trust)	A	Dividend			Sold	12/22/16	J	A	
160. Starbucks Common (Trust)	A	Dividend			Sold	12/22/16	J	A	
161. STRATYSIS Common (Trust)		None			Sold	12/22/16	J	A	
162. TJX Cos Common (Trust)	A	Dividend			Sold	12/21/16	K	A	
163. T Rowe Price Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
164. United Parcel Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
165. United Tech. Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
166. Verizon Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
167. Wisdomtree Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
168. Abbott Laboratories Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
169. American Ex. Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
170. Apple Common (Trust)	A	Dividend			Sold	12/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Costco Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
172. Emerson Elec. Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
173. EMC Corp. Common (Trust)		None			Sold	12/21/16	J	A	
174. Gilead Sciences Common (Trust)		None			Sold	12/21/16	K	A	
175. McDonald Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
176. Nike Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
177. Pepsico Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
178. Proctor & Gamble Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
179. Qualcomm Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
180. Schlumberger Common (Trust)	A	Dividend			Sold	12/21/16	J	A	
181. Franklin Int. Sm. Growth Mutual Fund (Trust)	A	Dividend			Sold	12/21/16	L	A	
182. Oppenheimer Dev. Mrkts. Mutual Fund (Trust)	A	Dividend			Sold	12/21/16	K	A	
183. Adhesion S&P 500 Managed Strategy Mutual Fund (Trust)		None	O	T	Buy	12/30/16	O		
184. Agilent Tech Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
185. Abbott Labs Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
186. Ametek Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
187. Blackrock Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. B B & T Corp. Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
189. Broadcom Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
190. Celgene Common (Trust II)		None			Sold	12/21/16	J	A	
191. Cerner Corp. Common (Trust II)		None			Sold	12/21/16	J	A	
192. Chevron Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
193. Chubb Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
194. Danaher Corp. Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
195. Dow Chemical Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
196. Dupont Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
197. Eco Lab Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
198. Express Scripts (Trust II)		None			Sold	12/21/16	J	A	
199. Exxon Mobil Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
200. Facebook CL A (Trust II)		None			Sold	12/21/16	J	A	
201. JP Morgan Chase Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
202. LAM Networks Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
203. Lowes Common (Trust II)	A	Dividend			Sold	12/22/16	J	A	
204. Mastercard Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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EATON, RICHARD K.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Microsoft Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
206. Mead Johnson Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
207. McKesson Corp. Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
208. Merck Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
209. Metlife Inc. Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
210. Mondelez Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
211. Morgan Stanley Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
212. Nestle Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
213. Palo Alto Net. Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
214. Perrigo Co. PLC Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
215. Regeron Corp. Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
216. Roche Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
217. Union Pacific Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
218. United Tech Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
219. United Parcel Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
220. U.S. Bancorp Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
221. Verizon Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. VF Corp. Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
223. Amazon Common (Trust II)		None			Sold	12/21/16	K	A	
224. Apple Common Common (Trust II)		None			Sold	12/21/16	K	A	
225. Costco Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
226. Pepsico Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
227. Diageo Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
228. Gilead Sciences Common (Trust II)		None			Sold	12/21/16	K	A	
229. McDonalds Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
230. Nike Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
231. Novo Nordisk Common (Trust II)	A	Dividend			Sold	12/21/16	J	A	
232. Qualcomm Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
233. Schlumberger Common (Trust II)	A	Dividend			Sold	12/21/16	K	A	
234. Adhesion S&P 500 Managed Strategy Mutual Fund (Trust II)		None	O	T	Buy	12/21/16	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

EATON, RICHARD K.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/15/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **RICHARD K. EATON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544