

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) West, David L.	2. Court or Organization District Court, Colorado	3. Date of Report 04/23/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S Magistrate Judge, part time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address P O Box 2906 Durango, CO 81302		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	owner	Western Business Investments Inc
2.	owner	TAWB Inc
3.	owner	Cedarstrand Inc
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Colorado	business loan	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMC Distributing	G	Dividend	P2	W					
2. Tawb Inc	C	Dividend	J	W					
3. Old 1st National Bk Bldg	F	Dividend	P1	W					
4. Western Business Invest.	F	Dividend	M	W					
5. Blue Eagle LLC	A	Dividend	J	W					
6. Bristol Myers Squibb	C	Dividend	M	T	Sold (part)	4/16/13	L	F	
7. Caterpillar	C	Dividend	M	T	Sold (part)	4/11/12	M	E	
8. Emerson Electric	D	Dividend	N	T	Sold (part)	3/17/11	L	F	
9. Harley Davidson	B	Dividend	M	T					
10. Kimberly Clark	D	Dividend	K	T	Sold (part)	4/26/13	L	E	
11. Microsoft	B	Dividend	M	T	Sold (part)	4/5/11	K	E	
12. Molex	A	Dividend	M	T	Sold	10/1/13	M	G	
13. SBC Communications	A	Dividend	M	T					
14. Tenant Co	C	Dividend	M	T					
15. Union Pacific	B	Dividend	M	T	Sold (part)	4/11/12	L	E	
16. Zimmer Holdings	A	Dividend	K	T					
17. Schlumberger	E	Dividend	P1	T	Sold (part)	7/8/11	M	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.									
19.									
20.									
21. El Paso Co	B	Int./Div.	L	T					
22.									
23. Abbott Lab	A	Dividend	K	T					
24. Marshall tl	A	Dividend	K	T					
25.									
26. Diebold	A	Dividend	J	T					
27. Larimer Co SFMR	A	Dividend	J	T					
28. Thorton Co WTR	B	Int./Div.	K	T					
29. Puerto Rico SER	A	Int./Div.	J	T					
30. El Paso Co Sngl	B	Int./Div.	L	T					
31. Johnson and Johnson	A	Dividend	J	T					
32.									
33. Electrosorce Inc	A	Dividend	J	T					
34. Carrier	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Sure Shot Intl	A	Dividend	J	T					
36. Citicorp	D	Dividend	N	T					
37. Traveleers PPTY CLA	A	Dividend	J	T					
38. Travelers PPTY CLB	A	Dividend	J	T					
39. Transocean	A	Dividend	J	T					
40. Jack Henry	A	Dividend	L	T					
41.									
42. Piper Jaffrey Prime UBS	A	Dividend	J	T					
43. Berkshire Hathaway	A	Dividend	O	T					
44. Schwab Money Market	A	Interest	J	T					
45. Mannatech	A	Dividend	J	T					
46. Martha Stewart	A	Dividend	J	T					
47. Nasdaq 100 Trust	A	Dividend	J	T					
48. Wells Fargo Index	B	Dividend	L	T					
49.									
50. IBM	B	Dividend	K	T					
51. Chevron Texaco	A	Dividend	K	T					

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52. Wisconsin Energy	A	Dividend	J	T					
53. T Nasdaq Bio	A	Dividend	J	T					
54. TRSSL 1000	A	Dividend	K	T					
55. S & P GLB Erg	A	Dividend	J	T					
56. Dow Jones Select	A	Dividend	J	T					
57. TRU 2000	A	Dividend	J	T					
58. T D Jus	A	Dividend	J	T					
59.									
60.									
61.									
62.									
63. Adams Co Sch	B	Int./Div.			Sold	12/3/12	L	A	
64. El Paso Co	A	Int./Div.	K	T					
65. JFRSN Co	A	Int./Div.	K	T					
66. Section 14 Co	B	Int./Div.	M	T					
67.									
68. Vist Water	A	Dividend	L	T	Sold	7/1/13	K	A	

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69. SAB Miller	B	Dividend	K	T					
70. Southern	A	Dividend	J	T					
71.									
72. Quest Diag	B	Dividend	L	T					
73. El Paso Cty Co	A	Dividend	K	T					
74.									
75. Marshall Ilsley	C	Dividend	M	T					
76. Colo Hsq Fin	A	Dividend	J	T					
77.									
78. Exxon Mobil	G	Dividend	J	T					
79. Gannett Co	A	Dividend	K	T					
80. Graco Inc	A	Dividend	J	T					
81. Grainger	A	Dividend	K	T					
82.									
83. Intel Corp	A	Dividend	J	T					
84. Kellogg	B	Dividend	M	T					
85. Medtronic	A	Dividend	J	T					

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86. Microsoft	A	Dividend	J	T					
87. Blackrock Muni	A	Dividend	J	T					
88. Eaton Vance	A	Dividend	K	T					
89. Nuveen Insured	A	Dividend	K	T					
90. Abbott Lab	A	Dividend	J	T					
91. Flow Serve	A	Dividend	K	T					
92. Stryker Corp	A	Dividend	J	T					
93. Mead Johnson	A	Dividend	L	T					
94.									
95. Ultra Petroleum	C	Dividend	L	T					
96.									
97. Global Payments	B	Distribution	L	T					
98. windstram corp	A	Dividend	L	T					
99. Oppenheimer Ltd Term	A	Int./Div.	M	T	Sold (part)	12/17/13	K	A	
100.									
101.									
102.									

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103.									
104.									
105.									
106.									
107.									
108.									
109.									
110.									
111.									
112.									
113.									
114.									
115.									
116.									
117.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David L. West**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544