

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) La Rue, Denise K.	2. Court or Organization U.S. Courts Southern District of Indiana	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge-FT	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address 255 United States Courthouse 46 East Ohio Street Indianapolis, IN 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. March 2011	Personal loan to Lee Alig
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	10th Biennial "Just the Beginning Foundation" Conference	September 20-23, 2013	Chicago, IL	Judicial Conference	meals and lodging
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Raymond Jame IRA (Guardian Variable Annuity) Account #1									
2. Alliance Bernstein VPS International Value	A	Dividend	J	T					
3. Black Rock Large Cap Core	A	Distribution	K	T					
4. Columbia Variable Portfolio Small Cap Value Fund	A	Dividend	J	T					
5. Wells Fargo Adv Internation Equity Fund	A	Dividend	K	T					
6. Fidelity VIP Contra Fund	A	Distribution	J	T					
7. Fidelity MidCap Portfolio	A	Dividend	J	T					
8. Franklin Small Cap Value	A	Dividend	J	T					
9. Franklin US Government Securities	A	Interest	J	T					
10. RS Investment Quality Bond VIP Series	A	Interest	J	T					
11. RS Low Duration Bond VIP Series	A	Interest	K	T					
12. RS Large Cap Alpha VIP Series	A	Dividend	J	T					
13. MFS Utilities Series SC	A	Dividend	J	T					
14. Oppenheimer Capital Appreciation VA SC	A	Dividend	J	T					
15. Oppenheimer Main Street Small & Mid Cap Fund VA	A	Dividend	J	T					
16. Oppenheimer Global Strategic Income VA SC	A	Interest	J	T					
17. Pioneer Cullen Value VCT Class II	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pioneer Mid Cap Value VCT Class II	A	Dividend	J	T					
19. PIMCO Total Return Advisor Class	A	Interest	J	T					
20. Columbia Variable Portfolio Seligman Global Technology	A	Dividend	J	T					
21.									
22. Raymond James Brokerage Account #2									
23. TRowe Price Tax Free Short IntermediateBond Fund	A	Dividend	K	T	Buy (add'l)	03/08/12	K		
24.	A	Int./Div.			Sold (part)	08/18/12	K	A	
25. iShares Investment Grade Corporate Bond Fund	A	Interest	K	T					
26. iShares 1-3 Year Credit Bond Fund	A	Interest	K	T	Sold (part)	07/18/12	K	A	
27. First Trust NASDAQ 100 Equal Weighted Index	A	Dividend			Sold	09/27/12	J	B	
28. iShares MSCI Emerging Markets Index Fund	A	Dividend			Sold (part)	03/08/12	J	C	
29.					Sold	09/27/12	J	A	
30. iShares S&P Latin America 40 Index Fund	A	Dividend	J	T	Sold (part)	03/08/12	J	A	
31.					Sold	09/27/12	J	A	
32. iShares S&P MidCap 400 Growth Index Fund	A	Dividend	J	T	Sold (part)	03/08/12	J	C	
33. iShares Trust Dow Jones US Utilities Index	A	Dividend	K	T	Sold (part)	03/08/12	J	B	
34. iShares S&P MidCap 400 Value Index Fund	A	Dividend	J	T	Sold (part)	03/08/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. iShares Dow Jones Non Cyclical Consumer Staples Index Fund	A	Dividend	K	T	Sold (part)	03/08/12	J	B	
36. iShares S&P Small Cap 600 Value Index Fund	A	Dividend	J	T	Sold (part)	09/27/12	J	B	
37. iShares S&P Small Cap 600 Growth Index Fund	A	Dividend			Sold	09/27/12	J	C	
38. Powershares Areospace Index Fund	A	Dividend	J	T					
39. ETF SPDR S&P Emerging Europe	A	Dividend			Sold	08/27/12	J	A	
40. Utilites Select SPDR Index Fund	A	Dividend	J	T	Sold (part)	03/08/12	J	A	
41. Oppenheimer Developing Markets Fund	A	Dividend	J	T					
42. iShares Dow Jones US Energy Sector Index Fund	A	Dividend	J	T					
43. First Eagle Global Fund	A	Dividend	J	T					
44. RS Global Natural Resources Fund	A	Dividend	J	T					
45. Pac Life Variable Annuity	A	Int./Div.	K	T					
46. API Efficient Frontier Income Fund	D	Int./Div.	L	T	Buy	07/18/12	L		
47. First Eagle Gold Fund	A	Int./Div.	J	T	Buy	11/02/12	J		
48. Central Fund of Canada	A	Dividend	J	T	Buy	11/02/12	J		
49.									
50.									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.									
53.		None	J	T					
54.									
55.	Chase Bank Accounts	A	Interest	L	T				
56.									
57.									
58.									
59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.									
70.									
71.									
72.									
73.									
74.									
75.									
76.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Denise K. La Rue**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544