

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shodeen, Anita L.	2. Court or Organization Bankruptcy Southern Iowa	3. Date of Report 04/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Federal Courthouse Annex 110 East Court Avenue, Suite 447 Des Moines, IA 50309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2014	Drake University Law School Speaker fee (approved by Circuit Chief Judge 09-02-2014)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Drake University Law School speaker fee	\$900.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Wandro & Associates income
2. 2014	Iowa Public Employee Retirement System (IPERS)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	April 7-8, 2014	Kansas City, MO	Mid-Year Meeting	Hotel, transportation
2. Bankruptcy Bar Association of Southern Florida	May 9-11, 2014	Key Largo, FL	Seminar Speaker	Hotel, transportation, meals
3. Bankruptcy Seminars, Inc.	July 23-25, 2014	Iowa City, IA	Seminar Speaker	Hotel, meals
4. National Conference of Bankruptcy Judges	October 7-10, 2014	Chicago, IL	Annual Meeting	Hotel, transportation, meals
5. American Bankruptcy Institute	October 16-17, 2014	Kansas City, MO	Seminar Speaker	Hotel, transportation

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6.	Minnesota CLE Bankruptcy Institute	October 22-24	Minneapolis, MN	Seminar Speaker	Hotel, transportation, meals
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1	A	Dividend	J	T					
2. -Vanguard Inflation Protected Securities Fund	A	Dividend	J	T					
3. IRA #2	B	Dividend	K	T					
4. -Vanguard REIT Index	B	Dividend	K	T					
5. Rollover IRA #1	C	Dividend	M	T					
6. -Vanguard Pacific Stock Index	A	Dividend	K	T					
7. -Vanguard European Stock Index	B	Dividend	K	T					
8. -Vanguard REIT Index	C	Dividend	L	T					
9. Rollover IRA #2	B	Dividend	K	T					
10. -Vanguard REIT Index	B	Dividend	K	T					
11. Rollover IRA #3	B	Dividend	M	T					
12. -Vanguard Energy Fund	A	Dividend			Sold	01/13/14	L		
13. Vanguard Short Term Corp Bd	A	Dividend	L	T	Buy	01/13/14	L		
14. -Vanguard Inflation Protected Securities Fund	A	Dividend	K	T					
15. Uniform Gift to Minor Act #1	A	Dividend	J	T					
16. -Vanguard Total Stock Market Index	A	Dividend	J	T	Sold (part)	12/19/14	J		
17. Uniform Gift to Minor Act #2	A	Dividend	J	T	Sold (part)	07/11/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	12/19/14	J		
19. -Vanguard Pacific Stock Index Fund	A	Dividend	J	T	Sold (part)	07/11/14	J		
20.					Sold (part)	12/19/14	J		
21. -Vanguard European Stock Index Fund	A	Dividend	J	T	Sold (part)	07/11/14	J		
22.					Sold (part)	12/19/14	J		
23. -Vanguard Total Stock Market Index	A	Dividend	J	T					
24. -Vanguard Small Cap Val. Index	A	Dividend			Sold	02/26/14	J		
25. Wells Fargo Bank (accounts)	A	Interest	K	T					
26. IRA #3	A	Dividend	K	T					
27. -Vanguard Precious Metals & Mining Fund	A	Dividend	J	T					
28. -Vanguard Total International Stock Index Fund	A	Dividend	J	T					
29. SEP-IRA #1	E	Dividend	N	T					
30. Vanguard Total Int. Bond Index	A	Dividend	K	T					
31. -Vanguard Total International Stock Index	C	Dividend	M	T					
32. -Vanguard Inflation Protected Securities	B	Dividend	K	T					
33. -Vanguard International Explorer	D	Dividend	L	T					
34. -Vanguard Emerging Markets Stock Index	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Vanguard Total Bond Market Index	C	Dividend	M	T					
36. Vanguard Taxable Joint Account	B	Int./Div.	J	T	Sold (part)	05/23/14	K	A	
37.					Sold (part)	06/23/14	J	A	
38.					Sold (part)	09/18/14	K	A	
39. -Intermediate Tax Exempt	A	Dividend	K	T					
40. -Limited Term Tax Exempt	A	Dividend							
41. -Vanguard Prime Money Market	A	Int./Div.			Sold	02/04/14	K		
42. John Hancock Life Insurance Company	A	Interest	J	T					
43. SEP IRA #2	A	Dividend	K	T	Buy	06/23/14	J		
44. -Vanguard Total Stock	A	Dividend	K	T	Buy	06/23/14	J		
45. Rollover IRA #4	E	Dividend	O	T					
46. Vanguard Small Cap Index	B	Dividend	M	T					
47. Vanguard Small Cap Value	B	Dividend	M	T	Sold (part)	01/13/14	K		
48. Vanguard Bond Market Index	A	Dividend	K	T					
49. Vanguard Total Stock Market Index	B	Dividend	L	T					
50. Vanguard 500 Index	C	Dividend	M	T					
51. Vanguard Energy	A	Dividend			Sold	01/13/14	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Vanguard Global ex US Real Estate	B	Dividend	K	T					
53. Vanguard Explorer	A	Dividend	K	T					
54. Vanguard Total Int. Stock Index	C	Dividend	L	T					
55. Vanguard Short Term Bond Index	A	Int./Div.	L	T	Buy	01/13/14	L		
56. Wells Fargo Accounts (X)	A	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anita L. Shodeen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544