

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donald, Bernice B.	2. Court or Organization Court of Appeals, Sixth	3. Date of Report 10/31/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Court of Appeals, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Court of Appeals 167 N. Main Street, #1132 Clifford Davis/Odell Horton Federal Building Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|--|--------------------------|
| 1. Chair, Criminal Justice Section (1/2016-8/2016) | American Bar Association |
| 2. _____ | _____ |
| 3. _____ | _____ |
| 4. _____ | _____ |
| 5. _____ | _____ |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----------|-------|
| 1. _____ | _____ |
| 2. _____ | _____ |
| 3. _____ | _____ |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Virginia	1/9/2016-1/13/2016	Charlottesville, VA	Trial Advocacy Program	Transportation, Lodging, & Food
2. American Bar Association	1/23/2016-1/24/2016	New Orleans, LA	Mock Trial Competition	Transportation, Lodging, & Food
3. American Bar Association	2/5/2016-2/8/2016	San Diego, CA	Annual Meeting	Transportation, Lodging, & Food
4. Fordham University	2/25/2016-2/26/2016	New York, NY	Fordham University Law Seminar	Transportation, Lodging, & Food
5. Bar Organization	3/2/2016-3/4/2016	San Diego, CA	Educational Seminar	Transportation, Lodging, & Food
6. Federal Judicial Center	3/10/2016-3/11/2016	New York, NY	FJC Educational Seminar	Transportation, Lodging, & Food
7. Federal Judicial Center	4/18/2016-4/20/2016	Albuquerque, NM	FJC Educational Seminar	Transportation, Lodging, & Food

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8.	American Bar Association	4/28/2016-4/30/2016	Albuquerque, NM	Meeting and CLE	Transportation, Lodging, & Food
9.	Federal Defenders Office	5/3/2016-5/4/2016	Philadelphia, PA	Educational Seminar	Transportation, Lodging, & Food
10.	American Law Institute	5/16/2016-5/18/2016	Washington, DC	Meeting and Education Program	Transportation, Lodging, & Food
11.	Federal Judicial Center	6/19/2016-6/20/2016	Washington, DC	FJC Educational Seminar	Transportation, Lodging, & Food
12.	American Bar Association	6/22/2016-6/24/2016	Los Angeles, CA	Educational Seminar	Transportation, Lodging, & Food
13.	Federal Judicial Center	6/29/2016-7/1/2016	Philadelphia, CA	FJC Educational Seminar	Transportation, Lodging, & Food
14.	Black Prosecutors Association	7/12/2016-7/13/2016	St. Louis, MO	Education Program	Transportation, Lodging, & Food
15.	International Society for the Reform of Criminal Law	7/24/2016-7/28/2016	Halifax, Nova Scotia, Canada	Meeting and CLE	Transportation, Lodging, & Food
16.	Federal Defenders Program	9/8/2016-9/10/2016	Atlanta, GA	CLE	Transportation, Lodging, & Food
17.	American Bar Association	10/5/2016-10/6/2016	Chicago, IL	CLE	Transportation, Lodging, & Food
18.	American Bar Association	10/27/2016-10/28/2016	San Francisco, CA	CLE	Transportation, Lodging, & Food
19.	American Bar Association	10/29/2016-11/1/2016	Budapest, Hungary	Meeting of U.I.A	Transportation, Lodging, & Food
20.	American Bar Association	11/10/2016-11/11/2016	Chicago, IL	Meeting and CLE	Transportation, Lodging, & Food
21.	American Bar Association	11/15/2016-11/16/2016	Atlanta, GA	Meeting Speaker	Transportation, Lodging, & Food
22.	Virgin Islands Bar Association	12/8/2016-12/10/2016	St. Thomas, VI	CLE Speaker	Transportation, Lodging, & Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Lincoln Variable Annuity									
2. - LVIP Blackrock Dividend Value	A	Dividend	L	U	Buy	09/25/16	J		Bernice Donald
3. - Delaware VIP Diversified Income	A	Dividend	K	U	Buy	09/25/16	J		Bernice Donald
4. - LVIP Dimensional US Equity MNGD	A	Dividend	L	U	Buy	09/25/16	J		Bernice Donald
5. - LVIP JPM Select MID CAP Value MNGD	A	Dividend	J	U	Buy	09/25/16	J		Bernice Donald
6. - LVIP PIMCO Low Duration Bond	A	Dividend	J	U	Buy	09/25/16	J		Bernice Donald
7. - LVIP Multi-Manager Global Equity	A	Dividend	J	U	Buy	09/25/16	J		Bernice Donald
8. American Global Growth C	A	Distribution	J	U	Buy	08/25/16	J		Bernice Donald
9. Allianzgt Income & Growth C	A	Dividend	J	U	Buy	08/25/16	J		Bernice Donald
10. AAM Bahl & Graynor Inc. GWC	A	Dividend	J	U	Buy	08/25/16	J		Bernice Donald
11. Highland Healthcare C	A	Dividend	J	U	Buy	09/08/16	J		Bernice Donald
12. Highland Healthcare	A	Dividend	J	U	Buy (add'l)	12/29/16	J		Bernice Donald
13. Domini Int'l Social Equity Fund	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
14. Ishares S&P 500 Val ETF	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
15. Brown Adv Sm Cp FNDMNTL	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
16. EGShares Emerging Markets	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
17. Pioneer Mlti Ast. Ult Shr Inc	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. John Hancock Shr Dur Cr Opp	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
19. American WA Mutual	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
20. American AMCAP	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
21. Allianzgi NFG J Div Value	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
22. PIMCO Foreign BD US Opps	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
23. Blackrock US Opps	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
24. Amer Cent Intl GRWINV	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
25. AB SMALL CAP GRW ADV	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
26. AMERICAN GW FD OF AMERICA F2	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
27. LORD ABBETT INCOME F	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
28. LORD ABBETT SHT DURATION INC F	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
29. ARIEL FUND	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
30. BLACKROCK TOTAL RET I	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
31. MORGAN STANLEY BANK NA	A	Dividend	J	U	Buy	10/01/16	J		Bernice Donald
32. Waddell & Reed Investment Account (H)									
33. First Horizon	B	Dividend	J	T					Bernice Donald
34. Proctor & Gamble	D	Distribution	J	T					Bernice Donald

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35. Reynolds American Inc.	C	Dividend	J	T					Bernice Donald
36. Ford Motor Co	B	Dividend	J	T					Bernice Donald
37. Pioneer Cash Reserves	C	Dividend	J	T					Bernice Donald
38. Facebook		None	K	T					Bernice Donald

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII I opened an investment account with Waddell & Reed in February 2013, to which I contribute \$3500.00 monthly from my federal salary.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bernice B. Donald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544