

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oldshue, Jr., Jerry C.	2. Court or Organization Alabama Southern District Bankruptcy Court	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Bankruptcy Court 201 St. Louis Street Mobile, AL 36602-6602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	American Christian Academy - Teaching Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Alabama State Bar Bankruptcy CLE	June 1-5, 2016	Destin, FL	Bankruptcy CLE (Teaching)	Transportation, meals, and lodging
2. Florida State Bar Bankruptcy CLE	September 16-17, 2016	Santa Rosa Beach, FL	Bankruptcy CLE (Teaching)	Transportation, meals, and lodging
3. University of Alabama School of Law Bankruptcy CLE	November 17-18, 2016	Birmingham, AL	Bankruptcy CLE (Teaching)	Transportation, meals, and lodging
4. Mobile/Baldwin County Bench and Bar CLE	December 2-4, 2016	Fairhope, AL	CLE (Teaching)	Transportation, meals, and lodging
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	J
2.	Capital One	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Synovus Bank account	A	Interest	K	T					
2. Capstone Bank account	A	Interest	K	T					
3. 401K (H)									
4. - DFA US MICRO CAP		None			Sold	01/01/16	M		
5. - MELON STABLE VALUE FUND		None			Sold	01/01/16	J		
6. - VANGUARD EMERGING MKTS STOCK INX ADM		None			Sold	01/01/16	K		
7. - VANGUARD TARGET RETIREMENT 2025		None			Sold	01/01/16	J		
8. - AMERICAN INCOME FUND OF AMERICA CLASS R		None			Sold	01/01/16	J		
9. IRA #1 (H)									
10. - FIDELITY SELECT NATURAL RESOURCES		None			Sold	01/01/16	J		
11. - FIDELITY SELECT COMMERCIAL EQUIPMENT		None			Sold	01/01/16	J		
12. - FIDELITY SELECT FINANCIAL SERVICES		None			Sold	01/01/16	J		
13. - FIDELITY SELECT SOFTWARE		None			Sold	01/01/16	K		
14. - FIDELITY SELECT CONSUMER STAPLES		None			Sold	01/01/16	J		
15. - FIDELITY SELECT ELECTRONICS		None			Sold	01/01/16	J		
16. IRA #2 (H)									
17. - MORGAN STANLEY	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. - BLACKSTONE ALT MULT-STRATINIST	A	Dividend	J	T	Buy	09/20/16	J		
19. - WILLIAM BLAIR INTL GROWTH	A	Dividend	K	T					
20. - INVESCO INTL GROWTH	A	Dividend	K	T					
21. - HARDING LOEVNER EMERG MKTS ADV	A	Dividend	K	T					
22. - COLUMBIA SEL LARGE CAP VAL	B	Dividend	K	T					
23. - COLUMBIA SELECT LG CP GROWTH	C	Dividend	K	T					
24. - DAVIS NEW YORK VENTURE	C	Dividend	K	T					
25. - PRUDENTIAL JENNISON GROWTH	B	Dividend	K	T					
26. - JANUS FLEXIBLE BOND	A	Dividend	K	T					
27. - LORD ABBETT SHT DURATION INC	A	Dividend	K	T					
28. - AQR MANAGED FUTURES STRATEGY	A	Dividend	J	T					
29. - CALAMOS MARKET NEUTRAL INC	A	Dividend			Sold	09/20/16	J	A	
30. - DIAMOND HILL LONG-SHORT	A	Dividend	J	T					
31. - IVY MID CAP GROWTH	B	Dividend	J	T					
32. - VICTORY RS VALUE	A	Dividend	J	T					
33. - VICTORY RS SMALL CAP GROWTH	A	Dividend	J	T					
34. - VICTORY RS PARTNERS	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - MLP SELECT 40	A	Dividend	J	T					
36. - BLACKROCK HI YIELD BD PTF INST	A	Dividend	J	T					
37. - VOYA GLOBAL REAL ESTATE	A	Dividend	J	T					
38. - PIMCO SHORT TERM	A	Dividend	J	T					
39. - PIMCO FOREIGN BD	A	Dividend	J	T					
40. 529 PLANS (H)									
41. - T ROWE PRICE US SMALL CAP GROWTH (X)		None	J	T					
42. - PIMCO SHORT-TERM 529		None	J	T					
43. - NORTHERN BOND INDEX 529		None	J	T					
44. - T. ROWE PRICE BALANCED 529		None	J	T					
45. - T. ROWE PRICE REAL ESTATE 529		None	J	T					
46. - T. ROWE PRICE LARGE CAP GROWTH 529		None	K	T					
47. - NORTHERN MID-CAP INDEX 529		None	J	T					
48. - NORTHERN SMALL CAP INDEX 529		None	J	T					
49. - LORD ABBETT DEVELOPING GROWTH 529 (Y)									
50. - NORTHERN FUNDS INTERNATIONAL EQUITY INDEX 529		None	J	T					
51. - LAZARD EMERGING MARKETS 529		None	J	T					

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52. - COHEN & STEERS DIVIDEND VALUE 529		None	K	T					
53. BROKERAGE ACCOUNT (H)									
54. - ALPHABET INC CLASS C COMMON STOCK		None	J	T					
55. - AMAZON.COM INC COM		None	J	T	Buy	03/24/16	J		
56. - APPLE INC COM COMMON STOCK	A	Dividend	J	T					
57. - MICROSOFT CORP COM (X)	A	Dividend	J	T					
58. SOUTHERN COMPANY COMMON STOCK	A	Dividend			Sold	11/15/16	J	A	
59. IRA #3 (H)									
60. - ACR MANAGED FUTURES STRATEGY	A	Dividend	K	T	Buy	01/01/16	K		
61. - COLUMBIA SEL LARGE CAP VALZ	B	Dividend	K	T	Buy	01/01/16	K		
62. - COLUMBIA SELECT LGOP GROWTHZ	B	Dividend	K	T	Buy	01/01/16	K		
63. - DIAMOND HILL LONG SHORT	A	Dividend	J	T	Buy	01/01/16	J		
64. - DREYFUS NEWTON INTL EQI	A	Dividend	K	T	Buy	01/01/16	K		
65. - HARBOR INTERNATIONAL INST	A	Dividend	K	T	Buy	01/01/16	K		
66. - INVESCO DEVELOPING MARKETS	A	Dividend	K	T	Buy	01/01/16	K		
67. - INVESCO DIVERSIFIED DIVIDEND	A	Dividend	K	T	Buy	01/01/16	K		
68. - INVESCO INTL GROWTH	A	Dividend	K	T	Buy	01/01/16	K		

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69. - INVESCO SMALL CAP VALUE	A	Dividend	J	T	Buy	01/01/16	J		
70. - JANUS ENTERPRISE	A	Dividend	J	T	Buy	01/01/16	J		
71. - JANUS RESEARCH	B	Dividend	K	T	Buy	01/01/16	K		
72. - JP MORGAN DYNAMIC SM OP GR SEL	B	Dividend	J	T	Buy	01/01/16	J		
73. - PRUDENTIAL JENNISON GROWTH	A	Dividend	J	T	Buy	01/01/16	J		
74. - VICTORY GLB NATURAL FES		None	J	T	Buy	01/01/16	J		
75. - VICTORY RS VALUE	A	Dividend	J	T	Buy	01/01/16	J		
76.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 3, 9 and 40 - Investment asset accounts 401K, IRA #1 and 529 Plans do not report income to the account. The reports only show the market value in the account, thus the column B(1) is left blank and "None" is entered in column B(2). Any other lines reporting no income means no income was earned on that investment during the year.

Part VII, Line 3 - Investment account 401K was a retirement plan with a previous employer. The account was closed and all funds were moved to a new retirement account via a nontaxable retirement rollover. The new retirement account the funds were moved to is listed as IRA #3. The statement does not provide gain or loss detail on the disposition of the assets.

Part VII, Line 9 - Investment account IRA #1 was closed and all funds were moved to the new retirement account called IRA #3 via a nontaxable retirement rollover. The statement does not provide gain or loss detail on the disposition of the assets.

Part VII, Line 41 and 49 - Per note above the 529 Plan statements only report market value of assets held. No buy or sell information is provided. These lines reflect one new asset in the account and one asset that is no longer held.

Part VII, Line 57 - Additional Microsoft stock was purchased in 2016, but less than \$1,000 was purchased thus no buy information listed. Amount of Microsoft stock held in account in previous year was under the reporting threshold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jerry C. Oldshue, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544