

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goodstein, Aaron E.	2. Court or Organization District Court -E.D. Wisconsin	3. Date of Report 05/4/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-Time (Recall)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 618 United States Courthouse 517 E. Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/4/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/4/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/4/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1. U.S. Bank Savings Accounts	B	Interest	M	T					
2. 2. IRA #1:									
3. d) JP Morgan TR 1	A	Interest			Sold	05/12/16	J	A	
4. m)General Electric Capital Corp.	A	Dividend	K	T					
5. o) AMG Southernsun US EQI	A	Dividend			Sold	05/16/16	J	A	
6. p) Cohen & Steers Realty Shares	A	Dividend	J	T					
7. q) Diamond Hill Funds	A	Dividend			Sold	05/16/16	K	A	
8. r) Oppenheimer Developing	A	Dividend			Sold	05/16/16	J	A	
9. s) Oppenheimer International	A	Dividend			Sold	05/16/16	K	A	
10. t) Riverpark FDS TR	A	Dividend			Sold	05/16/16	K	A	
11. u) IShares Int'l Select	A	Dividend			Sold	05/16/16	J	A	
12. v) Proshares S&P 500 Aristocrats ETF	A	Dividend	K	T	Buy	05/16/16	K		
13. w) SPDR Index ShareFunds	A	Dividend	J	T	Buy	05/16/16	J		
14. x) Vanguard FTSE Emerging Marketings	A	Dividend	J	T	Buy	05/16/16	J		
15. y) Wisdomtree TR	A	Dividend	J	T	Buy	05/16/16	J		
16. 3. Brokerage Account #1:									
17. f) Berkshire Hathaway		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. h) JP Morgan TR 1	A	Interest	J	T					
19. i) Chevron Corp.	A	Dividend	J	T					
20. m) IShares MSCI Emerging Markets	A	Dividend			Sold	05/16/16	J	A	
21. n) IShares Int'l Select	A	Dividend			Sold	05/16/16	K	A	
22. o) Vanguard Index Funds Mid-cap	A	Dividend			Sold	05/26/16	J	A	
23. p) Vanguard Index Funds Small cap	A	Dividend			Sold	05/23/16	J	A	
24. q) Verizon Communications	A	Dividend	J	T					
25. r) Blackrock Strategic Income	B	Dividend	L	T					
26. s) Cohen & Steers Realty Shares	B	Dividend	K	T					
27. t)Diamond Hill Funds	A	Dividend			Sold	05/16/16	K	A	
28. u) Guggenheim FDS TR	B	Dividend	L	T					
29. v) Oppenheimer International	A	Dividend			Sold	05/16/16	K	A	
30. w) Riverpark FDS TR	A	Dividend			Sold	05/16/16	K	A	
31. x) Proshares S&P 500 Aristocrats ETF	A	Dividend	L	T	Buy	05/16/16	L		
32. y) SPDR Index Shares Funds	A	Dividend	J	T	Buy	05/16/16	J		
33. z) Wisdom Tree TR-Europe Hedged Equity Fund	A	Dividend	J	T	Buy	05/16/16	J		
34. aa) Eaton Vance Atlanta Cap	A	Dividend	K	T	Buy	05/23/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. bb) Vanguard FTSE Emerging Markets	A	Dividend	J	T	Buy	05/16/16	J		
36. 4. Brokerage Account #2									
37. b) Exxon Mobile Corp	A	Dividend	K	T					
38. c) IDEARC Inc.	A	Dividend	J	T					
39. d) Johnson & Johnson	A	Dividend	K	T					
40. e) Simon Property Group Inc, New	A	Dividend	K	T					
41. f) U.S. Bancorp DE New	A	Dividend	J	T					
42. g) Universal Health Realty Income Trust Shares Beneficial In	A	Dividend	K	T					
43. h) Verizon Communications, Inc	A	Dividend	J	T					
44. i) Washington Mutual Company	A	Dividend	J	T					
45. m) Plains All Amern Pipeline LC	A	Dividend			Sold	04/21/16	J	C	
46. o) Fidelity National Information Services	A	Dividend	J	T					
47. q) Fairpoint Communications, Inc.	A	Dividend	J	T					
48. s) Walgreen Boots Alliance Inc	A	Dividend	J	T					
49. t) Frontier Communication Corp.	A	Dividend			Sold	05/16/16	J	A	
50. v) iShares MSCI Emerging Markets	A	Dividend			Sold	05/16/16	J	A	
51. w) iShares Int'l Select	A	Dividend			Sold	05/16/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. x) Vanguard IndexFunds Mid-Cap	A	Dividend			Sold	05/26/16	J	A	
53. y) Vanguard Index Funds Small-cap	A	Dividend			Sold	05/26/16	J	A	
54. z) Blackrock Strategic Income	B	Dividend	K	T					
55. aa) Guggenheim FDS TR	B	Dividend	K	T					
56. bb) Oppenheimer International	A	Dividend			Sold	05/16/16	K	A	
57. cc) WP Glimcher	A	Dividend	J	T					
58. dd) WMI Holdings	A	Dividend	J	T					
59. ee) Kinder Morgan Inc	A	Dividend			Sold	05/19/16	K	A	
60. ff) Proshares S&P 500 Aristocrats ETF	A	Dividend	K	T	Buy	04/21/16	K		
61. gg) SPDR Index Shares Fund	A	Dividend	J	T	Buy	05/16/16	J		
62. hh) Vanguard FTSE Emerging markets	A	Dividend	J	T	Buy	05/16/16	J		
63. ii) Wisdom Tree TR	A	Dividend	J	T	Buy	05/16/16	J		
64. IRA #2									
65. a) JP Morgan CC	A	Interest	J	T					
66. b) AMG Southernsun US EQI	A	Dividend	J	T					
67. c) Blackrock Strategic Income	A	Dividend			Sold	04/15/16	J	A	
68. d) Cohen & Steers Realty Shares	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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Goodstein, Aaron E.

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69. e) Diamond Hill Funds	A	Dividend			Sold	05/16/16	J	A	
70. f) Guggenheim FDS TR	A	Dividend	J	T					
71. g) Oppenheimer Developing	A	Dividend			Sold	05/16/16	J	A	
72. h) Oppenheimer International	A	Dividend			Sold	05/16/16	J	A	
73. i) Riverpark FDS TR n/k/aRiver Park Wedgewood Fund	A	Dividend			Sold	05/16/16	J	A	
74. j) Proshares S&P500 Aristocrats ETF	A	Dividend	K	T	Buy	05/16/16	K		
75. k) SPDR Index Shares Funds	A	Dividend	J	T	Buy	05/16/16	J		
76. l) Vanguard FTSE Emerging Markets	A	Dividend	J	T	Buy	05/16/16	J		
77. m) Vanguard Merger Cap Growth	A	Dividend	J	T	Buy	05/23/16	J		
78. n) Wisdom Tree edged Equity Fund	A	Dividend	J	T	Buy	05/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/4/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Goodstein, Aaron E.

Date of Report

05/4/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Aaron E. Goodstein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544