

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McAliley, Chris M.	2. Court or Organization U.S. Dist Court, S. Dist. FL	3. Date of Report 05/03/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address C Clyde Atkins US Courthouse 301 North Miami Avenue 6th Floor Miami, Florida 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Settlor & Trustee	Trust #1
2.	Settlor & Trustee	Trust #2
3.	Settlor	Trust #3
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. HARTFORD HEALTHCARE FUND CL A (TRUST #1)	A	Dividend	J	T					
2. FIDELITY TAX FREE MONEY MARKET (TRUST #1)	A	Interest			Sold	02/05/16	J	A	
3. PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND (TRUST #1)	A	Dividend	J	T	Buy (add'l)	02/22/16	J		
4. FIDELITY LOW PRICE STOCK (TRUST #1)	A	Dividend	J	T	Buy (add'l)	02/22/16	J		
5. VANGUARD DIVIDEND GROWTH (TRUST #1)	A	Dividend	J	T	Sold (part)	02/22/16	J	B	
6. FIDELITY MEGA CAP STOCK (TRUST #1)	A	Dividend	J	T	Sold (part)	02/22/16	J	B	
7. PRIMECAP ODYSSEY STOCK (TRUST #1)	A	Dividend	J	T					
8. VANGUARD SHORT TERM INVTM GRADE ADMIRAL (TRUST #1)	A	Dividend	J	T					
9. FIDELITY INT'L GROWTH FUND (TRUST #1)	A	Dividend	J	T					
10. FIDELITY INTERMEDIATE MUNI INCOME (TRUST #1)	A	Dividend	J	T					
11. VANGUARD INTERMEDIATE TERM INVTM GR ADMIRAL (TRUST #1)	A	Dividend	J	T					
12. VANGUARD INTERMEDIATE TERM TAX EXEMPT (TRUST #1)	A	Dividend			Sold	12/20/16	J	A	
13. ISHARES TR IBOX HI YD (TRUST #1)	A	Dividend			Sold	01/19/16	J	A	
14. FIDELITY GOVERNMENT CASH RESERVES (TRUST #1)	A	Interest	J	T	Buy	02/05/16	J		
15. ARTISAN HIGH INCOME FUND INVESTOR (TRUST #1)	A	Dividend	J	T	Buy	01/20/16	J		
16. ISHARES NATIONAL MUNI BOND ETF (TRUST #1)	A	Dividend	J	T	Buy	12/23/16	J		
17.									

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. FIDELITY TAX FREE MONEY MARKET (TRUST #2)	A	Interest			Sold	02/05/16	J	A	
19. HARTFORD HEALTHCARE FUND CL A (TRUST #2)	A	Dividend	J	T					
20. PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND (TRUST #2)	A	Dividend	J	T	Buy (add'l)	02/22/16	J		
21. PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND (TRUST #2)	A	Dividend	J	T	Sold (part)	09/15/16	J	A	
22. FIDELITY LOW PRICE STOCK (TRUST #2)	A	Dividend	J	T	Sold (part)	09/15/16	J	A	
23. VANGUARD DIVIDEND GROWTH (TRUST #2)	A	Dividend	J	T	Sold (part)	02/19/16	J	B	
24. VANGUARD DIVIDEND GROWTH (TRUST #2)	A	Dividend	J	T	Sold (part)	09/15/16	J	A	
25. FIDELITY MEGA CAP STOCK (TRUST #2)	A	Dividend	J	T	Sold (part)	02/19/16	J	B	
26. FIDELITY MEGA CAP STOCK (TRUST #2)	A	Dividend	J	T	Sold (part)	09/15/16	J	A	
27. PRIMECAP ODYSSEY STOCK (TRUST #2)	A	Dividend	J	T					
28. VANGUARD SHORT TERM INVMT GRADE ADMIRAL (TRUST #2)	A	Dividend	J	T	Sold (part)	09/15/16	J	A	
29. FIDELITY INT'L GROWTH FUND (TRUST #2)	A	Dividend	J	T					
30. FIDELITY INTERMEDIATE MUNI INCOME (TRUST #2)	A	Dividend	J	T					
31. VANGUARD INTERMEDIATE TERM INVMT GR ADMIRAL (TRUST #2)	A	Dividend	J	T					
32. VANGUARD INTERMEDIATE TERM TAX EXEMPT (TRUST #2)	A	Dividend			Sold	12/20/16	J	A	
33. ISHARES TR IBOXX HI YD (TRUST #2)	A	Dividend			Sold	01/19/16	J	A	
34. FIDELITY GOVERNMENT CASH RESERVES (TRUST #2)	A	Interest	J	T	Buy	02/05/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. ARTISAN HIGH INCOME FUND INVESTOR (TRUST #2)	A	Dividend	J	T	Buy	01/20/16	J		
36. ISHARES NATIONAL MUNI BOND ETF (TRUST #2)	A	Dividend	J	T	Buy	12/23/16	J		
37.									
38. PRIMECAP ODYSSEY AGGRESSIVE GRWTH FD (IRA)	C	Dividend	M	T	Buy (add'l)	02/19/16	K		
39. FIDELITY LOW PRICE STOCK (IRA)	B	Dividend	M	T	Buy (add'l)	02/19/16	K		
40. VANGUARD DIVIDEND GROWTH (IRA)	B	Dividend	M	T	Sold (part)	02/19/16	K	E	
41. FIDELITY MEGA CAP STOCK FUND (IRA)	B	Dividend	L	T	Sold (part)	02/19/16	K	D	
42. FIDELITY MEGA CAP STOCK FUND (IRA)	B	Dividend	L	T	Sold (part)	04/22/16	J	A	
43. FIDELITY MEGA CAP STOCK FUND (IRA)	B	Dividend	L	T	Sold (part)	10/27/16	J	A	
44. PRIMECAP ODYSSEY STOCK FUND (IRA)	C	Dividend	L	T					
45. VANGUARD SHORT TERM INVEST GRADE ADMIRAL (IRA)	A	Dividend	J	T	Sold (part)	01/25/16	J	A	
46. FIDELITY INT'L GROWTH FUND (IRA)	A	Dividend	L	T	Buy (add'l)	02/19/16	J		
47. HARTFORD HEALTHCARE FUND (IRA)	A	Dividend	K	T					
48. ARTISAN HIGH INCOME FUND INVESTOR (IRA)	B	Dividend	K	T	Sold (part)	07/25/16	J	A	
49. VANGUARD INTERMEDIATE TERM INVMT GR ADMIRAL (IRA)	B	Dividend	K	T					
50.									
51. VANGUARD INTERMED TRM INVST GR ADMIRAL (BROKERAGE)	A	Dividend			Sold	08/29/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. VANGUARD SHORT TERM INVMT GRADE ADMIRAL (BROKERAGE)	A	Dividend			Sold	08/29/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

TRUST #3 REPORTED ON PART I, HAS NO REPORTABLE ASSETS.

ASSETS ON PART VII "INVESTMENTS AND TRUSTS" THAT WERE FULLY SOLD IN 2015 WERE REMOVED/DELETED FROM THE SCHEDULE.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Chris M. McAliley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544