

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SIRAGUSA, CHARLES J.	2. Court or Organization U.S. DISTRICT COURT, WDNY	3. Date of Report 10/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.DISTRICT JUDGE (SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 1360 UNITED STATES COURTHOUSE 100 STATE STREET ROCHESTER, NEW YORK 14614		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. ADVISORY BOARD MEMBER	COBBLESTONE ARTS CENTER
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. PENSION	NEW YORK STATE RETIREMENT SYSTEM (STARTED TO RECIEIVE BENEFITS AS OF NOVEMBER 1, 2005)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

ATTORNEY (PRIVATE PRACTICE)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M & T BANK	A	Interest	M	T					
2. ACCOUNT # 1:									
3. COVIDIEN PLC	A	Dividend	J	T					
4. ACTAVIS PLC (X)		None	J	T	Sold (part)	07/01/14	J	A	
5. ASML HLDG NV NEW YORK REG	A	Dividend	J	T					
6. AKAMAI TECHNOLOGIES		None	J	T					
7. AMAZON COM INC		None	J	T	Buy (add'l)	04/28/14	J		
8. AMGEN INC.	A	Dividend			Sold	11/04/14	J	A	
9. ANADARKO PETROLEUM CORP.	A	Dividend	J	T	Sold (part)	12/17/14	J	A	
10. ANHEUSER-BUSCH INBEV SPONS	A	Dividend	J	T					
11. APPLE INC	A	Dividend	J	T	Buy (add'l)	07/01/14	J		
12. APPLE INC	A	Dividend			Sold (part)	09/16/14	J	A	
13. ARYZTA AG ZUERICH	A	Dividend	J	T					
14. ASTRAZENeca PLC ADS	A	Dividend	J	T	Buy	04/23/14	J		
15. AUTODESK		None	J	T					
16. AXA UAP SPONS ADR	A	Dividend	J	T					
17. BANCO BILBAO VIZ ARG SA ADS (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BASF AG SPONS ADR	A	Dividend	J	T	Sold (part)	12/17/14	J	A	
19. BARCLAYS SE (Y)									
20. BED BATH & BEYOND		None			Sold	03/21/14	J	A	
21. BLACKROCK INC.	A	Dividend	J	T					
22. BIOGEN IDEC INC.		None	J	T	Buy (add'l)	10/15/14	J		
23. BIOGEN IDEC INC.		None			Sold (part)	02/03/14	J	A	
24. BRISTOL MYERS SQUIBB CO (X)	A	Dividend	J	T	Buy (add'l)	07/02/14	J		
25. BROADCOMM CORP		None	J	T	Sold (part)	06/23/14	J	A	
26. CABLEVISION SYSTEMS CORP.CL A	A	Dividend	J	T					
27. CAMERON INTERNATIONAL CORP		None			Sold	12/17/14	J	A	
28. CANON INC. ADR									SEE PART VIII #5
29. CATERPILLAR INC	A	Dividend			Sold	08/06/14	J	A	
30. CELGENE CORP		None	J	T	Buy (add'l)	04/16/14	J		
31. CELGENE CORP		None			Sold (part)	10/09/14	J	A	
32. CHARLES SCHWAB CORP	A	Dividend	J	T	Sold (part)	07/09/14	J	A	
33. CHECK POINT SOFTWARE TECH LTD (X)		None	J	T	Buy (add'l)	12/19/14	J		
34. CHECK POINT SOFTWARE TECH LTD (X)		None			Sold (part)	10/01/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. CITRIX SYSTEMS INC		None	J	T	Sold (part)	12/17/14	J	A	
36. CME GROUP INC. CLASS A	A	Dividend	J	T					
37. COCA COLA CO.	A	Dividend	J	T					
38. COMCAST CORP CL A-SPL	A	Dividend	J	T	Sold (part)	07/09/14	J	A	
39. CREE INC.		None	J	T	Sold (part)	12/17/14	J	A	
40. CRH PLC AD-USD K									SEE PART VIII #6
41. CVS CAREMARK CORP	A	Dividend	J	T	Sold (part)	05/19/14	J	A	
42. DIAGEO PLC -SPONSORED ADR NEW	A	Dividend	J	T					
43. DIRECTV CL A		None	J	T					
44. DOLBY LABORATORIES INC CLASS A	A	Dividend	J	T					
45. EMC CORP-MASS	A	Dividend	J	T					
46. ENSCO PLC CLASS A									SEE PART VIII #7
47. EATON CORP		None	J	T	Buy (add'l)	10/01/14	J		
48. EBAY INC.		None	J	T					
49. EXPRESS SCRIPTS HLDG CO COM (X)		None			Sold	01/27/14	J	A	
50. FACEBOOK INC CL-A		None	J	T	Sold (part)	01/28/14	J	A	
51. FLUOR CORP NEW	A	Dividend	J	T	Buy (add'l)	10/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000	F = \$100,001 - \$250,000 G = \$250,001 - \$500,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$100,000 Q = \$100,001 - \$250,000	L = \$50,001 - \$100,000 P = \$100,001 - \$250,000 R = \$250,001 - \$500,000	M = \$100,001 - \$250,000 P = \$250,001 - \$500,000 S = \$500,001 - \$1,000,000	N = \$500,001 - \$1,000,000 Q = \$1,000,001 - \$5,000,000 R = \$5,000,001 - \$25,000,000
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52. FMC TECHNOLOGIES INC.		None			Buy	10/22/14	J		
53. FMC TECHNOLOGIES INC.		None			Sold	12/17/14	J	A	
54. FOREST LABORATORIES		None			Sold	07/01/14	J	B	
55. GENERAL ELECTRIC	A	Dividend	J	T	Buy (add'l)	10/09/14	J		
56. GOOGLE INC CL A		None	J	T					
57. GOOGLE INC CL C		None	J	T					
58. GRUPO TELEvisa SA DE CV GLOBAL									SEE PART VIII #8
59. HITACHI 10 COM NEW ADR	A	Dividend	J	T					
60. HOME DEPOT	A	Dividend	J	T	Sold (part)	08/08/14	J	A	
61. HONDA MOTOR CO. (Y)									
62. HONG KONG & CHINA GAS LTD. SPONSORED ADR		None			Sold	02/20/14	J	A	
63. HOYA CORP SPONS ADR	A	Dividend	J	T					
64. HUTCHINSON WHAMPOA LTD-ADR K (Y)									
65. ICON PLC SP ADR		None	J	T					
66. ING GROEP NV SPONS ADRK		None	J	T					
67. ISIS PHARMACEUTICALS		None	J	T					
68. JOHNSON & JOHNSON	A	Dividend	J	T	Sold (part)	10/09/14	J	A	

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69. JUNIPER NETWORKS INC.	A	Dividend			Sold	10/23/14	J	A	
70. KOMATSU LTD SPON ADR									SEE PART VIII #9
71. L 3 COMMUNICATIONS HLDGS INC.	A	Dividend	J	T					
72. -LIBERTY MEDIA CORP LIBERTY CAP CLASS A (Y)									
73. LIBERTY MEDIA HOLDG CORP INTERACTIVE SER A		None	J	T					
74. METTLER TOLEDO INTERNATIONAL INC. COMMON STOCK		None	J	T					
75. MICROSOFT CORP	A	Dividend	J	T					
76. MITSUBISHI TOKYO FINANCIAL GROUP SPONS ADR (Y)									
77. MONSANTO CO	A	Dividend	J	T					
78. NASDAQ OMX GROUP INC. (X)	A	Dividend	J	T	Buy (add'l)	04/01/14	J		
79. NATIONAL OILWELL VARCO (Y)									
80. NESTLE SA SPONSORED ADR REPSTG REG SH	A	Dividend	J	T	Buy (add'l)	10/20/14	J		
81. NETAPP INC		None			Sold	07/01/14	J	A	
82. NIKE INC CLASS B	A	Dividend	J	T					
83. NOVARTIS AG ADR K	A	Dividend	J	T	Sold (part)	06/12/14	J	A	
84. NOVO NORDISK A S ADR	A	Dividend	J	T					
85. NOVOZYMES A/S UNSPONS APR	A	Dividend			Buy	02/19/14	J		

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86. NOVOZYMES A/S UNSPONS APR	A	Dividend			Sold	12/17/14	J	A	
87. NUCOR CORP	A	Dividend	J	T					
88. ONO PHARMACEUTICAL CO LTD ADR	A	Dividend	J	T	Sold (part)	12/17/14	J	A	
89. ORIX CORP SPONS ADR	A	Dividend	J	T	Sold (part)	10/23/14	J	A	
90. PALL CORP.	A	Dividend	J	T					
91. PEPSICO	A	Dividend			Sold	05/21/14	J	A	
92. PRECISION CAST PARTS CORP	A	Dividend	J	T	Buy	09/08/14	J		
93. PROCTOR & GAMBLE CO									SEE PART VIII #10
94. QUALCOMM INC	A	Dividend	J	T					
95. RED HAT INC (X)		None	J	T	Buy (add'l)	05/13/14	J		
96. RIO TINTO PLC-GBP K	A	Dividend			Sold	09/12/14	J	A	
97. RIVERBED TECH INC		None			Sold	03/19/14	J	A	
98. ROYAL DUTCH SHELL PLC CL. B					Sold	11/6/13	J	A	SEE PART VIII #14
99. RYANAIR HLDGS PLC ADR		None	J	T	Buy	05/28/14	J		
100. SAFRAN SA	A	Dividend			Sold	12/17/14	J	A	
101. SANDISK CORP	A	Dividend	J	T					
102. SAP SPONS ADR-USD	A	Dividend	J	T	Buy (add'l)	10/20/14	J		

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103. SCHLUMBERGER LTD	A	Dividend	J	T	Buy (add'l)	12/05/14	J		
104. SEAGATE TECHNOLOGY	A	Dividend	J	T					
105. SHIRE PLC	A	Dividend	J	T					
106. SIGNET JEWELERS LIMITED	A	Dividend	J	T	Sold (part)	05/28/14	J	A	
107. SUMITOMO MITSUI FINL GROUP INC	A	Dividend			Sold	12/17/14	J	A	
108. SUNCOR ENERGY INC NEW	A	Dividend			Sold	12/17/14	J	A	
109. TARGET CORPORATION	A	Dividend	J	T	Buy	06/12/14	J		
110. TE CONNECTIVITY LTD-CHF	A	Dividend	J	T					
111. TELEFONICA S.A. SPONS ADR		None			Sold	02/25/14	J	A	
112. TEXAS INSTRUMENTS	A	Dividend	J	T					
113. THERMO FISHER	A	Dividend	J	T	Sold (part)	03/27/14	J	A	
114. TOWERS WATSON & CO CL A	A	Dividend	J	T	Buy	09/24/14	J		
115. TREND MICRO INC SPON AD	A	Dividend			Sold	04/17/14	J	A	
116. TWENTY-FIRST CENTURY FOX CL A	A	Dividend	J	T	Buy	09/24/14	J		
117. TWENTY-FIRST CENTURY FOX CL B	A	Dividend	J	T					
118. TYCO INTERNATIONAL LTD. NEW	A	Dividend	J	T					
119. UBS AG-CHF	A	Dividend	J	T	Buy (add'l)	10/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. UNITED OVERSEAS BANK LTD SPONS ADR	A	Dividend	J	T	Buy (add'l)	10/20/14	J		
121. UNITED OVERSEAS BANK LTD SPONS ADR	A	Dividend			Sold (part)	10/23/14	J	A	
122. UNITED PARCEL SERVICE CL B	A	Dividend	J	T					
123. UNITEDHEALTH GROUP INC.	A	Dividend	J	T	Sold (part)	10/09/14	J	A	
124. VERTEX		None	J	T					
125. VISA INC	A	Dividend	J	T					
126. VODAFONE GROUP PLC SP ADR	A	Dividend	J	T	Buy (add'l)	10/20/14	J		
127. VODAFONE GROUP PLC SP ADR	A	Dividend			Sold (part)	12/17/14	J	A	
128. WALT DISNEY CO	A	Dividend	J	T	Sold (part)	07/09/14	J	A	
129. WEATHERFORD INTL LTD		None			Sold	12/17/14	J	A	
130. WOLSELEY PLC JERSEY SPNSRD ADR	A	Dividend	J	T	Buy (add'l)	10/20/14	J		
131. W W GRAINGER INC	A	Dividend			Buy	06/11/14	J		
132. W W GRAINGER INC	A	Dividend			Sold	12/17/14	J	A	
133. XILINX INC (X)	A	Dividend	J	T	Buy (add'l)	07/23/14	J		
134. YAHOO! JAPAN CP UNSPON ADR	A	Dividend			Sold	12/17/14	J	A	
135. YUM BRANDS INC	A	Dividend	J	T	Buy (add'l)	02/27/14	J		
136. ZOETIS INC CLASS-A (X)	A	Dividend	J	T	Buy (add'l)	02/25/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ISHARES CORE US GROWTH ETF	A	Dividend	J	T	Buy	12/17/14	J		
138. ISHARES RUSSELL 1000 GRW ETF	A	Dividend	J	T	Buy	12/17/14	J		
139. VANGUARD FTSE DEVELOPED MKTS E	A	Dividend	J	T	Buy	12/17/14	J		
140. ACCOUNT # 2:									
141. BLACKROCK GLOBAL SCIENCE & TECH OPPORT PORTFOLIO CL INSTL		None	J	T					
142. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
143. ACCOUNT # 3:									
144. CITIBANK N. A.	A	Interest	J	T					
145. IRA #1:									
146. TACTICAL DIVERSIFIED FUTURES		None	K	T					
147. IRA #2:									
148. GOLDMAN SACHS SMALL VALUE FUND CLASS B	A	Dividend	J	T					
149. IRA #3:									
150. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
151. IRA #4:									
152. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
153. COLLEGE SAVINGS PROGRAM #1:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. DEVELOPED MARKETS INDEX PORTFOLIO		None	M	T	Buy	12/09/14	J		
155. AGGRESSIVE AGE BASED OPTION/MODERATE GROWTH		None	M	T	Buy	12/09/14	J		
156. COLLEGE SAVINGS PROGRAM #2									
157. DEVELOPED MARKETS INDEX PORTFOLIO		None	L	T	Buy	12/09/14	K		
158. DEFERRED COMPENSATION		None	K	T					
159. OPPENHEIMER MAIN ST. OPP.A									SEE PART VIII #1
160. FIDELITY LARGE CAP STOCK FUND									SEE PART VIII #1
161. VT FIDELITY CONTRAFUND									
162. DODGE AND COX INTL STOCK									
163. IRA #5									
164. CITIBANK N. A.	A	Interest	J	T					
165. GOLDMAN SACHS SMALL VALUE FUND CLASS B COMMON STOCK	A	Dividend	J	T					
166. PIMCO REAL RETURN COMMODITY STRATEGY FUND CL C	A	Dividend			Sold	08/05/14	J	A	
167. GAMCO GLOBAL GOLD NATURAL RESO	A	Dividend	J	T					
168. ISHARES SILVER TRUST EQUITY		None	J	T	Buy (add'l)	09/16/14	J		
169. BLACKROCK GLOBAL ALLOCATION	A	Dividend	K	T					
170. COHEN & STEERS INTLREALTY FUND A	A	Dividend	J	T					SEE PART VIII #2

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. COHEN & STEERS INTL REALTY FD C	A	Dividend	J	T	Buy (add'l)	09/16/14	J		
172. MARKET VECTORS GOLD MINERS	A	Dividend	J	T					
173. SPDR GOLD TR GOLD		None	J	T	Buy (add'l)	09/16/14	J		
174. -ABINGDON FUTURES FUND LP CLASS A		None			Redeemed	09/15/14	K	A	
175. -TACTICAL DIFERSIFIED FUTURES FUND LP		None			Redeemed	09/15/14	K		
176. ACCOUNT # 4									
177. CITIBANK N.A.	A	Interest	J	T					
178. AB ELECTROLUX SPON ADR	A	Dividend			Sold	05/14/14	J	A	
179. ACTAVIS PLC (X)		None	J	T	Sold (part)	07/01/14	J	A	
180. ADECCO SA UNSPON ADR	A	Dividend	J	T	Sold (part)	09/12/14	J	A	
181. ALLIED WORLD ASSURANCE CO HLDGS	A	Dividend	J	T					
182. AMC NETWORKS INC									SEE PART VIII #12
183. AKAMAI TECHNOLOGIES		None	J	T					
184. AKZO NOBEL	A	Dividend	J	T					
185. ALLISON TRANSMN HLDGS INC	A	Dividend	J	T	Buy	04/22/14	J		
186. AMADEUS IT HLDG SA UNSPON ADR	A	Dividend	J	T					
187. AMAZON		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. AMC NETWORKS INC CL A		None	J	T					
189. AMGEN INC	A	Dividend			Sold	11/04/14	J	B	
190. ANADARKO PETROLEUM CORP	A	Dividend	J	T					
191. ANHEUSER-BUSCH	A	Dividend	J	T					
192. APPLE INC	A	Dividend	J	T	Sold (part)	09/16/14	J	A	
193. APPLIED MATERIALS INC DELWARE	A	Dividend	J	T					
194. ARYZTA AG ADR	A	Dividend	J	T	Sold (part)	09/11/14	J	A	
195. AT&T INC.	A	Dividend	J	T					
196. AUTODESK INC.		None	J	T					
197. AVIVA PLC ADR	A	Dividend	J	T					
198. AXA S.A. SPONS ADR	A	Dividend	J	T					
199. AXIS CAPITAL HOLDINGS LTD	A	Dividend	J	T					
200. BAKER HUGHES INC. (X)	A	Dividend			Sold	05/23/14	J	A	
201. BARCLAYS PLC	A	Dividend	J	T					
202. BAYER AG SPONSORED ADR	A	Dividend	J	T					
203. BED BATH & BEYOND (X)		None			Sold	03/21/14	J	A	
204. BHP BILLITON LTD SPONS	A	Dividend			Sold	12/17/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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205. BIOGEN IDEC INC		None	J	T	Buy (add'l)	10/15/14	J		
206. BIOGEN IDEC INC		None			Sold (part)	02/03/14	J	B	
207. BLACKROCK INC.	A	Dividend	J	T					
208. BNP PARIBAS SP ADR REPSTG	A	Dividend			Sold	12/17/14	J	A	
209. BOEING CO.	A	Dividend	J	T					
210. BP PLC ADS	A	Dividend			Buy	02/28/14	J		
211. BP PLC ADS	A	Dividend			Sold	12/17/14	J	A	
212. BRISTOL MYERS SQUIBB CO (X)	A	Dividend	J	T	Buy (add'l)	07/02/14	J		
213. BROADCOM CORP CL A		None	J	T	Sold (part)	06/30/14	J	A	
214. C&C GRP PLC SPONS ADR (Y)									
215. CME GROUP INC CLASS A	A	Dividend	J	T					
216. CNOOC LTD SPONS ADR	A	Dividend			Sold	12/17/14	J	A	
217. CVS CAREMARK CORP	A	Dividend	J	T	Sold (part)	05/19/14	J	A	
218. CABLEVISION SYSTEMS CORP	A	Dividend	J	T					
219. CAMERON INTERNATIONAL CORP		None			Sold	12/17/14	J	A	
220. CARNIVAL PLC ADR	A	Dividend	J	T					
221. CARNIVAL CORP									SEE PART VIII #13

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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222. CARNIVAL CP NEW PAIRED COM	A	Dividend	J	T					
223. CATERPILLAR INC	A	Dividend			Sold	08/06/14	J	A	
224. CELGENE CORP		None	J	T	Sold (part)	10/09/14	J	A	
225. CHEVRON CORP (Y)									
226. CHARLES SCHWAB CORP	A	Dividend	J	T	Sold (part)	07/09/14	J	A	
227. CHECK POINT SOFTWARE TECH LTD (X)		None			Sold	10/01/14	J	A	
228. CHUBB CORP.	A	Dividend	J	T	Sold (part)	04/01/14	J	A	
229. CISCO SYS INC	A	Dividend	J	T					
230. CITIGROUP INC NEW	A	Dividend	J	T					
231. CITRIX SYSTEMS INC		None	J	T					
232. COACH INC	A	Dividend			Sold	12/17/14	J	A	
233. COCA-COLA CO.	A	Dividend	J	T					
234. COMCAST CORP CL A STOCK	A	Dividend	J	T	Sold (part)	07/09/14	J	B	
235. COVIDIEN LTD	A	Dividend	J	T					
236. CREDIT SUISSE GROUP	A	Dividend	J	T					
237. CREE INC. (Y)									
238. DAIMLER AG	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. DEVON ENERGY CORP NEW	A	Dividend			Sold	12/17/14	J	A	
240. WALT DISNEY CO.	A	Dividend	J	T	Sold (part)	07/09/14	J	A	
241. DIRECTV CL A		None	J	T	Sold (part)	05/09/14	J	B	
242. DOLBY LABORATORIES INC CLASS A	A	Dividend	J	T					
243. DU PONT EL DE NEMOURS & CO	A	Dividend	J	T					
244. EMC CORP-MASS	A	Dividend	J	T					
245. EATON CORP		None	J	T	Buy (add'l)	10/01/14	J		
246. EBAY INC.		None	J	T					
247. ENSCO PLC CLASS A	A	Dividend			Buy	10/15/14	J		
248. ENSCO PLC CLASS A	A	Dividend			Sold	12/17/14	J	A	
249. ERICSSON LM TEL CO CL B ADR NEW	A	Dividend	J	T					
250. EXPRESS SCRIPTS HLDG CO COM		None			Sold	01/27/14	J	A	
251. EXXON MOBIL CORP	A	Dividend	J	T					
252. FACEBOOK INC		None	J	T					
253. FIRST REPUBLIC BANK	A	Dividend	J	T					
254. FLETCHER BLGD LTD	A	Dividend	J	T					
255. FLUOR CORP NEW	A	Dividend	J	T	Sold (part)	09/18/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. FMC TECHNOLOGIES INC		None			Buy	10/22/14	J		
257. FMC TECHNOLOGIES INC		None			Sold	12/17/14	J	A	
258. FOREST LABORATORIES INC.		None			Sold	05/09/14	J	A	
259. FOREST STK 30122 (X)		None			Sold	07/01/14	J	A	
260. FRANKLIN RESOURCES	A	Dividend	J	T					
261. FREEPORT & MCMORAN COPPER & GOLD CL B (Y)									
262. GAZPROM O A O SPON ADR	A	Dividend			Buy	06/26/14	J		
263. GAZPROM O A O SPON ADR	A	Dividend			Sold	12/17/14	J	A	
264. GENERAL ELECTRIC CO	A	Dividend	J	T	Buy (add'l)	02/05/14	J		
265. GENERAL ELECTRIC CO	A	Dividend			Sold (part)	12/17/14	J	A	
266. GLAXOSMITHKLINE PLC SP ADR	A	Dividend	J	T					
267. GOLDMAN SACHS GRP INC	A	Dividend	J	T					
268. GOOGLE INC CLASS A		None	J	T					
269. GOOGLE INC CLASS C (X)		None	J	T					
270. GREENHILL & CO INC (Y)									
271. GTECH S P A SPONSORED ADR	A	Dividend			Sold	08/18/14	J	A	
272. HSBC HOLDINGPLC SP ADR NEW	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. HALLIBURTON CO HOLDINGS CO	A	Dividend	J	T	Buy (add'l)	12/22/14	J		
274. HALLIBURTON CO HOLDINGS CO	A	Dividend			Sold (part)	04/16/14	J	A	
275. HEIDELBERG CEMENT ADR	A	Dividend	J	T					
276. HESS CORP	A	Dividend	J	T	Sold (part)	07/15/14	J	A	
277. HITACHI 10 COM NEW ADR	A	Dividend	J	T					
278. HOME DEPOT INC.	A	Dividend	J	T	Sold (part)	11/18/14	J	A	
279. HONEYWELL INTL INC	A	Dividend	J	T	Sold (part)	01/10/14	J	A	
280. HOYA CORP	A	Dividend	J	T	Sold (part)	09/11/14	J	A	
281. INCITEC HOLDINGS PLC SPON ADR	A	Dividend			Sold	12/17/14	J	A	
282. INDRA SISTEMAS	A	Dividend			Sold	12/17/14	J	A	
283. ISIS PHARMACEUTICALS		None	J	T					
284. ITAU UNIBANCO BANCO HLGD	A	Dividend			Sold	12/17/14	J	A	
285. JP MORGAN CHASE & CO	A	Dividend	J	T					
286. JTEKT CORP UNSPONSORED ADR	A	Dividend			Sold	04/08/14	J	A	
287. JACOBS ENGINEERING GROUP (X)		None			Sold	11/24/14	J	A	
288. JOHNSON & JOHNSON	A	Dividend	J	T	Sold (part)	10/09/14	J	A	
289. JONES LANG LASALLE INC.	A	Dividend	J	T	Sold (part)	08/11/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. JOY GLOBAL INC	A	Dividend			Sold	12/17/14	J	A	
291. JUNIPER NETWORKS	A	Dividend			Sold	10/23/14	J	A	
292. KLA-TENCOR CORP	A	Dividend	J	T	Sold (part)	12/11/14	J	A	
293. KEYCORP - NEW	A	Dividend	J	T					
294. L 3 COMMUNICATIONS HLDGS INC.	A	Dividend	J	T					
295. LIBERTY INTERACTIVE CO INTER A		None	J	T					
296. LIBERTY MEDIA HLDG CORP CAP SER A (Y)									
297. LIXIL GROUP CORP ADR	A	Dividend			Sold	12/17/14	J	A	
298. LLOYDS BANKING GROUP PLC (Y)									
299. MARINE HARVEST ASA ADR	A	Dividend	J	T					
300. MATTEL INC	A	Dividend			Sold	09/15/14	J	A	
301. MERCK & CO INC.	A	Dividend	J	T					
302. MICROSOFT	A	Dividend	J	T					
303. MONSANTO CO	A	Dividend	J	T					
304. MUENCHENER RUECK-UNSPONS ADR (X)	A	Dividend			Sold	09/19/14	J	A	
305. NASDAQ OMX GROUP INC.	A	Dividend	J	T					
306. NATIONAL OILWELL VARCO INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. NESTLE SPON ADR REP REG SHR	A	Dividend			Buy	04/10/14	J		
308. NESTLE SPON ADR REP REG SHR	A	Dividend			Sold	12/17/14	J	A	
309. NETAPP INC	A	Dividend			Sold	07/01/14	J	A	
310. NIKE INC CL B	A	Dividend	J	T					
311. NINTENDO CO LTD (X)	A	Dividend			Sold	05/09/14	J	A	
312. NOVARTIS AG ADER	A	Dividend	J	T					
313. NSK LTD	A	Dividend	J	T					
314. NUCOR CORP	A	Dividend	J	T					
315. OCCIDENTAL PETROLEUM CORP DE	A	Dividend	J	T					
316. OTSUKA HOLDINGS CO LTD UNS ADR	A	Dividend	J	T					
317. PALL CORP COMMON STOCK	A	Dividend	J	T					
318. PEABODY ENERGY CORP (X)	A	Dividend			Sold	12/17/14	J	A	
319. PEBBLEBROOK HOTEL TRUST	A	Dividend	J	T	Sold (part)	10/24/14	J	A	
320. PENTAIR LTD	A	Dividend	J	T					
321. PEPSCICO INC	A	Dividend			Sold	05/21/14	J	A	
322. POSCO ADS		None			Buy	09/18/14	J		
323. POSCO ADS		None			Sold	12/17/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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324. PRECISION CASTPARTS CORP		None			Buy	09/08/14	J		
325. PRECISION CASTPARTS CORP		None			Sold	12/17/14	J	A	
326. PUBLICIS GROUP		None			Sold	04/10/14	J	A	
327. QUALCOMM INC	A	Dividend	J	T					
328. RAYTHEON CO	A	Dividend	J	T					
329. RED HAT INC		None	J	T	Buy (add'l)	05/13/14	J		
330. REGIONS FINANCIAL CORP	A	Dividend	J	T					
331. REXAM PLC		None			Sold	02/28/14	J	A	
332. RIVERBED TECH INC (X)		None			Sold	03/19/14	J	A	
333. ROYAL KPN NV SPONS ADR	A	Dividend			Buy	05/16/14	J		
334. ROYAL KPN NV SPONS ADR	A	Dividend			Sold	12/17/14	J	A	
335. SAFEWAY INC NEW	A	Dividend			Sold	08/27/14	J	A	
336. SAFRAN SA	A	Dividend	J	T					
337. SANDISK CORP	A	Dividend	J	T					
338. SANOFI SPONS ADR (Y)									
339. SCHLUMBERGER LTD	A	Dividend	J	T	Buy (add'l)	12/05/14	J		
340. SCHLUMBERGER LTD	A	Dividend			Sold (part)	05/15/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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341. SCHNEIDER ELECT SA (Y)									
342. SEAGATE TECHNOLOGY	A	Dividend	J	T					
343. SONY CORP ADR 1974 NEW		None	J	T	Buy	09/18/14	J		
344. STANLEY BLACK & DECKER INC (X)	A	Dividend	J	T	Buy (add'l)	10/10/14	J		
345. STATE STREET CORP	A	Dividend	J	T					
346. SUMITOMO MITSUI FINL GROUP INC	A	Dividend			Sold	12/17/14	J	A	
347. SYMANTEC CORP	A	Dividend	J	T					
348. SYNCHRONY FINANCIAL		None	J	T	Buy	08/07/14	J		
349. TARGET CORPORATION	A	Dividend	J	T	Buy	09/23/14	J		
350. TECHTRONIC IND LTD SPONS ADR		None			Sold	05/19/14	J	A	
351. TE CONNECTIVITY LTD-CHF	A	Dividend	J	T					
352. TELEPERFORMANCE SA UNSP ADR	A	Dividend	J	T					
353. TERADYNE INC	A	Dividend	J	T					
354. TEVA PHARMACEUTICAL INDS LTD	A	Dividend	J	T	Buy (add'l)	05/08/14	J		
355. TEXAS INSTRUMENTS INC.	A	Dividend	J	T					
356. THE ADT CORPORATION COM	A	Dividend	J	T					
357. THERMO FISHER SCIENTIFIC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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358. TOWERS WATSON & CO CL A	A	Dividend	J	T	Buy	09/24/14	J		
359. TOTAL S A SPON ADR	A	Dividend			Sold	12/17/14	J	A	
360. TRAVELSKY TECH LTD SPONS ADR	A	Dividend	J	T					
361. TYCO INTL LTD	A	Dividend	J	T					
362. TWENTY-FIRST CENTURY FOX CL A	A	Dividend	J	T	Buy	10/09/14	J		
363. UBS AG	A	Dividend	J	T					
364. US BANCORP	A	Dividend	J	T	Sold (part)	10/14/14	J	A	
365. UNILEVER PLC SPONS ADR NEW	A	Dividend	J	T					
366. UNILEVER NV NY SHS-NEW (Y)									
367. UNITED PARCEL SERVICE	A	Dividend	J	T					
368. UNITEDHEALTH GROUP INC.	A	Dividend	J	T	Sold (part)	10/09/14	J	A	
369. VEECO INSTRUMENTS INC DEL		None	J	T					
370. VERIZON COMMUNICATIONS		None			Buy	02/21/14	J		
371. VERIZON COMMUNICATIONS		None			Sold	03/04/14	J	A	
372. VERTEX PHARMACEUTICALS		None	J	T					
373. VISA INC COM	A	Dividend	J	T					
374. VODAFONE COMMON STOCK	A	Dividend	J	T	Buy (add'l)	11/18/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

10/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. VODAFONE COMMON STOCK	A	Dividend			Sold (part)	02/21/14	J	A	
376. W W GRAINGER INC	A	Dividend	J	T	Buy (add'l)	10/16/14	J		
377. WPP PLC ADR	A	Dividend	J	T					
378. WEATHERFORD INTL LTD NEW (Y)									
379. WEYERHAEUSER CO. COMMON STOCK	A	Dividend	J	T					
380. XILINX INC (X)	A	Dividend	J	T	Buy (add'l)	07/23/14	J		
381. YAHOO INC		None	J	T	Buy	07/14/14	J		
382. YUM BRANDS INC	A	Dividend	J	T	Buy (add'l)	02/27/14	J		
383. YUM BRANDS INC	A	Dividend			Sold (part)	12/17/14	J	A	
384. ZOETIS INC CLASS A (X)	A	Dividend	J	T	Buy (add'l)	02/25/14	J		
385. ISHARES CORE US GROWTH EFT	A	Dividend	J	T	Buy	12/17/14	J		
386. ISHARES CORE US VALUE ETF	A	Dividend	J	T	Buy	12/17/14	J		
387. ISHARES RUSSELL 1000 GRW ETF	A	Dividend	J	T	Buy	12/17/14	J		
388. VANGUARD FTSE DEVELOPED MKTS E	A	Dividend	J	T	Buy	12/17/14	J		
389. DORMITORY AUTHORITY OF THE STATE OF NEW YORK (649902DK6)	A	Interest			Sold	02/04/14	K	A	
390. TRIBOROUGH BRDG (8960298H1)	A	Interest	K	T					
391. ERIE CNTY N Y WTR AUTH (295101PW3)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. NEW YORK ST URBAN DEV CORP (650035TW8)	A	Interest	K	T					
393. ONONDAGA CNTY N Y TR CULTURAL RES REV (68276FAK6)	A	Interest	K	T					
394. METROPOLITAN TRANSPORTATION AUTH (59259YRR2)	A	Interest			Sold	03/06/14	K	A	
395. NEW YORK ST DORM AUTH 3RD GENL (64990HMG2)	A	Interest	K	T					
396. EMPIRE ST NEW YORK DEV CORP (650035RE0)	A	Interest	J	T					
397. NEW YORK ST DORM AUTH REVS ST SUPPORTED DEBT REV (64983M2M9)	A	Interest	K	T					
398. NEW YORK & NEW JERSEY PORT AUTH SER-179 (73358WQH0)	A	Interest	K	T					
399. NEW YORK CITY MUN WTR AU WTR & SWR (64972F5Y8)	A	Interest	K	T					
400. ERIE CNTY NY FISCAL STABILITY AUTH (29508RGJ9)	A	Interest	K	T					
401. NEW YORK N Y CITY TRANSITIONAL FIN AUTH REV-E (64971QP49)	A	Interest	K	T					
402. METROPOLITAN TRANSPORTATION AUTHORITY REV-E (59259YN54)	A	Interest	K	T					
403. NEW YORK N Y TRANSITIONAL FIN AUTH (64972HLB6)	A	Interest	K	T	Buy	02/20/14	K		
404. NEW YORK ST ENVIR FACS CORP CLEAN WTR (64986AN72)	A	Interest	J	T	Buy	07/17/14	J		
405. NEW YORK ST THRUWAY AUTH GEN REV JR (650010AD3)	A	Interest	K	T	Buy	03/06/14	K		
406. ACCOUNT # 5									
407. -SMITH BARNEY MONEY MARKET	A	Dividend	K	T					
408. -MIAMI-DADE CNTY FLA SCH DIST RFDG FSA		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. -ALLEGHENY CNTY PA HOSP DEV AUTH REV UNIV PITTSBURGH MED		None	K	T					
410. ACCOUNT # 5									SEE PAT VIII #3
411. CITIBANK	A	Interest	M	T					
412. DELAWARE LIFE		None	M	T					
413. IRA # 6									SEE PART VIII # 4
414. MORGAN STANLEY BANK N.A.	A	Interest	J	T	Open	09/01/14	J		
415. AQR MANAGED FUTURES STRATEGY I		None	L	T	Buy	09/18/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SIRAGUSA, CHARLES J.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) ON MAY 16, 2014 FIEDLITY LARGE CAP STOCK REPLACED OPPENHEIMER STREET SELECT FUND.
- 2) I INADVERTENTLY FAILED TO REALIZE THAT THERE WERE ACTUALLY TWO COHEN & STEERS INTERNATIONAL REALTY FUND HOLDINGS, COHEN & STEERS INTL REALTY A AND COHEN 7 STEERS INTL REALTY C. THE LATTER WAS FIRST ACQUIRED ON APRIL 29, 2013.
- 3) I INADVERTENTLY FAILED TO INCLUDE THIS ACCOUNT IN MY 2013 DISCLOSURE STATEMENT. IT ESSENTIALLY IS A CASH ACCOUNT FUNDED BY DIRECT DEPOSIT OF MY STATE PENSION PAYMENTS AND THE CASH VALUE OF A LIFE INSURANCE POLICY PURCHASED FROM SUN LIFE AND NOW HELD BY DELAWARE LIFE
- 4) THIS SECOND SEP IRA WAS FUNDED FROM THE REDEMPTION OF THE TACTICAL DIVERSIFIED FUTURES HOLDING AND THE ABINGDON FUTURES HOLDING ON SEPTEMBER 15, 2014 WITH REGARDS TO IRA # 5 AS INDICATED ABOVE.
- 5) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2013 FINANCIAL DISCLOSURE AS A PARTIAL SALE, IT WAS ENTIRELY SOLD IN 2013.
- 6) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2013 FINANCIAL DISCLOSURE AS A PARTIAL SALE, IT WAS ENTIRELY SOLD IN 2013.
- 7) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2013 FINANCIAL DISCLOSURE AS A PARTIAL SALE, IT WAS ENTIRELY SOLD IN 2013.
- 8) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2013 FINANCIAL DISCLOSURE AS A PARTIAL SALE, IT WAS ENTIRELY SOLD IN 2013.
- 9) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2013 FINANCIAL DISCLOSURE AS A PARTIAL SALE, IT WAS ENTIRELY SOLD IN 2013.
- 10) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2013 FINANCIAL DISCLOSURE AS A PARTIAL SALE, IT WAS ENTIRELY SOLD IN 2013.
- 11) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2013 FINANCIAL DISCLOSURE AS A PARTIAL SALE, IT WAS ENTIRELY SOLD IN 2013.
- 12) I INADVERTENTLY FAILED TO REALIZE THAT AMC NETWORKS INC WAS DUPLICATED ON MY 2013 FINANCIAL DISCLOSURE. SEE LINE 186 WHERE AMC NETWORKS INC CL A IS REPORTED.
- 13) I INADVERTENTLY FAILED TO REALIZE THAT CARNIVAL CORP WAS DUPLICATED ON MY 2013 FINANCIAL DISCLOSURE. SEE LINE 220 WHERE CARNIVAL CP NEW PAIRED COM IS REPORTED.
- 14) ON MY 2013 DISCLOSURE, I INADVERTENTLY REPORTED THAT THIS HOLDING WAS PARTIALLY SOLD ON NOVEMBER 6, 2013. IN FACT, THERE WAS A TOTAL SALE ON THAT DATE.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES J. SIRAGUSA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544