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Rev. 1/2007

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
SCHROEDER, MARY M	US COURT OF APPEALS - 9TH CIRC	05/10/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
ACTIVE- CHIEF JUDGE	☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2006 to 12/31/2006
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
U.S. COURTHOUSE, SUITE 610 401 W. WASHINGTON ST., SPC 54 PHOENIX, AZ 85003-2156	**Reviewing Officer**_____ **Date**_____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	COUNCIL MEMBER	AMERICAN LAW INSTITUTE
2.	TRUSTEE	[redacted] TRUST #1
3.	ADVISORY BOARD MEMBER	LOWELL OBSERVATORY
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☒ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[ ] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2006	ARIZONA STATE UNIVERSITY - SALARY
2.	2006	ALEX E SOLUTIONS, INC. (SHESHUNOFF INFORMATION SERVICES) - BOOK ROYALTY
3.		
4.		
5.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

[ ] NONE *(No reportable reimbursements.)*

	SOURCE	DESCRIPTION
1.	STANFORD LAW SCHOOL	MOOT COURT, 5/06, PALO ALTO - TRANSPORTATION, FOOD, HOTEL
2.	ALASKA FEDERAL BAR	(CLE) APPELLATE PRACTICE IN THE NINTH CIRCUIT PANEL, 7/18 - 7/21, ANCHORAGE - TRANSPORTATION, FOOD, HOTEL
3.	AMERICAN LAW INSTITUTE	COUNCIL MTG. 10/18 - 10/20, NYC - TRANSPORTATION, FOOD, HOTEL
4.		
5.		

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	ARIZONA CLUB	HONORARY MEMBERSHIP - DUES	$ 1,020.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

☒ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
SCHROEDER, MARY M	05/10/2007

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP MORGAN CHASE ACCOUNTS	C	Interest	M	T					
2. WACHOVIA MYM FUND	A	Dividend	J	T					
3. LINCOLN NAT'L LIFE	A	Interest	J	T					
4. FRANKLIN FUND AZTXFR INC.	A	Int./Div.	K	T					
5. EQUITABLE 300+ STOCK FUND-IRA		None	K	T					
6. VALIC FIXED PLUS FUND	D	Interest	M	T	BUY MONTHLY		J		
7. VALIC MIDCAP INDEX		None	L	T	BUY MONTHLY		J		
8. VALIC STOCK INDEX		None	L	T	BUY MONTHLY		J		
9. VALIC INT'L GOV'T BOND		None	M	T	BUY MONTHLY		J		
10. VALIC SCIENCE & TECH.		None	K	T			J		
11. SAGUARO LTD. PTRNSHIP (MESA REAL EST.)		None	J	W					
12. TIAA (RET. ANN.)	E	Interest	O	T					
13. AZ.ST.CREDIT UNION	A	Interest	K	T					
14. VALIC GOV'T SEC.		None	J	T	BUY MONTHLY		J		
15. VALIC INT'L GROWTH (FORMERLY TEMPLETON INT'L)		None	K	T	BUY MONTHLY		J		
16. VALIC SMCAPEQ		None	J	T	BUY MONTHLY		J		
17. VALIC SHORT TERM FIXED	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes (See Columns C1 and D3)
3. Value Method Codes (See Column C2)

A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
R =Cost (Real Estate Only)
P4 =More than $50,000,000
T =Cash Market
Q =Appraisal
V =Other
S =Assessment
U =Book Value
W =Estimated

Name of Person Reporting	Date of Report
SCHROEDER, MARY M	05/10/2007

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. VALIC SET RATE 7 YR.	D	Interest	N	T					TRANS. FROM FIXED

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Name of Person Reporting	Date of Report
SCHROEDER, MARY M	05/10/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PRUBACHE NOW IS WACHOVIA (PART VII, LINE 2)

Name of Person Reporting	Date of Report
SCHROEDER, MARY M	05/10/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] Date 5-12-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544