

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CARMAN, GREGORY W.	2. Court or Organization US COURT OF INTERNAT'L TRADE	3. Date of Report 5/8/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address US COURT OF INTERNATIONAL TRADE ONE FEDERAL PLAZA NEW YORK, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors, Respect For Law Alliance, Inc.	Reporter appointed in 1995 as member B/D, appointed in 1998 as Vice-Chair, and appointed in 1998 (cont'd in Part VIII)
2. Non-Resident Member, University Club of City of Wash., DC	Reporter, a non-resident Club member for many years, was invited in June 1997 by Club's Board of Governors (cont'd in Part VIII)
3. Member Emeritus, Exec. Comm., Theo. Roosevelt American Inn of Court	In 2002 Reporter rejoined Inn as Member, Executive Comm. Member, & President for 2003-04 term (cont'd in Part VIII)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1966	On 11/7/66 reporter joined & continues as member, NYS Employees Retirement System; 1/94 began receiving monthly income benefits which continue to date.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

CARMAN, GREGORY W.

Date of Report

5/8/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	NYS Employees Retirement System	\$32,774.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Retirement Pension, NYC Teachers' Retirement System
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

CARMAN, GREGORY W.

Date of Report

5/8/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Home Mortgage	Mortgage on #287, Farmingdale, NY (See VII, #4)	M
2.	Wells Fargo Home Mortgage	Mortgage on #671, Farmingdale, NY (See VII, #2)	M
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

CARMAN, GREGORY W.

Date of Report

5/8/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. #280, FARMINGDALE, NY	E	Rent			Sold	12/21/12	N		W. B. Carman, Jr.
2. #671, FARMINGDALE, NY	E	Rent	N	W					
3. RIVERHEAD, N.Y.	D	Rent	O	W					
4. #287, FARMINGDALE, NY	E	Rent	N	W					
5. #22, JOHNSBURG, NY	D	Rent	M	W					
6.									
7. CAPITAL ONE BANK:									
8. Savings #2	A	Interest	J	T					
9. Savings #5	A	Interest			Closed	2/1/12	J		
10. Checking #1		None	J	T					
11. Checking #2		None	J	T					
12. Savings #6	A	Interest	J	T					
13.									
14. CITIBANK ACCOUNTS:									
15. MONEY MKT	A	Interest	J	T					
16. Checking #4		None	J	T					
17. Checking #5		None			Closed	12/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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5/8/2013

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Checking #8		None	J	T					
19. Checking #9		None			Closed	12/18/12	J		
20. Checking #10		None			Closed	12/18/12	J		
21.									
22. CHASE -- Checking	A	Interest	K	T					
23. Savings	A	Interest	J	T					
24. Checking #2 (X)		None	J	T	Open	11/21/12	J		
25. Checking #3 (X)		None	J	T	Open	11/21/12	J		
26. Checking #4 (X)		None	J	T	Open	12/7/12	J		
27. Checking #5 (X)		None	J	T	Open	12/7/12	K		
28. Checking #6 (X)		None	J	T	Open	12/7/12	J		
29.									
30. RIDGEWOOD SAVINGS BK -- Savings	A	Interest	M	T					
31.									
32. BANK OF AMERICA -Checking	A	Interest	J	T	Closed	12/31/12	J		
33.									
34. VANGUARD GROUP, an IRA account, consisting of the following	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

CARMAN, GREGORY W.

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5/8/2013

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35. - VMMR Prime Portfolio					Buy (add'l)	8/3/12	J	A	From VSP HealthCareAdm.
36. - VMMR Prime Port. (contd)					Buy (add'l)	10/16/12	J	A	From VSP HealthCareAdm.
37. - VSP HEALTH CARE -ADMIRAL Shares					Sold (part)	8/3/12	J	A	To VMMF Prime Port.
38. - VSP Health Care (contd)					Sold (part)	10/16/12	J	A	To VMMF Prime Port.
39. - SMALL CAP INDEX FUND									
40. - ENERGY FUND									
41.									
42. VANGUARD Traditional IRA Brok. A/C consisting of:;	C	Dividend	M	T					
43. ALCATEL LUCENT									
44. MICROSOFT CORP.									
45. BANK OF NY									
46. IBM									
47. PEPSICO									
48. CISCO									
49. EXXON									
50. QUALCOMM									
51. AQUA AMERICA									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

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52. BANK OF AMERICA									
53. BERKSHIRE HATHAWAY									
54. PROCTOR & GAMBLE									
55.									
56. CITIBANK -- (checking)		None	J	T					
57.									
58. CGM (Citigroup Global Mkts) Cust. IRA	A	Dividend	J	T					
59.									
60. VANGUARD GROUP- IRA A/C consisting of:	A	Dividend	K	T					
61. Vanguard Fed. MM									
62. Vanguard Energy Fund Inv. Shares									
63. Vanguard Sel. Value Fund									
64. Vanguard Windsor II									
65. Vanguard Prime MM									
66.									
67. VANGUARD Traditional IRA Brok. A/C cons. of:--		None	K	T					
68. Berkshire Hathaway									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

CARMAN, GREGORY W.

Date of Report

5/8/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1: ALLIANCE: . . . as Vice-President for Video Programming. Alliance is a NYS non-profit corporation; position is non-compensated.

Part I, Line 2: UNIVERSITY CLUB: . . . to become an honorary member of club, thereby exempting reporter from annual dues/assessments, as is the Club's common practice for various individuals. Effective 12/31/2008, Reporter tendered his resignation as an honorary member of this club. Effective 1/1/2009, reporter resumed his Non-Resident Status membership.

Part I, Line 3: THEODORE ROOSEVELT AMERICAN INN OF COURT: . . . Inn of Court is a non-profit corporation, formed to foster learning and scholarship in the law, and mentoring program for younger attorneys; all positions are non-compensated. As of 7/2009, reporter assumed status as Member Emeritus of the Executive Committee.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

CARMAN, GREGORY W.

Date of Report

5/8/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ GREGORY W. CARMAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544