

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lance Sr, Alan G.	2. Court or Organization Court of Appeals for Veterans Claim	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 625 Indiana NW Ste 900 Washington D.C., 20004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President and Stockholder	Lance Land and Livestock, Ltd.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/01/91	State of Idaho - Pension upon retirement after age 55
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Lance Sr, Alan G.

Date of Report

05/15/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Rental income from a parking space	\$900.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Legion National Convention	August 2012	Indianapolis, Indiana	Speaking engagement	Airfare, lodging, and transportation
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Lance Sr, Alan G.

Date of Report

05/15/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae - Federal Student Loan	Co-Signer on student loan	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Lance Sr, Alan G.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 - Charles Schwab	B	Int./Div.	K	T					
2. -Schwab Money Market Fund	A	Interest	J	T					
3. -GE Common Stock	A	Dividend	J	T					
4. -Proctor & Gamble Common Stock	A	Dividend	J	T					
5. -Heinz HJ Co Common Stock	A	Dividend	J	T					
6. -Frontier Communications Common Stock	A	Dividend	J	T	Buy (add'l)	12/03/12	J		
7. -The Southern Company Common Stock	A	Dividend	J	T					
8. -J M Smucker Company Common Stock	A	Dividend	J	T					
9. -Briggs & Stratton Corp Common Stock	A	Dividend	J	T					
10. -Snyder-Lance, Inc Common Stock	A	Dividend	J	T					
11. -Johnson & Johnson, Inc Common Stock	A	Dividend	J	T	Sold (part)	07/05/12	J	A	
12. -C S X Corp Common Stock	A	Dividend	J	T	Buy (add'l)	07/05/12	J		
13. Lance Land & Livestock, LTD.	D	Distribution	M	U					
14. -Cash, Land, and Buildings	D	Distribution	L	U					
15. Common Stock Parks America! Inc.		None	J	T					
16. IRA #2 - Vanguard		None	L	T					
17. -Emerging Mkts Stock Index		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Lance Sr, Alan G.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Health Care Fund Inv		None	L	T					
19. Life Investors: Cash Value Life Insurance		None	K	T					
20. ING ReliaStar Life Insurance		None	J	T					
21. IRA #3 - Edward Jones		None	N	T					
22. -Mutual Global Discovery Fund - Class C		None			Sold	04/09/12	K	A	
23. -Franklin Income Fund - Class C		None			Sold	04/09/12	L	A	
24. -Templeton Global Bond Fund - Class C		None			Sold	04/09/12	K	A	
25. -Franklin Strategic Ser Income Fund - Class C		None			Sold	04/02/12	K	A	
26. -Franklin US Government Secs Fund - Class C		None			Sold	04/09/12	L	A	
27. -Franklin Balance Fund - Class C		None			Sold	04/09/12	K	A	
28. -Franklin Equity Income Fund - Class C		None			Sold	04/09/12	K	A	
29. -Franklin Rising Dividends Fund - Class C		None			Sold	04/09/12	K	A	
30. -Model Core Plus Balanced Toward Income (X)	D	Dividend	N	T	Open	04/09/12	N		
31. Working Interest, HollyFrontier Refining & Marketing LLC	A	Royalty			Merged (with line 32)	10/22/12	J	A	
32. Working Interest, Incorr Energy Group LLC, Kimball, NE	A	Royalty	J	W					
33. Public Employee Retirement System of Idaho	E	Distribution	K	T					
34. Capital One Bank	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Lance Sr, Alan G.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Narfe Premier Credit Union	A	Interest	K	T					
36. StellarOne Bank	A	Interest	J	T					
37. Union First Market Bank	B	Interest	L	T					
38. Wells Fargo Bank (X)	A	Interest	M	T	Open	11/05/12	M		
39. LPL Financial Investment Account	C	Dividend			Closed	06/18/12	M		
40. -Advisors Inner Circle Fund Li Grt Valu	A	Dividend			Sold	01/31/12	J	A	
41. -Aqr Funds Diversified Arbi Cl I	A	Dividend			Sold	06/18/12	J	A	
42. -Artisan Fds Inc Mid Cap Value Fd Inv	A	Dividend			Sold	06/18/12	J	A	
43. -Columbia Funds Ser Tr II MA Marsico Cl Z	A	Dividend			Sold	06/18/12	J	A	
44. -Eaton Vance MF Floating Rate Cl I	A	Dividend			Sold	02/16/12	J	A	
45. -Eaton Vance Mun National Munis Cl I	A	Dividend			Sold	06/18/12	J	B	
46. -First Eagle Fds Inc Overseas Fd Cl I	A	Dividend			Sold	01/24/12	J	A	
47. -Forward Funds Global Infrastr Fund Cl	A	Dividend			Sold	06/18/12	J	A	
48. -Hotchkis Wiley Funds High Yield Fund A	A	Dividend			Sold	06/18/12	J	A	
49. -JPMorgan Tr I Strat Incm Oppty Select	A	Dividend			Sold	06/18/12	J	A	
50. -Oppenheimer Sr Floating Rate Fund Cl Y	A	Dividend			Sold	01/31/12	J	A	
51. -Pimco Funds Total Return Fund Cl P	A	Dividend			Sold	06/18/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Lance Sr, Alan G.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Rs Invst Trust Global Natural R	A	Dividend			Sold	06/18/12	J	A	
53. -Templeton Global Bond Fd Advs Cl	A	Dividend			Sold	03/07/12	J	A	
54. -Turner Funds Spectrum Fund Instl Cl	A	Dividend			Sold	06/18/12	J	A	
55. -Western Asset Core Plus Bd Port Instl	A	Dividend			Sold	6/18/12	J	A	
56. -LPL Financial Cash Account	A	Interest			Closed	06/18/12	J	A	
57. Scott & Stringfellow Investment Account	A	Dividend	J	T					
58. -Mkt Vctrs Agribusn ETF	A	Dividend	J	T					
59. -Nuveen Energy MLP ETF	A	Dividend	J	T					
60. Idaho Central Credit Union	A	Interest	K	T					
61. US Series I Bonds	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Lance Sr, Alan G.

Date of Report

05/15/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII - Line 21: This IRA being administered by Edward Jones was formally administered by Franklin Templeton Investments. The change was also noted in the previous year's report. There was no change in the investments when the administration was moved.
2. Part VII - Line 21: The funds in this new account are no longer directed by the account holder. In the previous year the account holder controlled which securities were held; but in the new account, the account holder selects a model (Model selected: Model Core Plus Balanced Toward Income) and then has no control of securities or funds held in the account. All of the previous funds in the underlying investments were transferred to the new advisory account. This occurred 4/9/12.
3. Part VII - Line 31: Holly Frontier Refining & Marketing LLC merged with Incorr Energy Group LLC. The new name is listed on Line 32.
4. Part VII - Line 33: This retirement benefit became active beginning May 2009. It is also reported in Part II, Agreements.
5. Part VII - Line 37: This account was reported on line 56 of the 2011 report. There were no changes to this investment.
6. Part VII - Line 38: This bank account, which is comprised of a checking and savings account, was opened in 2012
7. Part VII - Line 39: The LPL Financial Investment account was closed as of 6/18/12. All securities were sold and money was transferred to the Capital One Bank account.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Lance Sr, Alan G.

Date of Report

05/15/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan G. Lance Sr

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544