

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Emerson, George W.	2. Court or Organization U.S. Bankruptcy Court, WDTN	3. Date of Report 04/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Suite 675, One Memphis Place 200 Jefferson Avenue Memphis, Tennessee 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Emerson, George W.

Date of Report

04/06/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Delta Materials Handling

2. 2016

The Costa Company, LLC

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting
Emerson, George W.

Date of Report
04/06/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Emerson, George W.

Date of Report

04/06/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Berkshire Hathaway Inc Del Cl B New (BRKB)		None	K	T					
2. Dodge & Cox Stock Fund (DODGX)	C	Dividend	K	T					
3.	B	Distribution							
4. Fidelity Advisor Strategic Income (FSRIX)	A	Dividend	J	T					
5. Fidelity Advisor New Insights Fund Class I (FINSX)	B	Distribution	K	T					
6.	A	Dividend							
7. Standard & Poors Depository Receipts (SPY)	A	Dividend	K	T					
8. Technology Select Sector SPDR ETF (XLK)	A	Dividend	K	T					
9. Carmax Inc. Com (KMX)		None	K	T					
10. Morgan Stanley Asia Pacific FD Inc. (APF)	A	Dividend	J	T					
11. Adams Natural Resources (PEO)	A	Dividend			Sold	03/31/16	J		
12.	A	Distribution							
13. Fidelity Advisor Mid Cap II Fund (FIIMX)	A	Distribution	J	T					
14.	A	Dividend							
15. Yum Brands Inc com (YUM)	A	Dividend	J	T					
16. Yum China Holdings I (YUMC)		None	J	T	Spinoff (from line 15)	10/31/16	J		
17. Vaneck Vectors Agribusiness ETF (MOO)		None			Sold	09/22/16	K	C	

1. Income Gain Codes:	A –\$1,000 or less (See Columns B1 and D4)	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes	F –\$50,001 - \$100,000 J –\$15,000 or less (See Columns C1 and D3)	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes	N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	P4 –More than \$50,000,000 S –Assessment W –Estimated	T –Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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Emerson, George W.

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04/06/2017

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18. Blackrock Income Trust Inc (BKT)	A	Dividend	K	T					
19. Pepsico Inc (PEP)	A	Dividend	K	T					
20. Wells Fargo & Co New Com (WFC)	A	Dividend	K	T					
21. Fedex Corp Com (FDX)	A	Dividend	K	T					
22. Royce Value Tr Inc Com (RVT)	B	Dividend	K	T					
23. Prudential Short-Term Corp. Bond (PIFZX)	A	Dividend	J	T					
24. Express Scripts Hldg Co Com (ESRX)		None	K	T					
25. Vanguard Index FDS Vanguard Value ETF (VTV)	A	Dividend	K	T					
26. Vanguard Index FDS Vanguard Growth ETF (VUG)	A	Dividend	K	T					
27. TransAmerica Emerging Markets (EMTIX)	A	Dividend			Sold	03/31/16	J		
28. Paragon Affinity Money Mkt Savings	B	Interest	M	T					
29. Schwab Govt Money Fund (SWGXX)		None	J	T					
30. Scout Emrg Mkts FD (SEMFx)	A	Dividend	K	T					
31. Oakmark Intl FD Class I (OAKIX)	A	Dividend	K	T	Buy (add'l)	09/22/16	J		
32. Deutsche Croci Intl FD (SCINX)	B	Dividend	K	T	Buy (add'l)	09/22/16	J		
33. Cohen Steers Qual Income (RQI)	B	Dividend	K	T					
34. Eaton Vance Fltng Rate (EFT)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Emerson, George W.

Date of Report

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35. Wisdomtree Smallcap Earnings ETF (EES)	A	Dividend	J	T					
36. Morgan Stanley Emerging Mkts Debt CF (MSD)	A	Dividend	J	T	Buy	03/31/16	J		
37. Baron Energy & Resources FD Retail (BENFX)		None	K	T	Buy	03/31/16	J		
38. Deutsche Global Infrastructure S (TOLSX)	A	Dividend	J	T	Buy	09/22/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Emerson, George W.

Date of Report

04/06/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

Line 17 - Name changed from Market Vectors ETF TR Agribusiness ETF to Van Eck Vectors Agribusiness ETF on 5/2/16.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Emerson, George W.

Date of Report

04/06/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ George W. Emerson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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