

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) TREADWELL, MARC T.	2. Court or Organization UNITED STATES DISTRICT COURT, MIDDLE DISTRICT OF GEORGIA	3. Date of Report 05/31/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address P. O. BOX 65 MACON, GA 31202		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Mercer Law School - Salary	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Self-employed landscape architect (d/b/a WT Designs)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Trust #2	Mortgage on rental property #1, Fripp Island, SC (Part VII, line 3)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Account (Y)									
2. State Bank and Trust Company		None	K	T	Open	11/01/12	K		
3. Rental Property #1, Fripp Island, SC	E	Rent	N	W					
4. Lincoln National Life - Survivorship universal life policy		None	L	V					
5. Brokerage #1									
6. -Merrill Lynch Cash Management Account	A	Interest	M	T					
7. -Powershares VRDO Tax Free Fund	A	Dividend	M	T					
8. -SP500 Stepup Issuer BAC		None	J	T	Buy	7/26/12	J		
9. Brokerage #2									
10. -Merrill Lynch Cash Management Account			J	T					
11. -American Express Company (common)	A	Dividend	L	T					
12. -Ameriprise Financial Inc (common)	A	Dividend	J	T					
13. -Bristol-Myers Squibb Co (common)	A	Dividend	K	T					
14. -General Electric (common)	A	Dividend	J	T					
15. -Home Depot Inc (common)	A	Dividend	K	T					
16. -Johnson and Johnson (common)	A	Dividend	K	T					
17. -Kimberly Clark (common)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Zimmer Holdings Inc (common)	A	Dividend	J	T					
19. Brokerage #3									
20. -Morgan Stanley Smith Barney Bank Deposit Program (X)	A	Interest			Closed	09/24/12	K		
21. Brokerage #4									
22. -Merrill Lynch Cash Management Account		None	J	T					
23. -Allianz NFJ Dividend Value Fd Cl P	A	Dividend	J	T					
24. -Allianz NFJ International Value Fund	A	Dividend	J	T					
25. -Columbia Value & Restructuring Fund Cl Z	A	Dividend			Sold	04/10/12	J		
26. -DWS Intrmd Tax/AMT Free Cl S	A	Dividend			Sold	4/10/12	J	A	
27. -Henderson International Opportunities Fd Cl I		None			Sold	04/10/12	J		
28. -Ivey Asset Strategy Fund Cl I	A	Dividend	J	T					
29. -Legg Mason Western Asset Municipal High Income I	A	Dividend			Sold	10/04/12	J	A	
30. -Lord Abbet Intermediate Tax Free Fd Cl F	A	Dividend	J	T	Buy (add'l)	10/04/12	J		
31. -Mainstay Large Cap Growth Fund Cl I	A	Dividend	J	T	Buy (add'l)	4/10/12	J		
32. -MFS Value Fd Cl I	A	Dividend	J	T					
33. -Oppenheimer Gold & Special Minerals Fd Cl Y	A	Dividend	J	T					
34. -Oppenheimer Rising Dividends Fund Cl Y	A	Dividend	J	T	Buy (add'l)	4/10/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. -Rydex/SGI Mid Cap Value Fund C1 I (Y)									
36. -T Rowe Price Summit Muni Intermediate Fd	A	Dividend	J	T	Buy (add'l)	4/10/12	J		
37. -Nuveen High Yield Muni Bond Fd C1 I	A	Dividend	J	T	Buy	10/4/12	J		
38. -Guggenheim Mid Cap Value Fund C1 I (X)	A	Dividend	J	T					
39. -Permanent Portfolio Fund	A	Dividend	J	T	Buy	4/10/12	J		
40. -Blackrock Equity Dividend Fund Instl	A	Dividend	J	T	Buy	4/10/12	J		
41. IRA #1	B	Dividend	L	T					
42. -Merrill Lynch cash holding account									
43. -Allianz NFJ Dividend Value Fd C1 P					Sold	7/6/12	J		
44. -Blackrock Equity Dividend Fund Instl					Buy (add'l)	2/24/12	J		
45.					Buy (add'l)	7/6/12	J		
46. -Cohen & Steers International Realty Fd C1 I					Sold	2/24/12	J		
47. -Credit Suisse Commodity Return Strat Fd C1 A					Sold	7/6/12	J		
48. -Dreyfus Emerging Mkts Debt Local Currency C1 I									
49. -Dreyfus Appreciation Fd					Sold (part)	2/24/12	J	A	
50. -Eagle Small Cap Growth Fund C1 I									
51. -First Eagle Gold Fd C1 I					Buy (add'l)	7/6/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Harbor International Fund Instl C1					Buy (add'l)	02/24/12	J		
53. -Lazard Emerging Mkts Equity PtfI Instl Class					Buy (add'l)	2/24/12	J		
54. -Legg Mason Western Asset Municipal High Income I					Sold	2/24/12	J	A	
55. -Mainstay Large Cap Growth Fund C1 I									
56. -MFS Research Bond Fund C1 I					Sold (part)	7/6/12	J	A	
57. -Neuberger Berman High Income Bond Fd C1 Inst									
58. -Oppenheimer Rising Dividends Fund C1 Y					Sold (part)	2/24/12	J	A	
59. -TCW Total Return Bond Fd C1 Y					Sold	2/24/12	J		
60. -TCW Total Return Bond Fd C1 I					Buy	7/6/12	J		
61. -American Century Inflation Adj Bd Inv C1					Buy	02/24/12	J		
62. -Principal Preferred Securities Fund C1 P					Buy	2/24/12	J		
63. -Cohen & Steers Realty Shares Fund C1 N					Buy	7/6/12	J		
64. IRA #2	A	Int./Div.	L	T					
65. -Smith Barney Bank Deposit Program cash account									
66. -Capital World Growth & Income Fd C1 B (Y)									
67. -Capital World Growth & Income Fd C1 A (X)									
68. 401(k) Retirement Plan	D	Dividend	N	T					

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69. -American Funds - Growth Fund of America-R2 (Y)									
70. -American Funds - Investment Company of America-R2 (Y)									
71. -American Funds - Fundamental Investors-R2 (Y)									
72. -American Funds - Growth Fund of America-R3 (X)									
73. -American Funds - Investment Company of America-R3 (X)									
74. -American Funds - Fundamental Investors-R3 (X)									
75. IRA #3	B	Int./Div.	L	T					
76. -Merrill Lynch cash holding account									
77. -Allianz NFJ Dividend Value Fd Cl P					Sold	7/6/12	J		
78. -Blackrock Equity Dividend Fund Instl					Buy (add'l)	2/24/12	J		
79.					Buy (add'l)	7/6/12	J		
80. -Cohen & Steers International Realty Fd Cl I					Sold	2/24/12	J		
81. -Credit Suisse Commodity Return Strat Fd Cl A					Sold	7/6/12	J		
82. -Dreyfus Emerging Mkts Debt Local Currency Cl I									
83. -Dreyfus Appreciation Fd					Sold (part)	2/24/12	J	A	
84. -Eagle Small Cap Growth Fund Cl I									
85. -First Eagle Gold Fd Cl I					Buy (add'l)	7/6/12	J		

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86. -Harbor International Fund Instl Cl					Buy (add'l)	2/24/12	J		
87. -Lazard Emerging Mkts Equity Ptf Instl Class					Buy (add'l)	2/24/12	J		
88. -Legg Mason Western Asset Municipal High Income I					Sold	2/24/12	J	A	
89. -Mainstay Large Cap Growth Fund Cl I									
90. -MFS Research Bond Fund Cl I					Sold (part)	7/6/12	J	A	
91. -Neuberger Berman High Income Bond Fd Cl Inst									
92. -Oppenheimer Rising Dividends Fund Cl Y					Sold (part)	2/24/12	J	A	
93. -TCW Total Return Bond Fd Cl Y					Sold	2/24/12	J		
94. -American Centruy Inflation Adj Bd Inv Cl					Buy	2/24/12	J		
95. -Principal Preferred Securities Fund Cl I					Buy	2/24/12	J		
96. -TCW Total Return Bond Fd Cl I					Buy	7/6/12	J		
97. -Cohen & Steers Realty Shares Find Cl N					Buy	7/6/12	J		
98. 529 College Savings Plan		None	J	T					
99. -Blackrock 100% Equity Port									
100. Trust #1	E	Int./Div.	O	T					
101. -Federated Money Mkt Obligs Tr Trsy Obligs Instl Cl #68 FFS									
102. -BP PLC Spons ADR (common)									

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103. -Exxon Mobil Corp (Common)									
104. -Praxair Inc (Common)									
105. -General Electric Co (Common)									
106. -Home Depot Inc (Common)									
107. -Coca-Cola Co (Common)									
108. -Colgate Palmolive Co (Common)					Sold (part)	8/14/12	J	D	
109. -Kimberly Clark Corp (Common)									
110. -Bristol Myers Squibb Co (Common)									
111. -Johnson & Johnson (Common)					Sold (part)	8/14/12	K	D	
112. -American Express Co (Common)									
113. -Bank of America Corp (Common)					Sold	8/14/12	J		
114. -JP Morgan Chase & Co (Common)									
115. -Charles Schwab Corp (Common)					Sold	2/3/12	J		
116. -Wells Fargo & Co New (Common)									
117. -Hewlett-Packard Co (Common)					Sold	8/14/12	J	B	
118. -Intel Corp (Common)									
119. -Microsoft Corp (Common)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

TREADWELL, MARC T.

Date of Report

05/31/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Verizon Communications (Common)									
121. -Forum Funds - Absolute Strategies Fd Instl Cl									
122. -Manning & Napier Funds - World Opptys Ser Cl A									
123. -Oppenheimer Funds - Developing Mkts Instl Fd Cl Y									
124. -PNC Fincl Svcs Group Inc (Common)					Buy	2/3/12	J		
125.					Buy (add'l)	8/14/12	J		
126. -Apple Inc (Common)					Buy	8/14/12	K		
127. -Capital One Fncl Corp (Common)					Buy	8/14/12	J		
128. -International Business Machines Corp (Common)					Buy	8/14/12	J		
129. Trust #2	C	Int./Div.	N	T					
130. -Federated Money Mkt Obligs Tr Trsy Obligs Instl Cl #68 FFS									
131. -Exxon Mobil Corp (Common)									
132. -General Electric Co (Common)									
133. -Home Depot Inc (Common)					Donated (part)				
134. -Abbott Labs (Common)					Sold (part)	10/19/12	J		
135. -JP Morgan Chase & Co (Common)									
136. -Intel Corp (Common)					Donated (part)				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Oppenheimer Funds-Developing Mkts Instl Fd Cl Y									
138. -Note Rec. (Mortgage on rental prop #1-Part VI, Ln 1)									
139. Trust #3	B	Int./Div.	L	T					
140. -Cash - ML Bank Deposit Program									
141. -General Electric (Common)									
142. -Johnson and Johnson (Common)									
143. -Wal-Mart Stores Inc (Common)									
144. Trust #4	C	Int./Div.	M	T					
145. -General Electric (Common)									
146. -Home Depot Inc (Common)									
147. -Johnson and Johnson (Common)									
148. -MFS Growth Allocation Fund Cl C					Sold (part)	11/19/12	J	C	
149. -Bac Clim Global E (MLGEZ)					Redeemed	7/27/12	J	B	
150. -Wal-Mart Stores Inc (Common)					Sold (part)	2/23/12	J	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS

Part VII, Line 4: The value of the universal life policy in Column C(1) is the contract value as of 12/31/2012.

Part VII, Lines 35 and 38: During 2012, the name of the security on line 35 changed from "Rydex/SGI Mid Cap Value Fund Cl I" to "Guggenheim Mid Cap Value Fund Cl I" entered on line 38. (Stock symbol SVUIX)

Part VII, Lines 69 - 74: On 7/10/2012, the American Funds "R2" investment funds on lines 69, 70 and 71 were exchanged into the American Funds "R3" investment funds on lines 72, 73 and 74.

Part VII, Lines 66 and 67: On 10/11/12, the American Cap World Growth & Income "Class B" shares were exchanged for American Cap World Growth & Income "Class A" shares.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARC T. TREADWELL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544