

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wheeler, Thomas C.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 10/07/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2006	DLA Piper Retirement Trust Plan with former law firm, no control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	DLA Piper Law Firm Retirement Plan.	\$7,588.56
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SIT Tax Exempt Bond Mutual Fund	B	Dividend	K	T					
2. Bank of America Checking Account	A	Interest	K	T					
3. Potomac Cliffs at Watson House RE P-ship (See Part VIII)		None	K	R					
4. TCW/JRW Hartford Life Second-to-Die Life Insurance Policy		None	N	T					
5. JRW Commonwealth Annuity Whole Life Policy		None	K	T					
6. Broker Account #1 -- JRW Rev. Trust									
7. - Daimler AG Common Stock	A	Dividend	J	T					
8. - Altria Group Common Stock	A	Dividend	J	T					
9. - Davis New York Venture A	A	Dividend	K	T	Buy (add'l)	07/02/15	J		
10. - Davis New York Venture A	A	Dividend	K	T	Buy (add'l)	12/11/15	J		
11. Broker Account #2 -- JRW IRA									
12. - Citigroup Common Stock	A	Dividend	J	T					
13. - Legg Mason Clearbridge Fund. Value Fund		None	J	T	Buy (add'l)	12/11/15	J		
14. Broker Account #3 -- TCW IRA Rollover									
15. - Air Castle Common Stock	A	Dividend			Sold	03/05/15	K	C	
16. - Covidien PLC Common Stock	A	Dividend	L	T					
17. - Tyco Electronics Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 L = \$50,001 - \$100,000	E = \$15,001 - \$50,000 M = \$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Altria Group Common Stock	B	Dividend	K	T					
19. - Apollo Investment Common Stock	B	Dividend	J	T					
20. -American Express Common Stock	A	Dividend	L	T	Buy	03/05/15	K		
21. - Apple Common Stock	A	Dividend	K	T	Buy	10/07/15	K		
22. - Bristol Myers Squibb Common Stock	B	Dividend	L	T					
23. - Cisco Systems Common Stock	B	Dividend	L	T					
24. - Comcast Common Stock	C	Dividend	K	T					
25. - Constellation Brands Common Stock		None	K	T					
26. - Deutsch X-Trackers MSCI Europe Fund	B	Dividend	K	T	Buy	03/27/15	K		
27. - Walt Disney Common Stock	B	Dividend	L	T					
28. - eBay Common Stock		None			Sold	10/02/15	J	C	
29. - Emerson Electric Common Stock	B	Dividend	K	T					
30. - Energy Transfer Partners LP	B	Dividend			Sold	06/29/15	L	D	
31. - First Tr MLP&ENRG Fund	C	Dividend	K	T	Buy	05/13/15	K		
32. - General Electric Common Stock	B	Dividend	K	T					
33. - Alphabet, Inc. Common Stock (formerly Google)		None	L	T					
34. - Halliburton Common Stock	A	Dividend	K	T	Buy (add'l)	07/15/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - HP Inc. Common Stock (formerly Hewlett Packard)	B	Dividend	K	T					
36. - Home Depot Common Stock	B	Dividend	M	T					
37. - Hospitality Properties Trust Common Stock	B	Dividend	K	T	Buy (add'l)	11/02/15	J		
38. - J.P. Morgan Chase Common Stock	B	Dividend	L	T					
39. - Kroger Common Stock	A	Dividend	K	T					
40. - Marriott Int'l Common Stock	A	Dividend	K	T					
41. - Microsoft Common Stock	B	Dividend	L	T					
42. - Pepsico Common Stock	B	Dividend	L	T	Buy (add'l)	09/08/15	J		
43. - Philip Morris Int'l Common Stock	B	Dividend	K	T					
44. - Sandridge Miss. Trust	D	Dividend	L	T					
45. - Southwest Airlines Common Stock	A	Dividend	K	T					
46. - 3M Common Stock	C	Dividend	M	T					
47. - United Parcel Service Common Stock	B	Dividend	L	T					
48. - United States Steel Common Stock	A	Dividend	J	T					
49. - Verizon Common Stock	C	Dividend	L	T					
50. - Walmart Common Stock	B	Dividend	L	T					
51. - Williams Partners Ltd.	C	Dividend	K	T	Buy (add'l)	06/29/15	L		

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52. - Cohen & Steers Infrastructure Fund	B	Dividend	K	T					
53. - Kayne Anderson Energy Return Fund	C	Dividend	L	T	Buy (add'l)	10/21/15	J		
54. - New Germany Fund	C	Dividend	L	T					
55. - Eaton Vance LTD Duration Income Fund	B	Dividend	K	T					
56. Brker Acct #4 -- SSB Asset Man Fd, JRW Rev Trst									
57. - Citibank Money Market	A	Interest	J	T					
58. - ADT Corp. Common Stock	A	Dividend	J	T					
59. - AES Corp. Common Stock		None	J	T	Buy	04/22/15	J		
60. - AMC Networks Common Stock		None	J	T					
61. - AT&T Inc. Common Stock	A	Dividend			Sold	11/24/15	J	A	
62. - Adobe Systems Common Stock		None	J	T	Buy	08/25/15	J		
63. - Aetna Inc. Common Stock	A	Dividend	J	T	Buy	11/20/15	J		
64. - Akamai Technologies Common Stock		None	J	T					
65. - Alaska Air Group Common Stock (X)	A	Dividend	J	T					
66. - Allergan Common Stock (X)		None	J	T					
67. - Allison Transmission Common Stock	A	Dividend	J	T					
68. - Alphabet Common Stock (formerly Google)		None	J	T					

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69. - Anadarko Petroleum Common Stock	A	Dividend	J	T					
70. - American Express Common Stock	A	Dividend	J	T	Buy	07/27/15	J		
71. - American Homes 4 Rent Common Stock	A	Dividend	J	T	Buy	06/08/15	J		
72. - Anheuser Busch InBev SA Spon. Common Stock	A	Dividend	J	T					
73. - Apache Corp. Common Stock	A	Dividend	J	T	Buy	04/08/15	J		
74. - Apple, Inc. Common Stock	A	Dividend	J	T	Sold (part)	08/04/15	J	B	
75. - Applied Materials, Inc. Common Stock	A	Dividend	J	T					
76. - Autodesk, Inc. Delaware Common Stock		None	J	T					
77. - Axis Capital Holdings Common Stock	A	Dividend	J	T					
78. - Best Buy Common Stock	A	Dividend			Sold	04/23/15	J	A	
79. - BHP Billiton Ltd. Common Stock	A	Dividend			Sold	02/19/15	J	A	
80. - Biogen Idec Inc. Common Stock		None	J	T	Sold (part)	03/09/15	J	B	
81. - Blackrock, Inc. Common Stock	A	Dividend	J	T					
82. - Bristol Myers Squibb Common Stock	A	Dividend	J	T					
83. - Broadcom Corp. Common Stock	A	Dividend	J	T					
84. - Calpine Corp. Common Stock		None	J	T	Buy	05/04/15	J		
85. - CME Group, Inc. Common Stock	A	Dividend	J	T					

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86. - CVS Caremark Corp. Common Stock	A	Dividend	J	T					
87. - Cablevision Systems Corp. Common Stock	A	Dividend			Sold	09/17/15	J	C	
88. - Cameron Intl. Corp. Common Stock		None	J	T	Sold (part)	08/26/15	J	A	
89. - Carnival CP New Paired Common Stock	A	Dividend	J	T					
90. - Celgene Corp. Common Stock		None	J	T					
91. - Chevron Corp. Common Stock	A	Dividend			Sold	08/27/15	J	A	
92. - Chubb Corp. Common Stock	A	Dividend			Sold	07/01/15	J	C	
93. - Cisco Systems Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	06/23/15	J		
94. - CitiGroup Common Stock	A	Dividend	J	T	Buy (add'l)	09/16/15	J		
95. - Citrix Systems, Inc. Common Stock		None	J	T	Sold (part)	11/24/15	J	A	
96. - CME Group Common Stock	A	Dividend	J	T					
97. - Coach Common Stock	A	Dividend	J	T					
98. - Coca Cola Inc. Common Stock	A	Dividend	J	T					
99. - Comcast Corp. CL A Special New Common Stock	A	Dividend	J	T					
100. - Cree Research Inc. Common Stock		None	J	T	Buy (add'l)	07/01/15	J		
101. - Deere & Co. Common Stock	A	Dividend	J	T					
102. - Devon Energy Corp. New Common Stock	A	Dividend	J	T	Buy (add'l)	07/01/15	J		

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103. - Discover Financial Services Common Stock		None	J	T	Buy	09/29/15	J		
104. - Discovery Comm. Serv. Common Stock		None	J	T	Buy	05/12/15	J		
105. - DuPont de Nemours Common Stock	A	Dividend	J	T					
106. - Walt Disney Co. Holding Common Stock	A	Dividend	J	T	Sold (part)	10/28/15	J	C	
107. - The DirectTV Group CL A Common Stock		None			Sold	01/28/15	J	B	
108. - Dolby CL A Common Stock		None	J	T	Sold (part)	04/21/15	J	A	
109. - EMC Corp. Mass. Common Stock		None			Sold	11/04/15	J	A	
110. - Eaton Corp. Common Stock	A	Dividend	J	T					
111. - EBay Inc. Common Stock		None	J	T					
112. - Ecolab Common Stock	A	Dividend	J	T	Buy	09/02/15	J		
113. - Emerson Electric Common Stock	A	Dividend	J	T	Buy (add'l)	07/15/15	J		
114. - Ensco PLC Common Stock	A	Dividend	J	T					
115. - Ericsson LM Tel. ADR CL B New Common Stock	A	Dividend	J	T					
116. - Exxon Mobile Corp. Common Stock	A	Dividend	J	T					
117. - Fluor Corp. New Common Stock	A	Dividend	J	T					
118. - Facebook Common Stock (X)		None	J	T					
119. - FMC Technologies Common Stock		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - First Republic Bank Common Stock (X)	A	Dividend	J	T					
121. - Forest Laboratories Inc. Common Stock		None	J	T					
122. - Franklin Resources Inc. Common Stock	A	Dividend	J	T					
123. - Freeport McMoran Common Stock (X)	A	Dividend	J	T	Buy (add'l)	09/22/15	J		
124. - GAP, Inc. Common Stock	A	Dividend	J	T					
125. - General Electric Co. Common Stock	A	Dividend	J	T	Sold (part)	04/06/15	J	A	
126. - Gentex Common Stock	A	Dividend	J	T	Buy	11/09/15	J		
127. - GlaxoSmithKline PLC ADS Common Stock	A	Dividend	J	T					
128. - Goldman Sachs Common Stock	A	Dividend			Sold	12/14/15	J	B	
129. - Greenhill Common Stock	A	Dividend	J	T					
130. - Halliburton Co. Common Stock	A	Dividend	J	T	Buy (add'l)	11/30/15	J		
131. - Hess Corp. Common Stock	A	Dividend	J	T	Buy (add'l)	07/01/15	J		
132. - Home Depot Inc. Common Stock	A	Dividend	J	T	Sold (part)	10/30/15	J	B	
133. - Honeywell Intl. Inc. Common Stock	A	Dividend	J	T					
134. - Immunogen Common Stock (X)		None	J	T					
135. - Intuit Inc. Common Stock	A	Dividend	J	T					
136. - Ionis Pharmaceuticals Common Stock (X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - JP Morgan Chase & Co. Common Stock	A	Dividend	J	T					
138. - Jacobs Engineering Group Common Stock		None	J	T					
139. - Johnson & Johnson Common Stock	A	Dividend	J	T					
140. - Jones Lang LaSalle Inc. Common Stock	A	Dividend	J	T					
141. - Joy Global Common Stock	A	Dividend			Sold	04/23/15	J	A	
142. - KLA Tencor Corp. Common Stock	A	Dividend	J	T					
143. - Keycorp New Common Stock	A	Dividend	J	T					
144. - L-3 Communications Holding Inc. Common Stock	A	Dividend	J	T					
145. - Liberty Media Co. Liberty Cap A Common Stock		None	J	T					
146. - Liberty Interactive Co. Inter A Common Stock		None	J	T					
147. - Linked-In Common Stock		None	J	T					
148. - Marathon Oil Common Stock	A	Dividend			Sold	11/30/15	J	A	
149. - Medtronic PLC Common Stock	A	Dividend	J	T	Buy	01/27/15	J		
150. - Merck & Co. Inc. New Common Stock	A	Dividend	J	T	Buy (add'l)	06/23/15	J		
151. - Microsoft Corp. Common Stock	A	Dividend	J	T	Buy (add'l)	08/31/15	J		
152. - Monsanto Co. New Common Stock	A	Dividend	J	T					
153. - Morgan Stanley Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Murphy USA Common Stock		None	J	T	Buy	06/30/15	J		
155. - NASDAQ Inc. Common Stock (X)	A	Dividend	J	T					
156. - National Oilwell Varco Inc. Common Stock	A	Dividend	J	T					
157. - Newfield Expl. Co. Common Stock		None	J	T					
158. - Nike Inc. B Common Stock	A	Dividend	J	T					
159. - Novartis AG ADR Common Stock	A	Dividend	J	T					
160. - NOW Inc. Common Stock (X)		None	J	T					
161. - Nuance Comm. Common Stock (X)		None	J	T					
162. - Nucor Corp. Common Stock	A	Dividend	J	T	Buy (add'l)	07/01/15	J		
163. - Occidental Petroleum Common Stock	A	Dividend	J	T	Buy (add'l)	11/30/15	J		
164. - OneMain Holdings Common Stock		None	J	T	Buy	04/29/15	J		
165. - Oracle Common Stock	A	Dividend	J	T	Buy	07/06/15	J		
166. - Oshkosh Corp. Common Stock		None	J	T					
167. - Pall Corp. Common Stock	A	Dividend			Sold	05/14/15	J	C	
168. - PayPal Holdings Common Stock (X)		None	J	T					
169. - Peabody Energy Corp. Common Stock	A	Dividend			Sold	06/01/15	J	A	
170. - Pebblebrook Hotel Tr. Com. Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Pentair Ltd. Common Stock	A	Dividend	J	T					
172. - Pier 1 Imports Common Stock	A	Dividend	J	T					
173. - Precision Castparts Common Stock	A	Dividend	J	T					
174. - Procter & Gamble Common Stock	A	Dividend	J	T					
175. - Pulte Group Common Stock	A	Dividend	J	T	Buy	11/05/15	J		
176. - Qualcomm Inc. Common Stock	A	Dividend			Sold	03/10/15	J	A	
177. - Raytheon Common Stock	A	Dividend	J	T					
178. - Red Hat Common Stock		None	J	T					
179. - Regeneron Pharm Common Stock		None	J	T					
180. - Regions Finance Corp. Common Stock	A	Dividend	J	T	Buy (add'l)	06/23/15	J		
181. - Rockwell Collins Common Stock	A	Dividend	J	T	Buy	11/23/15	J		
182. - Sandisk Corp. Common Stock	A	Dividend	J	T					
183. - Service Corp. Intl. Common Stock	A	Dividend	J	T	Buy	04/22/15	J		
184. - Schlumberger Ltd. Common Stock	A	Dividend	J	T					
185. - Charles Schwab New Common Stock	A	Dividend	J	T					
186. - Stanley Black & Decker Common Stock	A	Dividend	J	T					
187. - State Street Common Stock	A	Dividend			Sold	09/30/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Symantic Corp. Common Stock	A	Dividend	J	T					
189. - Synchrony Fin. Common Stock		None	J	T					
190. - Target Common Stock	A	Dividend			Sold	01/16/15	J	B	
191. - Teradyne Common Stock		None	J	T					
192. - Teva Pharma Common Stock (X)	A	Dividend	J	T					
193. - Texas Instruments Common Stock	A	Dividend	J	T					
194. - Thermo Fisher Scientific Inc. Common Stock	A	Dividend	J	T					
195. - Towers Watson Common Stock	A	Dividend	J	T					
196. - Twenty-First Century Fox Common Stock	A	Dividend	J	T					
197. - Twitter Common Stock		None	J	T	Buy	12/03/15	J		
198. - US Bancorp. Com New Common Stock	A	Dividend	J	T					
199. - Unilever PLC (New) ADS Common Stock	A	Dividend	J	T					
200. - United Parcel Service Inc. CL B Common Stock	A	Dividend	J	T					
201. - United States Steel Corp. New Common Stock	A	Dividend	J	T					
202. - United Health Group Inc. Common Stock	A	Dividend	J	T					
203. - United Rentals Common Stock		None	J	T	Buy	08/31/15	J		
204. - Veeco Instr. Inc. Common Stock		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Vertex Pharmaceuticals Common Stock		None	J	T					
206. - Visa Inc. CL A Common Stock	A	Dividend	J	T	Sold (part)	12/09/15	J	B	
207. - VMWare Common Stock		None	J	T	Buy	02/10/15	J		
208. - Vodafone Group PLC ADS New Common Stock	A	Dividend	J	T					
209. - W.W. Grainger Common Stock	A	Dividend	J	T					
210. - Western Digital Common Stock	A	Dividend	J	T	Buy	12/03/15	J		
211. - Weyerhaeuser Co. Common Stock	A	Dividend	J	T					
212. - Yahoo Common Stock		None	J	T					
213. - Yum Brands Common Stock (X)	A	Dividend	J	T					
214. - Xilinx Inc. Common Stock	A	Dividend	J	T					
215. - Zoetis Common Stock (X)	A	Dividend	J	T					
216. - Covidien PLC New Common Stock	A	Dividend	J	T					
217. - Seagate Technology PLC Common Stock	A	Dividend	J	T					
218. - Allied World Assurance Co. Hldgs Ltd. Common Stock	A	Dividend	J	T					
219. - Weatherford International Ltd. Common Stock		None	J	T	Buy (add'l)	07/01/15	J		
220. - TE Connectivity Ltd. New Common Stock	A	Dividend	J	T					
221. - Tyco International Ltd. New Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Brker Acct # 5 -- SSB Asset Man Fd, TCW IRA									
223. - MS Liquid Asset Fund Money Market		None	J	T					
224. - Davis New York Venture Mutual Fund	B	Dividend	N	T	Buy (add'l)	07/02/15	L		
225. Broker Account #6 -- JRW Pers. Trust									
226. - Dominion Resources Common Stock	B	Dividend	L	T					
227. - Exxon Mobile Common Stock	C	Dividend	M	T	Donated (part)				
228. - Pepsico Common Stock	A	Dividend	K	T	Donated (part)				
229. - PNC Financial Services Common Stock	A	Dividend	K	T					
230. - Walmart Common Stock	B	Dividend	K	T					
231. - Maryland CDA Housing Conds 07/01/13	B	Interest			Redeemed	07/01/15	J	A	
232. - Prince George's County MD Bonds 10/01/15	A	Interest			Redeemed	10/01/15	J	A	
233. - Puerto Rico Housing Public Serv. Bonds 07/01/16	B	Interest	K	T					
234. - Baltimore MD Ser. C Sink Fund 07/01/17	B	Interest	L	T					
235. - MFS Maryland Municipal Bond Fund	B	Interest	K	T					
236. Broker Account #7 -- IShares Managed Trust									
237. - First Trust Exchange Traded Fund II	A	Dividend	K	T	Buy (add'l)	03/23/15	J		
238. - SPDR Barclay's Aggregate Bond	B	Interest	L	T	Buy	03/23/15	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. - SPDR Barclay's Short Term Corp Bond	A	Interest	L	T	Buy	03/23/15	L		
240. - iShares Barclay's Aggregate Bond Fund	B	Dividend	L	T					
241. - iShares Barclay's 1-3 Yr. CD Bond Fund	A	Dividend	L	T					
242. - iShares Dow Jones US Consumer Services Index Fund	A	Dividend	K	T	Sold (part)	03/23/15	J	D	
243. - iShares Dow Jones Real Estate Index Fund	A	Dividend	K	T	Buy (add'l)	03/23/15	J		
244. - iShares Dow Jones Technology Sector Index Fund	A	Dividend	L	T					
245. - iShares Dow Jones US Consumer Goods Index Fund	B	Dividend	L	T	Buy (add'l)	03/23/15	J		
246. - iShares Dow Jones US Health Care Sector Index Fund	A	Dividend	K	T	Buy (add'l)	03/23/15	J		
247. - iShares Dow Jones US Energy Sector Index Fund	A	Dividend	L	T	Sold (part)	03/23/15	J	B	
248. - Guggenheim Enhanced Short Duration Bond	A	Interest	L	T	Sold (part)	03/23/15	K	A	
249. - Guggenheim Bulletshares 2017 High Yield Corp Bond	A	Interest	K	T	Buy	03/23/15	K		
250. - iShares Floating Rate Bond	A	Interest			Sold	03/23/15	L	A	
251. - Vanguard Bond Index Fund	B	Interest	K	T	Buy	03/23/15	L		
252. --Pimco Bond	B	Dividend			Sold	03/23/15	L	A	
253. Broker Account # 8 -- TCW/JRW Joint RMA									
254. - Maryland CDA Hsg Cmty Dev Bonds 07/01/15	A	Interest			Redeemed	07/01/15	J	A	
255. - Puerto Rico Elec. Power Auth Bonds 007/01/17		None	J	T	Redeemed (part)	07/01/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - Blackrock Munivest Fund II	B	Interest	K	T					
257. - Blackrock Munivest Fund	B	Interest	K	T					
258. - Nuveen Select Tax Free Income Port 3	B	Interest	K	T					
259. - Dreyfus State Mun Bond Fd Maryland Ser Class C	B	Interest	K	T					
260. Brker Acct # 9 -- IShares Man JRW Rev. Trust									
261. - First Trust Exchange Traded Fund II	A	Dividend	K	T	Buy (add'l)	03/23/15	J		
262. - IShares Barclay's Aggregate Bond Fund	B	Dividend	L	T					
263. - SPDR Barclay's Aggregate Bond Fund	B	Interest	L	T	Buy	03/23/15	L		
264. - SPDR Barclay's Short Term Corp Bond Fund	A	Interest	L	T	Buy	03/23/15	L		
265. - IShares Barclay's 1-3 Yr. CD Bond Fund	B	Dividend	L	T					
266. - IShares Dow Jones US Consumer Services Index Fund	A	Dividend	K	T	Sold (part)	03/23/15	J	B	
267. - IShares Dow Jones US Real Estate Index Fund	B	Dividend	K	T	Buy (add'l)	03/23/15	J		
268. - IShares Dow Jones Technology Sector Index Fund	A	Dividend	L	T					
269. - IShares Dow Jones US Consumer Goods Index Fund	B	Dividend	L	T	Buy (add'l)	03/23/15	J		
270. - IShares Dow Jones US Health Care Sector Index Fund	A	Dividend	K	T	Buy (add'l)	03/23/15	J		
271. - IShares Dow Jones US Energy Sector Index Fund	A	Dividend	K	T	Sold (part)	03/23/15	J	B	
272. - Guggenheim Bulletshares 2017 High Yield Corp Bond	B	Interest	K	T	Buy	03/23/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000	D = \$5,001 - \$15,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Guggenheim Enhanced Short Duration Bond	A	Interest	L	T	Sold (part)	03/23/15	K	A	
274. - iShares Floating Rate Bond	A	Interest			Sold	03/23/15	L	A	
275. - Pimco Bond	C	Dividend			Sold	03/23/15	L	A	
276. - Vanguard Bond Index Fund	B	Interest	L	T	Buy	03/23/15	L		
277. Broker Account # 10 -- TCW Rev. Trust									
278. - American Capital Limited Common Stock		None	L	T					
279. - Bristol Myers Squibb Common Stock	B	Dividend	L	T	Buy (add'l)	03/06/15	J		
280. - Chesapeake Energy Corp. Common Stock	A	Dividend			Sold	08/07/15	J	A	
281. - Ford Motor Co. Common Stock	B	Dividend	K	T					
282. - Macy's Common Stock	B	Dividend	K	T	Buy	11/30/15	K		
283. - Schlumberger Ltd. Common Stock	B	Dividend	K	T					
284. - Seventy Seven Energy Common Stock		None			Sold	08/10/15	J	A	
285. - ING Clarion Global Real Estate Fund	C	Interest	K	T					
286. - Clearbridge Energy MLP Fd	B	Dividend	K	T	Buy	10/02/15	K		
287. - Kayne Anderson Energy Fund	C	Dividend	K	T					
288. - ACAP Strategic Fund Shares Mutual Fund		None	L	T					
289. - Legg Mason Western Asset Mun. Class C	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Principal Investment Fund	B	Dividend	K	T					
291. Broker Account # 11 -- EJW IRA									
292. - Tortoise MLP Fund	B	Dividend	K	T					
293. - Best Buy Common Stock	A	Dividend	K	T					
294. - Chesapeake Energy Corp. Common Stock	A	Dividend	K	T					
295. - Schlumberger Ltd. Common Stock	A	Dividend	J	T					
296. Broker Account # 12 -- EJW IRA Trust									
297. - United Health Group Common Stock	A	Dividend	K	T					
298. - Calamos Strategy Fund	B	Dividend	K	T					
299. - Hartford Floating Rate Fund	B	Dividend	K	T					
300. - Pimco Commodity Real Return Strategy Fund	A	Dividend	K	T					
301. - Putnam Diversified Income Trust Fund, Class C	B	Dividend	K	T					
302. Broker Account # 13 -- HKW IRA Trust									
303. - Bank of America Common Stock	A	Dividend	K	T	Buy	05/01/15	K		
304. - Bristol Myers Squibb Common Stock	B	Dividend	L	T					
305. - Exxon Mobile Common Stock	B	Dividend	L	T					
306. - Ford Motor Co. Common Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. - Freeport McMoran Common Stock	B	Dividend	K	T					
308. - Microsoft Corp. Common Stock	B	Dividend			Sold	05/01/15	L	E	
309. - U.S. Steel Common Stock	A	Dividend	J	T					
310. - Whole Foods Common Stock	A	Dividend	K	T	Buy	05/01/15	K		
311. - Principal Inv. Pref. Sec. Mut. Fund	A	Dividend	J	T					
312. Brker Acct #14--For HKW IRA Man Acct									
313. - Alger Small Cap Growth Inst.		None	K	T	Buy (add'l)	12/18/15	J		
314. - Artisan Midcap Value Inv.	A	Dividend	K	T					
315. - Blackrock Equity Dividend I	A	Dividend	L	T	Buy (add'l)	12/14/15	J		
316. - Columbia Select Large Cap Growth		None	L	T	Buy (add'l)	12/14/15	J		
317. - Oppenheimer Int' Growth	A	Dividend	L	T	Buy (add'l)	08/14/15	J		
318. - Prudential Jennison MD Cap.		None	K	T					
319. - Royce Premier Inv.	A	Dividend	K	T					
320. - Wells Fargo Emerg. Mkts.	A	Dividend	J	T	Buy (add'l)	04/17/15	J		
321. Broker Account #15 --HKW IRA Managed Account									
322. - MS Liquid Asset Fund	A	Interest	J	T					
323. - Alger Small Cap Growth Inst.		None	J	T	Buy (add'l)	12/18/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Artisan Midcap Value Inv,	A	Dividend	J	T	Buy (add'l)	12/14/15	J		
325. - Blackrock Equity Dividend	B	Dividend	L	T	Buy (add'l)	12/14/15	J		
326. - Columbia Select Large Cap Growth		None	M	T	Buy (add'l)	12/15/15	J		
327. - Oppenheimer Int'l Growth	B	Dividend	K	T	Buy (add'l)	04/17/15	K		
328. - Prudential Jennison MD Cap.		None	J	T					
329. - Royce Premier Inv.	A	Dividend	J	T	Buy (add'l)	12/18/15	J		
330. - Virtus Insight Emerg. Mkts.	A	Dividend	K	T	Buy (add'l)	04/17/15	J		
331. - Wells Fargo Emerg. Mkts.	A	Dividend	K	T	Buy (add'l)	04/17/15	J		
332. Broker Account #16 -- TCW Trust									
333. - Blackstone Group Common Stock	D	Dividend	L	T	Buy (add'l)	10/02/15	J		
334. - CVS Caremark Common Stock	C	Dividend	M	T					
335. - Celgene Common Stock		None	M	T					
336. - Crown Castle Int'l Common Stock	C	Dividend	L	T	Buy	07/15/15	L		
337. - EMC Corp. Mass. Common Stock	B	Dividend	L	T					
338. - Energy Transfer Equity LP	D	Dividend			Sold	06/29/15	M	G	
339. - Enterprise Products Partners LP	D	Dividend	L	T					
340. - General Electric Common Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - General Motors Common Stock	B	Dividend	K	T					
342. - Lamar Advertising Common Stock	C	Dividend	K	T					
343. - Merck Common Stock	B	Dividend	L	T					
344. - Murphy Oil Common Stock	A	Dividend	J	T					
345. - Starwood Hotels Common Stock	A	Dividend	K	T	Buy	11/30/15	K		
346. - Time Warner Common Stock	A	Dividend			Sold	03/27/15	J	B	
347. - United Health Group Common Stock	B	Dividend	L	T	Buy	03/06/15	L		
348. - Williams Cos. Common Stock	C	Dividend			Sold	06/22/15	M	F	
349. - WPX Energy Common Stock		None	K	T					
350. - Yum Brands Common Stock	A	Dividend	K	T	Buy	11/02/15	K		
351. - Calamos Strg Title Return Fd	C	Dividend	K	T	Buy	03/06/15	K		
352. - Deutsch X-Trackers MSCI Euro Fd	B	Dividend	K	T	Buy	03/27/15	K		
353. - First Tr MLP & Eng Fund (FEI)	E	Dividend	M	T	Buy (add'l)	06/29/15	M		
354. - First Data Corp. Bonds	B	Interest			Sold	11/30/15	K	A	
355. - Nuveen NFM MTP Preferred	B	Dividend	K	T					
356. - Nuveen MD Dividend Muni Fund	A	Dividend	K	T					
357. - Hartford Floating Rate Fund	B	Dividend	L	T	Buy (add'l)	03/06/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - Putnam Growth & Inc. C	A	Dividend	L	T	Buy	12/02/15	L		
359. - Legg Mason WA SHT Muni Fund	B	Dividend	L	T					
360. - Legg Mason Western Asset Muni Fund	B	Dividend	L	T					
361. Broker Account #17--Small Cap Man. Trust									
362. - MS Liquid Asset Fund	A	Interest	J	T					
363. - Alger Small Cap Growth Inst.		None	J	T	Buy (add'l)	12/17/15	J		
364. - American Century Int. TRM TX FR BD Inv.	B	Dividend	K	T	Buy (add'l)	04/17/15	J		
365. - American Century Intl. Bond Inv.	A	Dividend	J	T	Buy (add'l)	04/17/15	J		
366. - Artisan Midcap Value Inv.	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
367. - Baron Small Cap Retail		None	J	T					
368. - Columbia Marsico Growth Z		None	K	T	Buy (add'l)	12/31/15	J		
369. - Columbia Marsico Intl Opp. Z		None	J	T	Buy (add'l)	12/31/15	J		
370. - Davis New York Venture Y	A	Dividend	K	T	Buy (add'l)	12/31/15	J		
371. - Franklin Fed Intm Trm Txfr Adv	A	Dividend	K	T	Buy (add'l)	04/17/15	J		
372. - Nationwide Geneva Mdep Gw Fund		None	J	T					
373. - American Europacific Growth F1	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
374. - Goldman Sachs Growth Opp. 1		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

10/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - American Growth Fund of America F1	A	Dividend	K	T					
376. - JP Morgan Emerging Markets Sel	A	Dividend	J	T	Buy (add'l)	08/14/15	J		
377. - Lazard Emerging Markets 1	A	Dividend	J	T	Buy (add'l)	08/14/15	J		
378. - Legg Mason WA Interim Muni Inst.	B	Dividend	K	T					
379. - Mainstay ICAP Select Equity 1	A	Dividend	K	T	Buy (add'l)	12/31/15	J		
380. - PIMCO Emerging Local BD P	A	Dividend	J	T					
381. - T Rowe Price High Yield	A	Dividend	J	T	Buy (add'l)	12/31/15	J		
382. - T Rowe Price Mid-Cap Growth		None	J	T					
383. - Templeton Int'l Bond Fund	A	Dividend	J	T	Buy	06/10/15	J		
384. - Royce Micro-cap Inv.	A	Dividend	J	T					
385. - Royce Total Return Inv.	A	Dividend	J	T					
386. - Templeton Global Bond Fd Adv	A	Dividend	J	T					
387. - CRM Mid-cap Value Instl.	A	Dividend	J	T					
388. - Wells Fargo High Income Inv.	A	Dividend	J	T	Buy (add'l)	08/14/15	J		
389. Broker Account #18 -- HKW/TCW Annuities									
390. - Tactical Diversified Futures Fund LP		None	K	T					
391. - Sun Life of Canada Annuity		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

10/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Nationwide Life & Annuity		None	M	T					
393. - Skybridge Multi-Advisor Hedge Fund		None	L	T	Buy (add'l)	01/30/15	J		
394. Broker Account #19 -- US Bank EL Trust									
395. - First American Prime Obligation Fund		None	J	T					
396. - iShares Iboxx H Y Corp Bond	A	Dividend	J	T					
397. - Abbvie Common Stock	A	Dividend	J	T	Buy	04/10/15	J		
398. - Allergan Inc. Common Stock	A	Dividend	J	T					
399. - American Tower Common Stock	A	Dividend	J	T	Buy	02/02/15	J		
400. - Amgen Common Stock	A	Dividend	J	T	Buy	09/01/15	J		
401. - Anadarko Petroleum Common Stock	A	Dividend	J	T	Buy	09/01/15	J		
402. - Apple Inc. Common Stock	A	Dividend	J	T					
403. - AT&T Inc. Common Stock	A	Dividend	J	T					
404. - Borg Warner Common Stock	A	Dividend			Sold	06/11/15	J		
405. - CBS Corp. Class B Non-Voting	A	Dividend			Sold	09/01/15	J	A	
406. - Cincinnati Financial Corp. Common Stock	A	Dividend	J	T					
407. - Citigroup Common Stock	A	Dividend	J	T					
408. - CME Group Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

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10/07/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Computer Sciences Corp. Common Stock	A	Dividend	J	T	Buy	02/02/15	J		
410. - Covidien Common Stock	A	Dividend	J	T					
411. - Cummins Common Stock	A	Dividend			Sold	09/01/15	J	A	
412. - CVS Caremark Common Stock	A	Dividend	J	T					
413. - Walt Disney Common Stock	A	Dividend	J	T	Buy	04/10/15	J		
414. - Discovery Communications Inc. CL A Common Stock		None			Sold	02/02/15	J	A	
415. - Dover Corp. Common Stock	A	Dividend			Sold	02/02/15	J	A	
416. - EOG Resources Common Stock	A	Dividend	J	T					
417. - Ecolab Inc. Common Stock	A	Dividend	J	T	Sold (part)	06/11/15	J	A	
418. - Electronic Arts Common Stock		None	J	T					
419. - Emerson Electric Co. Common Stock	A	Dividend	J	T					
420. - Express Scripts Common Stock		None	J	T	Buy	06/11/15	J		
421. - Exxon Mobile Corp. Common Stock	A	Dividend	J	T					
422. - Facebook Common Stock		None	J	T					
423. - FMC Technologies Common Stock		None			Sold	09/01/15	J	A	
424. - Gilead Sciences Common Stock		None	J	T					
425. - Glenmeade Sm Cap Equity Fund	A	Dividend	J	T	Buy	10/30/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Wheeler, Thomas C.

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10/07/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Goldman Sachs Common Stock	A	Dividend	J	T					
427. - Alphabet Common Stock (formerly Google Inc.)		None	J	T	Sold (part)	04/10/15	J	A	
428. - Halliburton Common Stock	A	Dividend			Sold	04/10/15	J	A	
429. - Int'l Paper Co. Common Stock	A	Dividend			Sold	02/02/15	J	A	
430. - Intuit Common Stock	A	Dividend	J	T	Buy	09/01/15	J		
431. - Invesco Common Stock	A	Dividend	J	T	Buy	06/11/15	J		
432. - JP Morgan Chase Co. Common Stock	A	Dividend	J	T					
433. - Johnson & Johnson Common Stock	A	Dividend	J	T					
434. - Lincoln Nat'l Corp. Common Stock	A	Dividend	J	T					
435. - Lowe's Common Stock	A	Dividend	J	T					
436. - Mastercard Inc. Common Stock	A	Dividend			Sold	02/02/15	J	A	
437. - McDonald's Corp. Common Stock	A	Dividend	J	T					
438. - McKesson Corp. Common Stock	A	Dividend	J	T					
439. - Medco Health Solutions Inc. Common Stock		None	J	T					
440. - Merck Common Stock	A	Dividend			Sold	06/11/15	J	A	
441. - Medtronic Common Stock	A	Dividend			Sold	11/09/15	J	A	
442. - Microsoft Common Stock	A	Dividend	J	T	Buy	11/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Mohawk Indus. Common Stock	A	Dividend	J	T					
444. - Morgan Dempsey Small Cap Value Fund	A	Dividend	J	T					
445. - Mylan Common Stock		None			Sold	09/01/15	J	A	
446. - Nextera Energy Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	09/01/15	J		
447. - Philip Morris Intl. Common Stock	A	Dividend	J	T					
448. -- Pioneer Natural Resources Common Stock	A	Dividend	J	T					
449. - Polaris Ind. Inc. Common Stock	A	Dividend	J	T					
450. - Praxair Inc. Common Stock	A	Dividend	J	T	Sold (part)	06/11/15	J	A	
451. - Priceline Com. Inc. Common Stock		None	J	T					
452. - Procter & Gamble Inc. Common Stock	A	Dividend	J	T					
453. - Prudential Financial Inc. Common Stock	A	Dividend	J	T					
454. - Qualcomm Inc. Common Stock	A	Dividend			Sold	04/10/15	J	A	
455. - Southwest Airlines Common Stock	A	Dividend	J	T	Sold (part)	06/11/15	J	A	
456. - Thermo Fisher Scientific Inc. Common Stock		None	J	T					
457. - United Health Group, Inc. Common Stock	A	Dividend	J	T					
458. - Visa Inc. CL A Common Stock	A	Dividend	J	T					
459. - WFA Mid-Cap Value Fund	A	Dividend	K	T	Buy	10/30/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Wells Fargo Common Stock	A	Dividend	J	T					
461. - Wyndham Worldwide Common Stock	A	Dividend	J	T	Buy	11/09/15	J		
462. - 3M Co. Common Stock	A	Dividend	J	T					
463. - Accenture PLC Cl A Common Stock	A	Dividend	J	T	Sold (part)	02/02/15	J	A	
464. - Ace Ltd. Common Stock	A	Dividend	J	T	Buy (add'l)	06/11/15	J		
465. - Schlumberger Ltd. Common Stock	A	Dividend	J	T					
466. - SPDR DJ Wilshire Int'l Common Stock	A	Dividend	J	T					
467. - Aberdeen FDS Emerging	A	Dividend			Sold	01/06/15	J	A	
468. - American Funds Europacific Growth Fd	A	Dividend	K	T	Buy	09/01/15	K		
469. - Baron Emerging Mkts. Fd.	A	Dividend	J	T	Buy	10/30/15	J		
470. - Calvert High Yield Bond Fund	A	Dividend	J	T	Buy	11/24/15	J		
471. - Causeway Emerging Mkts Fund	A	Dividend	J	T	Buy	01/09/15	J		
472. - Dreihaus Select Credit Fund	A	Dividend	J	T	Buy (add'l)	02/12/15	J		
473. - Federated Institute High Yield Bond Fund	A	Dividend			Sold	11/24/15	J	A	
474. - Goldman Sachs M C Value Cl Inst	A	Dividend			Sold	10/30/15	K	B	
475. - Highland Long Short Equity Z	A	Dividend	J	T	Sold (part)	10/30/15	J	A	
476. - Invesco Bal Risk Commodity Fd		None	J	T	Buy	10/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - IPath Dow Jones UBS Commodity		None	J	T					
478. - iShares JP Morgan Emerg. Mkts. Fd	A	Dividend	J	T	Buy	11/24/15	J		
479. - iShares MSCI Emerging Markets ETF	A	Dividend			Sold	01/06/15	J	A	
480. - Loomis Sayles ABS Strat Y	A	Dividend	J	T					
481. - Mainstay Floating Rate Fund	A	Dividend	J	T	Buy	11/24/15	J		
482. - Oppenheimer SR Floating Rate Bond Fund	A	Dividend			Sold	11/24/15	J	B	
483. - Principal Global Real Estate Sec. Fd	A	Dividend	J	T	Buy	01/09/15	J		
484. - Scout Int'l Fund	A	Dividend			Sold	01/06/15	J	A	
485. -- TCW Emerging Market Income Fund	A	Dividend	J	T					
486. - T Rowe Price Mid-cap Growth Fd #64		None			Sold	10/30/15	J	A	
487. - T. Rowe Price Group Common Stock	A	Dividend			Sold	06/11/15	J	A	
488. - T. Rowe Price Int'l Bond Fund	A	Dividend			Sold	01/06/15	J	A	
489. - SPDR Dow Jones Intl.	A	Dividend			Sold	01/06/15	J	B	
490. - TFS Market Neutral Fund		None			Sold	10/30/15	J	A	
491. - Nuveen Inter. Mun. Fund	A	Dividend	J	T	Buy	02/12/15	J		
492. - Nuveen Real Estate Security Class I	A	Dividend	J	T	Buy (add'l)	01/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

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10/07/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, line 3, Potomac Cliffs at Watson House Real Estate Partnership, purchased on 4/20/1987 at cost of \$26,150 (1/2-share).

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas C. Wheeler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544