

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hoffman, Carl W.	2. Court or Organization District of Nevada	3. Date of Report 12/26/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Lloyd George Federal Courthouse 333 Las Vegas Boulevard Rm 3014 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee and Executor	Family Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Nevada Public Employee Retirement System	\$55,711.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Clark County School District - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Nevada Bar Association (Annual Meeting)	7/1-7/2, 2016	Waikoloa, Hi	Litigation CLE (teach)	Transportation, Lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Home Mortgage (Formerly America's Servicing Company, see VIII)	Mortgage, Property #1, Las Vegas, Nevada (VIIA, line 3)	M
2.	Nationstar Mortgage Company	Mortgage, Property #2, Las Vegas, Nevada (rental)(VIIA, line 4)	M
3.	First Community Bank	Mortgage, Property #3, Brunswick County, NC (VIIA, line 5)	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Cash Account	A	Interest	O	T					
2. Silver State School Credit Union Cash Account	A	Interest	J	T					
3. Property #1, Las Vegas, Nevada	A	Rent	M	W					
4. Property #2, Las Vegas, Nevada	A	Rent	M	W					
5. Property #3, Brunswick County, NC		None	L	W					
6. Property #4, LaFollette, TN		None	K	W					
7. Pimco All Assets All Authority	A	Int./Div.	J	T					
8. Pimco Low Duration	A	Int./Div.	J	T					
9. (H) VOYA (Mut Fund), (457 Plan)(5 funds) (H)									
10. -Templeton Global Bond Fund	A	Int./Div.	K	T					
11. -PIMCO VIT Real Return Portfolio	B	Int./Div.	K	T					
12. -Voya Global Advantage	A	Int./Div.	J	T					
13. -Voya Large Cap Value	B	Int./Div.	K	T					
14. -Voya True Price Cap Appreciation	A	Int./Div.	K	T					
15. (H) TIAA CREF (Mutual Fund)(457 Plan)(5 funds below)(H)									
16. -CREF Stock	A	Int./Div.	J	T					
17. -CREF Growth	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - CREF Bond Market	A	Int./Div.	J	T					
19. - CREF Global Equities	A	Int./Div.	J	T					
20. - CREF Equity Index	A	Int./Div.	J	T					
21. Fidelity Investments Freedom 2020 (Mutual Fund)(457 Plan)	C	Int./Div.	L	T					
22. (H) Zurich Preferred Plus (Mutual Fund) (403b Plan)(9 funds below)(H)									
23. - Templeton Developing Markets	A	Int./Div.	K	T					
24. - Alger Large Cap Growth Portfolio	A	Int./Div.	J	T					
25. - Fidelity VIP Growth	A	Int./Div.	K	T					
26. - DWS International	B	Int./Div.	K	T					
27. - Invesco V.I. Utilities	B	Int./Div.	K	T					
28. - Alger MidCap Portfolios	A	Int./Div.	K	T					
29. - DWS Cap Growth VA	A	Int./Div.	L	T					
30. - Invesco VI Global Real Estate	A	Int./Div.	L	T					
31. - Franklin Strategic Inc	A	Int./Div.	K	T					
32. USAA Short Term Bonds (Mutual Funds)	A	Int./Div.			Closed	03/21/16	K	A	
33. USAA Tax Exempt Int-Term Fund (Mutual Fund)	A	Int./Div.			Closed	03/21/16	K	A	
34. USAA Exempt Long Term (Mutual Fund)	B	Int./Div.	L	T	Buy	03/21/16	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. First Eagle Gold Fund Class C (Mutual Funds)	A	Int./Div.	J	T					
36. T Rowe Price Blue Chip Growth (Mutual Fund)	A	Int./Div.	M	T					
37. T Rowe Price International Discovery (Mutual Funds)	A	Int./Div.	K	T					
38. T Rowe Price Capital Appreciation (Mutual Fund)	C	Int./Div.	M	T					
39. T Rowe Price Retirement 2030 (Mutual Fund)	D	Int./Div.	M	T					
40. T Rowe Price Retirement 2020 (Mutual Fund)	A	Int./Div.	K	T	Buy (add'l)	01/26/16	J		
41.					Buy (add'l)	08/12/16	J		
42. T Rowe Price CA Tax Free Bond	B	Int./Div.	M	T	Buy	08/23/16	L		
43.					Buy (add'l)	09/23/16	K		
44. (H) VALIC Funds (6 Mutual Funds below) (H)									
45. - Short Term Fixed Fund	A	Int./Div.	J	T					
46. - Capital Appreciation Fund	A	Int./Div.	J	T					
47. - Large Cap Value Fund	A	Int./Div.	J	T					
48. - Mid Cap Index Fund	A	Int./Div.	J	T					
49. - Science and Technology Fund	A	Int./Div.	J	T					
50. - Asset Allocation Fund	A	Int./Div.	J	T					
51. Lucia Capital Group IRA	A	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. TIAA-CREF TRoePrice Ret 2040 (Mutual Fund)(457 Plan)	B	Int./Div.	K	T					
53. Family Trust #1 (H)									
54. Stiffel Mutual Fund Acct (12 Funds below) (H)									
55. Duke Realty Corp New (X)	A	Int./Div.			Closed	12/07/16	J	A	
56. Royal Bank of Scotland Rep Non Cuml Dollar PFD(X)	A	Int./Div.			Closed	12/07/16	K		
57. Capital Income Builder CL A (X)	A	Int./Div.			Closed	12/07/16	L	A	
58. Franklin CA Ins Tax Free Income(X)	B	Int./Div.			Closed	12/07/16	M		
59. Franklin Income CL A(X)	B	Int./Div.			Closed	12/07/16	M	D	
60. Investment Co of America (X)	B	Int./Div.			Closed	12/07/16	M	E	
61. MFS CA Muni Bond (X)	B	Int./Div.			Closed	12/07/16	M		
62. Eaton Vance CA Muni Bond fund(X)	A	Int./Div.			Closed	12/07/16	J		
63. Nuveen CA AMT Free Mun Income Fund(X)	A	Int./Div.			Closed	12/07/16	K		
64. Nuveen CA Div Adv Muni Fund(X)	A	Int./Div.			Closed	12/07/16	K		
65. Nuveen CA Qual Muni Inc (X)	A	Int./Div.			Closed	12/07/16	K		
66. PIMCO CA Muni Income Fund II (X)	A	Int./Div.			Closed	12/07/16	J		
67. Morgan Stanley Fund Acct (2 Funds Below) (H)									
68. Nuveen CA Muni Value FD(X)	B	Int./Div.			Closed	12/07/16	L		

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69. Franklin Income A(X)	A	Int./Div.			Closed	12/07/16	K	B	
70. USAA Tax Exmpt CA Bond Fund(X)	D	Int./Div.			Closed	12/13/16	N	C	
71. Dreyfus CA AMT Free Mni Bond FD Class Z (X)	C	Int./Div.			Closed	09/09/16	M		
72. Davidson and Co Fund Acct (10 Funds Below)(H)									
73. Dreyfus CA AMT Free Muni Bond Class Z	B	Int./Div.			Buy	09/09/16	M		
74.					Closed	12/07/16	M		
75. East Bay CA Mud Wter Syst Fin(X)	A	Int./Div.			Closed	12/07/16	J		
76. San Fran CA Bay Area Rapid Tran Dist (X)	A	Int./Div.			Closed	12/07/16	K		
77. San Mateo Cnty CA B/E JT Pwr Fin Lse(X)	A	Int./Div.			Closed	12/07/16	J		
78. Western Muni Water Distr FAC Auth (X)	A	Int./Div.			Closed	12/07/16	J		
79. Santa Clara Cnty CA Fing Auth Lease Rev Proj (X)	A	Int./Div.			Closed	12/07/16	J		
80. Vista CA JT Pwrs Fing Auth Rev RFDG(X)	A	Int./Div.			Closed	12/07/16	K		
81. Independent Cities CA Fin Mobile HM Rev RFDG San Juan (X)	A	Int./Div.			Closed	12/07/16	K		
82. Pasadena CA Water REv AGM (X)	A	Int./Div.			Closed	12/07/16	J		
83. Turlock CA Pub Fing Auth Wter Rev (X)	A	Int./Div.			Closed	12/07/16	K		
84. First Union Bank Cash Account (X)	A	Int./Div.			Closed	08/10/16	N		
85. Vanguard Funds Acct: CA LT Tax Exempt Adm (X)	C	Int./Div.			Closed	12/12/16	N		

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86. Pacific Life Variable Annuity Account (X) (1 fund listed below)									
87. - Portfolio Optimization Growth	A	Int./Div.			Closed	07/26/16	M		
88. Midland Deferred Fixed Annuity Account (X)	A	Int./Div.			Closed	07/22/16	L		
89. Silver State Bank Roth IRA Cash Equivalent (X) See Part VIII	A	Int./Div.	J	T					
90.									
91.									
92.									
93.									
94.									
95.									
96.									
97.									
98.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI, Line 1, New Mortgage Servicer, changed from America's Servicing Company to Wells Fargo Home Mortgage

Line 88, Silver State Roth IRA is a newly listed entry, but was previously owned -- discovered while this report was being prepared, but inadvertently omitted from previous report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Carl W. Hoffman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544