

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McNulty, Kevin	2. Court or Organization U.S. District Court - New Jersey	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - article III, active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Post Office and Courthouse Federal Square Newark, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executor	Estate-deceased family member
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 11/29/17	Santander Bank executor account - distribution of bequest from deceased relative	\$75,000.00
2. 12/21/17	Santander Bank executor account - distribution of bequest from deceased relative	\$2,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Brooklyn College foundation - 1099 income, writer and editor
2. 2017	French Cap Consulting, Inc. 1099 income, writer and editor
3. 2017	NJ Monthly 1099 income, writer
4. 2017	Gotham Writers' Workshop Inc. W2 income, writing instructor
5. 2017	Misc. individual clients, writer and editor
6.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Fidelity Brokerage account #1 (H)									
2. -Fidelity core money market acct		None	J	T					
3. -Fidelity growth company mutual fund	D	Dividend	M	T					
4. -Fidelity value mutual fund	B	Dividend	K	T					
5. -Fidelity equity income mutual fund	B	Dividend	K	T					
6. -Fidelity high income mutual fund	B	Dividend	K	T					
7. -Fidelity corporate bond mutual fund	A	Dividend	K	T					
8. Fidelity IRA #1 (H)									
9. -Fidelity low priced stock mutual fund	A	Dividend	J	T					
10. -Fidelity Four in One mutual fund	D	Dividend	N	T					
11. Fidelity IRA #2 (H)									
12. -Fidelity core money market acct		None	J	T					
13. -Fidelity high income mutual fund	C	Dividend	L	T					
14. Fidelity IRA #3 (H)									
15. -Fidelity core money market acct		None	J	T					
16. -Fidelity Four in One index fund	D	Dividend	N	T					
17. Fidelity IRA #4 (rollover) (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Fidelity Govt money market fund	A	Dividend	K	T					
19. -Fidelity Blue Chip Growth mutual fund	E	Dividend	N	T					
20. -Fidelity Equity Dividend Income mutual fund	E	Dividend	M	T					
21. -Fidelity Mega Cap Stock mutual fund	E	Dividend	M	T					
22. -Fidelity Strategic Dividend & Income mutual fund	D	Dividend	M	T					
23. Fidelity Balanced mutual funds	D	Dividend	M	T					
24. Vanguard Wellington mutual funds	B	Dividend	K	T	Sold (part)	02/27/17	J		
25. Vanguard IRA target retirement 2055 fund	A	Dividend	J	T	Buy	02/27/17	J		
26. American Express High Yield svgs account	C	Interest	M	T					
27. Bank of America svgs & chkg accounts	A	Interest	K	T					
28. Capital One High Yield chkg account	A	Interest	K	T					
29. Santander ckg, svgs accounts (as estate executor)	A	Interest	K	T					
30. Morgan Stanley brokerage acct (as estate executor) (H)									
31. -Am Cap Inc Bldr F1 CIBFX		None			Sold	11/22/17	M		
32. -Am Inc Fund Am F1 IFAFX		None			Sold	11/22/17	M		
33. -MFS Value C MEICX		None			Sold	11/22/17	K		
34. -Exxon Mobil Corp XOM		None			Sold	11/22/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Morgan Stanley Bank cash acct	A	Interest			Distributed	11/29/17	N		
36. Morgan Stanley IRA (25% beneficial interest) (H)									
37. -Am Cap Inc Bldr F1 CIBFX		None			Sold	11/22/17	K	D	
38. -Am Inc Fund Am F1 IFAFX		None			Sold	11/22/17	J	D	
39. -Northwestern Corp NWE		None			Sold	11/22/17	J	A	
40. -Morgan Stanley Bank cash acct	A	Interest	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII lines 24 and 25.

Matched sale and purchase to contribute to IRA.

VII lines 29-35

11/13/2017 Appointed executor of estate of relative who died on 10/28/17. Controlled assets on lines 29-35 as fiduciary & inherited 25% share.

Gain on sale of assets on lines 31-34 calculated based on acquisition cost to deceased relative.

Proceeds of sale of lines 31-35 went into cash account line 35, then distributed.

VII lines 36-40

I became 25% beneficiary of IRA upon death of relative on 10/28/17

Income to estate on lines 36-40 calculated from date of death.

Gain on sale of assets on lines 37-39 calculated based on acquisition cost to deceased relative.

Proceeds of sale of lines 37-39 went into cash account line 40.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kevin McNulty

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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