

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SARIS, PATTI B.	2. Court or Organization U.S.D.C. MASSACHUSETTS	3. Date of Report 05/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address J. J. MOAKLEY U.S. COURTHOUSE 1 COURTHOUSE WAY, SUITE 8110 BOSTON, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	CO-TRUSTEE	TRUST #4 (See Part VIII)
2.	CO-TRUSTEE	TRUST #5
3.	Director	Bottom Line Inc., Boston, MA
4.	Director	Codman Academy Foundation Inc, Dorchester, MA
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	PRESIDENT AND FELLOWS OF HARVARD COLLEGE, CAMBRIDGE, MA - salary
2. 2017	HARVARD BUSINESS PUBLISHING, MA - Royalties
3. 2017	HIGHVISTA STRATEGIES LLC, MA - Advisory fee
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Hofstra Law School	October 23, 2017	Long Island, NY	Panel on Sentencing	Travel, lodging, meals
2. New York University Law School	November 30, 2017	New York, NY	Speaking at IP Institute Program	Travel, lodging, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Venture Investors Fund, LP		None	J	W					
2. TA Conference LLC (y)	G	Distribution							See Part VIII
3. Tucker Anthony Private Equity Fund III		None	J	W	Redeemed (part)	02/02/17	K		See Part VIII
4. Trust # 5 (H)									
5. - Baupost Value Partners LP I	G	Distribution	P1	W					
6. - Kensico Offshore Fd Ltd LP		None	P1	W					
7. - Federated US Treas Cash money market (cash equiv)	C	Dividend			Buy (add'l)	12/06/17	P1		
8.					Buy (add'l)	12/20/17	J		
9.					Buy (add'l)	12/21/17	L		
10.					Sold (part)	01/25/17	J		
11.					Sold (part)	02/02/17	J		
12.					Sold (part)	02/09/17	L		
13.					Sold (part)	02/14/17	K		
14.					Sold (part)	03/02/17	J		
15.					Sold (part)	03/16/17	J		
16.					Sold (part)	04/19/17	K		
17.					Sold (part)	05/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	05/18/17	O		
19.					Sold (part)	06/15/17	K		
20.					Sold (part)	06/16/17	K		
21.					Sold (part)	08/09/17	J		
22.					Sold (part)	08/23/17	K		
23.					Sold (part)	09/13/17	K		
24.					Sold (part)	09/28/17	J		
25.					Sold (part)	10/04/17	J		
26.					Sold (part)	12/07/17	N		
27.					Sold (part)	12/15/17	J		
28.					Sold	12/29/17	P1		
29.	- Independent Franchise Partners US Eq Fd (IFPUX) mutual fund	G	Dividend	P1	T	Buy (add'l)	12/20/17	M	
30.	- Frontier MFG Global Eq-Inst (FMGEX) mutual fund	F	Dividend	P1	T	Buy (add'l)	12/18/17	K	
31.	- Federated Treasury Obliga - IS (cash equiv) (x)		None	P1	T	Buy	12/29/17	P1	
32.	Trust # 10 (H)								
33.	- Travelers Life & Annuity Ins Co, universal life insurance		None	N	W				
34.	Trust # 11 (H)								

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Sun Life Assurance, universal life insurance		None	P1	W					
36. - Manufacturers Life Ins, universal life (John Hancock)		None	P1	W					
37. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend			Sold (part)	07/18/17	J		
38.					Sold	12/29/17	J		
39. - Vanguard Total Wrld Stock Idx Fd (VT) mutual fd	B	Dividend	L	T	Buy (add'l)	07/18/17	J		
40. - Federated Treasury Obliga - IS (cash equiv) (x)		None	J	T	Buy	12/29/17	J		
41. Tucker Anthony Private Equity Fund IV		None	J	W	Redeemed (part)	02/02/17	L		See Part VIII
42. IRA Rollover (H)									
43. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend			Buy (add'l)	04/04/17	J		
44.					Buy (add'l)	07/05/17	J		
45.					Buy (add'l)	10/02/17	J		
46.					Buy (add'l)	12/22/17	J		
47.					Sold (part)	11/01/17	K		
48.					Sold	12/29/17	K		
49. - Vanguard Total Wrld Stock Idx Fd (VT) mutual fd	E	Dividend	P1	T	Buy (add'l)	11/01/17	K		
50. - Federated Treasury Obliga - IS (cash equiv) (x)		None	K	T	Buy	12/29/17	K		
51. Battery Ventures VI L.P.		None	J	U					

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52. Park St Capl Natural Res Fd L.P.	F	Distribution	L	U					
53. US Fidenza LLC		None	K	U					
54. Park St Capital V LP	F	Distribution	M	U					
55. Friends of Lime Rock III LP	E	Distribution	K	W					
56. Highfields Capital II LP	E	Distribution	L	W					
57. Park St Natural Resource Fund II Combined (Private Equity)	G	Distribution	M	U					
58. Park Street Capital VI (Private Equity Fd)	G	Distribution	N	U					
59. Patron Capital LP II (private equity)	F	Distribution	N	W					
60. Orion European Real Estate Fd II, C.V., LP	F	Distribution	K	U					
61. US Dublin LLC		None	N	W					
62. Europa Fund II US LP	E	Distribution	M	W					
63. Weston Presidio V LP	F	Distribution	K	W					
64. Trust # 18 (H)									
65. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend			Buy (add'l)	10/26/17	K		
66.					Sold (part)	04/12/17	K		
67.					Sold	12/29/17	M		
68. - Int in MECM Investments LLC (privately held)		None	P1	W					See Part VIII

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69. - Federated Treasury Obliga - IS (cash equiv) (x)		None	M	T	Buy	12/29/17	M		
70. Trust # 19 (H)									
71. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend			Buy (add'l)	10/26/17	K		
72.					Sold (part)	04/12/17	K		
73.					Sold	12/29/17	M		
74. - Int in MECM Investments LLC (privately held)		None	P1	W					See Part VIII
75. - Federated Treasury Obliga - IS (cash equiv) (x)		None	M	T	Buy	12/29/17	M		
76. Trust # 20 (H)									
77. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend			Buy (add'l)	10/26/17	K		
78.					Sold (part)	04/12/17	K		
79.					Sold	12/29/17	M		
80. - Int in MECM Investments LLC (privately held)		None	P1	W					See Part VIII
81. - Federated Treasury Obliga - IS (cash equiv) (x)		None	M	T	Buy	12/29/17	M		
82. Trust # 21 (H)									
83. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend			Buy (add'l)	10/26/17	K		
84.					Sold (part)	04/12/17	K		
85.					Sold (part)	12/06/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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86.					Sold	12/29/17	M		
87. - Int in MECM Investments LLC (privately held)		None	P1	W					See Part VIII
88. - Federated Treasury Obliga - IS (cash equiv) (x)		None	M	T	Buy	12/29/17	M		
89. Wavemark Inc Ser A pfd stock (y)	D	Distribution							See Part VIII
90. Centerbridge Cap Ptms LPs (Priv. Eq)	G	Distribution			Buy (add'l)	06/26/17	J		
91.					Distributed	12/31/17	N		See Part VIII
92. Lime Rock Partners IV LP (combined) (private equities)	F	Distribution	P1	U	Buy (add'l)	05/08/17	J		
93. Park St Cap Priv Eq Fd VII A LP	F	Distribution	M	U	Buy (add'l)	06/29/17	J		
94. Park St Cap Natural Res Fd III LP combined (priv Eq)	G	Distribution	O	U					
95. Polaris Vent Part Entrep Fd V LP	G	Distribution	O	U					
96. Summit Ptms Priv Eq Fd VII-A	G	Distribution			Distributed	12/31/17	N		See Part VIII
97. TA X LP (Priv Eq)	F	Distribution	K	U					
98. Minneapolis, MN Municipal bds	D	Interest	M	T					
99. University Idaho Municipal bds	D	Interest	M	T					
100. Federated US Treas Cash Rsv cash eq mutual fd	E	Dividend			Buy (add'l)	01/05/17	M		
101.					Buy (add'l)	01/06/17	M		
102.					Buy (add'l)	01/11/17	P1		

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103.					Buy (add'l)	01/13/17	J		
104.					Buy (add'l)	01/18/17	J		
105.					Buy (add'l)	01/19/17	K		
106.					Buy (add'l)	01/20/17	J		
107.					Buy (add'l)	01/23/17	K		
108.					Buy (add'l)	01/27/17	M		
109.					Buy (add'l)	01/30/17	J		
110.					Buy (add'l)	01/31/17	M		
111.					Buy (add'l)	02/01/17	K		
112.					Buy (add'l)	02/02/17	K		
113.					Buy (add'l)	02/03/17	M		
114.					Buy (add'l)	02/07/17	K		
115.					Buy (add'l)	02/08/17	J		
116.					Buy (add'l)	02/10/17	L		
117.					Buy (add'l)	02/13/17	J		
118.					Buy (add'l)	02/14/17	L		
119.					Buy (add'l)	02/15/17	K		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	02/27/17	N		
121.					Buy (add'l)	03/01/17	J		
122.					Buy (add'l)	03/02/17	K		
123.					Buy (add'l)	03/08/17	M		
124.					Buy (add'l)	03/09/17	K		
125.					Buy (add'l)	03/10/17	K		
126.					Buy (add'l)	03/13/17	L		
127.					Buy (add'l)	03/20/17	N		
128.					Buy (add'l)	03/21/17	K		
129.					Buy (add'l)	03/22/17	L		
130.					Buy (add'l)	03/23/17	K		
131.					Buy (add'l)	03/29/17	J		
132.					Buy (add'l)	03/30/17	K		
133.					Buy (add'l)	03/31/17	L		
134.					Buy (add'l)	04/03/17	K		
135.					Buy (add'l)	04/04/17	M		
136.					Buy (add'l)	04/05/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/07/17	L		
138.					Buy (add'l)	04/12/17	K		
139.					Buy (add'l)	04/17/17	K		
140.					Buy (add'l)	04/26/17	J		
141.					Buy (add'l)	04/28/17	L		
142.					Buy (add'l)	05/01/17	J		
143.					Buy (add'l)	05/02/17	J		
144.					Buy (add'l)	05/08/17	L		
145.					Buy (add'l)	05/10/17	K		
146.					Buy (add'l)	05/11/17	N		
147.					Buy (add'l)	05/22/17	O		
148.					Buy (add'l)	05/25/17	K		
149.					Buy (add'l)	05/26/17	K		
150.					Buy (add'l)	05/31/17	K		
151.					Buy (add'l)	06/01/17	K		
152.					Buy (add'l)	06/02/17	K		
153.					Buy (add'l)	06/12/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	06/19/17	N		
155.					Buy (add'l)	06/20/17	N		
156.					Buy (add'l)	06/21/17	K		
157.					Buy (add'l)	06/22/17	K		
158.					Buy (add'l)	06/23/17	J		
159.					Buy (add'l)	06/26/17	P1		
160.					Buy (add'l)	06/29/17	M		
161.					Buy (add'l)	06/30/17	M		
162.					Buy (add'l)	07/05/17	L		
163.					Buy (add'l)	07/06/17	K		
164.					Buy (add'l)	07/07/17	J		
165.					Buy (add'l)	07/10/17	L		
166.					Buy (add'l)	07/11/17	K		
167.					Buy (add'l)	07/14/17	K		
168.					Buy (add'l)	07/17/17	L		
169.					Buy (add'l)	08/01/17	J		
170.					Buy (add'l)	08/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	08/10/17	N		
172.					Buy (add'l)	08/16/17	K		
173.					Buy (add'l)	08/22/17	L		
174.					Buy (add'l)	09/01/17	K		
175.					Buy (add'l)	09/05/17	J		
176.					Buy (add'l)	09/06/17	J		
177.					Buy (add'l)	09/11/17	M		
178.					Buy (add'l)	09/13/17	M		
179.					Buy (add'l)	09/14/17	N		
180.					Buy (add'l)	09/20/17	J		
181.					Buy (add'l)	09/21/17	K		
182.					Buy (add'l)	09/25/17	K		
183.					Buy (add'l)	09/28/17	M		
184.					Buy (add'l)	09/29/17	L		
185.					Buy (add'l)	10/02/17	M		
186.					Buy (add'l)	10/03/17	K		
187.					Buy (add'l)	10/04/17	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	10/06/17	K		
189.					Buy (add'l)	10/10/17	K		
190.					Buy (add'l)	10/11/17	M		
191.					Buy (add'l)	10/13/17	M		
192.					Buy (add'l)	10/17/17	K		
193.					Buy (add'l)	10/18/17	O		
194.					Buy (add'l)	10/23/17	K		
195.					Buy (add'l)	10/24/17	K		
196.					Buy (add'l)	11/01/17	M		
197.					Buy (add'l)	11/02/17	J		
198.					Buy (add'l)	11/03/17	M		
199.					Buy (add'l)	11/06/17	K		
200.					Buy (add'l)	11/07/17	J		
201.					Buy (add'l)	11/08/17	K		
202.					Buy (add'l)	11/14/17	M		
203.					Buy (add'l)	11/17/17	K		
204.					Buy (add'l)	11/20/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	11/29/17	M		
206.					Buy (add'l)	11/30/17	K		
207.					Buy (add'l)	12/01/17	M		
208.					Buy (add'l)	12/04/17	J		
209.					Buy (add'l)	12/08/17	J		
210.					Buy (add'l)	12/13/17	K		
211.					Buy (add'l)	12/15/17	L		
212.					Buy (add'l)	12/19/17	K		
213.					Buy (add'l)	12/20/17	N		
214.					Buy (add'l)	12/22/17	M		
215.					Buy (add'l)	12/27/17	N		
216.					Buy (add'l)	12/28/17	K		
217.					Buy (add'l)	12/29/17	M		
218.					Sold (part)	01/03/17	K		
219.					Sold (part)	01/04/17	J		
220.					Sold (part)	01/09/17	J		
221.					Sold (part)	01/10/17	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	01/11/17	L		
223.					Sold (part)	01/12/17	K		
224.					Sold (part)	01/23/17	M		
225.					Sold (part)	01/24/17	J		
226.					Sold (part)	01/27/17	K		
227.					Sold (part)	02/01/17	K		
228.					Sold (part)	02/06/17	L		
229.					Sold (part)	02/09/17	J		
230.					Sold (part)	02/14/17	K		
231.					Sold (part)	02/16/17	J		
232.					Sold (part)	02/17/17	K		
233.					Sold (part)	02/21/17	L		
234.					Sold (part)	02/22/17	J		
235.					Sold (part)	02/23/17	O		
236.					Sold (part)	02/24/17	M		
237.					Sold (part)	02/28/17	K		
238.					Sold (part)	03/01/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	03/02/17	J		
240.					Sold (part)	03/03/17	J		
241.					Sold (part)	03/06/17	J		
242.					Sold (part)	03/08/17	J		
243.					Sold (part)	03/09/17	J		
244.					Sold (part)	03/10/17	K		
245.					Sold (part)	03/14/17	J		
246.					Sold (part)	03/15/17	M		
247.					Sold (part)	03/16/17	J		
248.					Sold (part)	03/17/17	K		
249.					Sold (part)	03/20/17	L		
250.					Sold (part)	03/27/17	L		
251.					Sold (part)	03/28/17	M		
252.					Sold (part)	04/03/17	K		
253.					Sold (part)	04/07/17	J		
254.					Sold (part)	04/10/17	L		
255.					Sold (part)	04/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	04/17/17	J		
257.					Sold (part)	04/18/17	O		
258.					Sold (part)	04/19/17	J		
259.					Sold (part)	04/20/17	L		
260.					Sold (part)	04/21/17	J		
261.					Sold (part)	04/24/17	J		
262.					Sold (part)	04/26/17	J		
263.					Sold (part)	04/27/17	J		
264.					Sold (part)	05/01/17	K		
265.					Sold (part)	05/02/17	J		
266.					Sold (part)	05/03/17	K		
267.					Sold (part)	05/05/17	K		
268.					Sold (part)	05/09/17	K		
269.					Sold (part)	05/12/17	L		
270.					Sold (part)	05/15/17	J		
271.					Sold (part)	05/16/17	J		
272.					Sold (part)	05/17/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold (part)	05/18/17	M		
274.					Sold (part)	05/19/17	J		
275.					Sold (part)	05/22/17	J		
276.					Sold (part)	05/23/17	K		
277.					Sold (part)	05/25/17	J		
278.					Sold (part)	05/30/17	J		
279.					Sold (part)	06/01/17	K		
280.					Sold (part)	06/02/17	K		
281.					Sold (part)	06/05/17	J		
282.					Sold (part)	06/06/17	K		
283.					Sold (part)	06/07/17	L		
284.					Sold (part)	06/09/17	L		
285.					Sold (part)	06/13/17	L		
286.					Sold (part)	06/15/17	L		
287.					Sold (part)	06/16/17	K		
288.					Sold (part)	06/19/17	J		
289.					Sold (part)	06/20/17	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	06/22/17	K		
291.					Sold (part)	06/27/17	P1		
292.					Sold (part)	06/28/17	L		
293.					Sold (part)	07/03/17	K		
294.					Sold (part)	07/07/17	K		
295.					Sold (part)	07/10/17	L		
296.					Sold (part)	07/12/17	J		
297.					Sold (part)	07/13/17	L		
298.					Sold (part)	07/18/17	J		
299.					Sold (part)	07/19/17	L		
300.					Sold (part)	07/20/17	M		
301.					Sold (part)	07/24/17	J		
302.					Sold (part)	07/26/17	M		
303.					Sold (part)	07/27/17	J		
304.					Sold (part)	07/31/17	K		
305.					Sold (part)	08/01/17	K		
306.					Sold (part)	08/02/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	08/03/17	J		
308.					Sold (part)	08/07/17	J		
309.					Sold (part)	08/08/17	J		
310.					Sold (part)	08/09/17	M		
311.					Sold (part)	08/11/17	J		
312.					Sold (part)	08/14/17	K		
313.					Sold (part)	08/17/17	L		
314.					Sold (part)	08/21/17	K		
315.					Sold (part)	08/23/17	K		
316.					Sold (part)	08/24/17	K		
317.					Sold (part)	08/29/17	L		
318.					Sold (part)	08/30/17	L		
319.					Sold (part)	09/01/17	M		
320.					Sold (part)	09/05/17	P1		
321.					Sold (part)	09/06/17	J		
322.					Sold (part)	09/07/17	J		
323.					Sold (part)	09/08/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	09/12/17	J		
325.					Sold (part)	09/14/17	N		
326.					Sold (part)	09/15/17	J		
327.					Sold (part)	09/18/17	J		
328.					Sold (part)	09/19/17	M		
329.					Sold (part)	09/20/17	J		
330.					Sold (part)	09/22/17	O		
331.					Sold (part)	09/26/17	J		
332.					Sold (part)	09/27/17	M		
333.					Sold (part)	10/03/17	N		
334.					Sold (part)	10/11/17	L		
335.					Sold (part)	10/12/17	J		
336.					Sold (part)	10/16/17	J		
337.					Sold (part)	10/17/17	J		
338.					Sold (part)	10/20/17	L		
339.					Sold (part)	10/23/17	M		
340.					Sold (part)	10/25/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	10/27/17	J		
342.					Sold (part)	10/30/17	J		
343.					Sold (part)	10/31/17	J		
344.					Sold (part)	11/01/17	M		
345.					Sold (part)	11/02/17	K		
346.					Sold (part)	11/09/17	J		
347.					Sold (part)	11/13/17	J		
348.					Sold (part)	11/15/17	L		
349.					Sold (part)	11/16/17	J		
350.					Sold (part)	11/20/17	J		
351.					Sold (part)	11/21/17	N		
352.					Sold (part)	11/22/17	L		
353.					Sold (part)	11/27/17	J		
354.					Sold (part)	11/28/17	J		
355.					Sold (part)	12/01/17	K		
356.					Sold (part)	12/06/17	P1		
357.					Sold (part)	12/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold (part)	12/11/17	M		
359.					Sold (part)	12/12/17	K		
360.					Sold (part)	12/14/17	J		
361.					Sold (part)	12/18/17	J		
362.					Sold (part)	12/19/17	M		
363.					Sold (part)	12/21/17	K		
364.					Sold (part)	12/26/17	J		
365.					Sold (part)	12/27/17	J		
366.					Sold	12/29/17	P2		
367. Local Motors Series A pfd stock		None	J	W					
368. Hephaestion II LP priv eq	G	Distribution	O	U	Buy (add'l)	06/28/17	K		
369.					Buy (add'l)	10/06/17	J		
370. Hephaestion Retail LP priv eq	F	Distribution	M	W					
371. PSC Luxembourg Hldgs LLC		None	J	U					
372. Trust # 22 (H)									
373. - Int in MECM Assoc II LLC privately held	C	Distribution	P1	W	Distributed (part)	07/07/17	O		See Part VIII
374. - Hephaestion LP	F	Distribution	O	W	Buy (add'l)	06/28/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	06/28/17	L		
376. - Hephaestion Co-invest I LP		None	N	W	Buy (add'l)	01/09/17	J		
377.					Buy (add'l)	03/30/17	J		
378.					Buy (add'l)	06/28/17	J		
379.					Buy (add'l)	09/29/17	J		
380. - Porus Invt Hldg Co Ltd LP		None	K	U					
381. - Xander Master Fund LLC	C	Distribution	L	U					
382. - Federated US Treas Cash Rsv cash equiv mutual fd	A	Dividend			Buy (add'l)	01/26/17	L		
383.					Buy (add'l)	04/04/17	L		
384.					Buy (add'l)	06/23/17	J		
385.					Buy (add'l)	06/29/17	K		
386.					Buy (add'l)	08/01/17	J		
387.					Buy (add'l)	11/17/17	L		
388.					Sold (part)	01/09/17	J		
389.					Sold (part)	03/30/17	J		
390.					Sold (part)	06/19/17	N		
391.					Sold (part)	06/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	09/29/17	J		
393.					Sold	12/29/17	L		
394. - Ptolemy Finance LLC (private eq)	G	Distribution	P2	W					
395. - Federated Treasury Obliga - IS (cash equiv) (x)		None	L	T	Buy	12/29/17	L		
396. Trust # 23 (H)									
397. - Federated US Treas Cash Rsv cash equiv mutual fund	B	Dividend			Buy (add'l)	10/26/17	J		
398.					Sold (part)	04/12/17	K		
399.					Sold (part)	12/06/17	K		
400.					Sold	12/29/17	M		
401. - Int in MECM Investments LLC (privately held)		None	P1	W					See Part VIII
402. - Federated Treasury Obliga - IS (cash equiv) (x)		None	M	T	Buy	12/29/17	M		
403. Trust # 24 (H)									
404. - Federated US Treas Cash Rsv mutual fund	B	Dividend			Buy (add'l)	10/26/17	J		
405.					Sold (part)	04/12/17	K		
406.					Sold	12/29/17	N		
407. - Int in MECM Investments LLC (privately held)		None	P1	W					See Part VIII
408. - Federated Treasury Obliga - IS (cash equiv) (x)		None	N	T	Buy	12/29/17	N		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/10/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Trust # 25 (H)									
410. - Federated US Treas Cash Rsv mutual fund	B	Dividend			Buy (add'l)	10/26/17	J		
411.					Sold (part)	04/12/17	K		
412.					Sold	12/29/17	N		
413. - Int in MECM Investments LLC (privately held)		None	P1	W					See Part VIII
414. - Federated Treasury Obliga - IS (cash equiv) (x)		None	N	T	Buy	12/29/17	N		
415. Trust # 26 (H)									
416. - Federated US Treas Cash Rsv cash equiv mutual fund	B	Dividend			Buy (add'l)	10/26/17	J		
417.					Sold (part)	04/12/17	K		
418.					Sold	12/29/17	N		
419. - Int in MECM Investments LLC (privately held)		None	P1	W					See Part VIII
420. - Federated Treasury Obliga - IS (cash equiv) (x)		None	N	T	Buy	12/29/17	N		
421. Liveprocess Crop Ser A pfd stock		None	J	T					
422. Wavemark Inc Ser B pfd stock (y)	D	Distribution							See Part VIII
423. Meridia Capital LP	B	Distribution	J	U					
424. Meta4 Group Ltd LP		None	J	U					
425. Elliott Associates, LP	H1	Distribution	P2	U					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Hony Capital Fund 2008, LP	G	Distribution			Buy (add'l)	03/30/17	J		
427.					Buy (add'l)	06/29/17	J		
428.					Buy (add'l)	09/27/17	J		
429.					Distributed	12/31/17	P1		See Part VIII
430. Lime Rock Partners V, LP combined		None	O	U	Buy (add'l)	02/24/17	J		
431.					Buy (add'l)	03/24/17	J		
432.					Buy (add'l)	04/27/17	J		
433.					Buy (add'l)	08/02/17	J		
434.					Buy (add'l)	09/22/17	J		
435.					Buy (add'l)	12/22/17	J		
436. Lone Star Fund VI (US), LP	E	Distribution			Distributed	12/31/17	N		See Part VIII
437. Lone Star Europe Holdings (US), LP		None			Distributed	12/31/17	N		See Part VIII
438. Lone Star Real Estate Fund (US), LP		None			Distributed	12/31/17	M		See Part VIII
439. Middle East North Africa Opportunities Fd, LP		None	J	W					
440. MECM Associates LLC (privately held) (H)									
441. - Abrams Capital Partners II LP		None	P2	W					
442. - Baupost Value Partners LP II	G	Distribution	P2	U					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Brookside Cap Partners Fd LP	E	Distribution			Distributed	12/31/17	M		See Part VIII
444. - Kensico Associates LP	H1	Distribution	P2	U					
445. - Federated US Treas Cash Rsv cash eq mutual fd	E	Dividend			Buy (add'l)	01/04/17	P1		
446.					Buy (add'l)	01/25/17	J		
447.					Buy (add'l)	02/02/17	J		
448.					Buy (add'l)	03/02/17	J		
449.					Buy (add'l)	04/03/17	L		
450.					Buy (add'l)	04/04/17	J		
451.					Buy (add'l)	04/12/17	J		
452.					Buy (add'l)	05/02/17	J		
453.					Buy (add'l)	05/23/17	N		
454.					Buy (add'l)	06/02/17	J		
455.					Buy (add'l)	07/05/17	J		
456.					Buy (add'l)	07/26/17	J		
457.					Buy (add'l)	08/02/17	J		
458.					Buy (add'l)	09/05/17	J		
459.					Buy (add'l)	10/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy (add'l)	10/24/17	J		
461.					Buy (add'l)	11/02/17	J		
462.					Buy (add'l)	11/20/17	N		
463.					Buy (add'l)	12/04/17	J		
464.					Sold (part)	02/10/17	M		
465.					Sold (part)	03/24/17	N		
466.					Sold (part)	08/11/17	M		
467.					Sold (part)	09/22/17	N		
468.					Sold (part)	10/30/17	N		
469.					Sold (part)	11/20/17	O		
470.					Sold	12/29/17	P2		
471. - PAR Investment Partners LP (private equity)	H1	Distribution	P2	W	Redeemed (part)	01/04/17	P1	G	
472. - Marathon UCITS Funds - International Equity F(private equity)	E	Distribution			Distributed	12/31/17	P1		See Part VIII
473. - Kabouter International Opportunities Fd II LLC (private equity)		None	P1	U					
474. - Advent International GPE VIII-B LP (private equity)		None	O	W	Buy (add'l)	02/10/17	M		See Part VIII
475.					Buy (add'l)	03/24/17	N		
476.					Buy (add'l)	08/11/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Buy (add'l)	09/22/17	N		
478. - SPDR Gold Trust (GLD) ETF		None			Sold	05/18/17	N		See Part VIII
479. - Federated Treasury Obliga - IS (cash equiv) (x)		None	P2	T	Buy	12/29/17	P2		
480. Berkeley Cty SC Municipal bd	D	Interest	M	T					
481. Hawaii St Municipal Bds	D	Interest	M	T					
482. Chatham Cty GA Municipal Bds	D	Interest	M	T					
483. MA St College Bds Municipal Bds	D	Interest	M	T					
484. Trust # 27 (H)									
485. - Int in MECM Investments LLC (privately held)		None	N	W					See Part VIII
486. - Federated US Treas Cash Rsv cash equiv (mutual fd)	A	Dividend			Buy (add'l)	02/06/17	K		
487.					Buy (add'l)	05/01/17	N		
488.					Buy (add'l)	12/06/17	K		
489.					Sold (part)	01/03/17	J		
490.					Sold (part)	01/10/17	J		
491.					Sold (part)	02/01/17	J		
492.					Sold (part)	03/01/17	J		
493.					Sold (part)	03/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Sold (part)	04/03/17	J		
495.					Sold (part)	04/07/17	J		
496.					Sold (part)	04/11/17	J		
497.					Sold (part)	05/16/17	K		
498.					Sold (part)	06/01/17	J		
499.					Sold (part)	06/30/17	K		
500.					Sold (part)	07/03/17	J		
501.					Sold (part)	07/10/17	J		
502.					Sold (part)	07/14/17	J		
503.					Sold (part)	08/01/17	J		
504.					Sold (part)	08/25/17	L		
505.					Sold (part)	09/01/17	J		
506.					Sold (part)	10/02/17	J		
507.					Sold (part)	10/06/17	J		
508.					Sold (part)	10/13/17	L		
509.					Sold (part)	11/01/17	J		
510.					Sold (part)	12/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Sold	12/29/17	M		
512. - Vanguard Dvd Apprec Ind-adm (VDADX)	A	Dividend			Sold (part)	02/03/17	K	E	
513.					Sold	04/28/17	M	F	
514. - Vanguard Emerg Mkts Stock Indx-adm (VEMAX) mutual fd	A	Dividend			Sold	04/28/17	M		See Part VIII
515. - Federated Treasury Obliga - IS (cash equiv) (x)		None	M	T	Buy	12/29/17	M		
516. Trust # 28 (H)									
517. - Int in MECM Investments LLC (privately held)		None	N	W					See Part VIII
518. - Federated US Treas Cash Rsv money mrkt (mutual fd)	B	Dividend			Buy (add'l)	03/10/17	J		
519.					Buy (add'l)	03/30/17	J		
520.					Buy (add'l)	06/22/17	J		
521.					Buy (add'l)	09/21/17	J		
522.					Buy (add'l)	12/22/17	J		
523.					Sold (part)	01/10/17	K		
524.					Sold (part)	02/16/17	J		
525.					Sold	12/29/17	M		
526. - Vanguard Dvd Apprec Ind-adm (VDADX)	C	Dividend	N	T					
527. - Vanguard Emerg Mkts Stock Indx-adm (VEMAX) mutual fd	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - Federated Treasury Obliga - IS (cash equiv) (x)		None	M	T	Buy	12/29/17	M		
529. CX Partners Fd Limited (private eq)	G	Distribution	O	W	Buy (add'l)	04/11/17	J		
530.					Buy (add'l)	08/22/17	J		
531. Hephaestion III LP	G	Distribution	N	U	Buy (add'l)	01/13/17	J		
532.					Buy (add'l)	03/29/17	J		
533.					Buy (add'l)	06/27/17	J		
534.					Buy (add'l)	10/04/17	J		
535. Orion European Real Estate Fd III CV (priv eq)	G	Distribution	M	W	Buy (add'l)	01/10/17	L		
536.					Buy (add'l)	06/05/17	K		
537.					Buy (add'l)	08/16/17	L		
538. RBC (Royal Bank of Canada), bank accounts	D	Interest	P1	T					
539. Gold boullion		None	P2	T					
540. MECM Investments LLC (H)									
541. - Berkeley Cty SC Municipal Bds	D	Interest	M	T					
542. - Maine St Municipal Bds	C	Interest			Matured	05/15/17	M		See Part VIII
543. - Int in MECM Associates II LLC (privately held)	B	Distribution	P1	W					See Part VIII
544. - Chatham Cty GA Municipal Bds	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. - Gold Bullion		None	P1	T					
546. - Federated US Treas Cash Rsv cash equiv (mutual fd)	C	Dividend			Buy (add'l)	01/20/17	J		
547.					Buy (add'l)	01/23/17	J		
548.					Buy (add'l)	01/31/17	J		
549.					Buy (add'l)	02/01/17	J		
550.					Buy (add'l)	02/13/17	K		
551.					Buy (add'l)	02/14/17	K		
552.					Buy (add'l)	02/15/17	J		
553.					Buy (add'l)	02/21/17	J		
554.					Buy (add'l)	02/28/17	J		
555.					Buy (add'l)	03/02/17	J		
556.					Buy (add'l)	03/14/17	K		
557.					Buy (add'l)	03/20/17	K		
558.					Buy (add'l)	03/27/17	K		
559.					Buy (add'l)	03/28/17	K		
560.					Buy (add'l)	04/10/17	K		
561.					Buy (add'l)	04/26/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Buy (add'l)	05/08/17	J		
563.					Buy (add'l)	05/10/17	K		
564.					Buy (add'l)	05/12/17	J		
565.					Buy (add'l)	05/15/17	K		
566.					Buy (add'l)	05/16/17	J		
567.					Buy (add'l)	05/17/17	J		
568.					Buy (add'l)	05/19/17	K		
569.					Buy (add'l)	05/22/17	J		
570.					Buy (add'l)	06/19/17	K		
571.					Buy (add'l)	06/23/17	J		
572.					Buy (add'l)	06/27/17	P1		
573.					Buy (add'l)	07/07/17	J		
574.					Buy (add'l)	07/10/17	K		
575.					Buy (add'l)	07/11/17	J		
576.					Buy (add'l)	07/24/17	K		
577.					Buy (add'l)	08/07/17	J		
578.					Buy (add'l)	08/11/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Buy (add'l)	08/14/17	K		
580.					Buy (add'l)	08/15/17	J		
581.					Buy (add'l)	08/16/17	J		
582.					Buy (add'l)	08/21/17	J		
583.					Buy (add'l)	08/29/17	J		
584.					Buy (add'l)	09/11/17	J		
585.					Buy (add'l)	09/27/17	J		
586.					Buy (add'l)	09/29/17	K		
587.					Buy (add'l)	10/03/17	J		
588.					Buy (add'l)	10/04/17	O		
589.					Buy (add'l)	10/16/17	J		
590.					Buy (add'l)	11/01/17	K		
591.					Buy (add'l)	11/03/17	J		
592.					Buy (add'l)	11/07/17	J		
593.					Buy (add'l)	11/10/17	J		
594.					Buy (add'l)	11/13/17	J		
595.					Buy (add'l)	11/14/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000	E ~\$15,001 - \$50,000 P1 ~\$1,000,001 - \$5,000,000 P2 ~\$5,000,001 - \$25,000,000
2. Value Codes (See Columns C1 and D3)	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	O ~\$500,001 - \$1,000,000	P4 ~More than \$50,000,000	S ~Assessment T ~Cash Market	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	W ~Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Buy (add'l)	11/15/17	J		
597.					Buy (add'l)	11/16/17	J		
598.					Buy (add'l)	11/20/17	J		
599.					Buy (add'l)	11/28/17	K		
600.					Buy (add'l)	11/29/17	J		
601.					Buy (add'l)	12/04/17	K		
602.					Buy (add'l)	12/19/17	J		
603.					Buy (add'l)	12/20/17	K		
604.					Buy (add'l)	12/27/17	K		
605.					Sold (part)	01/03/17	K		
606.					Sold (part)	01/20/17	K		
607.					Sold (part)	01/26/17	J		
608.					Sold (part)	02/01/17	J		
609.					Sold (part)	02/07/17	K		
610.					Sold (part)	02/08/17	K		
611.					Sold (part)	02/10/17	J		
612.					Sold (part)	03/01/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Sold (part)	03/08/17	K		
614.					Sold (part)	03/20/17	J		
615.					Sold (part)	03/30/17	J		
616.					Sold (part)	04/03/17	J		
617.					Sold (part)	04/18/17	K		
618.					Sold (part)	04/20/17	K		
619.					Sold (part)	05/01/17	J		
620.					Sold (part)	05/02/17	K		
621.					Sold (part)	05/09/17	K		
622.					Sold (part)	05/26/17	J		
623.					Sold (part)	05/31/17	K		
624.					Sold (part)	06/01/17	J		
625.					Sold (part)	06/07/17	K		
626.					Sold (part)	06/21/17	K		
627.					Sold (part)	06/28/17	P1		
628.					Sold (part)	07/03/17	J		
629.					Sold (part)	07/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Sold (part)	07/21/17	J		
631.					Sold (part)	08/01/17	J		
632.					Sold (part)	08/21/17	K		
633.					Sold (part)	08/22/17	J		
634.					Sold (part)	08/24/17	K		
635.					Sold (part)	09/01/17	J		
636.					Sold (part)	09/07/17	K		
637.					Sold (part)	09/11/17	K		
638.					Sold (part)	09/13/17	L		
639.					Sold (part)	09/15/17	K		
640.					Sold (part)	09/18/17	K		
641.					Sold (part)	10/02/17	J		
642.					Sold (part)	10/03/17	J		
643.					Sold (part)	10/16/17	J		
644.					Sold (part)	10/17/17	J		
645.					Sold (part)	10/24/17	K		
646.					Sold (part)	10/25/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Sold (part)	10/30/17	K		
648.					Sold (part)	11/01/17	J		
649.					Sold (part)	11/02/17	J		
650.					Sold (part)	12/01/17	J		
651.					Sold (part)	12/05/17	L		
652.					Sold (part)	12/11/17	K		
653.					Sold (part)	12/15/17	J		
654.					Sold (part)	12/19/17	L		
655.					Sold (part)	12/21/17	L		
656.					Sold (part)	12/26/17	J		
657.					Sold	12/29/17	N		
658. - Buckeye Partners LP, PTP	D	Dividend	M	T	Sold (part)	01/18/17	J		
659.					Sold (part)	01/23/17	K		See Part VIII
660.					Sold (part)	02/27/17	J	A	
661. - Williams Partners LP, PTP	D	Dividend	M	T	Buy (add'l)	03/27/17	J		
662.					Buy (add'l)	12/19/17	K		
663.					Buy (add'l)	12/29/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. - Energy Transfer Equity LP, PTP	D	Dividend	M	T	Buy (add'l)	01/17/17	K		
665.					Buy (add'l)	02/24/17	K		
666.					Sold (part)	09/27/17	K	C	
667. - Enterprise Prods Partners LP, PTP	E	Dividend	N	T	Buy (add'l)	05/25/17	K		
668.					Buy (add'l)	09/11/17	J		
669. - Magellan Midstream Partners, PTP	D	Dividend	N	T	Buy (add'l)	09/14/17	K		
670.					Sold (part)	01/17/17	J	C	
671.					Sold (part)	02/27/17	K	D	
672. - Plains All American Pipeline LP (PAA), PTP	E	Dividend	M	T					
673. - Spectra Energy Partners LP, PTP	D	Dividend	L	T	Sold (part)	02/02/17	J	B	
674.					Sold (part)	02/08/17	J	A	
675.					Sold (part)	02/08/17	J		
676.					Sold (part)	03/15/17	J		
677.					Sold (part)	03/15/17	K		
678.					Sold (part)	09/14/17	J		See Part VIII
679. - Sunoco Logistics Partners LP, PTP	C	Dividend			Buy (add'l)	02/02/17	K		
680.					Distributed	05/01/17	M		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. - Western Gas Partners LP, PTP	D	Dividend	M	T	Buy (add'l)	08/21/17	K		
682.					Buy (add'l)	10/20/17	K		
683. - Hawaii St bds, municipal bds	D	Interest	M	T					
684. - MA St Dev Fin Agy Bds, municipal bds	E	Interest	N	T	Buy (add'l)	11/01/17	N		
685. - WI St Trans Rev Bds, municipal bds	D	Interest	M	T					
686. - Charleston Cty SC municipal bds	D	Interest	N	T					
687. - Energy Northwest WA Elec Rev municipal bds	D	Interest	M	T					
688. - Frederick Cty MD municipal bds	A	Interest	J	T					
689. - Vanguard Energy Fd-Adm (mutual fd)	A	Dividend			Sold	10/03/17	O		See Part VIII
690. - Lone Star Real Estate Fd II (U.S.), LP (priv equity)	G	Distribution	M	W					See Part VIII
691. - Lone Star Fund VII (U.S.), LP (priv equity)	F	Distribution	K	W					
692. - PS Opportunities Onshore LP (priv equity)		None	P1	W					
693. - Andeavor Logistics LP (PTP) (fka Tesoro Logistics LP)	D	Dividend	M	T	Buy (add'l)	12/13/17	J		See Part VIII
694. - MPLX LP (PTP)	D	Dividend	N	T	Buy (add'l)	08/17/17	J		
695.					Buy (add'l)	09/05/17	K		
696.					Buy (add'l)	10/23/17	J		
697. - Western Gas Equity Partners LP (PTP)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. - EQT Midstream Partners, LP (PTP)	D	Dividend	M	T	Sold (part)	12/22/17	K	D	
699. - Williams Cos Inc common (WMB)	C	Dividend	M	T	Buy (add'l)	10/13/17	J		
700.					Sold (part)	02/23/17	J		
701.					Sold (part)	02/24/17	J		
702.					Sold (part)	03/23/17	K		See Part VIII
703. - PA Econ Dev Fing Auth municipal bds	D	Interest	M	T					
704. - Falcon Edge Global, LP (private equity)		None			Redeemed	04/10/17	K		See Part VIII
705. - University Utah University Revs (municipal Bds)	D	Interest	M	T					
706. - MA St Sch Bldg Auth (municipal bds)	D	Interest	M	T					
707. - Frontier MFG Global Equity Fd Instl (FMGEX) mutual fd	G	Dividend	P1	T	Buy (add'l)	12/18/17	L		
708. - Genesis Energy LP (GEL) (PTP)	D	Dividend	K	T	Sold (part)	08/10/17	J		
709.					Sold (part)	10/12/17	J		See Part VIII
710. - Oneok Inc, com (OKE)	D	Dividend	M	T	Spinoff (from line 729)	06/30/17	M		See Part VIII
711.					Sold (part)	09/13/17	K	B	
712. - Phillips 66 Partners LP (PSXP) (PTP)	D	Dividend	M	T					
713. - Valero Energy Partners LP (VLP) (PTP)	C	Dividend	L	T	Buy (add'l)	03/03/17	K		
714. - Morehead St Univ KY municipal bds	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

715. - Pennsylvania St municipal bds	D	Interest			Sold	10/04/17	M		See Part VIII
716. - Stratford CT municipal bds	D	Interest	M	T					
717. - Western Mich Univ Rev municipal bds	D	Interest	M	T					
718. - DL Partners Opportunities Fd LP (private eq)		None	P1	W					
719. - Antero Midstream Partners LP (AM) (PTP)	C	Dividend	L	T	Buy (add'l)	02/06/17	J		
720.					Buy (add'l)	09/06/17	K		
721. - Dominion Energy Midstream Partners LP (DM) (PTP) (fka Dominion Mid)	C	Dividend	L	T					See Part VIII
722. - Shell Midstream Partners LP (SHLX) (PTP)	C	Dividend	L	T	Buy (add'l)	09/11/17	L		
723. - Forsyth Cnty GA municipal bds	D	Interest	M	T					
724. - Franklin IND Cmnty-Sch Bldg municipal bds	D	Interest	M	T					
725. - Maryland St municipal bds	E	Interest	O	T	Buy (add'l)	08/18/17	N		
726. - Waseca MN Indpt Sch Dist municipal bds	C	Interest			Sold	04/26/17	M		See Part VIII
727. - Edgbaston Asian Equity Trust (private eq)		None	P1	W					
728. - EQT GP Holding LP (EQGP) (PTP)	A	Dividend	K	T					
729. - Oneok Partners LP (OKS) (PTP)	D	Dividend			Sold (part)	01/27/17	J	A	
730.					Sold (part)	01/27/17	J	B	
731.					Sold (part)	03/09/17	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Distributed	06/30/17	M	G	
733. - Targa Resources Corp (TRGP), common stock	C	Dividend	L	T	Buy (add'l)	01/23/17	K		
734.					Buy (add'l)	02/03/17	K		
735.					Buy (add'l)	02/23/17	K		
736.					Buy (add'l)	04/27/17	K		
737. - Energy Transfer Partners LP (ETP), PTP	E	Dividend	M	T	Spinoff (from line 680)	02/02/17	M		
738.					Sold (part)	05/05/17	K		
739.					Sold (part)	06/14/17	K		
740.					Sold (part)	07/05/17	K		
741.					Sold (part)	09/29/17	J		See Part VIII
742. - Noble Midstream Partners LP (NBLX), PTP	B	Dividend	K	T					
743. - Tallgrass Energy Partners LP (TEP), PTP	D	Dividend	L	T	Buy (add'l)	06/16/17	K		
744. - Tallgrass Energy GP LP (TEGP) C1 A shares	A	Dividend	K	T					
745. - Massachusetts Bay Trans Auth MA Rev municipal bds	B	Interest			Sold	01/24/17	M		See Part VIII
746. - Massachusetts St municipal bonds	E	Interest			Redeemed	08/01/17	M		See Part VIII
747. - New York St Dorm Auth St Pers Inc Tax Rev municipal bds	E	Interest			Sold	12/07/17	N		See Part VIII
748. - Oakland Univ Mich Rev municipal fds	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. - Snohomish Cnty Wash Sch Dist municipal bds	D	Interest			Sold	12/01/17	M		See Part VIII
750. - Union Cnty NJ municipal bds	C	Interest			Sold	03/20/17	N		See Part VIII
751. - MFB Northern Funds US Govt money mkt (cash equiv)	C	Dividend	N	T	Sold (part)	12/31/17	N		See Part VIII
752. - Governors Lane Onshore Fund LP (private equity) (x)		None	P1	W	Buy	06/28/17	P1		
753. - Waterline Ventures II LP (private equity) (x)		None	L	W	Buy	09/13/17	L		
754.					Buy (add'l)	12/11/17	K		
755. - Kildare European Partners I, LP (private equity) (x)		None	O	W	Open	12/31/17	J		
756. - Regent Opportunity Fund IV, LLC (private equity) (x)		None	M	W	Buy	12/11/17	J		
757.					Buy (add'l)	12/19/17	L		
758. - US Bank Security acct (H) (x)									
759. - First Am US Treas mm CL Z cash equiv (mutual fd) (x)		None	L	T	Buy	12/06/17	L		
760. - BP Midstream Partners (BPMP), PTP (x)		None	K	T	Buy	10/26/17	K		
761.					Buy (add'l)	10/31/17	J		
762. - Nustar Energy LP, PTP (x)	B	Dividend			Buy	04/12/17	K		
763.					Sold	10/30/17	K		See Part VIII
764. - Antero Midstream Group LP, PTP (x)	A	Dividend	K	T	Buy	05/04/17	K		
765.					Buy (add'l)	05/23/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. - Cheniere Energy INC (LNG) (x)		None	K	T	Buy	02/27/17	K		
767. - Castlelake V LPs (private equity) (x)		None	J	W	Open	12/31/17	J		
768. - Berkshire Wind Pwr Coop Corp Mass municipal bds (x)	A	Interest	N	T	Buy	12/15/17	N		
769. - Burlington Cnty NJ municipal bds (x)	C	Interest	N	T	Buy	06/06/17	N		
770. - Boulder Valley Colo municipal bds (x)	B	Interest			Buy	03/22/17	N		
771.					Sold	05/09/17	N	C	
772. - Maricopa Cnty Ariz municipal bds (x)	D	Interest	N	T	Buy	06/02/17	N		
773. - Odessa Tex Jr College municipal bds (x)	A	Interest	N	T	Buy	12/05/17	N		
774. - San Antonio Tex municipal bds (x)	D	Interest	N	T	Buy	02/01/17	N		
775. - Springfield Mass municipal bds (x)	D	Interest	N	T	Buy	04/21/17	N		
776. - WA Multnomah & Yamhill Cntys municipal bds (x)	A	Interest	N	T	Buy	12/13/17	N		
777. - New York St Dorm Auth St Pers Inc municipal bds (x)	C	Interest			Buy	06/29/17	M		
778.					Sold	11/17/17	M		See Part VIII
779. - University Mich Univ Revs municipal bds (x)	C	Interest			Buy	01/12/17	N		
780.					Sold	04/19/17	N	D	
781. - Brookside Cap Partners Fd LP (x)		None	M	W	Open	12/31/17	M		
782. - Marathon UCITS Funds - International Equity F(private equity) (x)		None	P2	W	Open	12/31/17	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Open	12/31/17	O		
784. - Summit Ptns Priv Eq Fd VII-A (x)		None	N	W	Open	12/31/17	N		
785. - Hony Capital Fund 2008, LP (x)		None	P1	U	Open	12/31/17	P1		
786. - Lone Star Fund VI (US), LP (x)		None	N	U	Open	12/31/17	N		
787. - Lone Star Europe Holdings (US), LP (x)		None	N	U	Open	12/31/17	N		
788. - Lone Star Real Estate Fund (US), LP (x)		None	M	U	Open	12/31/17	M		
789. - Lime Rock Partners VI LP (private equity) (x)		None	O	U	Open	12/31/17	O		
790. - Lone Star Fund VIII (US) LP (private equity) (x)		None	P1	U	Open	12/31/17	P1		
791. - Lone Star Real Estate Fund III (US), LP (private eq) (x)		None	O	U	Open	12/31/17	O		
792. - Castlelake IV LP (private eq) (x)		None	M	U	Open	12/31/17	M		
793. - CL IV Inc LLC (private eq) (x)		None	P1	U	Open	12/31/17	P1		
794. - Lime Rock Partners VII LP (private eq) (x)		None	O	U	Open	12/31/17	O		
795. - Lone Star IX (US), LP (private eq) (x)		None	P1	U	Open	12/31/17	P1		
796. - Aria Investment Partners LP LLC (private equity) (x)		None	M	U	Open	12/31/17	M		
797. - Centerbridge Cap Ptns LPs (Priv. Eq) (x)		None	N	U	Open	12/31/17	N		
798. - MP Fund I LP (private eq) (x)		None	M	U	Open	12/31/17	M		
799. - Kildare European Partners I, LP (private eq) (x)		None	O	U	Open	12/31/17	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. - Federated Treasury Obliga - IS (cash equiv) (x)		None	N	T	Buy	12/29/17	N		
801. MECM Associates II LLC (H)									
802. - Baupost Value Partners LP II	G	Distribution	P1	W					
803. - Elliott Associates LP		None	P1	W					
804. - HighVista I LP		None	P1	W					
805. - Penta Asia Long Short Fd Ltd LP	A	Distribution	K	W	Redeemed (part)	02/01/17	J		
806.					Redeemed (part)	10/01/17	J		
807. - X Investment Holdings co Ltd LP		None	N	W					
808. - Federated US Teas Cash Rsv cash equiv (mutual fd)	A	Dividend			Buy (add'l)	02/21/17	J		
809.					Sold (part)	06/23/17	J		
810.					Sold (part)	10/23/17	J		
811.					Sold	12/29/17	M		
812. - Vanguard Dvd Apprec Ind-adm (VDADX) mutual fd	C	Dividend	M	T					
813. - Federated Treasury Obliga - IS (cash equiv) (x)		None	N	T	Buy	12/29/17	N		
814. First Republic Bank, bank account (cash account)	A	Interest	K	T					
815. Perry Partners, LP (private equity)	E	Distribution	J	U					
816. Local Motors Inc Ser B pfd stock		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. Local Motors Inc Ser C pfd stock		None	N	T					
818. AdoreMe Inc-seed pfd (fka WebcamGen Inc Ser A pfd) clsly held		None	K	T	Redeemed (part)	01/23/17	M	G	See Part VIII
819. Highland Capital Partners VIII, LP		None	N	U	Buy (add'l)	05/19/17	J		
820.					Buy (add'l)	10/12/17	J		
821. New Jersey St bds, municipal bds	C	Interest			Redeemed	06/01/17	M		See Part VIII
822. Charleston Cty SC municipal bds	D	Interest	M	T					
823. Energy Northwest WA municipal bds	D	Interest	M	T					
824. MA St Sch Bldg municipal bds	D	Interest	M	T					
825. Medina Cty OH municipal bds	D	Interest	M	T					
826. Oregon St municipal bds	D	Interest	N	T					
827. Vanguard Energy Fd-Adm (mutual fd)	A	Dividend			Sold	10/04/17	P1		See Part VIII
828. Artemis Real Est Parturs Fd I LP	G	Distribution	O	W					
829. Prosperitas Real Est Partners III LP (private equity)	A	Distribution	K	W					
830. Prosperitas Real Est Partners IIIA LP (private equity)	A	Distribution	K	W					
831. Prosperitas Real Est Partners IIIB LP (private equity)	A	Distribution	K	W					
832. PS Opportunities Onshore LP (priv equity)		None	P1	U					
833. Liveprocess Corp Ser B pfd		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. Trust # 29 (H)									
835. - Plymouth Rock Co Inc OC Cl A com stock (closely held)	G	Dividend	P2	T					
836. - Federated US Treas Cash Rsv cash equiv mutual fund	B	Dividend			Buy (add'l)	03/16/17	L		
837.					Buy (add'l)	04/12/17	J		
838.					Buy (add'l)	09/14/17	L		
839.					Buy (add'l)	10/30/17	N		
840.					Buy (add'l)	11/20/17	O		
841.					Buy (add'l)	11/29/17	J		
842.					Buy (add'l)	12/21/17	K		
843.					Sold (part)	01/03/17	J		
844.					Sold (part)	02/01/17	J		
845.					Sold (part)	03/01/17	J		
846.					Sold (part)	04/03/17	J		
847.					Sold (part)	04/20/17	K		
848.					Sold (part)	05/01/17	J		
849.					Sold (part)	06/01/17	J		
850.					Sold (part)	06/07/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851.					Sold (part)	07/03/17	J		
852.					Sold (part)	08/01/17	J		
853.					Sold (part)	08/22/17	J		
854.					Sold (part)	09/01/17	J		
855.					Sold (part)	09/21/17	L		
856.					Sold (part)	09/25/17	K		
857.					Sold (part)	10/02/17	J		
858.					Sold (part)	10/03/17	K		
859.					Sold (part)	10/11/17	K		
860.					Sold (part)	10/17/17	J		
861.					Sold (part)	10/25/17	J		
862.					Sold (part)	11/01/17	K		
863.					Sold (part)	11/06/17	J		
864.					Sold (part)	11/14/17	K		
865.					Sold (part)	11/20/17	K		
866.					Sold (part)	11/27/17	K		
867.					Sold (part)	12/01/17	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868.					Sold (part)	12/06/17	K		
869.					Sold (part)	12/12/17	K		
870.					Sold (part)	12/19/17	K		
871.					Sold (part)	12/21/17	K		
872.					Sold (part)	12/26/17	K		
873.					Sold (part)	12/27/17	N		
874.					Sold	12/29/17	O		
875. - Hephaestion IV LP (private equity)	D	Distribution	O	U	Buy (add'l)	08/22/17	J		
876. - MECM Assoc LLC (privately held)	H1	Distribution	P4	W					See Part VIII
877. - Independent Franchise Partners US Eq Fd (IFPUX) mutual fd	G	Dividend	P1	T	Buy (add'l)	12/20/17	L		
878. - Federated Treasury Obliga - IS (cash equiv) (X)		None	O	T	Buy	12/29/17	O		
879. Trust # 30 (H)									
880. - Federated US Treas Cash Rsv cash equiv mutual fd	A	Dividend			Buy (add'l)	06/23/17	J		
881.					Sold	11/20/17	J		
882. - Int in MECM Assoc II LLC (privately held)	C	Distribution			Distributed	09/30/17	P1		See Part VIII
883. Trust # 31 (H)									
884. - Vanguard Dvd Apprec Ind-adm (VDADX) mutual fd	C	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

885. - Vanguard Emerg Mkts Stock Indx-adm (VEMAX) mutual fd	C	Dividend	M	T					
886. - Int in MECM Investments LLC (privately held)		None	N	W					See Part VIII
887. - Federated US Treas Cash Rsv cash eq mutual fd	B	Dividend			Buy (add'l)	02/28/17	K		
888.					Buy (add'l)	06/22/17	J		
889.					Buy (add'l)	09/21/17	J		
890.					Buy (add'l)	12/22/17	J		
891.					Sold (part)	01/04/17	J		
892.					Sold (part)	01/20/17	K		
893.					Sold (part)	02/16/17	J		
894.					Sold (part)	03/02/17	J		
895.					Sold (part)	03/30/17	L		
896.					Sold (part)	04/10/17	J		
897.					Sold (part)	04/18/17	J		
898.					Sold (part)	06/02/17	L		
899.					Sold (part)	06/07/17	J		
900.					Sold (part)	06/19/17	J		
901.					Sold (part)	06/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902.					Sold (part)	07/06/17	J		
903.					Sold (part)	08/02/17	L		
904.					Sold (part)	08/09/17	J		
905.					Sold (part)	08/28/17	K		
906.					Sold (part)	09/08/17	J		
907.					Sold (part)	09/27/17	J		
908.					Sold (part)	10/03/17	J		
909.					Sold (part)	11/02/17	J		
910.					Sold (part)	12/14/17	K		
911.					Sold (part)	12/27/17	K		
912.					Sold	12/29/17	J		
913. - Federated Treasury Obliga - IS (cash equiv) (x)		None	J	T	Buy	12/29/17	J		
914. Hephaestion IV LP (private equity)	E	Distribution	P1	U	Buy (add'l)	08/27/17	J		
915. Learn Our History LLC (private equity)		None	L	W					
916. Patagonia Sur, LLC (private equity)		None	M	U					
917. Tekne Partners, LP (private equity)		None	P1	U					
918. Lime Rock Partners VI LP (private equity)	G	Distribution			Buy (add'l)	03/24/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919.					Buy (add'l)	05/11/17	J		
920.					Buy (add'l)	06/30/17	J		
921.					Buy (add'l)	08/10/17	J		
922.					Buy (add'l)	09/08/17	K		
923.					Buy (add'l)	09/27/17	K		
924.					Buy (add'l)	12/22/17	K		
925.					Distributed	12/31/17	O		See Part VIII
926. Metropolitan St Louis, MO municipal fd	D	Interest	M	T					
927. PA Econ Dev Auth municipal bds	D	Interest	M	T					
928. Glidewell Ltd Ser A Pfd stock		None			Closed	12/31/17	J		See Part VIII
929. Trust # 32 (H)									
930. - Int in MECM Investments LLC (closely held)		None	N	W					See Part VIII
931. - Vanguard Dvd Apprec Ind-adm (VDADX) mutual fd	C	Dividend	N	T					
932. - Vanguard Emerg Mkts Stock Indx-adm (VEMAX mutual fund)	C	Dividend	M	T					
933. - Federated US Treas Cash Rsv, cash equiv mutual fd	A	Dividend			Buy (add'l)	03/30/17	J		
934.					Buy (add'l)	06/22/17	J		
935.					Buy (add'l)	09/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936.					Buy (add'l)	12/22/17	J		
937.					Sold (part)	01/13/17	J		
938.					Sold (part)	01/17/17	J		
939.					Sold (part)	01/31/17	J		
940.					Sold (part)	02/14/17	J		
941.					Sold (part)	03/15/17	J		
942.					Sold (part)	04/06/17	K		
943.					Sold (part)	04/18/17	J		
944.					Sold (part)	05/17/17	J		
945.					Sold (part)	06/07/17	J		
946.					Sold (part)	06/19/17	J		
947.					Sold (part)	07/17/17	J		
948.					Sold (part)	08/15/17	J		
949.					Sold (part)	09/11/17	J		
950.					Sold (part)	09/12/17	J		
951.					Sold (part)	09/18/17	J		
952.					Sold (part)	11/09/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953.					Sold (part)	11/15/17	J		
954.					Sold (part)	12/18/17	J		
955.					Sold	12/29/17	L		
956. - Federated Treasury Obliga - IS (cash equiv) (x)		None	L	T	Buy	12/29/17	L		
957.					Sold (part)	12/29/17	J		
958. University Utah University Revs municipal bds	D	Interest	M	T					
959. Acacia Conservation Fund LP (private equity)		None	P1	W					
960. Compass DRR Mexico F1-2 Limited (private equity)		None	N	W					
961. Innovation works Development Fd II, LP (private equity)	G	Distribution	P1	U	Buy (add'l)	02/28/17	K		
962. Kensico Associates LP (private equity)		None	P1	U					
963. Lone Star Fund VIII (US) LP (private equity)	G	Distribution			Buy (add'l)	03/15/17	N		
964.					Distributed	12/31/17	P1		See Part VIII
965. Marathon UCITS Funds - International Equity F(private equity)	D	Distribution			Distributed	12/31/17	O		See Part VIII
966. RNPf Co Invest LLC (private equity)		None	N	U	Buy (add'l)	11/29/17	J		
967. RP Holdings Fund II, LLC (private equity)	E	Distribution	L	U					
968. Velos Partners Fund I LP (private equity)		None	M	U					
969. Morehead St Univ KY municipal bds	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970. Stratford CT municipal bds	D	Interest	M	T					
971. Artemis Real Est Parturs Fd II LP (private eq	G	Distribution	O	U	Buy (add'l)	05/18/17	L		
972.					Buy (add'l)	06/29/17	L		
973.					Buy (add'l)	08/30/17	K		
974.					Buy (add'l)	11/15/17	K		
975. Kildare European Partners I, LP (private eq)	G	Distribution			Buy (add'l)	01/20/17	J		
976.					Buy (add'l)	05/10/17	J		
977.					Buy (add'l)	06/09/17	K		
978.					Buy (add'l)	08/29/17	L		
979.					Buy (add'l)	09/22/17	N		
980.					Buy (add'l)	10/06/17	J		
981.					Distributed	12/31/17	O		See Part VIII
982. Lone Star Real Estate Fund III (US), LP (private eq)	G	Distribution			Buy (add'l)	02/28/17	J		
983.					Buy (add'l)	04/12/17	J		
984.					Distributed	12/31/17	O		See Part VIII
985. MP Fund I LP (private eq)		None			Distributed	12/31/17	M		See Part VIII
986. Proclara Biosciences Inc Ser D pfd stock (fka Neurophage Pharms)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987. Orion European Real Estate Fd IV CV (private eq)	G	Distribution	N	U	Buy (add'l)	01/26/17	K		
988.					Buy (add'l)	02/21/17	L		
989.					Buy (add'l)	06/21/17	L		
990. Barclays Bank, bank account (cash account)		None			Closed	12/31/17	J		See Part VIII
991. Local Morots Inc Ser B-1 pfd (closely held)		None	N	T					
992. Proclara Biosciences Inc Ser E pfd stock (fka Neurophage Pharms)		None	K	T					
993. CourtScribes Inc Ser A prim pfd (Delaware Corp)		None	K	T					
994. Glidewell Ltd Ser B pfd Stock		None			Closed	12/31/17	J		See Part VIII
995. Instinct Hlth Science Seed-1 pfd (privately held)		None			Closed	12/31/17	J		See Part VIII
996. Instinct Hlth Science Seed-2 pfd (privately held)		None			Closed	12/31/17	J		See Part VIII
997. Blackivy LLC (private eq)		None	O	W	Buy (add'l)	01/23/17	M		
998.					Buy (add'l)	06/28/17	L		
999.					Buy (add'l)	12/29/17	K		
1000. CL IV Inc LLC (private eq) ** see part VIII note	F	Distribution			Buy (add'l)	05/02/17	L		See Part VIII
1001.					Buy (add'l)	06/13/17	L		
1002.					Buy (add'l)	07/05/17	L		
1003.					Buy (add'l)	09/22/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004.					Distributed	12/31/17	P1		See Part VIII
1005. Castlake IV LP (private eq) ** see part VIII note	G	Distribution			Buy (add'l)	05/12/17	K		See Part VIII
1006.					Buy (add'l)	06/13/17	K		
1007.					Buy (add'l)	07/05/17	K		
1008.					Buy (add'l)	09/22/17	K		
1009.					Distributed	12/31/17	M		See Part VIII
1010. Coastal Force Invnt in Real Estate LP (private eq)		None	O	U					
1011. Lime Rock Partners VII LP (private eq)	F	Distribution			Buy (add'l)	01/20/17	K		
1012.					Buy (add'l)	03/22/17	K		
1013.					Buy (add'l)	06/22/17	L		
1014.					Buy (add'l)	08/09/17	K		
1015.					Buy (add'l)	09/22/17	L		
1016.					Distributed	12/31/17	O		See Part VIII
1017. Lone Star IX (US), LP (private eq)	G	Distribution			Buy (add'l)	01/12/17	K		
1018.					Buy (add'l)	03/20/17	M		
1019.					Buy (add'l)	05/09/17	K		
1020.					Buy (add'l)	06/16/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1021.					Buy (add'l)	07/20/17	J		
1022.					Buy (add'l)	07/31/17	K		
1023.					Buy (add'l)	09/22/17	M		
1024.					Distributed	12/31/17	P1		See Part VIII
1025. Longpoint Realty Partners LP CL B (private eq)		None	O	U					
1026. Forsyth Cnty GA municipal bds	D	Interest	M	T					
1027. King Cnty WA Sch Dist municipal bds	A	Interest			Sold	01/09/17	M		See Part VIII
1028. Maryland St municipal bds	E	Interest	N	T	Buy (add'l)	08/18/17	M		
1029. Waseca MN Indpt Sch Dist municipal bds	D	Interest			Sold	04/26/17	M		See Part VIII
1030. Franklin IND Cmnty Sch Bldg municipal bds	B	Interest	L	T					
1031. IRA Rollover # 2 (H)									
1032. - Vanguard Total Wrld Stock Idx Fd (VT) mutual fd	C	Dividend	M	T					
1033. - Federated U S Treas Cash Rsv mm Fd (cash equiv)	A	Dividend			Sold	12/29/17	J		
1034. - Federated Treasury Obliga - 1S (cash equiv) (x)		None	J	T	Buy	12/29/17	J		
1035. Trust # 33 (H)									
1036. - Plymouth Rock Co Inc OC C1 A - common stock	G	Dividend			Distributed	05/22/17	P2		See Part VIII
1037. - Federated US Treas Cash Rsv mutual fd (cash equiv)	B	Dividend			Buy (add'l)	03/16/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
SARIS, PATTI B.	05/10/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1038.					Buy (add'l)	09/14/17	M		
1039.					Sold (part)	05/22/17	N		
1040.					Sold (part)	11/17/17	K		
1041.					Sold	12/29/17	M		
1042. - Federated Treasury Obliga - IS (cash equiv) (x)		None	M	T	Buy	12/29/17	M		
1043. - Interest in Trust # 35 (x)		None	P2	T	Open	05/22/17	P2		
1044. Guesty Inc Ser A pfd stock		None	K	T					
1045. HST Solar Farms Inc Ser A-2 Pfd (closely held)		None	M	W					
1046. Zoom Information Inc C1 B closely held		None			Sold	09/27/17	K	E	
1047. MFB Northern Funds US Govt money mkt (cash equiv)	B	Dividend	M	T					See Part VIII
1048. Castle Rock Colo Sales & Use Tax Rev municipal bds	C	Interest	M	T					
1049. Edmond OK Pub Wks Auth Sales Tax & Util Rev municipal bds	D	Interest	N	T					
1050. Indiana Univ Revs municipal bds	D	Interest			Matured	08/01/17	M		See Part VIII
1051. Massachusetts Bay Trans Auth Mass Rev municipal bds	D	Interest			Sold	01/24/17	M		See Part VIII
1052. Massachusetts St BEO municipal bds	D	Interest			Redeemed	08/01/17	M		See Part VIII
1053. Minneapolis & St Paul MN Met Arpts Comm municipal bds	D	Interest	M	T					
1054. New York St Dorm Auth St Pers Inc Tax Rev municipal bds	C	Interest			Sold	12/07/17	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1055. Snohomish Cnty Wash Sch Dist municipal bds	D	Interest			Matured	12/01/17	N		See Part VIII
1056. South Dakota Brd Regts Hsg & Auxiliary Fac municipal bds	D	Interest	M	T					
1057. Union Cnty NJ BEO municipal bds	B	Interest			Sold	03/20/17	M		See Part VIII
1058. Aria Investment Partners LP LLC (private equity)	G	Distribution			Buy (add'l)	07/07/17	J		
1059.					Buy (add'l)	10/05/17	M		
1060.					Buy (add'l)	11/20/17	L		
1061.					Distributed	12/31/17	M		See Part VIII
1062. Artemis R E Prturs Hlthcare Fd I LP (private equity)	G	Distribution	N	U	Buy (add'l)	02/24/17	N		
1063.					Buy (add'l)	03/28/17	M		
1064.					Buy (add'l)	07/26/17	M		
1065.					Buy (add'l)	09/14/17	J		
1066. Denham Oil & Gas Fd LP (private equity)	G	Distribution	O	U	Buy (add'l)	02/06/17	L		
1067.					Buy (add'l)	08/02/17	K		
1068.					Buy (add'l)	09/26/17	K		
1069.					Buy (add'l)	11/08/17	M		
1070. Graduate Syndicate Fund I LP (private equity)		None	N	U					
1071. Hillhouse Fund III LP (private equity)		None	P1	U	Buy (add'l)	01/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1072.					Buy (add'l)	01/23/17	M		
1073.					Buy (add'l)	03/27/17	L		
1074.					Buy (add'l)	06/26/17	J		
1075.					Buy (add'l)	07/20/17	M		
1076.					Buy (add'l)	09/01/17	L		
1077.					Buy (add'l)	09/27/17	K		
1078.					Buy (add'l)	10/23/17	M		
1079.					Buy (add'l)	11/08/17	K		
1080.					Buy (add'l)	12/19/17	M		
1081. Thorntree Capital Fund LP (private equity)		None	P1	U					
1082. FE Industrial Partners I LP (private equity) (x)	C	Distribution	M	U	Buy	02/17/17	K		
1083.					Buy (add'l)	05/18/17	K		
1084.					Buy (add'l)	06/15/17	L		
1085.					Buy (add'l)	11/13/17	K		
1086. Blackstone Distressed Securites Fund LP (private equity) (y)		None	J	U					See Part VIII
1087. Semma Therapeutics Inc Ser B Pfd stock (x)		None	N	T	Buy	11/21/17	N		
1088. Venn 2014 LTD (private equity) (x)		None	M	W	Buy	10/03/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1089. Boulder Valley Colo municipal bds (x)	B	Interest			Buy	03/22/17	N		
1090.					Sold	05/09/17	N	C	
1091. Burlington Cnty NJ municipal bds (x)	C	Interest	N	T	Buy	06/06/17	N		
1092. Eagle Riv Colo municipal bds (x)	A	Interest	M	T	Buy	12/14/17	M		
1093. Florida St Brd Ed Pub municipal bds (x)	B	Interest	M	T	Buy	10/02/17	M		
1094. Maricopa Cnty Ariz municipal bds (x)	D	Interest	N	T	Buy	06/02/17	N		
1095. Massachusetts St Dev Fin Agy municipal bds (x)	A	Interest	M	T	Buy	11/01/17	M		
1096. Odessa Tex Jr College municipal bds (x)	A	Interest	N	T	Buy	12/05/17	N		
1097. San Antonio Tex municipal bds (x)	D	Interest	N	T	Buy	02/01/17	N		
1098. Springfield Mass municipal bds (x)	C	Interest	M	T	Buy	04/21/17	M		
1099. University Mich Univ Revs municipal bds (x)	B	Interest			Buy	01/12/17	M		
1100.					Sold (part)	03/24/17	L		
1101.					Sold	04/19/17	M	C	
1102. Federated Treasury Obliga - IS (cash equiv) (x)		None	P2	T	Buy	12/29/17	P2		
1103. Trust # 34 (H) (x)									
1104. - Int in MECM Assoc II LLC (privately held) (x)		None	P1	W	Open	09/30/17	P1		See Part VIII
1105. Trust # 35 (H) (x)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1106. - Plymouth Rock Co Inc OC C1 A - common stock (x)		None	P2	T	Open	05/22/17	P2		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Postions -

A. Reference is made to Trust #4 of which J. Saris is a co-trustee. NOTE: All assets have been separately reported on the FDR and properly disclosed.

Part VII. Investments and Trusts -

A. Items listed on calendar year 2016 Amended Financial Disclosure Report (FDR) and excluded for calendar year 2017 do not meet the aggregate income, or aggregate value levels outlined in the Financial Disclosure Report Filing Instructions for Judicial Officers and Employees (said instructions referencing Sections 102(a)(3) and 102(a)(1)(B)).

- Item 2: TA Conference LLC - The asset was not removed in 2017 because a residual distribution was received in 2017. The asset will be removed from the 2018 FDR.
- Item 3: Tucker Anthony Private Equity Fund III - There was no reportable gain on the redemption.
- Item 41: Tucker Anthony Private Equity Fund IV - There was no reportable gain on the redemption.
- Item 68: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 74: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 80: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 87: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 89: Wavemark Inc Ser A pfd stock - Wavemark was acquired by Cardinal Health in 2013. The asset was not removed in 2017 because a residual distribution was received in 2017. The asset will be removed from the 2018 FDR. Also see item 422.
- Item 91: Centerbridge Cap Ptnrs LPs - This asset was distributed to MECM Investments LLC, see Item 797.
- Item 96: Summit Ptnrs Priv Eq Fd VII-A - This asset was distributed to MECM Investments LLC, see Item 784.
- Item 373: See Items 801 thru 813 for total MECM Associates II LLC assets, purchases and sales.
- Item 401: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 407: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 413: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 419: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 422: Wavemark Inc Ser B pfd stock - See Item 89.
- Item 429: Hony Capital Fund 2008, LP - This asset was distributed to MECM Investments LLC, see Item 785.
- Item 436: Lone Star Fund VI (US), LP - This asset was distributed to MECM Investments LLC, see Item 786.
- Item 437: Lone Star Europe Holdings (US), LP - This asset was distributed to MECM Investments LLC, see Item 787.
- Item 438: Lone Star Real Estate Fund (US), LP - This asset was distributed to MECM Investments LLC, see Item 788.
- Item 443: Brookside Cap Partners Fd LP - This asset was distributed to MECM Investments LLC, see Item 781.
- Item 472: Marathon UCITS Funds International Equity F - This asset changed its name and was formerly known as Marathon Global Fd International Equity F. This asset was distributed to MECM Investments LLC, see Item 782.
- Item 474: Advent International GPE VIII-B LP - The asset name was corrected for the misspelling of "Advent" (previously reported as "Advnt").
- Item 478: SPDR GOLD Trust (GLD) ETF - There was no reportable gain on the sale.
- Item 485: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 514: Vanguard Emerg Mkts Stock Indx-adm - There was no reportable gain on the sale.
- Item 517: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 542: Maine St Municipal Bds - There was no reportable gain at the maturity.
- Item 543: See items 801 thru 813 for total MECM Associates II LLC assets, purchases and sales.
- Item 659: Buckeye Partners LP - There was no reportable gain for each of the two sales.
- Item 678: Spectra Energy Partners LP - There was no reportable gain for each of the four sales.
- Item 680: Sunoco Logistics Partners LP - This asset merged with Energy Transfer Partners LP, see Item 737.
- Item 689: Vanguard Energy Fd-Adm - There was no reportable gain on the sale.
- Item 690: Lone Star Fund VII (U.S.) LP - There was change in year-end value from last year, the value decreased from M to K.
- Item 693: Andeavor Logistics LP - This asset changed its name and was formerly known as Tesoro Logistics LP.
- Item 700 to 702: William Cos Inc - There was no reportable gain on each of the three sales.
- Item 704: Falcon Edge Global, LP - There was no reportable gain on the redemption.
- Item 709: Genesis Energy LP - There was no reportable gain on each of the two sales.
- Item 710: Oneok Inc - This asset received shares from Oneok Partners LP, see Item 729.
- Item 715: Pennsylvania St municipal bds - There was no reportable gain on the sale.
- Item 721: Dominion Energy Midstream Partners LP - This asset changed its name and was formerly known as Dominion Midstream Partners LP.
- Item 726: Waseca MN Indpt Sch Dist municipal bds - There was no reportable gain on the sale.
- Item 741: Energy Transfer Partners LP - There was no reportable gain on each of the four sales.
- Item 745: Massachusetts Bay Trans Auth MA Rev municipal bds - There was no reportable gain on the sale.
- Item 746: Massachusetts St municipal bds - There was no reportable gain on the redemption.
- Item 747: New York St Dorm Auth St Pers Inc Tax Rev Municipal bds - There was no reportable gain on the sale. Also see Item 778.
- Item 749: Snohomish Cnty Wash Sch Dist municipal bds - There was no reportable gain on the sale.
- Item 750: Union Cnty NJ municipal bds - There was no reportable gain on the sale.
- Item 751: MFB Northern Funds US Govt money mkt - The daily detail activity was not available at filing. There was change in year-end value, the value decreased from O to N.
- Item 763: Nustar Energy LP - There was no reportable gain on the sale.
- Item 778: New York St Dorm Auth St Pers Inc municipal bds - There was no reportable gain on the sale.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- Item 818: AdoreMe Inc-seed pfd (fka WebcamGen Inc Ser A pfd) - The asset name was corrected for the misspelling of "AdoreMe" (previously reported as "Adorme").
- Item 821: New Jersey St Bds municipal bds - There was no reportable gain on the redemption.
- Item 827: Vanguard Energy Fd-Adm - There was no reportable gain on the sale.
- Item 876: See Items 440 thru 479 for total MECM Associates LLC assets, purchases and sales.
- Item 882: Int in MECM Associates II LLC - See Items 801 thru 813 for total MECM Associates II LLC assets, purchases and sales. This asset was distributed due to termination of Trust #30, Item 879. This asset was transferred to Trust #34, see Item 1104.
- Item 886: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 925: Lime Rock Partners VI LP - This asset was distributed to MECM Investments LLC, see Item 789.
- Item 928: Glidewell Ltd Ser A Pfd - This asset was declared worthless during 2017. The asset will be removed from the 2018 FDR.
- Item 930: See items 540 thru 800 for total MECM Investments LLC assets, purchases and sales.
- Item 964: Lone Star Fund VIII (US) LP - This asset was distributed to MECM Investments LLC, see Item 790.
- Item 965: Marathon UCITS Funds International Equity F - This asset changed its name and was formerly known as Marathon Global Fd International Equity F. This asset was distributed to MECM Investments LLC, see Item 782.
- Item 981: Kildare European Partners I, LP - This asset was distributed to MECM Investments LLC, see Item 799.
- Item 984: Lone Star Real Estate Fund III (US) LP - This asset was distributed to MECM Investments LLC, see Item 791.
- Item 985: MP Fund I LP - This asset was distributed to MECM Investments LLC, see Item 798.
- Item 990: Barclay Bank, bank account - This asset is below reportable threshold. The asset will be removed from the 2018 FDR.
- Item 994: Glidewell Ltd Ser B Pfd - This asset was declared worthless during 2017. The asset will be removed from the 2018 FDR.
- Item 995: Instinct Hlth Science Seed-1 - This asset was declared worthless during 2017. The asset will be removed from the 2018 FDR.
- Item 996: Instinct Hlth Science Seed-2 - This asset was declared worthless during 2017. The asset will be removed from the 2018 FDR.
- Item 1000: CL IV Inc LP - This asset was labeled incorrectly as Castlake IV LP on Item 1050 of the 2016 FDR.
- Item 1004: CL IV Inc LP - This asset was distributed to MECM Investments LLC, see Item 793.
- Item 1005: Castlake IV LP - This asset was labeled incorrectly as CL IV Inc LP on Item 1054 of the 2016 FDR.
- Item 1009: Castlake IV LP - This asset was distributed to MECM Investments LLC, see Item 792.
- Item 1016: Lime Rock Partners VII LP - This asset was distributed to MECM Investments LLC, see Item 794.
- Item 1024: Lone Star IX (US) LP - This asset was distributed to MECM Investments LLC, see Item 795.
- Item 1027: King Cnty WA Sch Dist municipal bds - There was no reportable gain on the sale.
- Item 1029: Waseca MN Indpt Sch Dist municipal bds - There was no reportable gain on the sale.
- Item 1036: Plymouth Rock Co Inc OC C1 A- This asset was distributed to Trust #35, see Item 1106.
- Item 1047: MFB Northern Funds US Govt money mkt - The daily detail activity was not available at filing. There was no change in year-end value.
- Item 1050: Indiana Univ Sevs municipal bds - There was no reportable gain at the maturity.
- Item 1051: Massachusetts Bay Trans Auth Mass Rev municipal bds - There was no reportable gain on the sale.
- Item 1052: Massachusetts St BEO municipal bds - There was no reportable gain on the redemption.
- Item 1055: Snohomish Cnty Wash Sch Dist municipal bds - There was no reportable gain at the maturity.
- Item 1057: Union Cnty NJ BEO municipal bds - There was no reportable gain on the sale.
- Item 1061: Aria Investment Partners LP LLC - This asset was distributed to MECM Investments LLC, see Item 796.
- Item 1086: Blackstone Distressed Securities Fd LP - The asset was removed in 2014 as value was below reportable threshold.
- Item 1104: See items 801 thru 813 for total MECM Associates II LLC assets, purchases and sales.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ PATTI B. SARIS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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