

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hood, Joseph M.	2. Court or Organization E.D. KY.	3. Date of Report 06/17/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address P.O. Box 2227 Lexington, KY 40588-2227		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Managers	Diederich Educational Trust
2. Trustee	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fifth Third Bank -(Various Accounts)	A	Interest	K	T					
2. Metlife Invs Ins Co Ins Prod-Variable Annuity	A	Dividend	J	T					
3. -Invesco Comstock Portfolio									
4. -Invesco Mid Cap Value									
5. -Investco V.I. International									
6. -Jennison Growth Portfolio									
7. -Loomis Sayles Small Cap									
8. -MFS Emerging Markets									
9. -T. Rowe Price Larger Cap									
10. -T. Rowe Price Mid Cap Growth									
11. -Templeton Foreign VIP Fund									
12. -Third Avenue Small Cap Value									
13. -WMC Core Equity Opportunities									
14. Lincoln National Life Ins Co		None	J	T					
15. Charles Schwab Individual Spouse (H)									
16. -Ashland Inc New	A	Dividend	K	T					
17. -King Digital Plc	A	Dividend	J	T	Buy	03/27/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Penney J C Co Inc		None	J	T	Buy	03/25/14	J		
19. -Schwab Money Market	A	Interest	K	T					
20. -Fidelity Leveraged Company Stock Fd	A	Dividend	J	T					
21. -Fidelity Dividend Growth Fund		None			Sold	03/24/14	J	A	
22. -Fidelity High Income		None			Sold	03/25/14	J	A	
23. -Fidelity Select Materials Portfolio	A	Dividend	K	T					
24. -Fidelity Small Cap Stock Fund	A	Dividend			Sold	12/18/14	K	C	
25. -Fidelity Value Fund	A	Dividend	J	T					
26. -Fidelity Contra Fund	A	Dividend	J	T					
27. -Fidelity Four-In-One Index		None			Sold	03/25/14	J	C	
28. -Profunds Consumer Services		None			Sold	10/17/14	J	A	
29. Schwab IRA	A	Dividend	K	T					
30. -Schwab Money Market									
31. -Fidelity Leveraged Company Stock Fd									
32. -Fidelity Four-In-One Index									
33. -Fidelity Dividend Growth Fund									
34. -Fidelity High Income									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Fidelity Select Materials Portfolio									
36. -Fidelity Small Cap Stock Fund									
37. -Fidelity Value Fund									
38. -Fidelity Contra Fund									
39. Schwab IRA #2	A	Dividend	K	T					
40. -Fidelity Contra Fund									
41. -Fidelity Four-In-One Index									
42. -Fidelity Real Estate Income									
43. -Fidelity Leveraged Company Stock Fd									
44. -Fidelity Dividend Growth Fund									
45. -Fidelity High Income									
46. -Fidelity Small Cap Stock Fund									
47. -Fidelity Select Materials Portfolio									
48. -Fidelity Value Fund									
49. Schwab Account (H)									
50. -Schwab Money Market	A	Int./Div.	J	T	Open	08/04/14	L		
51. -Proshares Ultrapro ETF	A	Dividend	K	T	Buy	12/18/14	K		

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52. -Proshares Ultrapro ETF	A	Dividend	K	T	Buy	12/18/14	K		
53.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII-The assets listed on lines 3 - 13 were added this year in order to more fully describe the investment products held in the Metlife Invs Ins Co Ins Prod-Variable Annuity account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph M. Hood**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544