

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haik, Richard T.	2. Court or Organization Western District of Louisiana	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 800 Lafayette Street Suite 4200 Lafayette, LA 70501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President	Colonel J. George & S. Saloom Hannie Community Home, Inc.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Louisiana State Bar Association	6/4/2013 - 6/7/2013	Destin, Florida	Seminar speaker - LSBA Annual Meeting/School	Travel, lodging, and meals
2. Louisiana Association of Justice	6/22/2013 - 6/26/2013	Carmel, California	Seminar speaker - LAJ Maritime Law Conference	Travel, lodging, and meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Frank's Casing Crew	Hunting Trip	\$2,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Iberia Bank Accounts	A	Interest	L	T					
2. Midsouth Bank, NA Accounts	A	Interest	L	T					
3. Brokerage Account #1									
4. --Diamond Offshore Drilling, Inc. Common Stock	A	Dividend	J	T					
5. --Iberiabank Corp Common Stock	A	Dividend	J	T					
6. --Edward Jones Money Market Account	A	Dividend	J	T					
7. --Lafayette Parish, LA Law Enforcement Dist Rev Ltd Bonds	A	Interest	J	T					
8. Brokerage Account #2									
9. --San Juan Basin Royalty Trust	A	Interest	J	T					
10. --Charlton County, GA Solid Waste Revenue Bonds	A	Interest			Redeemed	06/28/13	J		
11. --DWS Managed Municipal Bond Fund	A	Int./Div.	J	T					
12. --Ohio State Solid Waste Disposal Revenue Bonds	A	Interest	J	T					
13. --Putnam Tax Exempt Income	A	Dividend	J	T					
14. --Bibb County, GA Development Authority Bonds	C	Interest	K	T	Redeemed (part)	03/01/13	J	A	
15. --Cambria County, PA Revenue Bonds	A	Interest	J	T					
16. --Connecticut Development Authority Bonds	A	Interest			Redeemed	05/24/13	K		
17. --Langhorne Manor Boro, PA Higher Education Bonds		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Louisiana State University Bonds	A	Interest			Redeemed	07/01/13	J	A	
19. --Montgomery-Southside, AL Revenue Bonds	B	Interest	K	T					
20. --New Jersey Health Care Facilities Bonds	A	Interest			Redeemed	03/01/13	J		
21. --Savannah, GA Economic Development Authority Bonds	A	Interest	J	T					
22. --Sumner, IL Healthcare Facilities Revenue Bonds	A	Interest	J	T					
23. --Verizon Communications Common Stock	A	Dividend	J	T					
24. --Tjwi Kimia Intl Finance Co Senior Notes		None	J	T					
25. --Money Market Fund Investment Shares (Y)									
26. --MFS Multimarket Income Trust Mutual Fund	A	Dividend	J	T					
27. --San Juan Basin Royalty Trust Units	A	Royalty	J	T					
28. --At Home Corp Subordinated Notes		None	J	T					
29. --Capital Income Builder Fund A	B	Dividend	K	T					
30. --Rapides Fin Auth LA Revenue Cleco	A	Interest			Redeemed	03/01/13	K		
31. --LA State Gas & Fuels Tax Ser A Revenue	A	Interest	J	T					
32. --Louisiana Public Facilities Auth Rev Bonds	A	Interest	K	T					
33. --Katy Texas Inpd School District Bonds	B	Interest	K	T					
34. --Columbia Tax Exempt Fund Class A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. --Iberia Parish LA Parishwide School Dist Rfdg Gen Obgln	A	Interest	K	T					
36. --Lafayette LA Public Improvement Tax Rev	A	Interest	J	T					
37. --Cutwater Select Income Fund	A	Dividend	J	T					
38. --Lafayette LA Public Trust Auth Rev	A	Interest	K	T					
39. --Virginia St Res Auth St Moral Bonds	A	Interest	K	T	Buy	06/19/13	K		
40. --Tarpon Springs Florida Utility Sys Rev Series A-2 Bonds	A	Interest	J	T	Buy	03/14/13	J		
41. --Terrebonne Levee & Consv Dist Bonds		None	J	T	Buy	07/30/13	J		
42. --Univ of Alabama Board of Trustees Gen Rev Bonds	A	Interest	J	T	Buy	03/14/13	J		
43. --Rainbow City Alabama Utilites Board Water & Sewer Rev Bonds	A	Interest	J	T	Buy	03/14/13	J		
44. Individual Retirement Account #1	D	Dividend	N	T					
45. --American Balanced Fund									
46. --American Mutual Fund									
47. --Capital World Growth & Income Fund									
48. --Europacific Growth Fund									
49. --Growth Fund of America									
50. --Income Fund of America									
51. --New Perspective Fund									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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52. --Washington Mutual Investors Fund									
53. --American Funds Sh Term Bond Fund									
54. Colonel J. George & S. Saloom Hannie	E	Distribution	M	W					
55. BPL Visions, LLC, formerly The McGehee Group, LLC		None	M	W					
56. Oxford Partners, LLC		None	J	W					
57. Gordon Global Investments, LLC		None	J	W					
58. [REDACTED] Property Located in Vermilion Parish, LA		None	J	W					
59. E-Kat, LLC		None	K	W					
60. Camp, [REDACTED], Port Barre, LA [REDACTED] (Y)									
61. Rental Property, [REDACTED], Lafayette, LA (\$292,000, 7/5/12)		None			Sold	08/12/13	N	F	Hunter/Mary Carolyn Duffy

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 60 - The corresponding liability for this property [REDACTED] was paid in full during 2013. [REDACTED]
[REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard T. Haik**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544