

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Manning, Julie A.	2. Court or Organization U.S. Bankruptcy Court, Connecticut	3. Date of Report 02/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge--Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Bankruptcy Court, District of Connecticut 915 Lafayette Boulevard 1st Floor Bridgeport, CT 06604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. Pre 2000	Shipman & Goodwin LLP Profit Sharing Retirement Plan (former law firm) with Vanguard
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	---salary and bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Judiciary	03/12/17-03/15/17	Charleston, South Carolina	FJC educational seminar	Transportation, Lodging
2. Judiciary	04/26/17	Hartford, Connecticut	Court governance meeting	Transportation
3. Judiciary	04/27/12	Hartford, Connecticut	Activity of Professional Association	Transportation
4. Judiciary	05/03/17	New Haven, Connecticut	Court governance meeting	Transportation
5. Judiciary	05/09/17	Worcester, Massachusetts	Meeting with government entity-- Massachusetts Bankruptcy Court	Transportation
6. Judiciary	05/11/17	New Haven, Connecticut	Court governance meeting	Transportation

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7.	Judiciary	05/12/17	Hartford, Connecticut	Court governance meeting	Transportation
8.	Judiciary	05/19/17	New Haven, Connecticut	Committee Meeting	Transportation
9.	Judiciary	6/07/17-06/09/17	Paltz, New York	Second Circuit Judicial Conference	Transportation, Lodging
10.	Judiciary	08/08/17-08/11/17	San Diego, California	FJC educational seminar	Transportation, Lodging
11.	Judiciary	08/27/17-08/30/17	Washington, D.C.	FJC educational seminar	Transportation, Lodging
12.	Private funds--Capital Region Bankruptcy Bar Association	10/20/17-10/21/17	Cooperstown, New York	North District of New York Bankruptcy Conference	Transportation, Lodging
13.	Judiciary	11/16/17	New Haven, Connecticut	Court governance meeting	Transportation
14.	Judiciary	11/17/17	New Haven, Connecticut	Court governance meeting	Transportation
15.	Judiciary	12/07/17	New York, New York	Second Circuit Civic Education Program	Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. People's United Bank accounts--2017	B	Interest	O	T					
2. CHET 529 accounts 2017									
3. --529 Principal Plus Interest Option-Child #2	A	Interest	K	T					
4. --529 Principal Plus Interest Option					Buy	05/16/17	J		
5. --529 Principal Plus Interest Option					Sold	11/07/17	J	A	
6. --529 Moderate Allocation age 15-17	B	Interest			Sold	08/08/17	K	A	
7. --529 Moderate Allocation age 17	A	Interest			Buy	08/08/17	K		
8. --529 Moderate Allocation age 17					Sold	11/07/17	J	A	
9. --529 Principal Plus Interest Option-Child #1	A	Interest	L	T	Buy	05/06/17	J		
10. --529 Principal Plus Interest Option					Sold	07/10/17	K	A	
11. --529 Principal Plus Option					Buy	11/07/17	K		
12. --529 Moderate Managed Allocation age 18+	A	Interest	J	T					
13. Vanguard Account 2017									
14. --Vanguard Small -Cap Growth Index Fund	E	Int./Div.	M	T					
15. --Retirement Savings Trust	A	Interest	J	T					
16. --Vanguard Selected Value Fund	E	Int./Div.	M	T					
17. --Vanguard Target Retirement 2025	E	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Vanguard Wellington Fund	E	Int./Div.	M	T					
19. --Vanguard Total Bond Market Index Fund	C	Int./Div.	M	T					
20. Voya 401(k) Savings Plan - 2017									
21. --Pimco Total Return Fund-n/k/a Met West Tot Bd Fd	A	Int./Div.	K	T					
22. --Vanguard Total Bond Market Investment Fund	A	Interest	K	T					
23. --ING Target Index Solution 2025 n/k/a Voya Stable Value Option	B	Int./Div.	K	T					
24. --Equity Index Non-Lendable Fund M	D	Int./Div.	K	T					
25. --SSgA Russ Sm/Md Cap Index Fund	D	Int./Div.	K	T					
26. --ING Small Cap Opportunities Prt I n/k/a Voya Small Cap Opportunites	D	Int./Div.	K	T					
27. --T Rowe Price Ins. Large Cap Growth	E	Int./Div.	K	T					
28. Fidelity Rollover IRA - 2017									
29. --Stocks-General Electric Company	A	Dividend	J	T	Buy	06/16/17	J		
30. --Stocks--General Electric Company					Buy	11/24/17	J		
31. --Stocks-SPDR S&P 500 ETF Fund	E	Dividend	M	T	Buy	02/08/17	M		
32. --Stocks-SPDR SER TR S&P Dividend	D	Dividend	L	T	Buy	02/06/17	J		
33. --Stocks-SPDR SER TR S&P Dividend					Buy	06/16/17	K		
34. --Stocks-SPDR SER TR S&P Dividend			L	T	Buy	06/20/17	K		

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35. --Stocks-United Parcel Service	B	Dividend	K	T	Buy	02/06/17	K		
36. --Stocks-United Parcel Service					Sold (part)	04/11/17	K		
37. --Mutual Funds-Pimco Total Return Investment Fund	B	Interest	L	T	Buy	07/05/17	K		
38. --Fidelity Cash Reserves (FDRXXX)	D	Dividend	K	T	Sold (part)	02/06/17	K		
39. --Fidelity Cash Reserves (FDRXXX)					Sold (part)	02/08/17	M		
40. --Fidelity Cash Reserves (FDRXXX)					Buy	04/11/17	K		
41. --Fidelity Cash Reserves (FDRXXX)					Sold (part)	06/16/17	K		
42. --Fidelity Cash Reserves (FDRXXX)					Sold (part)	06/20/17	K		
43. --Fidelity Cash Reserves (FDRXXX)					Sold (part)	07/05/17	K		
44. --Fidelity Cash Reserves (FDRXXX)					Sold (part)	07/07/17	L		
45. --Fidelity Cash Reserves (FDRXXX)					Sold (part)	11/24/17	J		
46. --Stocks-Vanguard Sector Index FDS	C	Dividend	K	T	Buy	02/08/17	K		
47. --Stocks--Vanguard Sector Index FDS					Buy	07/07/17	J		
48. --Stocks-Amazon.com.inc	B	Dividend	J	T	Buy	06/16/17	J		
49. --Stocks-Powerhouse QQQ Tr Unit Ser 1	D	Dividend	K	T	Buy	07/07/17	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Julie A. Manning**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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