

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haik, Richard T.	2. Court or Organization Western District of Louisiana	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 800 Lafayette Street Suite 4200 Lafayette, LA 70501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President	Colonel J. George & S. Saloom Hannie Community Home, Inc.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Louisiana Association of Defense Counsel	4/24/2012 - 4/29/2012	San Jose, Costa Rica	Seminar Speaker - LADC Conference	Travel, lodging, and meals
2.	Louisiana State Bar Association	6/5/2012 - 6/8/2012	Destin, Florida	Seminar speaker - LSBA Annual Meeting/School	Travel, lodging, and meals
3.	Louisiana Association of Justice	8/9/2012 - 8/10/2012	New Orleans, Louisiana	Seminar speaker - LAJ Maritime Law Conference	Travel, lodging, and meals
4.	Louisiana State Bar Association	9/20/2012 - 9/21/2012	New Orleans, Louisiana	Seminar speaker - LSBA Annual Admiralty Symposium	Travel, lodging, and meals
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Alfred Lipman	Hunting Trip	\$500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	St. Landry Bank	Mortgage on [REDACTED] Port Barre, LA (Part VII, line 67)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Iberia Bank Accounts	A	Interest	L	T					
2. Midsouth Bank, NA Accounts	A	Interest	L	T					
3. Brokerage Account #1									
4. --Diamond Offshore Drilling, Inc. Common Stock	A	Dividend	J	T					
5. --Iberiabank Corp Common Stock	A	Dividend	J	T					
6. --Rowan Cos Inc. Common Stock (Y)									
7. --Edward Jones Money Market Account	A	Dividend	K	T					
8. --Lafayette Parish, LA Law Enforcement Dist Rev Ltd Bonds	A	Interest	J	T	Buy	03/01/12	J		
9. Brokerage Account #2									
10. --San Juan Basin Royalty Trust	A	Interest	J	T					
11. --Charlton County, GA Solid Waste Revenue Bonds	A	Interest	J	T					
12. --DWS Managed Municipal Bond Fund	A	Int./Div.	J	T					
13. --Ohio State Solid Waste Disposal Revenue Bonds	A	Interest	J	T					
14. --Port of New Orleans, LA Board of Commissioners	A	Interest			Redeemed	07/06/12	J	A	
15. --Putnam Tax Exempt Income	A	Dividend	J	T					
16. --St. Paul, MN Port Authority Industrial Revenue Bonds	A	Interest			Redeemed	06/25/12	J		
17. --Bibb County, GA Development Authority Bonds	C	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000.00	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Cambria County, PA Revenue Bonds	A	Interest	J	T					
19. --Central Arizona Irrigation & Drainage District Bonds (Y)									
20. --Connecticut Development Authority Bonds	B	Interest	K	T					
21. --Langhorne Manor Boro, PA Higher Education Bonds		None	J	T					
22. --Louisiana State University Bonds	A	Interest	J	T					
23. --Montgomery-Southside, AL Revenue Bonds	B	Interest	K	T					
24. --New Jersey Health Care Facilities Bonds	A	Interest	J	T	Redeemed (part)	07/02/12	J		
25. --St. Paul, MN Port Authority Bonds	A	Interest			Redeemed	06/25/12	J		
26. --St. Paul, MN Port Auth Hsg & Red	A	Interest			Redeemed	06/25/12	J		
27. --Savannah, GA Economic Development Authority Bonds	A	Interest	J	T					
28. --Sumner, IL Healthcare Facilities Revenue Bonds	A	Interest	J	T					
29. --Verizon Communications Common Stock	A	Dividend	J	T					
30. --Tjwi Kimia Intl Finance Co Senior Notes		None	J	T					
31. --Tricom SA Guaranteed Note (Y)									
32. --Money Market Fund Investment Shares	A	Dividend	K	T					
33. --MFS Multimarket Income Trust Mutual Fund	A	Dividend	J	T					
34. --San Juan Basin Royalty Trust Units	A	Royalty	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --At Home Corp Subordinated Notes		None	J	T					
36. --Capital Income Builder Fund A	B	Dividend	K	T					
37. --Rapides Fin Auth LA Revenue Cleco	B	Interest	K	T					
38. --Regions Financial Corp	A	Dividend			Sold	09/14/12	K		
39. --LA State Gas & Fuels Tax Ser A Revenue	A	Interest	J	T					
40. --Home Bancorp, Inc. Stock		None			Sold	09/14/12	J	C	
41. --Ultra Pure Technologies, Inc. Stock (Y)									
42. --Louisiana Public Facilities Auth Rev Bonds	A	Interest	K	T					
43. --Katy Texas Inpd School District Bonds	B	Interest	K	T					
44. --Frontier Communications Corp Stock (Y)									
45. --Columbia Tax Exempt Fund Class A	A	Dividend	J	T					
46. --Iberia Parish LA Parishwide School Dist Rfdg Gen Obgln	A	Interest	K	T					
47. --Lafayette LA Public Improvement Tax Rev	A	Interest	J	T					
48. --Cutwater Select Income Fund	A	Dividend	J	T					
49. --Lafayette LA Public Trust Auth Rev	A	Interest	K	T					
50. --Midsouth Bancorp Inc.	A	Dividend			Sold	09/24/12	K	C	
51. Individual Retirement Account #1	D	Dividend	N	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --American Balanced Fund									
53. --American Mutual Fund									
54. --Capital World Growth & Income Fund									
55. --Europacific Growth Fund									
56. --Growth Fund of America									
57. --Income Fund of America									
58. --New Perspective Fund									
59. --Washington Mutual Investors Fund									
60. --American Funds Sh Term Bond Fund									
61. Colonel J. George & S. Saloom Hannie	E	Distribution	M	W					
62. BPL Visions, LLC, formerly The McGehee Group, LLC		None	M	W					
63. Oxford Partners, LLC		None	J	W					
64. Gordon Global Investments, LLC		None	J	W					
65. 1/3 Interest in Property Located in Vermilion Parish, LA		None	J	W					
66. E-Kat, LLC		None	J	W	Buy	08/28/12	K		
67. [REDACTED] Port Barre, LA (\$178,500)		None	M	R	Buy	09/21/12	M		R. Hensarling
68. Rental Property, [REDACTED] Lafayette, LA (\$292,000)		None	N	R	Buy	07/05/12	N		T. Orillion, C. Orillion

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard T. Haik**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544