

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Collier, Lacey A.	2. Court or Organization Northern District of Florida	3. Date of Report 09/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 100 North Palafox Street Pensacola, FL 32502		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee and Director	Naval Aviation Museum Foundation
2. Trustee	Snoezelen/Westgate Foundation
3. Member, Board of Directors	Florida State University College of Medicine, West Florida, Inc.
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 156700AN6	A	Interest	J	T	Buy	03/03/17	J		
2. 24702RAJ0	A	Interest	J	T	Buy	01/01/17	J		
3. 268648AQ5	A	Interest	J	T	Buy	07/14/17	J		
4. 451102ax5	A	Interest	J	T	Buy	12/18/17	J		
5. ADS	A	Dividend	K	T	Buy	10/05/17	K		
6. CLNS	A	Dividend	K	T	Buy	05/15/17	K		
7. CLNS+D	A	Dividend	K	T	Buy	12/07/17	K		
8. COTY	A	Dividend	K	T	Buy	04/17/17	K		
9. DG	A	Dividend	K	T	Buy	04/17/17	K		
10. GE	A	Dividend	J	T	Buy	09/20/17	J		
11. KKR+A	A	Dividend	J	T	Buy	11/27/17	J		
12. PPT	A	Dividend	J	T	Buy	05/09/17	J		
13. QCOM	A	Dividend	J	T	Buy	06/21/17	J		
14. SBUX	A	Dividend	J	T	Buy	02/28/17	J		
15. NSRGY	A	Dividend	K	T					
16. USB	A	Dividend			Sold	05/19/17	J	C	
17. 285512AC3	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 268648AP7	A	Int./Div.	J	T					
19. 20826FAB2	A	Int./Div.			Redeemed	12/15/17	J	A	
20. ABC	A	Dividend	K	T					
21. TOTL	A	Dividend	K	T					
22. NLY	A	Dividend	J	T					
23. AMGN	A	Dividend			Sold	08/18/17	K	B	
24. GILD	A	Dividend			Sold	10/27/17	J	A	
25. SRCL	A	None			Sold	12/19/17	J	A	
26. ESRX	A	None			Sold	10/26/17	J	A	
27. IBKR	A	Dividend	K	T	Sold (part)	12/18/17	J	B	
28. PSX	A	Dividend	J	T					
29. PFE	A	Dividend	J	T					
30. DIS	A	Dividend	J	T					
31. 24702RAE1	A	Int./Div.	J	T					
32. HCP	A	Dividend	J	T	Buy (add'l)	08/01/17	J		
33. 35906AAH1	A	Int./Div.	J	T					
34. 852061AF7	A	Int./Div.			Redeemed	08/15/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. 451102BB2	A	Int./Div.	J	T					
36. 87264AAF2	A	Int./Div.			Redeemed	04/28/17	J	A	
37. AAPL	A	Dividend	K	T	Sold (part)	02/01/17	J	A	
38. AIG	A	Dividend	K	T	Sold (part)	01/20/17	J	A	
39. AXP	A	Dividend			Sold	06/12/17	J	A	
40. BK	A	Dividend			Sold	06/15/17	K	B	
41. BKLN	A	Dividend	J	T					
42. BRKB	A	None	K	T					
43. BX	A	Distribution	J	T					
44. GM	A	Dividend	K	T	Sold (part)	01/19/17	J	A	
45. JNJ	A	Dividend	J	T	Sold (part)	07/06/17	J	A	
46. PM	A	Dividend	K	T	Sold (part)	08/25/17	J	A	
47. WFC	A	Dividend	K	T	Sold (part)	06/29/17	J	A	
48. WMT	A	Dividend	K	T	Sold (part)	06/27/17	J	A	
49. Schwab Money Market Fund	A	Interest	J	T					
50. BAC	A	Dividend			Sold	04/18/17	K	D	
51. PIMCO Income Bond Mutual Fund	A	Int./Div.			Sold	04/17/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. SPDR Barclays Convertible Bond Fund	A	Int./Div.			Sold	04/06/17	K	A	
53. SPDR Doubleline Total Return Fund	A	Int./Div.	K	T	Buy (add'l)	06/20/17	J		
54. MU	A	None			Sold	03/01/17	J	A	
55. SLB	A	None			Sold	12/31/15	J	A	
56. QCP	A	None			Sold	11/01/16	J	A	
57. NFCU Share Savings Account	A	Interest	J	T					
58. Suntrust Bank Accounts	A	Interest	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lacey A. Collier

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544