

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, George H.	2. Court or Organization United States District Court, Central District of California	3. Date of Report 10/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active-United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Edward R. Roybal Federal Building and Courthouse 255 East Temple Street Suite 660 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

Name of Person Reporting King, George H.	Date of Report 10/11/2012
--	------------------------------

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. In Trust # 1 LA Financial Credit Union	A	Dividend	M	T					
2. (y) In Trust #1 Real Estate Prescott, AZ	D	Rent	M	S					
3. Wells Fargo Bank, N.A. Savings/Checking (x-checking)	A	Interest	M	T					
4. Universal Life Insurance (State Farm)	C	Dividend	L	T					
5. Adventrx Pharmaceuticals Inc. [REDACTED]		None	J	T					
6. (y) Agrium Inc. (AGU)	A	Dividend			Buy	05/25/11	J		
7. AGU					Buy	06/08/11	J		
8. AGU					Buy	08/08/11	J		
9. AGU					Sold (part)	08/11/11	J	A	
10. AGU					Sold	08/31/11	J	A	
11. Agricultural Bank of China [REDACTED]		None	J	T	Buy	06/09/11	J		
12. (y) American Axel		None			Sold	07/29/11	J		
13. American Axel					Buy	08/08/11	J	B	
14. American Axel					Sold	08/12/11	J		
15. American Axel					Buy	09/19/11	J	A	
16. American International Group		None			Buy	07/07/11	J		
17. American International Group					Sold	07/07/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Andre Juice Co. Ltd. [REDACTED]	A	Dividend	J	T					
19. Anhui Expressway Co. Ltd. [REDACTED]		None	J	T	Buy	06/12/11	J		
20. Applied Energetics		None	J	T					
21. Arch Coal Inc. (ACI)	A	Dividend			Buy	05/20/11	J		
22. ACI					Buy	06/24/11	J		
23. ACI					Buy	08/02/11	J		
24. ACI					Buy	08/05/11	J		
25. ACI					Buy	08/08/11	J		
26. ACI					Sold (part)	08/11/11	J		
27. ACI					Sold	09/01/11	J		
28. Ascott Residence Trust NPV (Real Estate Investment Trust)		None	J	T	Buy	09/21/11	J		
29. Asian Environment Holdings Ltd.	B	Dividend	J	T	Buy	11/25/11	J		
30. Atlas Pipeline Partners LP (APL)	A	Dividend			Buy	06/08/11	J		
31. APL					Buy	06/16/11	J		
32. APL					Buy	08/08/11	J		
33. APL					Sold	08/24/11	J		
34. Banco Bilbao Vizcaya Argentaria, S.A. (BBVA)		None	J	T	Sold	07/07/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. BBVA					Sold	07/13/11	J		
36. BBVA					Buy	08/08/11	J	A	
37. BBVA					Sold	08/11/11	J		
38. BBVA					Buy	09/19/11	J	A	
39. BBVA					Sold	10/06/11	J		
40. Banco Santaider		None			Buy	10/06/11	J		
41. Banco Santaider					Sold	10/06/11	J		
42. Bangkok Bank Public Co. Ltd.	A	Dividend	J	T					
43. Bangkok Dusit Medical Services Co. Ltd.	A	Dividend			Sold	12/07/11	K		
44. Bank of America		None			Sold	11/15/11	J		
45. Bank of America					Buy	11/15/11	J	A	
46. Bank of China		None	J	T	Buy	06/10/11	J		
47. Barclay Bank		None			Sold	07/07/11	K		
48. Barclay Bank					Buy	07/08/11	K	A	
49. Barrick Gold Corp. (ABX)	A	Dividend			Buy	05/11/11	J		
50. ABX					Buy	06/24/11	J		
51. ABX					Sold	08/24/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. ABX					Sold	10/27/11	J	A	
53. BHP Billiton Ltd. (BHP)	A	Dividend			Buy	05/25/11	J		
54. BHP					Buy	08/02/11	J		
55. BHP					Sold	08/11/11	J		
56. BHP					Sold	10/27/11	J		
57. Canadian Dollars		None			Sold	03/16/11	K		
58. Canadian Dollars					Sold	05/17/11	K		
59. Canadian Dollars					Buy	10/28/11	L		
60. Capital One (COF)		None			Sold	07/13/11	J		
61. COF					Sold	07/19/11	J		
62. COF					Buy	08/08/11	J	B	
63. COF					Sold	08/10/11	J		
64. COF					Buy	09/19/11	J	B	
65. Centamin Egypt Ltd. (CEE)		None			Buy	05/06/11	J		
66. CEE					Sold	07/13/11	J		
67. CEE					Buy	05/11/11	J		
68. CEE					Buy	08/02/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. CEE					Sold (part)	08/24/11	J		
70. CEE					Sold	10/27/11	J	A	
71. Chaoda Modern Agriculture Holdings		None	J	T					
72. Chesapeake Energy Corp. (CHK)	A	Dividend			Buy	05/17/11	J		
73. CHK					Buy	06/16/11	J		
74. CHK					Buy	08/08/11	J		
75. CHK					Sold (part)	08/11/11	J	A	
76. CHK					Sold	09/01/11	J	A	
77. Chevron Corp. (CVX)	A	Dividend			Buy	05/25/11	J		
78. CVX					Buy	06/24/11	J		
79. CVX					Buy	08/05/11	K		
80. CVX					Sold (part)	08/05/11	K		
81. CVX					Sold (part)	08/11/11	J		
82. CVX					Sold	09/01/11	J	A	
83. Chiang Mai Ram Medical Business Pcl		None	J	T	Buy	09/21/11	J		
84. China Blue Chemical Ltd.	A	Dividend	J	T					
85. China Construction Bank	A	Dividend	J	T	Buy	06/13/11	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 9 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. China Huiyuan Juice Group		None	J	T	Buy	06/12/11	J		
87. (y) China Overseas Land & Investment Ltd.		None			Sold	01/19/10	J	B	
88. Citigroup		None			Sold	06/30/11	J	A	
89. Citigroup					Buy	07/05/11	J		
90. Cocoa Futures (CCH2)		None			Buy	09/14/11	K		
91. CCH2					Sold	12/20/11	K		
92. Core Laboratories N.V. (CLB)	A	Dividend			Buy	08/04/11	J		
93. CLB					Buy	08/05/11	J		
94. CLB					Buy	08/08/11	J		
95. CLB					Sold (part)	08/11/11	J	A	
96. CLB					Sold	10/27/11	J	A	
97. Corn Products International Inc. (CPO)	A	Dividend			Buy	08/04/11	J		
98. CPO					Buy	08/08/11	J		
99. CPO					Sold	10/27/11	J	A	
100. Cresud S.A. (CRESY)		None			Buy	08/02/11	J		
101. CRESY					Buy	08/05/11	J		
102. CRESY					Sold (part)	08/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. CRESY					Sold	08/31/11	J		
104. Crude oil futures (CLJ1)		None			Buy	02/02/11	L		
105. CLJ1					Sold	02/24/11	L	D	
106. Crude oil futures (CLM1)		None			Sold	03/31/11	M		
107. CLM1					Buy	05/05/11	L	D	
108. Crude oil futures (CLN1)		None			Sold	05/16/11	L		
109. CLN1					Buy	05/16/11	L	B	
110. CLN1					Sold	05/17/11	L		
111. CLN1					Buy	05/17/11	L		
112. CLN1					Sold	05/18/11	M		
113. CLN1					Sold	05/25/11	M		
114. CLN1					Buy	05/25/11	M		
115. CLN1					Sold	05/27/11	M		
116. CLN1					Buy	06/10/11	L	B	
117. Crude oil futures (CLQ1)		None			Sold	06/10/11	L		
118. CLQ1					Buy	06/16/11	M	C	
119. CLQ1					Sold	06/16/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. CLQ1					Buy	06/17/11	M	A	
121. CLQ1					Sold	06/17/11	L	B	
122. Crude oil futures (CLU1)		None			Sold	07/06/11	L		
123. CLU1					Buy	07/12/11	M	A	
124. CLU1					Sold	07/12/11	L		
125. CLU1					Sold	07/13/11	L		
126. CLU1					Sold	07/15/11	L		
127. CLU1					Buy	07/15/11	L	A	
128. CLU1					Buy	07/19/11	L		
129. CLU1					Sold	07/20/11	M		
130. CLU1					Buy	07/20/11	M	A	
131. CLU1					Sold	07/21/11	M		
132. CLU1					Buy	07/21/11	M		
133. CLU1					Sold	07/22/11	M		
134. CLU1					Buy	07/28/11	N	B	
135. CLU1					Sold	07/28/11	N		
136. CLU1					Sold	08/02/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. CLU1					Buy	08/02/11	L	A	
138. CLU1					Sold	08/04/11	L		
139. CLU1					Buy	08/05/11	M	C	
140. CLU1					Sold	08/05/11	L		
141. CLU1					Buy	08/08/11	L		
142. CLU1					Sold	08/09/11	N		
143. CLU1					Buy	08/19/11	L		
144. Crude oil futures (CLV1)		None			Buy	08/23/11	L		
145. CLV1					Sold	08/23/11	L		
146. Crude oil futures (CLX1)		None			Buy	08/31/11	L		
147. CLX1					Sold	09/22/11	L	D	
148. CLX1					Sold	09/23/11	L		
149. CLX1					Buy	09/23/11	L	A	
150. (y) Crude oil futures (CLZ0)		None			Sold	2010			
151. Crude oil futures (CLZ1)		None			Buy	10/14/11	L		
152. CLZ1					Sold	10/14/11	M		
153. CLZ1					Buy	10/27/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Deere & Co. (DE)	A	Dividend			Buy	05/25/11	J		
155. DE					Buy	06/03/11	J		
156. DE					Buy	08/05/11	J		
157. DE					Sold (part)	08/11/11	J		
158. DE					Sold	08/31/11	J	A	
159. Detour Gold Corp. (DCG)		None			Buy	05/25/11	J		
160. DCG					Buy	06/03/11	J		
161. DCG					Buy	06/15/11	J		
162. DCG					Buy	06/22/11	J		
163. DCG					Sold (part)	08/11/11	J	A	
164. DCG					Sold	10/27/11	J	A	
165. Direxion Daily Financial Bear 3X (FAZ)		None			Buy	10/14/11	J		
166. FAZ					Sold	10/14/11	J		
167. El Paso Pipeline Partners LP (EPB)		None			Buy	06/13/11	J		
168. EPB					Buy	06/16/11	J		
169. EPB					Buy	07/12/11	J		
170. EPB					Buy	10/04/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. EPB					Buy	10/06/11	J		
172. EPB					Sold	10/25/11	J		
173. Endeavour Silver Corp. (EDR)		None			Buy	07/08/11	J		
174. EDR					Buy	08/05/11	J		
175. EDR					Sold (part)	08/11/11	J	A	
176. EDR					Sold	09/01/11	J	A	
177. Energy Transfer Partners LP (ETP)	A	Dividend			Buy	07/28/11	J		
178. ETP					Buy	08/02/11	J		
179. ETP					Buy	08/08/11	J		
180. ETP					Buy	10/06/11	J		
181. ETP					Buy	11/08/11	J		
182. ETP					Sold (part)	11/28/11	J		
183. ETP					Sold	12/20/11	J	A	
184. ESH2 (S&P 500 futures)		None	M	T	Sold	12/12/11	L		
185. ESH2					Buy	12/28/11	L		
186. ESH2					Sold	12/12/11	M		
187. ESM1 (S&P 500)		None			Sold	03/11/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ESM1					Sold	04/15/11	L		
189. ESM1					Sold	04/28/11	L		
190. ESM1					Buy	06/02/11	L		
191. ESM1					Sold	06/07/11	L		
192. ESM1					Buy	06/07/11	L	C	
193. ESM1					Sold	06/09/11	L		
194. ESM1					Buy	06/10/11	M	B	
195. ESU1 (S&P 500 futures)		None			Sold	06/10/11	M		
196. ESU1					Buy	06/10/11	L	A	
197. ESU1					Buy	06/20/11	L	A	
198. ESU1					Sold	06/22/11	L		
199. ESU1					Buy	07/01/11	L	C	
200. ESU1					Sold	07/08/11	L		
201. ESU1					Buy	07/12/11	L	B	
202. ESU1					Sold	07/13/11	L		
203. ESU1					Buy	07/19/11	L	B	
204. ESU1					Sold	07/19/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. ESU1					Buy	07/27/11	L		
206. ESU1					Buy	07/28/11	L	A	
207. ESU1					Buy	07/29/11	L		
208. ESU1					Sold	08/03/11	N		
209. ESU1					Buy	08/03/11	L	C	
210. ESU1					Buy	08/04/11	O	A	
211. ESU1					Sold	08/04/11	M		
212. ESU1					Sold	08/05/11	O		
213. ESU1					Buy	08/05/11	O	A	
214. ESU1					Sold	08/09/11	M		
215. ESU1					Sold	08/11/11	L		
216. ESU1					Buy	08/12/11	M		
217. ESU1					Sold	08/15/11	L		
218. ESU1					Buy	08/17/11	L	A	
219. ESU1					Sold	08/19/11	L		
220. ESU1					Buy	09/19/11	L	A	
221. ESZ1 (S&P 500 futures)		None			Sold	10/06/11	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. ESZ1					Buy	12/12/11	L		
223. ESZ1					Sold	08/19/11	L		
224. ESZ1					Buy	08/19/11	L		
225. ESZ1					Sold	09/22/11	M		
226. ESZ1					Sold	10/18/11	L		
227. ESZ1					Buy	10/27/11	L		
228. ESZ1					Buy	10/28/11	L		
229. ESZ1					Sold	11/11/11	L		
230. ESZ1					Buy	12/12/11	M		
231. EWG (Germany ETF)		None	J	T	Sold	10/06/11	J		
232. EWI (Italy ETF)		None			Sold	08/12/11	J		
233. EWI					Buy	09/19/11	J	A	
234. EWK (Belgium ETF)		None	J	T	Sold	07/19/11	J		
235. EWK					Buy	07/29/11	J		
236. EWK					Sold	08/12/11	J		
237. EWK					Buy	09/19/11	J		
238. EWK					Sold	10/06/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. EWP (Spain ETF)		None	J	T	Sold	07/19/11	J		
240. EWP					Buy	07/19/11	J		
241. EWP					Buy	10/06/11	J		
242. EWQ (France ETF)		None	J	T	Sold	08/12/11	J		
243. EWQ					Buy	09/19/11	J		
244. EWQ					Sold	10/06/11	J		
245. EWU (United Kingdom ETF)		None			Sold	07/19/11	J		
246. EWU					Buy	07/25/11	J		
247. EWU					Sold	08/12/11	J		
248. EWU					Buy	09/19/11	J	A	
249. Euro futures		None	M	T	Sold	12/12/11	M		
250. Euro futures		None			Sold	06/22/11	M		
251.					Buy	08/05/11	M	B	
252. (y) Euro futures Bought 1 contract on 11/30/10		None			Sold	12/10/10	M	C	
253. Euro futures		None			Sold	10/28/11	M		
254.					Buy	12/12/11	M	D	
255. Broker #3 Cash Reserves	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Freeport-McMoran Copper B (FCX)	A	Dividend			Buy	05/17/11	J		
257. FCX					Buy	06/22/11	J		
258. FCX					Buy	08/08/11	J		
259. FCX					Sold (part)	08/11/11	J		
260. FCX					Sold (part)	08/12/11	J	A	
261. FCX					Sold	10/28/11	J		
262. Gabriel Resources Ltd. Com (GBU)		None			Buy	05/05/11	J		
263. GBU					Buy	05/24/11	J		
264. GBU					Buy	06/20/11	J		
265. GBU					Sold (part)	07/13/11	J	A	
266. GBU					Buy	08/05/11	J		
267. GBU					Sold (part)	08/11/11	J		
268. GBU					Buy	10/28/11	J		
269. GBU					Sold	10/28/11	J		
270. Gold Fields Ltd. (GFI)	A	Dividend			Buy	05/13/11	J		
271. GFI					Buy	06/22/11	J		
272. GFI					Sold	07/28/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. GFI					Buy	08/05/11	J		
274. GFI					Sold (part)	08/24/11	J	A	
275. GFI					Sold	10/28/11	J	A	
276. General Motors (GM)		None			Sold	06/30/11	J		
277. GM					Sold	07/05/11	J		
278. GM					Sold	07/06/11	J		
279. GM					Buy	08/08/11	J	A	
280. GM					Sold	08/12/11	J		
281. GM					Buy	09/19/11	J	A	
282. Goldman Sachs (GS)		None			Sold	07/13/11	J		
283. GS					Sold	07/19/11	J		
284. GS					Buy	07/29/11	J		
285. HEG2 (lean hogs futures)		None			Buy	11/08/11	K		
286. HEG2					Sold	11/17/11	K	B	
287. HEZ1 (lean hogs futures)		None			Buy	08/31/11	K		
288. HEZ1					Sold	10/17/11	K	C	
289. (y) HGZ0 (high grade copper futures)		None			Buy	11/11/10	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. HGU1 (high grade copper futures)		None			Sold	07/19/11	M		
291. HGU1					Buy	07/28/11	M	A	
292. HOM1 (heating oil futures)		None			Sold	04/08/11	M		
293. HOM1					Buy	04/19/11	M	D	
294. Hong Kong Dollars (HKD)	B	Dividend	M	T	Sold	03/16/11	K		
295. HKD					Buy	03/16/11	L		
296. HKD					Sold	06/22/11	J		
297. HKD					Buy	06/22/11	J		
298. Humana	A	Dividend	J	T					
299. Hyflux Ltd.	A	Dividend	J	T					
300. HYG (High Yield Debt ETF)		None	K	T	Sold	11/15/11	J		
301. HYG					Sold	11/22/11	J		
302. HYG					Sold	11/25/11	J		
303. Broker # 1 (IRAs and Brokerage) account		None	M	T	Distributed (part)	05/06/11	M	G	
304. Broker # 1					Distributed (part)	06/24/11	L	G	
305. Broker #1					Distributed (part)	08/04/11	M	F	
306. Broker #1					Distributed (part)	11/01/11	N	G	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Ipath Dow Jones-UBS Cotton Subindex (BAL)		None			Buy	08/31/11	J		
308. BAL					Sold	10/25/11	J		
309. Ishares Barclays 1-3 year treasury (SHY)		None			Buy	06/15/11	J		
310. SHY					Buy	06/16/11	K		
311. SHY					Buy	06/20/11	J		
312. SHY					Sold	06/29/11	K		
313. Ishares Barclays 7-10 year treasury (IEF)	A	Dividend			Buy	06/20/11	K		
314. IEF					Buy	06/21/11	K		
315. IEF					Buy	06/22/11	J		
316. IEF					Sold	07/01/11	K		
317. Ishares Barclays 20+ year treasury (TLT)	A	Dividend			Buy	06/14/11	K		
318. TLT					Buy	06/15/11	K		
319. TLT					Buy	06/16/11	J		
320. TLT					Buy	06/20/11	J		
321. TLT					Sold	07/01/11	L	B	
322. Ishares 1-3 year credit bonds (CSJ)		None	J	T	Sold	11/22/11	J		
323. Ishares Silver Tr. Ishares (SLV)		None	L	T	Buy	02/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. SLV					Sold	04/21/11	J	E	
325. SLV					Buy	08/31/11	J		
326. SLV					Buy	09/23/11	J		
327. SLV					Buy	09/26/11	J		
328. SLV					Buy	10/04/11	J		
329. SLV					Buy	11/01/11	J		
330. SLV					Buy	11/17/11	J		
331. SLV					Buy	12/14/11	J		
332. Ivanhoe Mines Ltd. (IVN)		None			Buy	05/05/11	J		
333. IVN					Buy	05/16/11	J		
334. IVN					Sold	07/06/11	J	A	
335. IVN					Buy	08/05/11	J		
336. IVN					Sold (part)	08/11/11	J		
337. IVN					Sold	10/27/11	J		
338. JEF (Jeffries & Co.)		None	J	T	Sold	11/23/11	J		
339. JPM (JP Morgan)		None			Sold	06/30/11	J		
340. JPM					Buy	07/05/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. Kerry Properties Ltd. [REDACTED]	A	Dividend	J	T					
342. Kinder Morgan Management LLC (KMR)		None			Buy	06/08/11	J		
343. KMR					Buy	06/16/11	J		
344. KMR					Buy	07/12/11	J		
345. KMR					Sold (part)	07/28/11	J		
346. KMR					Buy	07/28/11	J		
347. KMR					Buy	08/05/11	J		
348. KMR					Buy	10/04/11	J		
349. KMR					Sold (part)	10/27/11	J	A	
350. KMR					Buy	10/27/11	J		
351. KMR					Sold (part)	11/28/11	J	A	
352. KMR					Sold	12/20/11	J	B	
353. LEZ1 (live cattle futures)		None			Buy	08/31/11	K		
354. LEZ1					Sold	10/17/11	K	C	
355. LULU (Lulu Lemon)		None			Sold	07/07/11	J		
356. LULU					Sold	07/08/11	J		
357. LULU					Buy	07/19/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Magellan Midstream Partners (MMP)	A	Dividend			Buy	06/08/11	J		
359. MMP					Buy	06/16/11	J		
360. MMP					Buy	08/02/11	J		
361. MMP					Buy	08/05/11	J		
362. MMP					Buy	11/08/11	J		
363. MMP					Sold (part)	11/28/11	J	A	
364. MMP					Sold	12/20/11	J	A	
365. Market Vectors ETF TR Gold Miners ETF (GDX)		None			Buy	02/01/11	J		
366. GDX					Sold (part)	04/21/11	J	C	
367. GDX					Sold	04/28/11	J	B	
368. MSFT (Microsoft)		None			Sold	06/30/11	J		
369. MSFT					Sold	07/05/11	J		
370. MSFT					Sold	07/06/11	J		
371. MSFT					Sold	07/08/11	J		
372. MSFT					Buy	07/29/11	J		
373. Mitsubishi UFJ Finl Group (MTU)		None			Buy	05/19/11	J		
374. MTU					Buy	05/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. MTU					Buy	07/07/11	J		
376. MTU					Sold (part)	08/11/11	J		
377. MTU					Sold	08/31/11	J		
378. Mizuho Financial Group (MFG)		None			Buy	06/02/11	J		
379. MFG					Buy	07/07/11	J		
380. MFG					Buy	08/02/11	J		
381. MFG					Sold (part)	08/11/11	J		
382. MFG					Sold	08/31/11	J		
383. MS (Morgan Stanley)		None	J	T	Sold	07/13/11	J		
384. MS					Sold	07/19/11	J		
385. MS					Buy	07/29/11	J		
386. MS					Sold	10/06/11	J		
387. MS					Sold	11/15/11	J		
388. National Oilwell Varco Inc. (NOV)		None			Buy	08/04/11	J		
389. NOV					Buy	08/08/11	J		
390. NOV					Sold (part)	08/11/11	J		
391. NOV					Sold	09/01/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Natural Resource Partners LP (NRP)	A	Dividend			Buy	06/08/11	J		
393. NRP					Buy	06/16/11	J		
394. NRP					Buy	07/21/11	J		
395. NRP					Sold	08/24/11	J		
396. Newmont Mining Corp. (NEM)	A	Dividend			Buy	05/10/11	J		
397. NEM					Buy	06/28/11	J		
398. NEM					Sold (part)	07/28/11	J	A	
399. NEM					Sold (part)	08/24/11	J	A	
400. NEM					Sold	10/27/11	J	A	
401. New World Development (17)	A	Dividend	J	T	Buy	06/12/11	J		
402. NGJ1 (natural gas futures)		None			Buy	02/01/11	L		
403. NGJ1					Sold	03/11/11	K	C	
404. NGU1 (natural gas futures)		None			Buy	08/05/11	K		
405. NGU1					Sold	08/10/11	K	A	
406. NGV1 (natural gas futures)		None			Buy	09/02/11	K		
407. NGV1					Sold	09/07/11	K	A	
408. NGX1 (natural gas futures)		None			Buy	09/07/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 28 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. NGX1					Sold	10/04/11	K		
410. NGZ1 (natural gas futures)		None			Buy	10/04/11	K		
411. NGZ1					Sold	11/08/11	K	C	
412. Nomura Holdings Inc. (NMR)		None			Buy	05/18/11	J		
413. NMR					Buy	05/20/11	J		
414. NMR					Buy	07/07/11	J		
415. NMR					Buy	08/02/11	J		
416. NMR					Sold (part)	08/11/11	J		
417. NMR					Sold	08/31/11	J		
418. Novagold resources Inc. (NG)		None			Buy	05/05/11	J		
419. NG					Buy	05/17/11	J		
420. NG					Buy	06/20/11	J		
421. NG					Sold (part)	07/13/11	J		
422. NG					Buy	08/05/11	J		
423. NG					Sold	10/27/11	J		
424. NQH2 (Nasdaq futures)		None	M	T	Sold	12/12/11	M		
425. NQM1 (Nasdaq futures)		None			Sold	03/11/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 44

Name of Person Reporting

King, George H.

Date of Report

10/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. NQM1					Sold	04/28/11	K		
427. NQM1					Sold	05/16/11	K		
428. NQM1					Buy	05/16/11	K		
429. NQM1					Sold	05/17/11	K		
430. NQM1					Buy	05/23/11	K	B	
431. NQM1					Sold	05/27/11	K		
432. NQM1					Buy	06/02/11	K	A	
433. NQM1					Buy	06/03/11	K	A	
434. NQM1					Sold	06/07/11	K		
435. NQM1					Buy	06/07/11	K	B	
436. NQM1					Buy	06/10/11	L	A	
437. NQU1 (Nasdaq futures)		None			Sold	06/10/11	L		
438. NQU1					Sold	06/13/11	K		
439. NQU1					Buy	06/13/11	K	A	
440. NQU1					Buy	06/16/11	K	A	
441. NQU1					Sold	06/16/11	K		
442. NQU1					Buy	06/17/11	L	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. NQU1					Sold	06/20/11	K		
444. NQU1					Sold	06/21/11	K		
445. NQU1					Sold	06/23/11	K		
446. NQU1					Sold	07/01/11	K		
447. NQU1					Buy	07/01/11	L	C	
448. NQU1					Sold	07/05/11	K		
449. NQU1					Buy	07/12/11	L	C	
450. NQU1					Sold	07/13/11	M		
451. NQU1					Sold	07/15/11	K		
452. NQU1					Sold	07/18/11	K		
453. NQU1					Buy	07/18/11	K	A	
454. NQU1					Buy	07/19/11	K		
455. NQU1					Sold	07/19/11	L		
456. NQU1					Buy	07/21/11	M	B	
457. NQU1					Buy	07/25/11	K	A	
458. NQU1					Buy	07/27/11	M	D	
459. NQU1					Sold	07/27/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. NQU1					Buy	07/28/11	L	A	
461. NQU1					Sold	07/28/11	M		
462. NQU1					Sold	07/29/11	M		
463. NQU1					Buy	07/29/11	M	A	
464. NQU1					Sold	08/05/11	K		
465. NQU1					Buy	08/05/11	L		
466. NQU1					Sold	08/09/11	M		
467. NQU1					Buy	08/11/11	K		
468. NQU1					Sold	08/11/11	K		
469. NQU1					Buy	08/12/11	L		
470. NQU1					Sold	08/12/11	K		
471. NQU1					Buy	08/17/11	L		
472. NQU1					Sold	08/23/11	L		
473. NQU1					Sold	09/15/11	L		
474. NQU1					Buy	09/15/11	M		
475. NQZ1 (Nasdaq futures)		None			Sold	08/19/11	K		
476. NQZ1					Sold	08/24/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. NQZ1					Buy	08/31/11	L		
478. NQZ1					Sold	09/15/11	L		
479. NQZ1					Buy	09/19/11	L		
480. NQZ1					Sold	11/22/11	K		
481. NQZ1					Sold	11/25/11	K		
482. NQZ1					Buy	11/25/11	K	A	
483. NQZ1					Buy	11/30/11	K		
484. NQZ1					Sold	09/22/11	L		
485. NQZ1					Sold	09/23/11	K		
486. NQZ1					Sold	10/04/11	K		
487. NQZ1					Buy	10/24/11	K		
488. NQZ1					Buy	10/27/11	M		
489. NQZ1					Sold	10/28/11	M		
490. NQZ1					Sold	11/01/11	K		
491. NQZ1					Buy	12/12/11	M	C	
492. Nustar Energy LP (NS)	A	Dividend			Buy	06/08/11	J		
493. NS					Buy	06/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000 J = \$25,000,001 - \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. NS					Sold	08/24/11	J		
495. Occidental Petroleum Corp. (OXY)		None			Buy	08/02/11	J		
496. OXY					Buy	08/05/11	J		
497. OXY					Sold (part)	08/11/11	J		
498. OXY					Sold	09/01/11	J		
499. Parkway Life Real Estate Invest TST NPV	A	Dividend	J	T	Buy	11/09/11	J		
500. Peabody Energy Corp. (BTU)	A	Dividend			Buy	05/19/11	J		
501. BTU					Sold	05/19/11	J		
502. BTU					Buy	08/02/11	J		
503. BTU					Buy	08/08/11	J		
504. BTU					Sold (part)	08/11/11	J		
505. BTU					Sold	09/01/11	J	A	
506. Plains Exploration & Product (PXP)		None			Buy	05/17/11	J		
507. PXP					Buy	08/03/11	J		
508. PXP					Buy	08/08/11	J		
509. PXP					Sold (part)	08/11/11	J		
510. PXP					Sold	09/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Potash Corp of Saskatchewan (POT)	A	Dividend			Buy	05/25/11	J		
512. POT					Buy	06/08/11	J		
513. POT					Buy	08/05/11	J		
514. POT					Sold (part)	08/11/11	J	A	
515. POT					Sold	08/31/11	J	A	
516. Prime Fund-Capital Reserves-Money Market (Broker #2)		None			Sold	10/31/11	L	A	See Treasury Capital Resv.
517. Progen Pharmaceuticals Ltd. Ordinary Shares IAIN (DC)		None	J	T					
518. ProShares UltraShort Euro (EUO)		None			Buy	11/10/11	J		
519. EUO					Buy	11/11/11	J		
520. EUO					Buy	11/17/11	J		
521. EUO					Buy	11/18/11	J		
522. EUO					Buy	11/21/11	J		
523. EUO					Buy	11/28/11	J		
524. EUO					Buy	11/29/11	J		
525. EUO					Sold	12/12/11	K	B	
526. QQQ (Nasdaq ETF)		None			Buy	07/19/11	K		
527. QQQ					Sold	07/21/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. QQQ					Buy	07/29/11	K		
529. QQQ					Sold	08/08/11	K		
530. QQQ					Sold	08/11/11	J		
531. QQQ					Sold	08/12/11	J		
532. QQQ					Sold	08/19/11	J		
533. QQQ					Buy	08/19/11	K	A	
534. Reintenantstalt/Swiss Life AG Namen (SLHNz)	A	Dividend			Buy	05/10/11	J		
535. SLHNz					Sold	12/21/11	J		
536. Schlumberger Ltd. (SLB)	A	Dividend			Buy	05/25/11	J		
537. SLB					Buy	08/08/11	J		
538. SLB					Sold (part)	08/11/11	J	A	
539. SLB					Sold	09/01/11	J	A	
540. Shenzhen Expressway Co. [REDACTED]		None	J	T	Buy	06/15/11	J		
541. Shui On Land Ltd. [REDACTED]	A	Dividend	J	T					
542. Sichuan Expressway Co. [REDACTED]		None	J	T	Buy	06/07/11	J		
543. Sino Land Co. [REDACTED]	A	Dividend	J	T	Buy	06/01/11	J		
544. SPDR Gold Tr Gold Shs (GLD)		None	K	T	Buy	02/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. GLD					Sold	04/26/11	L	D	
546. GLD					Buy	07/07/11	J		
547. GLD					Buy	08/31/11	K		
548. GLD					Buy	12/14/11	K		
549. Specie Assets (Spc)		None	M	T	Buy	05/2/11	J		
550. Spc					Buy	05/06/11	J		
551. Spc					Buy	05/11/11	J		
552. Spc					Buy	06/02/11	J		
553. Spc					Buy	06/13/11	J		
554. Spc					Buy	06/15/11	J		
555. Spc					Buy	06/20/11	J		
556. Spc					Buy	06/23/11	J		
557. Spc					Buy	06/25/11	J		
558. Spc					Buy	06/27/11	J		
559. Spc					Buy	07/08/11	J		
560. Spc					Buy	07/28/11	J		
561. Spc					Buy	08/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Spc					Buy	08/05/11	J		
563. Spc					Buy	08/06/11	J		
564. Spc					Buy	08/10/11	J		
565. Spc					Buy	08/24/11	J		
566. Spc					Buy	08/29/11	J		
567. Spc					Buy	08/30/11	J		
568. Spc					Buy	09/07/11	J		
569. Spc					Buy	09/23/11	J		
570. Spc					Buy	09/24/11	J		
571. Spc					Buy	11/01/11	J		
572. Spc					Buy	11/22/11	J		
573. Sprott Resources Ltd. (SCP)		None			Buy	05/17/11	J		
574. SCP					Buy	05/24/11	J		
575. SCP					Buy	06/07/11	J		
576. SCP					Sold (part)	07/13/11	J	A	
577. SCP					Sold	10/28/11	J		
578. Southgobi Resources Ltd. (SGQ)		None			Buy	05/12/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. SGQ					Buy	05/18/11	J		
580. SGQ					Buy	06/21/11	J		
581. SGQ					Buy	08/05/11	J		
582. SGQ					Sold (part)	08/11/11	J		
583. SGQ					Sold	09/01/11	J		
584. Suntec Real Estate Investment Trust NPV	A	Dividend	J	T	Buy	09/22/11	J		
585. Swiss Reinsurance Regist. Share (RUKNz)		None			Buy	05/10/11	J		
586. RUKNz					Sold	11/28/11	J		
587. Teck Resources Ltd. (TCK.A)		None			Buy	05/24/11	J		
588. TCK.A					Sold	05/24/11	J		
589. Teck Resources Ltd. (TCK.B)	A	Dividend			Buy	05/24/11	J		
590. TCK.B					Buy	06/15/11	J		
591. TCK.B					Buy	08/05/11	J		
592. TCK.B					Sold (part)	08/12/11	J		
593. TCK.B					Sold	10/28/11	J		
594. Telik Inc.		None	J	T					
595. Thai Beverage Public Co. Ltd.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. Thai Reinsurance Co. THB1	A	Dividend	J	T	Buy	11/08/11	J		
597. Thai Vegetable Oil Co. THB1	A	Dividend	J	T	Buy	11/08/11	J		
598. Trade Winds Ventures Inc. (TWD)	A	Dividend			Buy	06/13/11	J		
599. TWD					Buy	06/24/11	J		
600. TWD					Sold	07/28/11	J	A	
601. TWD					Buy	08/05/11	J		
602. TWD					Buy	08/08/11	J		
603. TWD					Sold (part)	08/11/11	J	A	
604. TWD					Sold	10/28/11	J	A	
605. Treasury Fund-Capital reserves (Broker # 2 moved funds)		None	L	T	Buy	10/31/11	L		
606. Uranium Participation Corp. (U)		None			Buy	05/18/11	J		
607. U					Buy	05/26/11	J		
608. U					Buy	06/14/11	J		
609. U					Sold (part)	08/11/11	J		
610. U					Sold	09/01/11	J		
611. Univanich Palm Oil Public Co. Ltd.	A	Dividend	J	T					
612. VXM1 (volatility index futures)		None			Buy	05/12/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. VXM1					Sold	05/16/11	K	A	
614. Western Gas Partners LP (WES)	A	Dividend			Buy	06/13/11	J		
615. WES					Buy	06/16/11	J		
616. WES					Buy	08/02/11	J		
617. WES					Buy	10/04/11	J		
618. WES					Buy	10/06/11	J		
619. WES					Buy	10/27/11	J		
620. WES					Buy	11/08/11	J		
621. WES					Sold (part)	11/28/11	J	A	
622. WES					Sold	12/20/11	J	A	
623. Williams Partners LP (WPZ)	A	Dividend			Buy	07/07/11	J		
624. WPZ					Buy	07/12/11	J		
625. WPZ					Buy	08/05/11	J		
626. WPZ					Buy	10/04/11	J		
627. WPZ					Buy	11/08/11	J		
628. WPZ					Sold (part)	11/28/11	J	A	
629. WPZ					Sold	12/20/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. ZC (corn futures)		None			Sold	04/18/11	M		
631. ZC					Buy	04/19/11	M	A	
632. ZC					Buy	09/19/11	K		
633. ZC					Sold	10/24/11	K		
634. Zhejiang Expreessway Co. [REDACTED]	A	Dividend	J	T	Buy	06/06/11	J		
635. Zijin Mining Group Co. Ltd. [REDACTED]	A	Dividend	J	T	Buy	06/01/11	J		
636. Zurich Financial Services (ZURNz)		None			Buy	05/10/11	J		
637. ZURNz					Sold	12/09/11	J		
638. ZO (oat futures)		None			Buy	09/12/11	K		
639. ZO					Sold	10/14/11	K	A	
640. ZS (soy futures)		None			Buy	06/09/11	L		
641. ZS					Sold	06/09/11	L	A	
642. Zurich Financial Services (ZURNz)		None			Buy	05/10/11	J		
643. ZURNz					Sold	12/09/11	J		
644. ZW (wheat futures)		None			Buy	08/31/11	K		
645. ZW					Sold	11/08/11	K	D	
646. ZW					Buy	11/08/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. ZW					Sold	12/20/11	K		
648.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

These explanations/information regard Part VII:

1. Line 2: Pursuant to Judicial Conference of the United States Committee on Financial Disclosure letter dated September 19, 2011, the full cash value of the property according to the Yavapai County Assessor is \$239,353. According to the same entity, the assessed full cash value is \$23,935.
2. Line 6: In preparation of this Financial Disclosure Report (FDR), the fact that a dividend was received and the range amount received during that year, if any, were both reported on the initial line that identifies the asset. Dividends distributed at intervals during the year were compiled in that single line item. Similarly, the fact that the asset was held at year end is reported on that initial line identifying the asset and not on all subsequent lines that describe "buy" or "sold" transactions in the asset.
3. Line 12: In preparation of this FDR, the "audit" feature was run. The audit highlighted nearly all short sale transactions and the reported gain on the covering buy. No changes were made to the FDR based on the highlighting in the audit feature. If a covering buy was made and the transaction resulted in a gain, the gain was reflected at the buy.
4. Line 87: China Overseas Land & Investment Ltd, (0688) was erroneously recorded on the 2010 FDR as held at the end of the year.
5. Line 150: CLZ0 was erroneously recorded on the 2010 FDR as held at the end of the year.
6. Line 252: Euro futures (6EZ0) was erroneously recorded on the 2010 FDR as held at the end of the year.
7. Line 289: HGZ0 was erroneously recorded on the 2010 FDR as held at the end of the year.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ George H. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544