

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Amon, Carol B.	2. Court or Organization U.S. District Court, EDNY	3. Date of Report 05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2014 to 12/31/2014
	5b. ☒ Amended Report	
7. Chambers or Office Address 225 Cadman Plaza East Room 9085 Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Self employed attorney - law partnership distribution
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. William Connor Inn of Court	1/14/14	New York, New York	Annual Dinner	Food
2. Pepperdine University School of Law	3/2 - 3/7/14	Malibu, California	Lecturer	Transportation, lodging and food
3. Federal Bar Council	4/30/14	New York, New York	Law Day Dinner	Food
4. New York Intellectual Property Law Association (NYIPLA)	4/28/14	New York, New York	Judges' Dinner	Transportation and food
5. NYS Bar Association	5/2 - 5/4/14	Lenox, Massachusetts	Spring meeting	Lodging and food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Passumpic Bank	Mortgage of rental property - Newport, Vermont (Part VII, Line 18)	M
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Accts Citibank, B'klyn, NY FidelityAd.DynamicCapAppFud	B	Dividend	K	T					
2. Law Partnership, Cap Acct.		None	M	T					
3. Thomas G. Amon Pension Plan	A	Interest	J	T					
4. Thomas G. Amon PrtShr Plan	A	Interest	J	T					
5. Citibank N.A., Money Market	B	Interest	M	T					
6. Fidelity ContraFund II	A	Dividend	J	T					
7. Fidelity Independence Fund	A	Dividend	J	T					
8. Aim/Dynamics FD Class B (Name change Invesco/Aim)	A	Dividend	J	T					
9. Fidelity Ad. Equity Growth	A	Dividend	K	T					
10. Fidelity Ad Tech Fund	A	Dividend	J	T					
11. Legg Mason Partners Financial Services Fund B	A	Dividend	J	T					
12. Legg Mason Partners Large Cap Value Fund N	A	Dividend	J	T					
13. MFS Moderate Allocation	B	Dividend	M	T					
14. Chase Select Checking	A	Interest	J	T					
15. Encore Networks, Inc. (See VIII)		None	M	T					
16. Rental Property New Port, VT (See VIII)	D	Rent	M	R					
17. Equitable Variable Life Policy	B	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Rental Property Newport, VT (See VIII)	A	Rent			Sold	03/15/14	N	G	
19. Aim Technology	A	Dividend	J	T					S. Finch, Concord, N.H.
20. Sonic Mountain, Inc.		None	K	U					
21. Citibank CD	A	Interest	J	T					
22. Johnson & Johnson	A	Dividend	J	T					
23. Spoleto Corp. (See VIII)	C	Interest	M	T					
24. Pimco Total Return Fund (Bonds)	C	Interest	M	T					
25. Franklin Rising Dividends FD Class A	A	Dividend	L	T					
26. JP Morgan Chase Brokerage Account # 1 Header (See VIII)									
27. -William Companies	A	Dividend	J	T					
28. -3M Co.	A	Dividend	J	T					
29. -Arthur Gallagher Co.	A	Dividend	J	T					
30. -ADP, Inc.	A	Dividend	J	T					
31. -Chevron Corp.	A	Dividend	J	T					
32. -Cincinnati Financial Corp.	A	Dividend	J	T					
33. -Cinemark Holdings, Inc.	A	Dividend	J	T					
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35. -CME Group, Inc.	A	Dividend	J	T					
36. -Coca-Cola Co.	A	Dividend	J	T					
37. -Conoco Phillips	A	Dividend	J	T					
38. -Cullen Frost	A	Dividend	J	T					
39. -Hershey Company	A	Dividend	J	T					
40. -Home Depot, Inc.	A	Dividend	J	T					
41. -Johnson & Johnson	A	Dividend	J	T					
42. -KLA-Tencor Corp.	A	Dividend	J	T					
43. -Limited Brands Inc.	A	Dividend	J	T					
44. -M&T Bank Corp.	A	Dividend	J	T					
45. -Merck & Co. Inc.	A	Dividend	J	T					
46. -Merck & Co. Inc.	A	Dividend	J	T					
47. -Microsoft Corp.	A	Dividend	J	T					
48. -Mondelez Int'l	A	Dividend	J	T					
49. -Nsource Services Inc.	A	Dividend	J	T					
50. -Pfizer Inc.	A	Dividend	J	T					
51. -Phillip Morris Int'l	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 -More than \$50,000,000	M =\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
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52. -PPG Industries, Inc.	A	Dividend	J	T					
53. -Proctor & Gamble Co.	A	Dividend	J	T					
54. -Sempra Energy	A	Dividend	J	T					
55. -Sempra Energy	A	Distribution	J	T					
56. -Sempra Energy	A	Dividend	J	T					
57. -Snap On Inc.	A	Dividend	J	T					
58. -T. Rowe Price Group Inc.	A	Dividend	J	T					
59. -The Travelers Companies Inc.	A	Dividend	J	T					
60. -Time Warner Inc.	A	Dividend	J	T					
61. -Time Warner Cable Inc.	A	Dividend	J	T					
62. -Validus Holdings Ltd.	A	Dividend	J	T					
63. -Verizon Communications	A	Dividend	J	T					
64. -Williams Sonoma Inc.	A	Dividend	J	T					
65. -Wells Fargo & Co.	A	Dividend	J	T					
66. -Nsource Inc.	A	Dividend	J	T					
67. -Texas Instruments	A	Dividend	J	T					
68. -Johnson & Johnson	A	Dividend	J	T					

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69. -Abbvie, Inc.	A	Dividend	J	T					
70. -Fidelity Nat'l Information	A	Dividend	J	T					
71. -KLA-Tencore Corp	A	Dividend	J	T					
72. -Yum Brands Inc.	A	Dividend			Sold	04/23/14	J	A	
73. -Proctor & Gamble Co.	A	Dividend	J	T					
74. -Occidental Petroleum	A	Dividend	J	T					
75. -Edison Int'l	A	Dividend	J	T					
76. -PNC Fin. Services Inc.	A	Dividend	J	T					
77. -Bristol Meyers Squibb	A	Dividend	J	T					
78. -Illinois Tool Works	A	Dividend	J	T	Sold (part)	06/25/14	J	A	
79. -DTE Energy Co.	A	Dividend	J	T	Sold (part)	06/25/14	J	A	
80. -Accenture PLC	A	Dividend	J	T					
81. -OmniCom Group Inc.	A	Dividend	J	T	Sold (part)	06/25/14	J	A	
82. -Air Products & Chemicals, Inc.	A	Dividend	J	T	Sold (part)	06/18/14	J	A	
83. -Analog Devices	A	Dividend	J	T					
84. -BB&T Corp.	A	Dividend	J	T	Sold (part)	06/25/14	J	A	
85. -Marathon Petroleum	A	Dividend	J	T	Sold (part)	06/25/14	J	A	

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86. -Northern Trust Corp.	A	Dividend	J	T					
87. -Altria Group	A	Dividend			Buy	07/10/14	J		
88. -Altria Group	A	Dividend			Sold	12/02/14	J	A	
89. -BB&T Corp	A	Dividend			Buy	01/24/14	J		
90. -BB&T Corp					Sold	12/02/14	J	A	
91. -Gap, Inc.	A	Dividend	J	T	Buy	04/03/14	J		
92. -Gap, Inc.					Sold (part)	12/11/14	J	A	
93. -California Resources	A	Dividend			Buy	11/03/14	J		
94. -California Resources					Sold	12/08/14	J	A	
95. -Verizon.com	A	Dividend			Buy	02/24/14	J		
96. -Verizon.com					Sold	12/02/14	J	A	
97. -US Bancorp Del.	A	Dividend	J	T	Buy	01/02/14	J		
98. -US Bancorp Del.					Sold (part)	12/02/14	J	A	
99. JP Morgan Chase Brokerage Account # 2 Header (See VIII)									
100. -Astrazeneca	A	Dividend			Buy	03/25/14	J		
101. -Astrazeneca					Sold	05/27/14	J	A	
102. -BAE Systems	A	Dividend	J	T	Buy	03/25/14	J		

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103. -Bayer A.G	A	Dividend	J	T	Buy	03/25/14	J		
104. -BHP Ltd	A	Dividend	J	T	Buy	03/25/14	J		
105. -BNP Paribas	A	Dividend	J	T	Buy	03/25/14	J		
106. -BOCHong Kong Holdings	A	Dividend			Buy	03/25/14	J		
107. -BOCHong Kong Holdings					Sold	05/15/14	J	A	
108. -British American Tobacco	A	Dividend	J	T	Buy	03/25/14	J		
109. -Deutsche Telecom	A	Dividend	J	T	Buy	03/25/14	J		
110. -Deutsche Post	A	Dividend	J	T	Buy	03/25/14	J		
111. -GDF Suez	A	Dividend	J	T	Buy	03/25/14	J		
112. -Glaxo Smith Kline	A	Dividend	J	T	Buy	03/25/14	J		
113. -Imperial Tobacco	A	Dividend	J	T	Buy	03/25/14	J		
114. -Japan Tobacco	A	Dividend	J	T	Buy	03/25/14	J		
115. -JPM Tax Free	A	Dividend	J	T	Buy	03/25/14	J		
116. -Kirin Holdings	A	Dividend	J	T	Buy	03/25/14	J		
117. -Lukoil	A	Dividend			Buy	03/25/14	J		
118. -Lukoil					Sold	10/06/14	J	A	
119. -MTN Group Ltd	A	Dividend	J	T	Buy	03/25/14	J		

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2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Amon, Carol B.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Muenchener Rueck	A	Dividend	J	T	Buy	03/25/14	J		
121. -Nestles SA	A	Dividend	J	T	Buy	03/25/14	J		
122. -Nippon Tel.	A	Dividend	J	T	Buy	03/25/14	J		
123. -Novartis		None	J	T	Buy	03/25/14	J		
124. -Orkla ASA	A	Dividend	J	T	Buy	03/25/14	J		
125. -Reckitt Benckiser Gr.	A	Dividend	J	T	Buy	03/25/14	J		
126. -Rexam Holdings LP	A	Dividend	J	T	Buy	03/25/14	J		
127. -Royal Dutch Shell PLC	A	Dividend	J	T	Buy	03/25/14	J		
128. -Sanofi	A	Dividend	J	T	Buy	03/25/14	J		
129. -Siemens Ag.		None	J	T	Buy	03/25/14	J		
130. -Singapore Telecom	A	Dividend	J	T	Buy	03/25/14	J		
131. -Smiths Group PLC	A	Dividend			Buy	03/25/14	J		
132. -Smiths Group PLC					Sold	04/23/14	J	A	
133. -SSE PLC	A	Dividend	J	T	Buy	03/25/14	J		
134. -Statoil ASA	A	Dividend	J	T	Buy	03/25/14	J		
135. -Telekomunikasi Ind	A	Dividend	J	T	Buy	03/25/14	J		
136. -Total SA	A	Dividend	J	T	Buy	03/25/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$250,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$50,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Amon, Carol B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Unilever NV	A	Dividend	J	T	Buy	03/25/14	J		
138. -United Overseas Bank Ltd	A	Dividend	J	T	Buy	03/25/14	J		
139. -Vodafone Group, PLC	A	Dividend	J	T	Buy	03/25/14	J		
140. -Zurich Ins. Group	A	Dividend	J	T	Buy	03/25/14	J		
141. -HSBC Holdings	A	Dividend			Buy	03/25/14	J		
142. -HSBC Holdings					Sold	08/12/14	J	A	
143. -ABB Ltd	A	Dividend			Buy	03/25/14	J		
144. -ABB Ltd					Sold	05/15/14	J	A	
145. JP Morgan Chase Brokerage Account # 3 Header (See VIII)									
146. -CMS Energy Corp.		None			Sold	11/15/13	J	A	
147. -Int'l Paper	A	Dividend	J	T	Buy	04/11/14	J		
148. -Int'l Paper					Sold (part)	07/25/14	J	A	
149. -People's United	A	Dividend			Buy	04/11/14	J		
150. -People's United					Sold	10/20/14	J	A	
151. -Qualcom Inc.	A	Dividend			Buy	04/11/14	J		
152. -Qualcom Inc.					Sold	11/06/14	J	A	
153. -Target Corp.	A	Dividend			Buy	04/11/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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Name of Person Reporting

Amon, Carol B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Target Corp.					Sold	05/05/14	J	A	
155. -AT&T Inc.	A	Dividend	J	T	Buy	04/11/14	J		
156. -AT&T Inc.					Sold (part)	12/09/14	J	A	
157. -Cal. Resources	A	Dividend			Buy	04/11/14	J		
158. -Cal. Resources					Sold	12/09/14	J	A	
159. -CDK Global	A	Dividend			Buy	04/11/14	J		
160. -CDK Global					Sold	10/23/14	J	A	
161. -E. I. DuPont	A	Dividend	J	T	Buy	04/11/14	J		
162. -E. I. DuPont					Sold (part)	06/27/14	J	A	
163. -Honda Motor Ltd.	A	Dividend			Buy	04/11/14	J		
164. -Honda Motor Ltd.					Sold	10/02/14	J	A	
165. -Anheuser Busch	A	Dividend	J	T	Buy	04/11/14	J	A	
166. -Nestles SA	A	Dividend	J	T	Buy	04/11/14	J	A	
167. -Weyerheuser	A	Dividend	J	T	Buy	04/11/14	J	A	
168. -Schlumberger	A	Dividend	J	T	Buy	04/11/14	J	A	
169. -Walmart	A	Dividend	J	T	Buy	04/11/14	J	A	
170. -Wastemanagement	A	Dividend	J	T	Buy	04/11/14	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Wisconsin Energy	A	Dividend	J	T	Buy	04/11/14	J	A	
172. -The Travelers Cos.	A	Dividend	J	T	Buy	04/11/14	J		
173. -Union Pacific	A	Dividend	J	T	Buy	04/11/14	J		
174. -United Tech.	A	Dividend	J	T	Buy	04/11/14	J		
175. -United Health	A	Dividend	J	T	Buy	04/11/14	J		
176. -Verizon Com.	A	Dividend	J	T	Buy	04/11/14	J		
177. -Spectra	A	Dividend	J	T	Buy	04/11/14	J		
178. -Texas Instruments	A	Dividend	J	T	Buy	04/11/14	J		
179. -Time Warner Inc.	A	Dividend	J	T	Buy	04/11/14	J		
180. -People's United	A	Dividend	J	T	Buy	04/11/14	J		
181. -PepsiCo, Inc.	A	Dividend	J	T	Buy	04/11/14	J		
182. -Pfizer, Inc.	A	Dividend	J	T	Buy	04/11/14	J		
183. -Praxair Inc.	A	Dividend	J	T	Buy	04/11/14	J		
184. -Proctor & Gamble	A	Dividend	J	T	Buy	04/11/14	J		
185. -Qualcom	A	Dividend	J	T	Buy	04/11/14	J		
186. -Raytheon	A	Dividend	J	T	Buy	04/11/14	J		
187. -MetLife (Whole Life)	A	Dividend	J	T	Buy	04/11/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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188. -Microsoft	A	Dividend	J	T	Buy	04/11/14	J		
189. -Nextera	A	Dividend	J	T	Buy	04/11/14	J		
190. -Oracle	A	Dividend	J	T	Buy	04/11/14	J		
191. -PPG Industries	A	Dividend	J	T	Buy	04/11/14	J		
192. -Home Depot	A	Dividend	J	T	Buy	04/11/14	J		
193. -Intel Co.	A	Dividend	J	T	Buy	04/11/14	J		
194. -Johnson & Johnson	A	Dividend	J	T	Buy	04/11/14	J		
195. -Kimberly Clark	A	Dividend	J	T	Buy	04/11/14	J		
196. -Merck & Co.	A	Dividend	J	T	Buy	04/11/14	J		
197. -Comcast Corp.	A	Dividend	J	T	Buy	04/11/14	J		
198. -Costco	A	Dividend	J	T	Buy	04/11/14	J		
199. -Ecolab Inc.	A	Dividend	J	T	Buy	04/11/14	J		
200. -Exxon Mobil	A	Dividend	J	T	Buy	04/11/14	J		
201. -General Electric	A	Dividend	J	T	Buy	04/11/14	J		
202. -American Tower Corp.	A	Dividend	J	T	Buy	04/11/14	J		
203. -Amgen Inc.	A	Dividend	J	T	Buy	04/11/14	J		
204. -ADP, Inc.	A	Dividend	J	T	Buy	04/11/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P1 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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Amon, Carol B.

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205. -Chevron	A	Dividend	J	T	Buy	04/11/14	J		

1 Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII

Item 15 - Market value of vested options less exercise on 12/31/14.

Item 16 - Rental property located in City of Newport, Chittendon County, Vermont, inherited in 1994. Value at date acquired - \$200,000.

Item 18 - Rental property located in City of Newport, Chittendon County, Vermont acquired on September 5, 2005. Purchase price \$220,000.

Item 23 - Asset management company.

Item 26 - Managed Asset Account of Spoleto Corp.

Item 99 - Managed Asset Account.

Item 145 - Managed Asset Account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Carol B. Amon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544