

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Buchwald, Naomi R.	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 05/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Daniel Patrick Moynihan Courthouse 500 Pearl Street -- ROOM 2270 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	POA: Accounts 1-7 Closed	
2.	Executor Estate	
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Solo Law Practice

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	O	T					
2. Vanguard Voyager (IRA)	A	Dividend	K	T					
3. Schwab (IRA)	A	Interest	P1	T					
4.	A	Dividend							
5. --- Schwab Deposit Account	A	Interest	M	T					
6. --AOL Inc. (Common)		None			Buy (add'l)	01/21/14	L		
7.					Sold	05/08/14	M		
8. -- Moduslink Global Solutions (Common)		None	J	T					
9. -- Amazon (Common)		None			Sold	1/13/14	M		
10.					Buy	02/19/14	M		
11.					Sold	02/21/14	M	C	
12.					Buy	05/01/14	M		
13.					Sold	07/15/14	M	E	
14.					Buy	09/09/14	M		
15.					Sold	10/14/14	M		
16.					Buy	12/03/14	N		
17.					Sold	12/08/14	N		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -- Rambus (Common)		None			Sold	07/22/14	K		
19. --Apple (Common)	C	Dividend			Sold	01/13/14	N		
20. --CST Brands Inc. (Common)	A	Dividend	J	T					
21. -- Facebook (Common)		None	N	T	Sold	01/14/14	N	C	
22.					Buy	01/16/14	N		
23.					Buy (add'l)	01/21/14	N		
24.					Sold (part)	02/03/14	O	E	
25.					Buy (add'l)	02/13/14	N		
26.					Buy (add'l)	02/19/14	N		
27.					Sold (part)	02/20/14	K	B	
28.					Buy (add'l)	02/21/14	M		
29.					Buy (add'l)	02/26/14	M		
30.					Sold (part)	03/28/14	P1		
31.					Buy (add'l)	04/15/14	N		
32.					Sold (part)	04/15/14	M		
33.					Buy (add'l)	04/21/14	O		
34.					Sold (part)	04/21/14	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	04/22/14	M		
36.					Buy (add'l)	05/01/14	M		
37.					Buy (add'l)	05/13/14	M		
38.					Buy (add'l)	05/19/14	M		
39.					Sold (part)	07/15/14	O	E	
40.					Buy (add'l)	07/24/14	N		
41.					Buy (add'l)	07/25/14	N		
42.					Sold (part)	09/02/14	N	F	
43.					Sold (part)	09/09/14	O	F	
44.					Buy (add'l)	09/19/14	N		
45.					Sold (part)	09/19/14	N	A	
46.					Buy (add'l)	10/7/14	M		
47.					Sold	10/17/14	M		
48.					Buy	11/14/14	N		
49.					Buy (add'l)	11/18/14	N		
50.					Sold	12/08/14	O	D	
51.					Buy	12/22/14	N		

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52. -- Time Warner Cable (Common)	A	Dividend			Buy	04/22/14	M		
53.					Sold	07/22/14	M	D	
54. --Time Warner Inc. (Common)		None			Buy	04/22/14	L		
55.					Sold	05/08/14	L	C	
56. --Blackberry Ltd. (Common)		None			Buy	01/21/14	L		
57.					Sold	01/22/14	L	B	
58.					Buy	01/28/14	L		
59.					Sold	02/25/14	L	C	
60.					Buy	02/28/14	L		
61.					Buy (add'l)	07/15/14	K		
62.					Sold	11/19/14	L	B	
63. --Twitter Inc. (Common)		None	N	T	Buy	05/05/14	L		
64.					Sold	07/15/14	L		
65.					Buy	07/22/14	L		
66.					Buy (add'l)	09/19/14	N		
67.					Sold (part)	09/19/14	N	B	
68.					Buy (add'l)	10/01/14	M		

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69.					Sold (part)	10/08/14	M	D	
70.					Buy (add'l)	10/17/14	M		
71.					Sold (part)	10/20/14	M		
72.					Buy (add'l)	10/22/14	N		
73.					Sold (part)	10/27/14	N		
74.					Buy (add'l)	10/30/14	M		
75.					Sold (part)	10/30/14	O		
76.					Buy (add'l)	11/11/14	L		
77.					Buy (add'l)	11/13/14	M		
78.					Buy (add'l)	11/18/14	M		
79.					Sold (part)	11/18/14	M	B	
80.					Buy (add'l)	11/25/14	M		
81.					Sold (part)	11/26/14	M	C	
82.					Buy (add'l)	11/28/14	L		
83.					Sold (part)	11/28/14	M	C	
84.					Sold	12/08/14	M		
85.					Buy	12/22/14	N		

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86. --Intel (Common)		None	N	T	Buy	09/19/14	N		
87.					Sold (part)	09/19/14	M	A	
88.					Buy (add'l)	10/03/14	N		
89.					Sold (part)	10/10/14	M		
90.					Sold	10/20/14	M		
91.					Buy	12/19/14	N		
92. -- Cisco (Common)		None			Buy	12/18/14	M		
93.					Sold	12/19/14	M	A	
94. -- Lowes (Common)		None	M	T	Buy	12/03/14	L		
95.					Buy (add'l)	12/19/14	L		
96. JPMorgan Chase Accounts	A	Interest	N	T					
97. Schwab Account -- NY Muni Money Mkt	A	Int./Div.	N	T					
98. Merrill Lynch Cash Acc- formerly Bk of America cash reserve	C	Dividend	K	T					
99. Treasury Direct	A	Interest	M	T					
100. Time Warner Common Stock	A	Dividend	K	T					
101. Blount Common Stock		None	J	T					
102. Intel Common Stock	B	Dividend	L	T	Buy (add'l)	05/21/14	N		

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103.					Sold (part)	05/21/14	M	A	
104.					Sold (part)	05/23/14	N	B	
105.					Buy (add'l)	07/09/14	O		
106.					Sold (part)	07/11/14	N	C	
107.					Buy (add'l)	07/11/14	N		
108.					Buy (add'l)	07/14/14	O		
109.					Sold (part)	07/14/14	P1	D	
110.					Buy (add'l)	07/15/14	O		
111.					Sold (part)	07/15/14	O	D	
112.					Buy (add'l)	09/05/14	O		
113.					Sold (part)	09/05/14	O	A	
114.					Buy (add'l)	10/01/14	N		
115.					Sold (part)	10/09/14	N	B	
116.					Buy (add'l)	10/09/14	N		
117.					Sold (part)	10/10/14	N		
118.					Buy (add'l)	12/17/14	N		
119.					Sold (part)	12/19/14	N	C	

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120. AOL Inc. Common Stock		None	J	T					
121. Time Warner Cable Common	A	Dividend	K	T					
122. Twitter Common Stock		None			Sold	01/06/14	K	C	
123.					Buy	02/26/14	L		
124.					Sold	03/28/14	K		
125.					Buy	05/22/14	O		
126.					Sold (part)	05/22/14	N	C	
127.					Buy (add'l)	05/23/14	J		
128.					Sold (part)	05/23/14	M		
129.					Buy (add'l)	05/27/14	L		
130.					Sold (part)	05/28/14	M		
131.					Buy (add'l)	05/31/14	L		
132.					Buy (add'l)	06/06/14	L		
133.					Buy (add'l)	06/12/14	L		
134.					Sold (part)	06/17/14	L	D	
135.					Sold (part)	06/19/14	M	E	
136.					Buy (add'l)	06/20/14	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/20/14	L	C	
138.					Buy (add'l)	06/23/14	N		
139.					Sold	06/23/14	N	B	
140.					Buy	06/24/14	N		
141.					Sold (part)	06/25/14	K	A	
142.					Sold (part)	06/26/14	M	D	
143.					Buy (add'l)	06/27/14	M		
144.					Sold (part)	06/27/14	M	A	
145.					Buy (add'l)	06/30/14	O		
146.					Sold (part)	06/30/14	N	A	
147.					Buy (add'l)	07/01/14	N		
148.					Sold (part)	07/01/14	N	D	
149.					Buy (add'l)	07/07/14	N		
150.					Sold (part)	07/15/14	M		
151.					Sold (part)	07/22/14	L		
152.					Buy (add'l)	07/23/14	J		
153.					Sold (part)	07/23/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	07/29/14	K		
155.					Sold (part)	07/29/14	L	D	
156.					Buy (add'l)	08/15/14	M		
157.					Buy (add'l)	08/27/14	M		
158.					Sold (part)	08/27/14	M	C	
159.					Buy (add'l)	09/02/14	O		
160.					Sold (part)	09/02/14	O	E	
161.					Buy (add'l)	09/03/14	M		
162.					Buy (add'l)	09/04/14	N		
163.					Sold (part)	09/04/14	O	A	
164.					Buy (add'l)	09/05/14	O		
165.					Sold (part)	09/05/14	M	B	
166.					Buy (add'l)	09/08/14	M		
167.					Sold (part)	09/08/14	N	E	
168.					Sold (part)	09/09/14	O		
169.					Buy (add'l)	09/18/14	M		
170.					Buy (add'l)	09/21/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	09/22/14	N		
172.					Buy (add'l)	09/24/14	K		
173.					Buy (add'l)	09/26/14	N		
174.					Buy (add'l)	09/29/14	J		
175.					Buy (add'l)	10/4/14	P1		
176.					Buy (add'l)	10/06/14	P1		
177.					Buy (add'l)	10/07/14	M		
178.					Sold (part)	10/08/14	M		
179.					Buy (add'l)	10/10/14	O		
180.					Sold (part)	10/10/14	M		
181.					Buy (add'l)	10/13/14	L		
182.					Buy (add'l)	10/14/14	J		
183.					Buy (add'l)	10/15/14	J		
184.					Buy (add'l)	10/17/14	L		
185.					Sold (part)	10/17/14	O		
186.					Buy (add'l)	10/18/14	K		
187.					Sold (part)	10/20/14	N		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	10/21/14	K		
189.					Sold (part)	10/22/14	O		
190.					Sold (part)	10/23/14	M		
191.					Buy (add'l)	10/24/14	L		
192.					Sold (part)	10/24/14	N		
193.					Sold (part)	10/27/14	M		
194.					Buy (add'l)	10/30/14	M		
195.					Sold (part)	10/30/14	M		
196.					Buy (add'l)	10/31/14	J		
197.					Buy (add'l)	11/01/14	J		
198.					Buy (add'l)	11/02/14	J		
199.					Buy (add'l)	11/04/14	L		
200.					Sold (part)	11/4/14	M		
201.					Buy (add'l)	11/06/14	L		
202.					Buy (add'l)	11/07/14	K		
203.					Buy (add'l)	11/08/14	L		
204.					Buy (add'l)	11/11/14	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	11/13/14	M		
206.					Buy (add'l)	11/20/14	L		
207.					Buy (add'l)	11/22/14	K		
208.					Sold (part)	11/28/14	L		
209.					Sold (part)	12/08/14	M		
210.					Buy (add'l)	12/18/14	M		
211.					Sold (part)	12/18/14	M		
212.					Sold (part)	12/19/14	M		
213.					Sold	12/22/14	M		
214. Time Inc. Common Stock (spin off from Time Warner Inc.)	A	Dividend	J	T	Spinoff (from line 100)	06/12/14	J		
215. Amazon Common Stock		None			Buy	01/03/14	M		
216.					Sold	01/13/14	M		
217.					Buy	01/14/14	L		
218.					Buy (add'l)	01/16/14	M		
219.					Sold (part)	01/16/14	M	B	
220.					Buy (add'l)	01/17/14	K		
221.					Buy (add'l)	01/20/14	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	01/23/14	L		
223.					Sold (part)	01/31/14	N		
224.					Buy (add'l)	02/03/14	K		
225.					Buy (add'l)	02/13/14	M		
226.					Sold	02/25/14	N	D	
227.					Buy	03/14/14	O		
228.					Buy (add'l)	03/21/14	L		
229.					Sold (part)	03/26/14	M		
230.					Sold	03/28/14	O		
231.					Buy	03/28/14	N		
232.					Buy (add'l)	04/04/14	L		
233.					Buy (add'l)	04/07/14	M		
234.					Sold (part)	04/15/14	N		
235.					Buy (add'l)	04/17/14	N		
236.					Buy (add'l)	04/24/14	N		
237.					Buy (add'l)	04/25/14	M		
238.					Buy (add'l)	04/28/14	O		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	04/29/14	L		
240.					Buy (add'l)	05/01/14	M		
241.					Sold (part)	05/01/14	M		
242.					Buy (add'l)	05/02/14	L		
243.					Buy (add'l)	05/05/14	K		
244.					Buy (add'l)	05/23/14	L		
245.					Sold (part)	05/23/14	M		
246.					Buy (add'l)	05/27/14	M		
247.					Buy (add'l)	05/29/14	L		
248.					Buy (add'l)	05/30/14	L		
249.					Buy (add'l)	06/19/14	L		
250.					Sold (part)	06/19/14	N		
251.					Buy (add'l)	06/20/14	L		
252.					Sold (part)	06/20/14	M		
253.					Buy (add'l)	6/23/14	M		
254.					Sold (part)	06/23/14	N		
255.					Buy (add'l)	06/24/14	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	06/25/14	L		
257.					Sold (part)	06/25/14	M		
258.					Buy (add'l)	06/26/14	K		
259.					Sold (part)	06/26/14	M		
260.					Buy (add'l)	06/27/14	L		
261.					Buy (add'l)	06/30/14	M		
262.					Buy (add'l)	07/02/14	L		
263.					Buy (add'l)	07/09/14	L		
264.					Buy (add'l)	07/10/14	L		
265.					Buy (add'l)	07/11/14	K		
266.					Buy (add'l)	07/14/14	K		
267.					Buy (add'l)	07/21/14	K		
268.					Sold (part)	07/22/14	M		
269.					Buy (add'l)	07/24/14	L		
270.					Buy (add'l)	07/25/14	L		
271.					Sold (part)	07/25/14	O	A	
272.					Buy (add'l)	07/28/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold (part)	08/08/14	N		
274.					Sold (part)	09/02/14	M		
275.					Sold (part)	09/03/14	M		
276.					Sold (part)	09/05/14	M		
277.					Sold (part)	09/08/14	M		
278.					Sold (part)	09/22/14	M		
279.					Sold	10/10/14	M		
280.					Buy	10/27/14	M		
281.					Sold	10/27/14	M		
282.					Buy	12/03/14	N		
283.					Sold	12/08/14	N		
284.					Buy	12/15/14	N		
285.					Buy (add'l)	12/18/14	N		
286.					Sold	12/18/14	O		
287. Yahoo Common Stock		None	N	T	Buy	07/15/14	L		
288.					Sold	07/22/14	L		
289.					Buy	12/22/14	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Apple Common Stock		None			Buy	01/16/14	O		
291.					Sold	01/16/14	O	B	
292.					Buy	12/16/14	M		
293.					Sold (part)	12/19/14	L	C	
294.					Sold	12/22/14	L	C	
295. Cisco Common Stock		None			Buy	01/03/14	N		
296.					Sold (part)	01/03/14	M	A	
297.					Sold	01/06/14	M	A	
298.					Buy	01/06/14	M		
299.					Sold	01/07/14	M	C	
300.					Buy	01/09/14	M		
301.					Buy (add'l)	01/10/14	M		
302.					Sold (part)	01/10/14	N	B	
303.					Sold	01/13/14	J	A	
304.					Buy	06/23/14	M		
305.					Sold	06/30/14	M	A	
306. Blackberry Ltd Common Stock		None			Buy	06/26/14	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold	06/26/14	M	A	
308.					Buy	06/27/14	L		
309.					Sold	06/30/14	L	C	
310.					Buy	07/01/14	M		
311.					Sold (part)	07/01/14	L	A	
312.					Sold	07/07/14	K	B	
313.					Buy	07/15/14	K		
314.					Sold	08/27/14	K		
315.					Buy	11/14/14	M		
316.					Sold	11/19/14	M		
317. Netflix Common Stock		None			Buy	12/18/14	M		
318.					Sold	12/18/14	M	B	
319. Tesla Motors Common Stock		None			Buy	10/07/14	N		
320.					Buy (add'l)	10/09/14	N		
321.					Sold	10/09/14	O	C	
322. Intel Common Stock		None			Buy	05/21/14	N		
323.					Sold (part)	05/21/14	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold	05/23/14	M	B	
325.					Buy	07/09/14	O		
326.					Buy (add'l)	07/11/14	N		
327.					Sold (part)	07/11/14	O	C	
328.					Buy (add'l)	07/14/14	N		
329.					Sold	07/14/14	P1	D	
330.					Buy	07/15/14	O		
331.					Sold	07/15/14	O	D	
332.					Buy	09/05/14	N		
333.					Sold	09/05/14	N	B	
334.					Buy	10/01/14	N		
335.					Sold	10/09/14	N	B	
336.					Buy	10/9/14	N		
337.					Sold	10/10/14	N		
338.					Buy	12/17/14	N		
339.					Sold	12/19/14	N	C	
340. Microsoft Common Stock		None	N	T	Buy	12/22/14	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	12/22/14	K		
342. Facebook Common		None	M	T	Sold (part)	01/06/14	N		
343.					Buy (add'l)	01/07/14	N		
344.					Sold (part)	01/07/14	N		
345.					Buy (add'l)	01/09/14	N		
346.					Buy (add'l)	01/14/14	M		
347.					Buy (add'l)	01/15/14	N		
348.					Buy (add'l)	01/21/14	M		
349.					Buy (add'l)	01/22/14	N		
350.					Sold (part)	01/22/14	N		
351.					Sold (part)	01/23/14	J		
352.					Sold (part)	01/24/14	L		
353.					Buy (add'l)	01/27/14	O		
354.					Sold (part)	01/29/14	M		
355.					Sold (part)	01/30/14	K	B	
356.					Sold (part)	01/31/14	O	E	
357.					Buy (add'l)	02/03/14	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358.					Sold (part)	02/03/14	O	E	
359.					Buy (add'l)	02/05/14	O		
360.					Buy (add'l)	02/06/14	O		
361.					Sold (part)	02/06/14	O	C	
362.					Buy (add'l)	02/21/14	O		
363.					Sold (part)	02/21/14	M	E	
364.					Buy (add'l)	02/24/14	P1		
365.					Sold (part)	02/24/14	N	E	
366.					Buy (add'l)	02/26/14	M		
367.					Buy (add'l)	02/28/14	M		
368.					Buy (add'l)	03/03/14	M		
369.					Sold (part)	03/04/14	L		
370.					Sold (part)	03/05/14	M	C	
371.					Buy (add'l)	03/06/14	M		
372.					Sold (part)	03/06/14	M	C	
373.					Buy (add'l)	03/07/14	N		
374.					Sold (part)	03/07/14	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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05/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	03/24/14	K		
376.					Sold (part)	03/28/14	O		
377.					Buy (add'l)	04/04/14	N		
378.					Buy (add'l)	04/11/14	M		
379.					Buy (add'l)	04/15/14	N		
380.					Sold (part)	04/15/14	N		
381.					Buy (add'l)	04/21/14	N		
382.					Sold (part)	04/21/14	O		
383.					Buy (add'l)	04/25/14	N		
384.					Buy (add'l)	04/30/14	M		
385.					Sold (part)	04/30/14	M		
386.					Buy (add'l)	05/01/14	M		
387.					Sold (part)	05/01/14	M		
388.					Buy (add'l)	05/05/14	M		
389.					Sold (part)	05/05/14	M		
390.					Buy (add'l)	05/16/14	N		
391.					Sold (part)	05/16/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	05/19/14	N		
393.					Buy (add'l)	05/20/14	N		
394.					Sold (part)	05/20/14	L		
395.					Sold (part)	05/21/14	N		
396.					Sold (part)	05/22/14	M		
397.					Buy (add'l)	05/22/14	M		
398.					Sold (part)	05/23/14	L		
399.					Buy (add'l)	06/06/14	M		
400.					Sold (part)	06/13/14	L		
401.					Buy (add'l)	06/13/14	O		
402.					Sold (part)	06/17/14	O		
403.					Sold (part)	06/19/14	M		
404.					Buy (add'l)	06/19/14	N		
405.					Sold (part)	06/20/14	M		
406.					Buy (add'l)	06/20/14	M		
407.					Sold (part)	06/23/14	N		
408.					Buy (add'l)	06/23/14	O		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	06/24/14	M		
410.					Sold (part)	06/25/14	M		
411.					Buy (add'l)	06/26/14	O		
412.					Sold (part)	06/27/14	O		
413.					Buy (add'l)	06/30/14	O		
414.					Sold (part)	06/30/14	N		
415.					Buy (add'l)	07/01/14	O		
416.					Sold (part)	07/01/14	O		
417.					Sold (part)	07/02/14	N		
418.					Buy (add'l)	07/07/14	N		
419.					Buy (add'l)	07/08/14	N		
420.					Sold (part)	07/09/14	P1		
421.					Buy (add'l)	07/10/14	N		
422.					Buy (add'l)	07/14/14	N		
423.					Sold (part)	07/14/14	N		
424.					Buy (add'l)	07/15/14	O		
425.					Sold (part)	07/15/14	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	07/17/14	M		
427.					Buy (add'l)	07/18/14	N		
428.					Buy (add'l)	07/21/14	M		
429.					Buy (add'l)	07/22/14	O		
430.					Sold (part)	07/22/14	P1		
431.					Sold (part)	07/23/14	N		
432.					Buy (add'l)	07/24/14	P1		
433.					Buy (add'l)	08/15/14	M		
434.					Sold (part)	08/18/14	L		
435.					Sold (part)	08/26/14	P1		
436.					Buy (add'l)	08/27/14	O		
437.					Sold (part)	08/28/14	P1		
438.					Buy (add'l)	09/02/14	O		
439.					Buy (add'l)	09/02/14	O		
440.					Buy (add'l)	09/03/14	O		
441.					Buy (add'l)	09/04/14	O		
442.					Sold (part)	09/04/14	O	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Buy (add'l)	09/05/14	N		
444.					Sold (part)	09/05/14	O	D	
445.					Buy (add'l)	09/08/14	N		
446.					Sold (part)	09/08/14	M	A	
447.					Buy (add'l)	09/09/14	N		
448.					Sold (part)	09/09/14	O	B	
449.					Buy (add'l)	09/22/14	N		
450.					Sold (part)	09/22/14	N	B	
451.					Buy (add'l)	10/01/14	M		
452.					Buy (add'l)	10/07/14	O		
453.					Sold (part)	10/09/14	M		
454.					Buy (add'l)	10/09/14	M		
455.					Buy (add'l)	10/10/14	O		
456.					Sold (part)	10/10/14	P1		
457.					Buy (add'l)	10/14/14	O		
458.					Sold (part)	10/14/14	M		
459.					Sold (part)	10/17/14	N	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy (add'l)	10/20/14	O		
461.					Sold	10/20/14	O	C	
462.					Buy	10/30/14	O		
463.					Sold	10/30/14	O	C	
464.					Buy	11/03/14	M		
465.					Buy (add'l)	11/04/14	M		
466.					Sold	11/04/14	N	D	
467.					Buy	11/10/14	M		
468.					Buy (add'l)	11/19/14	N		
469.					Sold (part)	11/28/14	M	C	
470.					Buy (add'l)	12/08/14	N		
471.					Sold	12/08/14	P1	E	
472.					Buy	12/16/14	M		
473.					Sold (part)	12/18/14	L	C	
474. Google Common Stock		None			Buy	12/17/14	N		
475.					Sold	12/17/14	N	B	
476.					Buy	12/18/14	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold (part)	12/18/14	M	B	
478.					Sold	12/19/14	L	B	
479. Ebay Common Stock		None			Buy	06/30/14	M		
480.					Sold	07/01/14	M	B	
481. Valero Common Stock	A	Dividend	L	T	Buy	10/29/14	L		
482. POA Account # 1 : Chase Accounts	A	Interest			Closed	07/30/14	N		
483. POA Account #3: Vanguard Wellington Admiral Fund	A	Dividend			Redeemed	04/24/14	M		
484. POA Account #4									
485. -Eaton Vance Floating Rate Fund	A	Dividend			Sold	05/13/14	L		
486. -Oppenheimer Senior Floating Rate Fund	A	Dividend			Sold	05/13/14	L		
487. POA Account #6:									
488. -Morgan Stanley Private Bank	A	Interest			Closed	05/01/14	J		
489. -CitiBank Deposit Program	A	Interest			Closed	05/01/14	M		
490. -Intel	A	Dividend			Sold	05/01/14	J		
491. -Gabelli Equity Tr. PFD	A	Dividend			Sold	05/01/14	J		
492. -DWS High Income Fund	A	Dividend			Sold	05/01/14	J		
493. -DWS Gov't Securities Fund	A	Dividend			Sold	05/01/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. POA Account #7:									
495. -Morgan Stanley Private Bank	A	Interest			Closed	05/05/14	J		
496. -Columbia Acorn Select Fund					Sold	05/05/14	J	A	
497. -Columbia Select Smaller Cap Fund					Sold	05/05/14	J	A	
498. -DWS Strategic Value Fund	A	Dividend			Sold	05/05/14	J	A	
499. -Fidelity Advisor Diversified International Fund					Sold	05/05/14	L	A	
500. -JP Morgan Mid Cap Value Fund					Sold	05/05/14	J	A	
501. -Growth Fund of America					Sold	05/05/14	J	A	
502. -Invesco Van Kampen Senior. Loan Fund	B	Dividend			Sold	05/05/14	J		
503. -Touchtone Mid Cap Growth Fund					Sold	05/05/14	J	A	
504. -Escrow Leiner Health Products exchanged for Lehman					Sold	05/05/14	J		
505. -Express Scripts Holding Co. Common Stock (X)					Sold	05/05/14	J		
506. Estate Account-Chase	A	Interest	L	T	Open	04/28/14	N		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/10/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

During the past year, the [REDACTED] for whom I had a power of attorney passed away. Pursuant to [REDACTED] will, I was appointed the Executor of [REDACTED] estate. As the executor I liquidated all [REDACTED] accounts, transferred the proceeds to an estate account and distributed almost all the proceeds pursuant to [REDACTED] will (which was probated). As of the end of the year 2014 some funds remained in the Estate account to cover taxes and other miscellaneous costs of the estate such as a monument cost.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Naomi R. Buchwald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544