

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LEE, Tom S.	2. Court or Organization United States District Court Southern District of MS	3. Date of Report 10/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 501 E. Court Street Suite 4.756 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. General Partner	Family Limited Partnership
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2015	State Employee Pension Fund; pension upon retirement age 65
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Advisory Board of Community Bancshares of MS, Inc.

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING NQ VAR (name change) VOYA NQ VA									
2. VY Ivesco Equity & Income Portfolio		None	J	T					
3. American Skandia NQ VA (name change) Prudential NQ VA									
4. AST Lord Abbett Core Fixed Income Portfolio		None	L	T					
5. AST Wellington Mgmt. Hedged Equity Portfolio		None	L	T					
6. AST Advanced Strategies Portfolio		None	L	T					
7. AST Western Core Plus Bond		None	K	T					
8. Shares of Community Banchshares of MS, Inc.	E	Dividend	P1	T					
9. ING. NQ VA (name change) VOYA NQ VA									
10. VY Invesco Equity & Income Portfolio		None	J	T					
11. American Skandia NQ VA (name change) Prudential NQ VA									
12. AST Lord Abbett Core Fixed Income Portfolio		None	M	T					
13. AST Wellington Mgmt. Hedged Equity Portfolio		None	K	T					
14. AST Advanced Strategies Portfolio		None	K	T					
15. AST Western Core Plus Bond		None	K	T					
16. Land in Scott Co., MS		None	K	W					
17. U.S. Ser. E. Bonds		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Phoenix Life (Whole Life)		None	M	T					
19. Community Bancshares of MS accounts	A	Interest	N	T					
20. ING VA IRA (name change) VOYA IRA VA									
21. VY Invesco Equity & Income		None	J	T					
22. FT Capitol Strength	C	Dividend	M	T					
23. Sector Rotation (name change) Invesco	A	Dividend	L	T					
24. FT Smid High Div.	A	Dividend	K	T					
25. FT Covered Call	C	Dividend	L	T					
26. FT Smid High Div.	A	Dividend	J	T					
27. FT Covered Call	D	Dividend	M	T					
28. FT Euro Deep Value	A	Dividend	J	T					
29. FT Deep Value	A	Dividend	K	T					
30. FT Cap Str. Buy Write	A	Dividend	J	T					
31. Gugg Zacks Inc.	B	Dividend	K	T					
32. Gugg Zacks Inc.	B	Dividend			Sold	05/15/15	K	A	
33. Thornburg Str. Inc.	C	Dividend	M	T					
34. FT Euro Deep Value	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. FT Deep Value	B	Dividend	K	T					
36. FT Cap Str. Buy Write	A	Dividend	J	T					
37. Gugg MLP & Energy	B	Dividend	J	T					
38. Gugg Zacks Inc.	C	Dividend			Sold	08/26/15	K	A	
39. Gugg Zacks Inc.	B	Dividend			Sold	08/26/15	K	A	
40. Gugg Zacks Inc.	B	Dividend			Sold	05/15/15	L	A	
41. Thornburg Str. Inc.	B	Dividend	K	T					
42. Templeton Global Bal	A	Dividend	J	T					
43. FT Euro Deep Value	B	Dividend	K	T					
44. FT Deep Value	B	Dividend	K	T					
45. Gugg Zack Inc.	C	Dividend			Sold	08/26/15	K	A	
46. Thornburg Str. Inc.	C	Dividend	L	T					
47. Nationwide VA-NA	A	None	N	T					
48. Managed Risk Asset Allocation		None	N	T					
49. AAM Blue Chip	D	Dividend	M	T					
50. AAM Global Dividend	C	Dividend	M	T					
51. FT RB Global Div. Kings	C	Dividend	M	T					

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52. Principal Global Diversified	A	Dividend	J	T					
53. Gugg Zack, Inc.	C	Dividend			Sold	08/17/15	K	A	
54. Thornburg Str. Inc.	C	Dividend	L	T					
55. Gugg Euro Dividend	A	Dividend			Sold	3/25/14	J	A	
56. Principal Global Diversified	A	Dividend	J	T					
57. FT RB Global Div. Kings	B	Dividend	L	T					
58. Gugg Euro High Div.	A	Dividend			Sold	06/12/15	L	A	
59. Gugg MLP & Energy	A	Dividend			Sold	08/26/15	K	A	
60. Eaton Vance Short Str. Inc.	B	Dividend	L	T					
61. Principal Global Div.	C	Dividend	M	T					
62. Principal Global Div.	A	Dividend	K	T					
63. Invesco Mid Cap. Core #1	A	Dividend	J	T	Buy	08/27/15	J		
64. Invesco Small Cap. Core #1	A	Dividend	J	T	Buy	08/27/15	J		
65. Thornburg Income Builder #1	A	Dividend	J	T	Buy	03/30/15	J		
66. Thornburg Income Builder #2	A	Dividend	J	T	Buy	03/30/15	J		
67. FT Cap. Str. Buy Write #1	B	Dividend	K	T	Buy	08/27/15	K		
68. Invesco Mid Cap. Core #2	A	Dividend	J	T	Buy	08/27/15	J		

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69. Invesco Small Cap. Core #2	A	Dividend	J	T	Buy	08/27/15	J		
70. Invesco REIT #1	A	Dividend	J	T	Buy	08/27/15	J		
71. Invesco Mid. Cap. Core #3	A	Dividend	K	T	Buy	08/27/15	K		
72. Invesco Small Cap. Core #3	A	Dividend	K	T	Buy	08/27/15	K		
73. Invesco Div. Buy Write	A	Dividend	K	T	Buy	08/27/15	K		
74. Invesco REIT #2	A	Dividend	K	T	Buy	08/27/15	K		
75. Pimco Income	B	Dividend	K	T	Buy	08/26/15	K		
76. Thornburg Income Builder #3	A	Dividend	J	T	Buy	03/30/15	J		
77. Pimco All Asset All #1	B	Dividend	L	T	Buy	08/27/15	L		
78. Franklin Income	A	Dividend	J	T	Buy	08/26/15	J		
79. Thornburg Inc. Builder #4	A	Dividend	K	T	Buy	08/26/15	K		
80. FT Cap. Str. Buy Write #2	B	Dividend	K	T	Buy	08/27/15	K		
81. Invesco Mid Cap. Core #4	A	Dividend	J	T	Buy	08/27/15	J		
82. Invesco Small Cap. Core #4	A	Dividend	J	T	Buy	08/27/15	J		
83. Pimco All Asset All #2	A	Dividend	J	T	Buy	08/26/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Practically all of my annuities, mutual fund accounts, stocks and bonds are held in my LPL Financial Account.

The proceeds of all sales and redemptions shown in this Report were received in that account and all funds for purchases were disbursed from that account.

All of the assets owned by the [REDACTED] Family Limited Partnership are listed in Section VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tom S. LEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544