

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'HARA, JAMES P.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 5/7/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 208 U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

O'HARA, JAMES P.

Date of Report

5/7/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	UNIVERSITY OF KANSAS LAW SCHOOOL - TEACHING	\$1,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

O'HARA, JAMES P.

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5/7/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

O'HARA, JAMES P.

Date of Report

5/7/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Valley View State Bank (savings & checking accounts)	A	Interest	J	T					
2. Fidelity Rollover IRA No. 1 (formerly AG Edwards Rollover									
3. IRA No. 1)									
4. - Fidelity Cash Reserves	A	Interest	J	T					
5. - Fidelity Advisor Small Cap Class I	A	Dividend	J	T	Sold (part)	05/05/11	J	C	
6. - Pioneer Cullen Value Fund Cl Y		None			Sold	06/16/11	J	B	
7. - Tortise Energy Infrastructure Corp.	A	Dividend			Sold	06/17/11	J	A	
8. - Vanguard Index Fds Reit ETF	A	Dividend	J	T					
9. - Fidelity Adv Fl Rate High Inc Cl 1	A	Dividend			Sold	09/30/11	J	A	
10. - Eagle Sm Cap Growth Fd Cl 1	A	Dividend	J	T					
11. - Harding Loevner Int'l Equity Port Inst'l	A	Dividend	J	T					
12. - Metropolitan West Tot Return Bond Cl M	A	Dividend	K	T					
13. - Oppenheimer Int'l Bond Class A	A	Dividend	J	T					
14. - Oppenheimer Dev Markets Fd Class A	A	Dividend	J	T	Sold (part)	02/24/11	J	A	
15. - Primecap Odyssey Growth Fund		None			Sold	09/30/11	K	A	
16. - Rydex/SGI Managed Futures Strategy		None			Sold	05/20/11	J	A	
17. Convergence Core Plus Fd Inst'l Cl (f/k/a Mariner I 30/30	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000.000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fund Institutional CI Shares)									
19. - Wasatch Emerging Mkt Sm Cap Fd (reported incorrectly)	A	Dividend	J	T					
20. on 2010 report as Wasatch Energizing Mkts Sm Cap Fund)									
21. - Powershares DB Commodity Index Tracking		None	J	T	Buy	02/28/11	J		
22. - Palmer Square Absolute Return CI 1		None	J	T	Buy	05/20/11	K		
23. - Nuance Concentrated Value Fd Inst'l		None	J	T	Buy	06/17/11	J		
24. - Tortise MLP Pipeline Fd Inst'l	A	Dividend	K	T	Buy	06/17/11	J		
25.					Buy	11/30/11	J		
26. - Cullen High Dividend Equity Class 1	A	Dividend	K	T	Buy	09/30/11	K		
27. - Dodge & Cox Income	A	Dividend	J	T	Buy	09/30/11	K		
28. Fidelity Rollover IRA No. 2 (formerly AG Edwards)									
29. Rollover IRA No. 2)									
30. - Fidelity Cash Reserves	A	Interest	J	T					
31. - Fidelity Advisor Small Cap Class 1	A	Dividend	J	T	Sold (part)	05/05/11	J	A	
32. - Pioneer Cullen Value Fund CI Y		None			Sold	06/16/11	J	B	
33. - Tortise Energy Infrastructure Corp.	A	Dividend			Sold	06/17/11	J	A	
34. - Vanguard Index Fds Reit ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

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35. - Fidelity Adv Fl Rate High Inc Cl 1	A	Dividend			Sold	09/30/11	J	A	
36. - Eagle Sm Cap Growth Fd Cl 1	A	Dividend	J	T					
37. - Harding Loevner Int'l Equity Port Inst'l	A	Dividend	J	T					
38. - Metropolitan West Tot Return Bond Cl M	A	Dividend	J	T					
39. - Oppenheimer Int'l Bond Class A	A	Dividend	J	T					
40. - Oppenheimer Dev Markets Fd Class A	A	Dividend	J	T	Sold (part)	02/24/11	J	A	
41. - Primecap Odyssey Growth Fund		None			Sold	09/30/11	J	A	
42. - Rydex/SGI Managed Futures Strategy		None			Sold	05/20/11	J	A	
43. - Convergence Core Plus Fd Inst'l Cl (f/k/a Mariner I 30/30	A	Dividend	J	T					
44. Fund Institutional Cl Shrs)									
45. - Wasatch Emerging Mkts Sm Cap Fd (reported incorrectly	A	Dividend	J	T					
46. as Wasatch Energizing Mkts Sm Cap Fund)									
47. - Powershares DB Commodity Index Tracking		None	J	T	Buy	02/28/11	J		
48. - Palmer Square Absolute Return Cl 1		None	J	T	Buy	05/20/11	J		
49. - Nuance Concentrated Value Fd Inst'l		None	J	T	Buy	06/17/11	J		
50. - Tortise MLP Pipeline Fd Inst'l	A	Dividend	J	T	Buy	06/17/11	J		
51.					Buy	11/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

O'HARA, JAMES P.

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52. - Cullen High Dividend Equity Class 1	A	Dividend	J	T	Buy	09/30/11	J		
53. - Dodge & Cox Income	A	Dividend	J	T	Buy	09/30/11	J		
54. Fidelity U.S. Gov't Reserves (frnly Cent. Money Mkt Trust)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

O'HARA, JAMES P.

Date of Report

5/7/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

O'HARA, JAMES P.

Date of Report

5/7/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES P. O'HARA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544