

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PRATT, ROBERT W.	2. Court or Organization US DISTRICT COURT - SO IOWA	3. Date of Report 05/3/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT COURT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address US COURTHOUSE 123 E. WALNUT STREET DES MOINES, IA 50309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

IOWA LEGISLATURE - SALARIED EMPLOYEE

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	UNIVERSITY OF TOLEDO LAW SCHOOL	10/15/15 - 10/16/15	TOLEDO, OH	SEMINAR	AIRFARE, LODGING, MEALS
----	------------------------------------	---------------------	------------	---------	-------------------------

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANKERS TRUST COMPANY	A	Interest	K	T					
2. MORGAN STANLEY DEAN WITTER MARKETABLE SECURITIES:									
3. MORGAN STANLEY BANK	A	Interest	J	T					
4. MS EMERGING MKTS DOMESTIC DEBT	C	Dividend	K	T	Buy (add'l)	01/15/15	J		
5. MS EMERGING MKTS DOMESTIC DEBTDEBT	A	Dividend			Buy (add'l)	04/15/15	J		
6. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	07/15/15	J		
7. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	10/15/15	J		
8. INDIANAPOLIS GAS UTIL DIST SYS 3500	A	Interest			Redeemed	06/01/15	K		
9. PUERTO RICO PUB IMPR ZERO	A	Interest			Redeemed	07/02/15	K		
10. STATE UNIV IOWA REC FACS 4000	A	Interest			Redeemed	07/02/15	K		
11. WINNEBAGO BOONE ETC CNTYS ILL ZERO	A	Interest			Redeemed	12/30/15	J		
12. SOUTH JEFSEY PORT CORP N 3.000	A	Interest	K	T					
13. ANKENY IOWA CMNTY SCH DIST 4,000	A	Interest			Redeemed	06/01/15	J		
14. BENSON ARIZ EXCISE TAX & ST SHARED REV OBLIGS 3000	A	Interest	K	T					
15. WYANDOTTE CNTY KANS CITY GOVT OID 3.000	A	Interest	K	T					
16. POLK CNTY FLA SCH DIST SALES TAX 3,750	A	Interest			Redeemed	10/01/15	J		
17. STATE UNIV IOWA UTIL SYS 4000	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. CLARK CNTY NEV GEN OBLIG 3500	B	Interest	K	T					
19. WASHINGTON ST GENL OBLIG MTR VEHICLE FUEL TAX	A	Interest	J	T					
20. OHIO ST HOSP CLEVE CLINIC 3750	B	Interest	K	T					
21. WYLIE CITY TEX COMB TAX REV 4000	A	Interest	K	T					
22. AUSTIN TEX UTIL SYS REV 6.6 ZERO COUPON	A	Interest	K	T					
23. ANKENY IA COMM SCH DIST 4000	B	Interest			Redeemed	06/01/15	K		
24. UNIVERSITY N C CHAPEL HILL REV REF STUDENT FEE UTILS OY 5.45	A	Interest	K	T					
25. ST CLAIR CNTY ILL GEN OBLIG ZERO	A	Interest	K	T					
26. DELTONA FLA TRANS CAP IMPT 4375	A	Interest	J	T					
27. NEW YORK ST MTG AGY HOMEOWNER MTG 1.650	A	Interest	K	T					
28. TAMPA FLA UTIL TAX OY 6.15	A	Interest	J	T					
29. COVINA CALIF PUB FIN WASTEWATER 3500	A	Interest	J	T					
30. CLARK CNTY NEV GEN OBLIG 3500	A	Interest	K	T					
31. INDIANAPOLIS IN WATER WORKS 4000	B	Interest	K	T					
32. WYLIE CITY TEX COMB TAX REV 4000	A	Interest	K	T					
33. NEW YORK N Y CIT HSG DEV 3.300	A	Interest	K	T					
34. CLIVE IOWA GENL OBLIG-C 2.000	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. LONG ISLAND PWR AUTH N Y ELEC SYS 5.81 ZERO COUPON	A	Interest			Redeemed	10/28/15	K		
36. IOWA ST PRISON INFRASTRUCTURE FUND OBLIG 3250	C	Interest	M	T					
37. NEVADA STATE HIGHER EDUC 3400	B	Interest	K	T					
38. IOWA STUDENT LOAN LIQUIDITY CORP STUDENT LOAN REV-I	A	Interest	J	T					
39. COLLEGE CHARLESSTON SC HIGHER ED FACS REV REF-A 2.500	A	Interest	K	T					
40. LONG ISLAND PWR AUTH N Y ELEC SYS REV SER A OY 5.83	A	Interest	K	T					
41. MARION IOWA URBAN RENWAL A 2.000	A	Interest	K	T					
42. HONOLULU HAWAII CITY WASTE ZERO COUPON	A	Interest	J	T					
43. IOWA FIN AUTH SINGLE FAMILY MTG REV SER-I	B	Interest	K	T	Redeemed (part)	06/02/15	J		
44. CONNECTICUT HSNF FIN AUTH MORTG REV B-I 2.350	A	Interest	K	T					
45. FLORIDA HIGHER ED FACS FIN AUTH 2.350	A	Interest	J	T					
46. HOUSTON TEX WTR & SWR SYS REV SER A	A	Interest	K	T					
47. SHELBY CNTY ALA BRD 4.125	A	Interest	J	T					
48. WALKER CNTY ALA BRD ED -A 3.000	A	Interest	K	T					
49. ORANGE BEACH ALA WTR SWR & FIRE 2.250	A	Interest	K	T					
50. DAVENPORT IOWA 3.000	A	Interest			Redeemed	10/28/15	L		
51. FLORIDA ST DEPT ENVIRONMENTAL PROTN PRES 5000	B	Interest			Redeemed	07/01/15	L		

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52. WATERLOO IOWA COMNTY SCH DIST SCH INFRAS SALES & SVCS REV	A	Interest	K	T					
53. VIRGINIA ST RES AUTH WTR & SWR 5.06 ZERO COUPON	A	Interest	J	T					
54. CONNECTICUT HSN FIN AUTH MORT REV B-1 2.700	A	Interest	K	T					
55. MISSOURI ST HEALTH & EDL FACS AUTH 3.000	A	Interest	K	T					
56. HAMILTON CNTY OHIO SALES TAX 5.75 ZERO COUPON	A	Interest	J	T					
57. VIRGINIA ST HSG DEV AUTH COMWL 2.000	A	Interest	L	T					
58. CARSON CITY NEV CAP IMPT REF A 3.000	A	Interest	K	T					
59. CLARK CNTY NEV SCH DIST SER A 3.000	B	Interest	L	T					
60. PIMA COUNTY ARIZ GENERAL OBLIG 2.500	B	Interest			Redeemed	10/28/15	L		
61. METRO ARPTS - B BE 0000	A	Interest	K	T					
62. CLARK CNTY NEV BOND BK 5000	B	Interest	L	T					
63. HOUSTON TEX WTR & SWR SYS REV SER A (25,000)	A	Interest	K	T					
64. HOUSTON TEX WTR & SWR SYS REV SER A (5,000)	A	Interest	J	T					
65. NORTHBROOK ILL GENL OBLIG SER 1 3,000	A	Interest	K	T					
66. POWSHIEK IOWA WTR ASSN WTR REV	B	Interest	K	T					
67. LOUDOUN CNTY VA INDL DEV AUTH PUB SAFETY 2.000	A	Interest	K	T					
68. DAVENPORT IOWA COPR REF-A 3.000	B	Interest	L	T					

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69. CLARK CNTY NEV SCH DIST BLDG-B 3,000	A	Interest	J	T					
70. SHARON MASS MUN PURP LN 2,500	B	Interest	K	T					
71. PIMA COUNTY ARIZ GENERAL OBLIGATION 3,000	B	Interest	L	T					
72. KINGSPORT TENN BE 2000	A	Interest	K	T					
73. DES MOINES IOWA WTR REV REF B 3,000	B	Interest	L	T					
74. IOWA STUDENT LOAN LIQUIDITY CO RP STUDENT LOAN REV SENIOR A1	B	Interest	K	T	Redeemed (part)	11/04/15	J		
75. IOWA STUDENT LOAN LIQUIDITY CORP STUDENT LOAN REV-1	A	Interest	J	T	Redeemed (part)	11/13/15	J		
76. JANESVILLE WI GENL BE 2000	A	Interest	J	T					
77. ALASKA MUN BND BK SER-1 4500	B	Interest	K	T					
78. COLLIER CNTY FLA GAS TAX REV 3,000	A	Interest	K	T					
79. STATE UNIV IOWA ATHLETIC FACS REV 3000	B	Interest	L	T					
80. FORT BEND CNTY TEX WTR CTL & IMPT DIST NO 002 2,000	A	Interest	K	T	Buy	07/15/15	J		
81. MONTGOMERY CO MD HSG OPP 3,050	B	Interest	K	T					
82. AMES IOWA CMNTY SCH DIST 3,750	B	Interest	L	T					
83. DESCHUTES CNTY ORE ADMIN SCH DIST NO-1 2,250	A	Interest	K	T	Buy	06/09/15	K		
84. TOMPKINS CNTY NY IMPT GENL OBLIG 2,375	A	Interest	L	T	Buy	07/13/15	L		
85. JEA FLA WTR & SWR SYS SUB 3,75	C	Interest	M	T					

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86. PATCHOGUE-MEDFORD NY UN FREE SCH DIST 2.250	A	Interest	K	T	Buy	06/17/15	K		
87. BROOKHAVEN NY PUB IMPT-B 2250	B	Interest	L	T					
88. TENNESSEE ENERGY ACQUISITION CORP GAS REV 5000	B	Interest	K	T					
89. AMES IOWA CMNTY SCH DIST 3.000 (25,000)	A	Interest	K	T					
90. AMES IOWA CMNTY SCH DIST 3.000 (25,000)	A	Interest	K	T					
91. LINCOLN CNTY KY SCH DIST FIN BE 2375	A	Interest	K	T					
92. ARLINGTON TEX FOR ISSUES DATED BE 2375	B	Interest	L	T					
93. GRAND FORKS N D WTR BE 2375	A	Interest	J	T					
94. TRUMBULL CONN GENLT OBLIG 2.500%	A	Interest	J	T					
95. FSU FINL ASSISTANCE INC FLA BE 3125	A	Interest	J	T					
96. HILLSBOROUGH CNTY FLA CMNTY INVT TAX BE 3000	A	Interest	K	T					
97. RUSHFORD MINN BE 2400	A	Interest	K	T					
98. ABERDENN TWP N J BE 3000	A	Interest	J	T					
99. CITY OF HOUSTON TX OMBINED UTIL BE 3000	A	Interest	J	T					
100. NEW YORK STATE HSG FIN AGY BE 3100	A	Interest	K	T					
101. FLOWER MOUND TX BE 3000	B	Interest	K	T					
102. MAINE ST TPK AUTH SPL BE 3250	B	Interest	K	T					

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103. UNIVERSITY WASH UNIV REV 4500	B	Interest	K	T					
104. SOUTHEAST POLK IOWA CMNTY SCH DIST REV	A	Interest	J	T					
105. COLUMBUS OHIO SWR REV 4500	B	Interest	L	T					
106. IOWA ST SPL OBLIG	B	Interest	K	T					
107. IOWA ST UNIV ACADEMIC BLDG 4375	C	Interest	M	T					
108. DOW CHEMICAL COMPANY	A	Interest			Redeemed	12/15/15	K		
109. GE CAP BK CD SALE LAKE CITY 2.200	A	Interest	K	T					
110. RHODE ISLAND H BE 1150	A	Interest			Redeemed	10/01/15	J		
111. RHODE ISLAND H BE 1150	A	Interest			Redeemed (part)	05/29/15	J		
112. MORGAN STANLEY DEAN WITTER IRA #1:									
113. MS MARKET LINKED NOTE DJIA	A	Interest	K	T					
114. MORGAN STANLEY BANK	A	Interest	L	T					
115. STATE UNIV IOWA UNIV REV 3.000	A	Interest	K	T					
116. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend	J	T					
117. INTL PAPER CO 5250	A	Interest	K	T					
118. SOUTHWEST AIRLINES CO 5750	A	Interest	J	T					
119. WESTERN ASSET HIGH YIELD (HYI)	B	Dividend	K	T	Buy (add'l)	01/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/3/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	02/27/15	J		
121. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	03/27/15	J		
122. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	04/24/15	J		
123. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	05/29/15	J		
124. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	06/20/15	J		
125. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	07/31/15	J		
126. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	08/28/15	J		
127. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	09/25/15	J		
128. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	10/30/15	J		
129. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	11/27/15	J		
130. WESTERN ASSET HIGH YIELD (HYI)	A	Dividend			Buy (add'l)	12/24/15	J		
131. TRANS-CANADA PIPELINES 6.350% FIXED	A	Interest	J	T					
132. HCP INC 3750	A	Interest	K	T					
133. DOW CHEMICAL CO/THE 2500	A	Interest	L	T					
134. HEWLETT PACKARD CO 2650	A	Interest			Redeemed	11/09/15	K		
135. CENTURYLINK INC 5150	B	Interest	K	T					
136. DUN & BRADSTREET CORP 3.250	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PRATT, ROBERT W.

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05/3/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. MURPHY OIL CORP 2.500	A	Interest	K	T					
138. STAPLES INC 2.750	A	Interest	J	T					
139. CLIFFS NATURAL RESOURCES 3.950	A	Interest	J	T					
140. ASSURANT INC 2.500	A	Interest	K	T					
141. PITNEY BOWES INC 4750	A	Interest	K	T					
142. DOW CHEMICAL COMPANY 2.250%	A	Interest	K	T					
143. MORGAN STANLEY 2.375	A	Interest	K	T					
144. ASTRAZENECA PLC	A	Interest	J	T					
145. DOW CHEMICAL COMPANY 2.550	A	Interest			Redeemed	11/16/15	K		
146. MORGAN STANLEY 5000	A	Interest			Redeemed	09/16/15	J		
147. COMPUTER SCIENCE 6500	A	Interest	J	T					
148. MORGAN STANLEY 2.125	A	Interest	K	T					
149. BEST BUY CO INC 3750	A	Interest	J	T					
150. GENERAL ELECTRIC CAPITAL CORP 2.100	A	Interest	J	T					
151. TEVA PHARMA FIN IV LLC 3.1250	A	Interest	J	T					
152. GECC 1500	A	Interest	L	T					
153. SAFEWAY INC 3950	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/3/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. XEROX CORPORATION 3.500	A	Interest	L	T	Buy	12/02/15	L		
155. ALLERGAN, INC. 3.375	A	Interest	K	T					
156. ALCOA INC 5.4000	A	Interest	J	T					
157. FORD MOTOR CREDIT COMPANY, LLC	A	Interest	K	T					
158. HJ HEINZ CO 3.125	A	Interest	J	T					
159. ICAHN ENTERPRISES FIN 5.875	B	Interest	K	T					
160. BMC SOFTWARE INC 4.250	A	Interest	J	T					
161. NEWMONT MINING CORP 3.500	A	Interest	J	T					
162. MURPHY OIL CORP 3.700	A	Interest	J	T					
163. AVON PRODUCTS INC 4600	B	Interest	K	T					
164. MORGAN STANLEY DEAN WITTER IRA #2:									
165. MORGAN STANLEY BANK	A	Interest	J	T					
166. ABBOTT LABORATORIES	A	Dividend	K	T					
167. ABBVIE INC COM (ABBV)	B	Dividend	K	T					
168. ALCOA INC (AA)	A	Dividend	J	T					
169. ALTRIA GROUP INC	B	Dividend	K	T					
170. AT&T INC	B	Dividend	K	T	Buy (add'l)	04/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/3/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. BOEING CO	B	Dividend	K	T					
172. CISCO SYSTEMS (CSCO)	A	Dividend	J	T	Buy (add'l)	12/15/15	J		
173. COCA COLA CO	A	Dividend	K	T	Buy (add'l)	04/10/15	J		
174. COCA COLA CO	A	Dividend			Buy (add'l)	12/15/15	J		
175. GENERAL ELECTRIC CO	A	Dividend	K	T					
176. ISHARES S&P 100 INDEX FD	A	Dividend	K	T					
177. JOHNSON & JOHNSON	B	Dividend	K	T					
178. PHILIP MORRIS INTL INC (PM)	A	Dividend	J	T	Buy (add'l)	04/10/15	J		
179. PROCTOR & GAMBLE	A	Dividend	K	T					
180. QUALCOMM INC	A	Dividend	J	T	Buy	12/15/15	J		
181. W.P. CAREY INC COM (WPC)	B	Dividend	K	T					
182. SPDR S&P MIDCAP 400 ETF TRUST	B	Dividend	M	T					
183. ML PFD CAPITAL TRUST III 7375	A	Interest	J	T					
184. INTEL CORP	A	Dividend	K	T					
185. PEPSICO INC NC (PEP)	B	Dividend	K	T					
186. APPLE, INC.	A	Dividend	K	T					
187. INTL BUSINESS MACHINES CORP	A	Dividend	J	T	Buy	12/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/3/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. MORGAN STANLEY DEAN WITTER IRA #3:									
189. ALLIANCE BERNSTEIN SMALL CAP GROWTH		None	J	T	Buy (add'l)	12/16/15	J		
190. WALGREEN CO	A	Dividend	J	T					
191. MORGAN STANLEY BANK	A	Interest	J	T					
192. PITNEY BOWES INC 4750	A	Interest	J	T					
193. GOLDMAN SACHS GRO 3625	A	Interest	J	T					
194. MORGAN STANLEY DEAN WITTER IRA #4:									
195. MORGAN STANLEY BANK	A	Interest	J	T					
196. DEUTSCHE X-TRACKERS MSCI EAF	A	Dividend	J	T	Buy	04/22/15	J		
197. DEUTSCHE X-TRACKERS MSCI EAF	A	Dividend			Buy (add'l)	08/19/15	J		
198. ISHARES CORE MSCI EAFE ETF	A	Dividend	J	T	Buy	03/02/15	J		
199. ISHARES CORE MSCI EAFE ETF	A	Dividend			Sold (part)	04/22/15	J		
200. ISHARES CORE MSCI EAFE ETF	A	Dividend			Buy (add'l)	08/19/15	J		
201. ISHARES CORE MSCI EMERGING	A	Dividend	J	T	Buy	03/02/15	J		
202. ISHARES CORE MSCI EMERGING	A	Dividend			Buy (add'l)	04/22/15	J		
203. ISHARES CORE MSCI EMERGING	A	Dividend			Sold (part)	08/19/15	J		
204. ISHARES RUSSELL 1000 GR INDEX	A	Dividend	K	T	Sold (part)	03/02/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

PRATT, ROBERT W.

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05/3/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. ISHARES RUSSELL 1000 GR INDEX	A	Dividend			Buy (add'l)	04/22/15	J		
206. ISHARES RUSSELL 1000 VALUE IDX	A	Dividend	J	T	Buy (add'l)	04/22/15	J		
207. ISHARES RUSSELL 1000 VALUE IDX	A	Dividend			Buy (add'l)	08/19/15	J		
208. VANGUARD FTSE DEV MKTS (VEA)	A	Dividend			Sold	03/02/15	J		
209. ISHARES 2000 VALUE FD	A	Dividend	J	T	Sold (part)	04/22/15	J		
210. ISHARES RUSSELL 2000 GROWTH	A	Dividend	J	T	Sold (part)	03/22/15	J		
211. ISHARES RUSSELL 2000 GROWTH	A	Dividend			Sold (part)	04/22/15	J		
212. ISHARES RUSSELL MIDCAP G IN	A	Dividend	J	T	Sold (part)	03/02/15	J		
213. ISHARES RUSSELL MIDCAP G IN	A	Dividend			Buy (add'l)	04/22/15	J		
214. ISHARES RUSSELL MIDCAP V IN	A	Dividend	J	T	Sold (part)	03/02/15	J		
215. ISHARES RUSSELL MIDCAP V IN	A	Dividend			Sold (part)	04/22/15	J		
216. VANGUARD MSCI EMERGING MKTS	A	Dividend			Sold	03/02/15	K		
217.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PRATT, ROBERT W.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/3/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ROBERT W. PRATT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544