

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reeves, Carlton W.	2. Court or Organization Southern District of Mississippi	3. Date of Report 08/3/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 501 E. Court Street Suite 5.550 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Roger Williams Univ. Law School	Jan. 20-23, 2016	Providence, RI	Martin Luther King Holiday Celebration/ Education	Travel, Lodging and Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Tennessee State University	Tuition Agreements	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T, Common	A	Dividend	J	T					
2. Analog Device Inc. (ADI), Common	A	Dividend	J	T					
3. Caterpillar (CAT), Common	A	Dividend	J	T					
4. Chevron (CVX), Common	A	Dividend	J	T					
5. Discover Financial Svcs (DFS), Common	A	Dividend	J	T					
6. Facebook, Inc. (FB), Common	A	Dividend	J	T					
7. GE, Common	A	Dividend	J	T					
8. Intel Corp. (INTC), Common	A	Dividend	J	T					
9. Johnson & Johnson (JNJ), Common	A	Dividend	J	T					
10. Kraft Heinz Co. (KHC), Common	A	Dividend	J	T					
11. Kroger (KR), Common	A	Dividend	J	T					
12. McDonalds Corp. (MCD), Common	A	Dividend	J	T					
13. Merck (MRK), Common	A	Dividend	J	T					
14. Microsoft (MSFT), Common	A	Dividend	J	T					
15. Morgan Stanley (MS), Common	A	Dividend	J	T					
16. Pepsico (PEP), Common	A	Dividend	J	T					
17. Procter & Gamble (PG), Common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Qualcomm Inc. (QCOM), Common	A	Dividend	J	T					
19. Sanderson Farms (SAFM), Common	A	Dividend	J	T					
20. 3M Company (MMM), Common	A	Dividend	K	T					
21. Trustmark Corp (TRMK), Common	A	Dividend	J	T					
22. Under Armour Inc (UA), Common	A	Dividend	J	T					
23. Under Armour Inc. (UAA), Common (X)	A	Dividend	J	T	Spinoff (from line 22)	04/08/16	J		
24. YUM Brands, Inc. (YUM), Common	A	Dividend	J	T					
25. YUM China Holdings, Inc (YUMC), Common (X)	A	Dividend	J	T	Spinoff (from line 24)	11/01/16	J		
26. First Commercial Bank, Common	A	Dividend	K	T					
27. First Commercial Bank, Common (X)	A	Dividend	J	T	Buy (add'l)	08/08/16	J		Charles Cannada
28. Columbia Acorn Themorstat (CTFAX), Mutual Fund	B	Dividend	L	T					
29. FAGOX (IRA), Mutual Fund	C	Dividend	K	T					
30. FAEGX (IRA), Mutual Fund	A	Int./Div.	K	T					
31. FSOAX (IRA), Mutual Fund	C	Dividend	K	T					
32. Met Life Ins. Co. PPA Variable Annuity	A	Interest	J	T					
33. Pruco Life Ins. Co. Prudential Premier RetirementX, Var Ann (H)	A	Int./Div.	N	T					
34. -----AST Academic Strategies Asset Allocation Portfolio	A	Int./Div.	J	T					

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35. -----AST Black Rock/Loomis Sayles Bond Portfolio	A	Int./Div.	N	T					
36. -----AST Loomis Sayles Large-Cap Growth Portfolio	A	Int./Div.	J	T					
37. -----AST Wellington Mgmt Hedged Equity Port. (X)	A	Int./Div.	J	T					
38. -----AST QMA US Equity Alpha	A	Int./Div.	J	T					
39. -----AST T. Rowe Price Natural Resources	A	Int./Div.	J	T					
40. College Savings Plan (H)		None							
41. --Scholars Edge 529 Plan (H)	A	Int./Div.	L	T					
42. -----Ages 18 and Over Portfolio / Age Based Portfolio 10A	A	Int./Div.	J	T					
43. -----Dreyfus Research Growth Portfolio A	A	Int./Div.	J	T					
44. -----International Equity /International Growth Portfolio A	A	Int./Div.	J	T					
45. -----Aggressive Portfolio A / Portfolio 100 A	C	Int./Div.	K	T					
46. -----Moderately Aggressive Portfolio A/ Portfolio 80 A	A	Int./Div.	J	T					
47. -----Value Portfolio A	A	Int./Div.	J	T					
48. ---MPACT (MS College Savings Plan)		None							
49. United States Savings Bond	A	Interest	J	T					
50. Stifel Nicolaus, Money Market Acct	A	Interest	L	T					
51. First Commercial Bank, Money Market Acct	A	Interest	K	T					

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52. Mass Mutual Life Ins. (Whole Life)	A	Dividend	J	T					
53. Statewide Federal Credit Union (X)	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions

1. As mentioned in prior report, I sold my interest in North Congress Properties, LLC on 8/21/15 to one of my former partners (See 2015 Report - Part VII, Line 48) and am no longer partner/director

Part III. Non-Investment Income

1. As mentioned in prior report, I sold my interest in North Congress Properties, LLC on 8/21/15 to one of my former partners (See 2015 Report - Part VII, Line 48) and am no longer partner/director

Part VI. Liabilities

1. First Commercial Bank no longer appears. The description of this property is listed on prior report (See 2015 Report - Part VII, Line 45). Property was held by North Congress Properties, LLC of which I was a partner/director. I sold my interest in North Congress Properties on 8/21/15 to one of my former partners (See 2015 Report - Part VII, Line 48) and am no longer partner/director and therefore no longer carry that liability.
2. Tennessee State University appears here as [REDACTED] became enrolled as student in August, 2016.

Part VII. Investments and Trusts

23. UnderArmour Inc (UAA) is spin-off from UA Common. Effective date of spin-off is 4/8/16.
25. Yum Brands China Holding (YUMC) is spin-off from YUM Brands (YUM). Effective date of spin-off is 11/1/2016.
27. Additional shares of First Commerical Stock purchased from Charles Cannada. Date of purchase is 8/18/16.
37. AST Wellington Mgt Hedged Equity Portfolio appears on this statement. It is one of six portfolios within the Prudential Premier Retirement Variable Annuity. Apparently, this portfolio was inadvertently omitted from prior report. In prior report it should have been listed among the list of portfolios within the annuity and the Income and Value Codes for 2015 should have been reported as: (A, Int.Div., J, T)
48. MPACT is the Mississippi Prepaid Affordable College Tuition Plan, Mississippi's prepaid tuition plan that prepaays the cost of tuition and mandatory fees and protects against the future rise of college tuition. Its is 529 plan that is guaranteed by the State of Mississippi.
53. Statewide Federal Credit Union appears here because the accounts became reportable.
47. First Commercial Bank appears here because the money market account became reportable.
48. On August 21, 2015, I sold my interest in North Congress Properties, LLC to one of my former partners (See 2015 Report - Part VII, Line 48).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carlton W. Reeves**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544