

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                          |                                                                  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Craig, Carla E.                                                                                                                                             | <b>2. Court or Organization</b><br><br>U.S. Bankruptcy Court, E.D.N.Y.                                                                                                                                                                                                                   | <b>3. Date of Report</b><br><br>8/3/2012                         |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Active Judge                                                                                         | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2011<br>to<br>12/31/2011 |
| <b>7. Chambers or Office Address</b><br><br>271 Cadman Plaza E.<br>Suite 1595<br>Brooklyn, New York 11201                                                                                                                        |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the <b>NONE</b> box for each part where you have no reportable information. Insert signature on last page.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | Director        | Kidspirit Magazine                 |
| 2. |                 |                                    |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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Name of Person Reporting

Craig, Carla E.

Date of Report

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011 \_\_\_\_\_

-partnership distribution

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>                    | <u>DESCRIPTION</u>                                                         | <u>VALUE CODE</u> |
|----|------------------------------------|----------------------------------------------------------------------------|-------------------|
| 1. | Mt. Kellett Capital Partners II LP | Commitment to make capital contributions to investment limited partnership | PI                |
| 2. |                                    | Tuition obligation                                                         | K                 |
| 3. |                                    | Tuition obligation                                                         | L                 |
| 4. |                                    |                                                                            |                   |
| 5. |                                    |                                                                            |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Citibank Checking and Money Market Accounts                                                                              | B                                       | Interest                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. J.P. Morgan Money Market                                                                                                 | C                                       | Interest                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. JP Morgan High Yield Bond Fund 3580                                                                                      | D                                       | Dividend                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. JPM TR 1 Tax Aware Real Return Fund                                                                                      | C                                       | Dividend                                      | N                                               | T                                         | Buy                                             | 09/15/11                | N                               |                                |                                                                   |
| 5. JPM US Real Estate Income and Growth Direct LP                                                                           | B                                       | Int./Div.                                     | P1                                              | T                                         | Buy                                             | 07/01/11                | O                               |                                |                                                                   |
| 6.                                                                                                                          | D                                       | Rent                                          |                                                 |                                           | Buy (add'l)                                     | 10/25/11                | O                               |                                |                                                                   |
| 7.                                                                                                                          | D                                       | Distribution                                  |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. JPM Floating Rate Income Fund                                                                                            | D                                       | Dividend                                      | O                                               | T                                         | Buy                                             | 09/15/11                | N                               |                                |                                                                   |
| 9. Global Access Macro LLC                                                                                                  | D                                       | Int./Div.                                     | O                                               | T                                         | Buy                                             | 03/29/11                | N                               |                                |                                                                   |
| 10.                                                                                                                         | E                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. JP Morgan Asia Equity Fund 1134                                                                                         | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. JP Morgan TR 1 Fund 992                                                                                                 | B                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. JP Morgan International Currency Income Fund                                                                            | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. JP Morgan Strategic Income Opportunites Fund 3844                                                                       | C                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. American Century Equity Income Fund 123                                                                                 | C                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. JP Morgan JPM 12M SPX Bren (Structured Note)                                                                            |                                         | None                                          |                                                 |                                           | Redeemed                                        | 04/28/11                | L                               | D                              |                                                                   |
| 17. CS 1YR Commodity CPN Note (Structured Note)                                                                             |                                         | None                                          |                                                 |                                           | Redeemed                                        | 04/21/11                | M                               | E                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                    | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                       |   |          |   |   |             |          |   |   |  |
|-------------------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 18. GS 18M SPX Call Buff EQ Note (Structured Note)    |   | None     |   |   | Redeemed    | 11/21/11 | L | D |  |
| 19. BNP Bren Asia Basket 7/22/11 (Structured Note)    |   | None     |   |   | Redeemed    | 07/22/11 | L | D |  |
| 20. Fidelity Cash Reserves                            | A | Dividend | J | T |             |          |   |   |  |
| 21. Fidelity Spartan NY Muni Income                   | A | Dividend | M | T |             |          |   |   |  |
| 22. Nassau Co NY Interim Fin Auth Bonds 5% 11/15/2011 | D | Interest |   |   | Sold        | 11/15/11 |   |   |  |
| 23. New York State Ser A GO N/C Bonds 4% 3/15/2011    | B | Interest |   |   | Sold        | 03/15/11 |   |   |  |
| 24. New York St Environmental FACS 5% 3/15/2011       | B | Interest |   |   | Sold        | 03/15/11 |   |   |  |
| 25. New York St Twy Auth Svc 5.5% 4/1/2011            | B | Interest |   |   | Sold        | 04/01/11 |   |   |  |
| 26. iShares MSCI Emerging Markets Index               | A | Dividend |   |   | Sold (part) | 10/07/11 |   |   |  |
| 27.                                                   |   |          |   |   | Sold (part) | 11/09/11 | J |   |  |
| 28.                                                   |   |          |   |   | Sold        | 12/12/11 | J |   |  |
| 29. iShares Dow Jones US Oil & Gas                    | A | Dividend | M | T | Buy (add'l) | 02/01/11 | M |   |  |
| 30.                                                   |   |          |   |   | Buy (add'l) | 08/01/11 | M |   |  |
| 31.                                                   |   |          |   |   | Buy (add'l) | 11/01/11 | M |   |  |
| 32.                                                   |   |          |   |   | Sold (part) | 01/03/11 | M | D |  |
| 33.                                                   |   |          |   |   | Sold (part) | 01/06/11 | J | A |  |
| 34.                                                   |   |          |   |   | Sold (part) | 06/01/11 | M | D |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                          |   |          |   |   |                |          |   |   |  |
|------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 35.                                      |   |          |   |   | Sold<br>(part) | 06/06/11 | J |   |  |
| 36.                                      |   |          |   |   | Sold<br>(part) | 09/01/11 | M |   |  |
| 37. iShares Mexico                       | A | Dividend |   |   | Sold<br>(part) | 01/03/11 | M | D |  |
| 38.                                      |   |          |   |   | Sold           | 01/06/11 | J | A |  |
| 39. iShares Russell 2000 Growth Index    | A | Dividend |   |   | Buy            | 03/01/11 | M |   |  |
| 40.                                      |   |          |   |   | Sold<br>(part) | 01/03/11 | M | D |  |
| 41.                                      |   |          |   |   | Sold<br>(part) | 01/06/11 | J | A |  |
| 42.                                      |   |          |   |   | Sold           | 04/01/11 | J |   |  |
| 43. iShares MSCI Malaysia Index          |   | None     |   |   | Buy            | 07/01/11 | M |   |  |
| 44.                                      |   |          |   |   | Sold           | 08/01/11 | M |   |  |
| 45. iShares Dow Jones US Basic Materials | A | Dividend |   |   | Buy            | 01/03/11 | M |   |  |
| 46.                                      |   |          |   |   | Sold           | 03/01/11 | M | B |  |
| 47. iShares Barclays 20+ years Treasury  | B | Dividend | M | T | Buy            | 08/01/11 | M |   |  |
| 48. iShares DJ US Utilities              | B | Dividend | M | T | Buy            | 09/01/11 | M |   |  |
| 49. Aquila Three Peaks High Income Inst. | B | Dividend | M | T | Buy            | 09/16/11 | K |   |  |
| 50.                                      | B |          |   |   | Buy<br>(add'l) | 11/09/11 | L |   |  |
| 51.                                      |   |          |   |   | Buy<br>(add'l) | 11/16/11 | K |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                        |   |          |   |   |                |          |   |   |  |
|----------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 52. Artisan Midcap Value               | A | Dividend | J | T | Buy            | 01/11/11 | J |   |  |
| 53.                                    | A |          |   |   | Buy<br>(add'l) | 10/24/11 | J |   |  |
| 54.                                    |   |          |   |   | Sold<br>(part) | 11/09/11 | L | D |  |
| 55.                                    |   |          |   |   | Sold<br>(part) | 12/15/11 | J | A |  |
| 56. Blackrock Global Allocation A      | A | Dividend | K | T | Buy<br>(add'l) | 10/24/11 | J |   |  |
| 57.                                    |   |          |   |   | Sold<br>(part) | 12/15/11 | J | A |  |
| 58.                                    |   |          |   |   | Sold<br>(part) | 12/19/11 | J | A |  |
| 59. Blackrock Global Allocation I      | D | Dividend | N | T | Buy<br>(add'l) | 02/04/11 | K |   |  |
| 60.                                    | C |          |   |   | Buy<br>(add'l) | 03/24/11 | K |   |  |
| 61.                                    |   |          |   |   | Buy<br>(add'l) | 11/09/11 | J |   |  |
| 62.                                    |   |          |   |   | Sold<br>(part) | 12/19/11 | J | C |  |
| 63. Fidelity Floating Rate High Income | C | Dividend | M | T | Buy            | 01/27/11 | L |   |  |
| 64.                                    |   |          |   |   | Buy<br>(add'l) | 02/04/11 | K |   |  |
| 65.                                    |   |          |   |   | Buy<br>(add'l) | 11/09/11 | L |   |  |
| 66.                                    |   |          |   |   | Buy<br>(add'l) | 11/15/11 | K |   |  |
| 67.                                    |   |          |   |   | Buy<br>(add'l) | 11/16/11 | K |   |  |
| 68.                                    |   |          |   |   | Buy<br>(add'l) | 12/14/11 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/16/11                | K                               |                                |                                                                   |
| 70. Fidelity Low Priced Stock                                                                                               | B                                       | Dividend                                      | M                                               | T                                         | Sold<br>(part)                                  | 07/26/11                | K                               | D                              |                                                                   |
| 71.                                                                                                                         | E                                       |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/09/11                | L                               | D                              |                                                                   |
| 72. Fidelity Mega Cap Stock                                                                                                 | B                                       | Dividend                                      | N                                               | T                                         | Buy                                             | 07/25/11                | L                               |                                |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/26/11                | L                               |                                |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/16/11                | K                               |                                |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/09/11                | K                               |                                |                                                                   |
| 76.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/13/11                | M                               |                                |                                                                   |
| 77.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/30/11                | J                               |                                |                                                                   |
| 78. Fidelity New Markets Income                                                                                             | B                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 11/09/11                | K                               | C                              |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 12/14/11                | J                               | C                              |                                                                   |
| 80. Hartford Healthcare Fund(x)                                                                                             |                                         | None                                          | K                                               | T                                         | Sold<br>(part)                                  | 11/09/11                | K                               | B                              |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/20/11                | J                               |                                |                                                                   |
| 82. Hartford Healthcare Fund I(x)                                                                                           |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. PIMCO All Asset Fund Adm Class                                                                                          | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 11/09/11                | K                               |                                |                                                                   |
| 84.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/15/11                | J                               |                                |                                                                   |
| 85. Primecap Odyssey Aggressive Growth                                                                                      | A                                       |                                               | K                                               | T                                         | Sold<br>(part)                                  | 07/26/11                | K                               | D                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Craig, Carla E.

Date of Report

8/3/2012

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86.                                                                                                                         | A                                       |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/09/11                | M                               | E                              |                                                                   |
| 87. Primecap Odyssey Growth                                                                                                 |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 03/15/11                | L                               | D                              |                                                                   |
| 88.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/27/11                | M                               | D                              |                                                                   |
| 89. Primecap Odyssey Stock                                                                                                  | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 09/27/11                | M                               |                                |                                                                   |
| 90.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/28/11                | K                               | A                              |                                                                   |
| 91. Vanguard Dividend Growth                                                                                                | D                                       | Dividend                                      | N                                               | T                                         | Buy<br>(add'l)                                  | 02/04/11                | K                               |                                |                                                                   |
| 92.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/17/11                | J                               |                                |                                                                   |
| 93.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/24/11                | J                               |                                |                                                                   |
| 94.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/09/11                | J                               |                                |                                                                   |
| 95.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/15/11                | L                               | D                              |                                                                   |
| 96.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/25/11                | K                               | A                              |                                                                   |
| 97. Vanguard GNMA Admiral                                                                                                   | D                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 05/17/11                | J                               |                                |                                                                   |
| 98.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 11/15/11                | N                               | D                              |                                                                   |
| 99. Vanguard Intermediate Term Investment<br>Grade (VFICX)                                                                  | C                                       | Dividend                                      | L                                               | T                                         | Sold<br>(part)                                  | 11/09/11                | J                               |                                |                                                                   |
| 100.                                                                                                                        | A                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 101. Vanguard Intermediate Term Investment<br>Grade (VFIDX)                                                                 | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102.                                                                                                                        | C                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Craig, Carla E.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Vanguard Limited Term Tax Exempt                                                                                       | C                                       | Dividend                                      | O                                               | T                                         | Buy<br>(add'l)                                  | 04/19/11                | M                               |                                |                                                                   |
| 104.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/15/11                | N                               |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/04/11                | L                               | B                              |                                                                   |
| 106. Vanguard Midcap Index (VMISX)                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/13/11                | M                               |                                |                                                                   |
| 107. Vanguard Midcap Value Index (VMIDX)                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/11/11                | J                               | A                              |                                                                   |
| 108. Vanguard Short Term Invst Grade (VFSTX)                                                                                | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 11/09/11                | K                               |                                |                                                                   |
| 109.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/10/11                | J                               |                                |                                                                   |
| 110.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/28/11                | J                               |                                |                                                                   |
| 111. Vanguard short Term Investment Grade (VFSUX)                                                                           | A                                       | Dividend                                      | N                                               | T                                         | Buy                                             | 11/09/11                | M                               |                                |                                                                   |
| 112.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/10/11                | K                               |                                |                                                                   |
| 113.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/28/11                | L                               |                                |                                                                   |
| 114. iShares MSCI Germany Index (EWG)                                                                                       |                                         | None                                          |                                                 |                                           | Buy                                             | 05/02/11                | M                               |                                |                                                                   |
| 115.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/01/11                | M                               |                                |                                                                   |
| 116.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/01/11                | M                               |                                |                                                                   |
| 117.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/01/11                | M                               |                                |                                                                   |
| 118. iShares MSCI Austria Investable Market (EWO)                                                                           |                                         | None                                          |                                                 |                                           | Buy                                             | 01/03/11                | M                               |                                |                                                                   |
| 119.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 02/01/11                | M                               | C                              |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

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Name of Person Reporting

Craig, Carla E.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. iShares MSCI South Korea ETF (EWY)                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 04/01/11                | M                               |                                |                                                                   |
| 121.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/01/11                | M                               |                                |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/01/11                | M                               |                                |                                                                   |
| 123.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/02/11                | M                               | D                              |                                                                   |
| 124.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/01/11                | M                               |                                |                                                                   |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/07/11                | J                               | A                              |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/01/11                | M                               |                                |                                                                   |
| 127. iShares Barclays 7-10 Year Treasury Bond<br>Fund (IEF)                                                                 | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 09/01/11                | M                               |                                |                                                                   |
| 128.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 11/01/11                | M                               |                                |                                                                   |
| 129. iShares S&P North American Natural<br>Resources Sec Index (IGE)                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/03/11                | M                               |                                |                                                                   |
| 130.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/02/11                | M                               | E                              |                                                                   |
| 131.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/05/11                | J                               |                                |                                                                   |
| 132. iShares Dow Jones US Healthcare (IHF)                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 05/02/11                | M                               |                                |                                                                   |
| 133.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/01/11                | M                               |                                |                                                                   |
| 134.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/04/11                | J                               |                                |                                                                   |
| 135. iShares Dow Jones US Telecom (IYZ)                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 06/01/11                | M                               |                                |                                                                   |
| 136.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/01/11                | M                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 07/07/11                | J                               | A                              |                                                                   |
| 138. iShares Barclays TIPS Bond Fund (TIP)                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 09/01/11                | M                               |                                |                                                                   |
| 139.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/03/11                | M                               | A                              |                                                                   |
| 140. Vanguard Capital Value Investment (VCVLX)                                                                              |                                         | None                                          |                                                 |                                           | Buy                                             | 03/15/11                | M                               |                                |                                                                   |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/25/11                | K                               |                                |                                                                   |
| 142.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/16/11                | K                               |                                |                                                                   |
| 143.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/17/11                | J                               |                                |                                                                   |
| 144. Vanguard MSCI Emerging Markets ETP (VWO)                                                                               |                                         | None                                          |                                                 |                                           | Buy                                             | 10/07/11                | K                               |                                |                                                                   |
| 145.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 11/09/11                | K                               | B                              |                                                                   |
| 146. E-Trade f/k/a WIT Capital                                                                                              |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 147. Capital Account (law firm)                                                                                             | E                                       | Interest                                      | P1                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 148. NY College Savings Program                                                                                             |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 149. -Moderate Age Based Income Option Portfolio                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 150. NY College Savings Program                                                                                             |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 151. -Moderate Age Based Option Conservative Growth Portfolio                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 152. Vanguard Educational IRA                                                                                               |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 153. -Vanguard Small Cap Index Fund Investor Shares                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Craig, Carla E.

Date of Report

8/3/2012

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. Vanguard Educational IRA                                                                                               |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 155. -Vanguard Small Cap Index Fund Investor Shares                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 156. Putnam Investments College Fund                                                                                        |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 157. -Collegeadv Growth AX                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 158. Putnam Investments College Fund                                                                                        |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 159. -Collegeadv Growth AX                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 160. B & McG Holdings                                                                                                       |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 161. -Liberty Square                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 162. -Shelter Venture Fund                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 163. Special Situations Technology Fund, L.P.                                                                               | A                                       | Interest                                      | P1                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 164.                                                                                                                        | C                                       | Dividend                                      |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 165.                                                                                                                        | G                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 166. Crestmount Partners LLP                                                                                                |                                         | None                                          |                                                 |                                           | Redeemed                                        | 01/01/11                | O                               | F                              |                                                                   |
| 167. Savings Plan                                                                                                           |                                         | None                                          | P1                                              | T                                         | Buy<br>(add'l)                                  | 12/31/11                | K                               |                                |                                                                   |
| 168. -Wells Fargo Money Mkt Fund (x)                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 169. -Merck common stock                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 170. -Pfizer common stock                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Craig, Carla E.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| 171. <input type="checkbox"/> Cash Balance Plan                                                                             |                                         | None                                          | M                                               | T                                         | Buy<br>(add'l)                                  | 12/31/11                | L                               |                                |                                                                   |
| 172. <input type="checkbox"/> Thrift Plan                                                                                   |                                         | None                                          | N                                               | T                                         | Buy<br>(add'l)                                  | 12/31/11                | K                               |                                |                                                                   |
| 173. <input type="checkbox"/> Partners Pension Plan                                                                         |                                         | None                                          | M                                               | T                                         | Buy<br>(add'l)                                  | 12/31/11                | L                               |                                |                                                                   |
| 174. <input type="checkbox"/> Supplemental<br>Retirement Fund                                                               |                                         | None                                          | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 175. -Hancock-Tremont Opportunity Insurance<br>Fund                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 176. -Hancock-Pimco Vit All Asset Portfolio                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 177. -Hancock-American International                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 178. -Hancock-Real Return Bond                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 179. -Hancock-International Opportunities                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 180. -Hancock-American Growth                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 181. -Hancock-Real Estate Securities                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 182. -Hancock-Natural Resources                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 183. -Hancock-BlueChip Growth                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 184. -Hancock-Money Market B                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 185. -Prudential-Jemison                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 186. -SP PrudentialUS Emerging Growth                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 187. -Prudential-Business Opp. Value Fund                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

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Craig, Carla E.

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| 188. -Prudential-Value Fund                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 189. -Prudential-Diversified Bond                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 190. -Prudential-AST Small CapValue                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 191. -PrudentialGoldman Sachs Structured Small<br>Cap                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 192. -Prudential-AST Marisco Cap Growth                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 193. -Prudential-T. Rowe Price International                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 194. -Prudential-American Century VP Value                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 195. -Prudential-Dreyfus Midcap Stock                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 196. -Prudential-Money Market                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 197. -Titan Legacy Fund                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            |                         |                                 |                                |                                                                   |
| 198. -BlackrockMulti Mgrlns DedPartners                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 199. -Prudential-AST Large Cap                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 200. -Fidelity VIP Money Market Portfoliio                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 201. -500 Index B                                                                                                           |                                         |                                               |                                                 |                                           | Sold                                            |                         |                                 |                                |                                                                   |
| 202. -AST Pimco Total Return Bond Portfolio<br>Values                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 203. -Common Sense Partners-IDF LP Values                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 204. -Dreyfus Stock Index                                                                                                   |                                         |                                               |                                                 |                                           | Sold                                            |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
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| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
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| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
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| 205. -Janus Overseas Portfolio Values                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 206. -M Capital Appreciation Fund                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 207. -M International Equity Fund                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 208. -M Large Cap Growth Fund                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 209. -Small Capitalization Stock Values                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            |                         |                                 |                                |                                                                   |
| 210. -Stock Index Fund                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            |                         |                                 |                                |                                                                   |
| 211. -Prudential High Yield Bond Portfolio                                                                                  |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 212. -PIMCO VIT Commodity Real Return<br>Admin Class                                                                        |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 213. -DWS Small Cap Index VIP Class B                                                                                       |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 214. -DWS Small Cap Value VIP Class B                                                                                       |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 215. -BlackRock Value Opportunities V.I. Fund<br>Class I                                                                    |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 216. -Fidelity VIP Contrafund Portfolio Initial<br>Class                                                                    |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 217. -Fidelity VIP Overseas Portfolio Initial<br>Class                                                                      |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 218. -Franklin Global Real Estate Securities Fund<br>Class I                                                                |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 219. -MFS Value Portfolio I Class                                                                                           |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 220. -PIMCO All Asset Portfolio Administrative                                                                              |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |
| 221. -T. Rowe Price Equity Income Portfolio                                                                                 |                                         |                                               |                                                 |                                           | Buy                                             |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 222. Special Situations III                                                                                                 | A                                       | Interest                                      |                                                 |                                           | Distributed                                     | 06/27/11                | J                               |                                |                                                                   |
| 223.                                                                                                                        | B                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 224. Special Situation Fund III QP, LLP                                                                                     | B                                       | Int./Div.                                     | N                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 225.                                                                                                                        | G                                       |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 226. Merrill Lynch Money Market                                                                                             | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 227. Group Trust                                                                                                            |                                         | None                                          | N                                               | T                                         | Buy<br>(add'l)                                  | 12/31/11                | J                               |                                |                                                                   |
| 228. -Blackrock Asia Pacific Partners (Offshore)<br>Ltd                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 229. -Cedar Bridge Institutional Fund LP                                                                                    |                                         |                                               |                                                 |                                           | Redeemed<br>(part)                              |                         | J                               |                                |                                                                   |
| 230. -Cognis I Fund LP                                                                                                      |                                         |                                               |                                                 |                                           | Redeemed<br>(part)                              |                         | J                               |                                |                                                                   |
| 231. -Conatus Capital Overseas Ltd                                                                                          |                                         |                                               |                                                 |                                           | Redeemed                                        |                         | J                               |                                |                                                                   |
| 232. -Daedalus Offshore                                                                                                     |                                         |                                               |                                                 |                                           | Redeemed                                        |                         | J                               |                                |                                                                   |
| 233. -Fore Multi-Strategy Offshore Fund Ltd                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 234. -Glazer Offshore Fund, Ltd.                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             |                         | J                               |                                |                                                                   |
| 235. -Golden Tree Offshore Fund Ltd                                                                                         |                                         |                                               |                                                 |                                           | Redeemed<br>(part)                              |                         | J                               |                                |                                                                   |
| 236. -Highfields Capital IV LP                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 237. -Hudson Bay Overseas Fund Ltd                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 238. -Indus Asia Pacific Fund Ltd                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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Name of Person Reporting

Craig, Carla E.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                       |  |  |  |  |                 |  |   |  |  |
|-------------------------------------------------------|--|--|--|--|-----------------|--|---|--|--|
| 239. -Indus Asia Pacific Distribution Holding Co. Ltd |  |  |  |  |                 |  |   |  |  |
| 240. -Lakeview Opportunity Fund Ltd.                  |  |  |  |  |                 |  |   |  |  |
| 241. -Lone Dragon Pine LP                             |  |  |  |  |                 |  |   |  |  |
| 242. -Lone Pinion Ltd.                                |  |  |  |  |                 |  |   |  |  |
| 243. -Lone Spruce LP                                  |  |  |  |  |                 |  |   |  |  |
| 244. -Malta Offshore, Ltd.                            |  |  |  |  | Buy             |  | J |  |  |
| 245. -Manatuck Hill Navigator Offshore Fund, Inc.     |  |  |  |  | Buy             |  | J |  |  |
| 246. -Millenium International Ltd                     |  |  |  |  | Redeemed (part) |  | J |  |  |
| 247. -MKP Opportunity Offshore Ltd                    |  |  |  |  |                 |  |   |  |  |
| 248. -OSS Overseas LTD                                |  |  |  |  | Redeemed (part) |  | J |  |  |
| 249. -Pennant Onshore Partners LP                     |  |  |  |  |                 |  |   |  |  |
| 250. -Pennant Windward Ltd                            |  |  |  |  |                 |  |   |  |  |
| 251. -Ramius Lehman International SPV                 |  |  |  |  | Redeemed (part) |  | J |  |  |
| 252. -Restoration Offshore Fund LTD                   |  |  |  |  |                 |  |   |  |  |
| 253. -Seer Capital Partners Offshore Fund Ltd.        |  |  |  |  | Buy             |  | J |  |  |
| 254. -Seix Credit Opportunities Offshore Fund Ltd     |  |  |  |  |                 |  |   |  |  |
| 255. -Spruce Absolute Return Fund (Offshore) Ltd.     |  |  |  |  | Buy (add'l)     |  | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

Craig, Carla E.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                 |  |      |   |   |                    |  |   |  |  |
|-------------------------------------------------|--|------|---|---|--------------------|--|---|--|--|
| 256. -Spruce Global Equity Fund (Offshore) Ltd  |  |      |   |   | Buy<br>(add'l)     |  | J |  |  |
| 257. -Spruce Real Assets Fund (Offshore) Ltd    |  |      |   |   | Buy<br>(add'l)     |  | J |  |  |
| 258. -Sunbeam Opportunities Offshore SA         |  |      |   |   |                    |  |   |  |  |
| 259. -ValueAct Small Cap International LP       |  |      |   |   | Redeemed<br>(part) |  | J |  |  |
| 260. -Vanguard Short Term Investment Grade Fund |  |      |   |   |                    |  |   |  |  |
| 261. -Woodbine Capital Fund Ltd                 |  |      |   |   |                    |  |   |  |  |
| 262. -York Total Return Unit Trust              |  |      |   |   |                    |  |   |  |  |
| 263. -Money Market Fund                         |  |      |   |   |                    |  |   |  |  |
| 264. AutoNation common stock                    |  | None | K | T |                    |  |   |  |  |
| 265. Akami Technology common stock              |  | None | J | T |                    |  |   |  |  |
| 266. MooreAcre/Blackacre REIT                   |  | None | J | T |                    |  |   |  |  |
| 267. MooreAcre/Blackacre Inc. REIT              |  | None | J | T |                    |  |   |  |  |
| 268. BCM/CHI Eden Roc, Inc. REIT                |  | None | J | T |                    |  |   |  |  |
| 269. BCM/CHI Kapalua, Inc. REIT                 |  | None | J | T |                    |  |   |  |  |
| 270. CollegeBound Fund (Alliance-RI)            |  | None | K | T |                    |  |   |  |  |
| 271. -CBF Appreciation Portfolio Alt-AX         |  |      |   |   |                    |  |   |  |  |
| 272. CollegeBound Fund (Alliance-RI)            |  | None | K | T |                    |  |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                 |  |      |    |   |                |          |   |  |  |
|-------------------------------------------------|--|------|----|---|----------------|----------|---|--|--|
| 273. -CBF Appreciation Portfolio Alt-AX         |  |      |    |   |                |          |   |  |  |
| 274. Prudential Money Market                    |  | None | J  | T |                |          |   |  |  |
| 275. Northwestern Mutual Whole Life Ins. Policy |  | None | M  | T |                |          |   |  |  |
| 276. Mt. Kellett Capital Partners II LP         |  | None | P1 | T | Buy            | 06/14/11 | O |  |  |
| 277.                                            |  |      |    |   | Buy<br>(add'l) | 08/08/11 | M |  |  |
| 278.                                            |  |      |    |   | Buy<br>(add'l) | 09/02/11 | L |  |  |
| 279.                                            |  |      |    |   | Buy<br>(add'l) | 06/30/11 | M |  |  |
| 280.                                            |  |      |    |   | Buy<br>(add'l) | 12/22/11 | L |  |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Throughout Part VII, where column B1 is filled in, and column B2 is left blank, this indicates that the income in question is capital gains.

Part VII, Lines 80-82-Hartford Global Health, reported on my 2010 Financial Disclosure Report at lines 77-78, was divided into two funds in 2011, Hartford Healthcare Fund and Hartford Healthcare Fund I. It is my understanding that this did not constitute a sale or other disposition.

Part VII, Line 167 - Savings Plan-the investment in the Savings Plan is partly invested in the securities shown on the report and partially in the Group Trust.

Part VII, Lines 171-173-the Cash Balance Plan and Partners Pension Plan are invested in the same manner as the Group Trust. The Thrift Plan is partially invested in the Group Trust and partially in a money market fund.

Part VII, lines 278-263 - Group Trust

In years prior to 2009, I reported the investment allocations in the Group Trust because was a member of the committee that guided investments in that Trust. During 2009, was not a member of the firm, nor was a member of that that investment committee, and had no control over the financial interests in that Trust. Therefore, because the Group Trust is a Widely Held Investment Fund (see Filing Instructions, p. 55), I did not report the individual assets owned by that Trust for 2009. On January 19, 2010, he rejoined and rejoined the firm's investment committee. For this reason, I have reported the assets owned by the Trust for 2011.

With respect to the Group Trust and the Supplemental Retirement Fund (Part VII, Lines 174-221), these are retirement plans in which has an interest, and which were established by the law firm of which is a member. Each of these is an aggregate ownership arrangement, and therefore I have reported the gross value of his interest in each of these plans, and have also reported the assets within the aggregate ownership arrangement. From time to time, interest in these aggregate ownership arrangements may be reallocated to different assets, either at direction (in the case of the Supplemental Retirement Plan), or because the plan purchases or sells investments. I have reported these reallocations as purchases and sales, though they are not actually purchases or sales. There is no gain or loss to resulting from these reallocations, and therefore I have left this column blank. I do not know the dates in 2011 on which these reallocations occurred, so I have left this column blank.

With respect to the Group Trust, the Savings Plan, the Thrift Plan, the Partners' Pension Plan, and the Cash Balance Plan, I do not know the date on which made annual contributions to those plans, so I have reported those transactions as year end 2011.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carla E. Craig

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544