

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Morgenstern-Clarren, Pat E.	2. Court or Organization U.S. Bankruptcy Ct., N.D., Oh.	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Howard Metzenbaum US Ct. House 201 Superior Avenue East Cleveland, Ohio 44114		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/12/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

University Hospitals Health Agent for University Primary Care Practice

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/12/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/12/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch (IRA):									
2. -Putnam Premier 1 Tr.	A	Dividend	J	T					
3. -Entergy Corp Common Stock	A	Dividend	J	T					
4. -BA CA N.A. RASP	A	Interest	M	T					
5. -FIA Card Services NA RASP	A	Interest	M	T					
6. -Hewlett Packard Enterprise Co. (F/K/A Hewlett Packard Co. Common)	A	Dividend	K	T					
7. -Texas Instruments, Inc. Common Stock	B	Dividend	L	T					
8. -Apple Computer Inc. Common Stock	C	Dividend	M	T					
9. -KLA Tencor Corp. PV	A	Dividend	K	T					
10. -Colgate Palmolive Stock	B	Dividend	M	T					
11. -Agilent Technologies Inc.	A	Dividend	J	T					
12. -Intl Paper Co.	A	Dividend	J	T					
13. -Maxim Integrated Prods.	A	Dividend	K	T					
14. -Stratasys Ltd.		None	J	T					
15. -Keysight Technologies Inc.		None	J	T					
16. -HP Inc.	A	Dividend	K	T					
17. Merrill Lynch Brokerage Account (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/12/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -ML Bank Deposit Program		None	J	T					
19. -Entergy Corp. Common Stock	A	Dividend	J	T					
20. University Suburban Real Estate - Note Receivable	B	Interest	L	T					
21. Huntington National Bank - Various Accounts	A	Interest	K	T					
22. Huntington National Bank Money Market Account	B	Interest	N	T					
23. Huntington National Bank Money Market Account	A	Interest	M	T					
24. Vanguard (IRA) (H):									
25. -Vanguard Balanced Index Fund Adm	E	Dividend	O	T					
26. -Vanguard High Dividend Yld Idx Inv	E	Dividend	O	T					
27. Fidelity (401K)(H):									
28. -Vanguard Target Ret 2015	E	Int./Div.	M	T					
29. Lincoln National: Universal Life (no control)	B	Interest	L	T					
30. Charles Schwab Institutional (IRA)(H):									
31. -Schwab Adv Cash Reserve			J	T					
32. -Avenue Credit Strategies Inst	A	Dividend	J	T					
33. -Avenue Credit Strategies Inv	A	Dividend	J	T	Buy (add'l)	02/13/15	J		
34. -Cohen & Steers Preferred SEC and INCM A	A	Dividend	J	T	Sold (part)	02/17/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/12/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -T Rowe Price Floating Rate Adv	A	Dividend	J	T	Sold (part)	02/17/15	J		
36.					Sold	04/06/15	J		
37. -T Rowe Price Inst Floating Rate FD	A	Dividend	J	T					
38. -Templeton Global Bond Fund Adv CL	A	Dividend	J	T					
39. -Templeton Global Bond Fund CL A	A	Dividend	J	T	Buy (add'l)	02/13/15	J		
40. -Absolute Credit Oppty FD	A	Dividend	J	T					
41. -ALPS Core CMDY MGMT Complete Cmdy Strat A		None			Sold	04/06/15	J		
42. -Aston Lake Partners Lasso Alternative FD CL N		None	J	T					
43. -Aston Lake Partners Lasso Alternative FD CL I	A	Dividend	K	T	Buy (add'l)	02/13/15	J		
44. -Blackrock Global Allocation CL A	A	Dividend	J	T					
45. -Blackrock Global Allocation Instl CL	A	Dividend	K	T					
46. -Center Coast MLP Focus FD A	A	Dividend	J	T					
47. -Mainstay Cushing MLP Premier FD CL A	A	Dividend	J	T					
48. -DFA Emerging Mkts Core Eqty Port Instl	A	Dividend	K	T	Buy (add'l)	04/07/15	J		
49. -DFA Global Real Estate Securities Port					Sold	02/13/15	J	B	
50. -DFA Intl Core Eqty Port Instl	A	Dividend	K	T					
51. -Empiric 2500 Fund CL A			J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/12/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Forward Global Infrastructure Instl CL	A	Dividend	J	T					
53. -FPA Crescent Fund Inst CL Shares	A	Dividend	K	T					
54. -Hatteras Alpha Hedged Strategies	A	Dividend	J	T	Sold (part)	04/06/15	J	A	
55. -IVA Worldwide FD CL A	A	Dividend	J	T					
56. -IVA Worldwide FD CL I	A	Dividend	J	T					
57. -Ivy Asset Strategy Fund CL I	A	Dividend	K	T					
58. -JHancock US Eqty FD I	A	Dividend	K	T					
59. -Oppenheimer Steelpath MLP Alpha Y	A	Dividend	J	T					
60. -PIMCO All Asset All Authority CL D	A	Dividend	J	T					
61. -PIMCO All Asset All Authority CL Inst	B	Dividend	K	T					
62. -PIMCO Fundamental Index Plus AR FD CL D	A	Dividend			Sold	04/06/15	J		
63. -RS Global Natural Resources FD CL Y		None	J	T					
64. -Schwab Fundamental Emer Mkt Large Co Index	A	Dividend	J	T	Buy (add'l)	01/30/15	J		
65. -Schwab Fundamental Intl Large Co Index	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
66. -Wells Fargo Absolute Return Admin (F/K/ A Wells Fargo Advtg Absl)	A	Dividend	K	T					
67. -IQ US Real Estate ETF Small Cap		None			Sold	02/13/15	J	A	
68. -iShares Gold ETF (F/K/A iShares Gold Trust)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/12/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -iShares Silver Trust ETF (F/K/A iShares Silver Trust Index Fund)		None	J	T					
70. -Plum Creek Timber Co REIT	A	Dividend	J	T	Buy (add'l)	04/07/15	J		
71. -Powershares Water Resc Portfolio ETF (F/K/A Powershs Exch Trad FD TR)	A	Dividend	J	T					
72. -Wisdomtree Emerging Markets ETF (F/K/A Wisdomtree TR EFT Emerging)	A	Dividend	J	T					
73. -Cohen & Steers Preferred SEC and INCM I	A	Dividend	J	T					
74. -Loomis Sayles Core Plus Bond Fund CL A	A	Dividend	J	T	Sold (part)	01/30/15	J		
75.					Sold (part)	02/17/15	J		
76. -Osterweis Strategic Income Fund	A	Dividend	J	T					
77. -S1 FD CL I		None			Sold	02/13/15	K		
78. -Alps Red Rocks Listed Private Eqty FD CL A	A	Dividend	J	T	Sold (part)	02/17/15	J		
79. -PIMCO Incm CL D	A	Dividend	J	T					
80. -PIMCO Incm INST CL	A	Dividend	J	T					
81. -Wisdomtree US QLT DIV GRW ETF IV (F/K/A Wisdomtree US Divid ETF US)	A	Dividend	K	T					
82. -AQR Style Premia Alt FD CL I	A	Dividend	J	T	Buy	02/13/15	J		
83. -Loomis Sayles Core Plus Bond Fund Cl Y	A	Dividend	J	T	Buy	01/30/15	J		
84. -Schwab Intl Index Fund	A	Dividend	J	T	Buy	01/30/15	J		
85.					Buy (add'l)	04/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/12/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -AQR Style Premia Alt FD CL N	A	Dividend	J	T	Buy	01/30/15	J		
87. -DFA Intl Real Estate Securities Port Instl	A	Dividend	J	T	Buy	02/13/15	J		
88. -Vanguard Gblal Ex US Real Estate ETF	A	Dividend	J	T	Buy	02/13/15	J		
89. -Forward Global Infrastructure A	A	Dividend	J	T	Buy	04/07/15	J		
90. Vanguard (H):									
91. -Vanguard Balanced Index Fund Adm	C	Dividend	M	T	Buy (add'l)	04/01/15	K		
92.					Buy (add'l)	05/06/15	J		
93. -Vanguard High Dividend Yld Idx Inv	C	Dividend	M	T	Buy (add'l)	04/01/15	K		
94.					Buy (add'l)	05/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting	Date of Report
Morgenstern-Clarren, Pat E.	05/12/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: To Part VII, #6: the asset listed here as "Hewlett Packard Enterprise Co." is the same asset that was listed in the 2014 report as "Hewlett Packard Co. Common Stock", line #6. The stock separated on November 2, 2015 into Hewlett Packard Enterprise Co. and HP Inc. (listed on line 16).

Note 2: To Part VII, #16: the asset listed here was an acquisition as a result of a stock separation by means of a pro rata distribution equal to the shares of Hewlett Packard Common Stock, line #6. The stock separated on November 2, 2015.

Note 3: To Part VII, #55, the asset listed here was reported as fully disposed of in the prior year in error. Only part of the stock was sold during 2014.

Note 4: To Part VII, #66: the asset listed here as "Wells Fargo Absolute Return Admin" is the same asset that was listed in the 2014 report as "Wells Fargo Advtg Abslt Return Admin", line #86. The stock's name changed in 2015.

Note 5: To Part VII, #68: the asset listed here as "iShares Gold ETF" is the same asset that was listed in the 2014 report as "iShares Gold Trust", line #90. The stock's name changed in 2015.

Note 6: To Part VII, #69: the asset listed here as "iShares Silver Trust ETF" is the same asset that was listed in the 2014 report as "iShares Silver Trust Index Fund", line #91. The stock's name changed in 2015.

Note 7: To Part VII, #71: the asset listed here as "Powershares Water Resc Portfolio ETF" is the same asset that was listed in the 2014 report as "Powershs Exch Trad FD TR Powershares Water Resource Portfolio", line #93. The stock's name changed in 2015.

Note 8: To Part VII, #72: the asset listed here as "Wisdomtree Emerging Markets ETF" is the same asset that was listed in the 2014 report as "Wisdometree TR EFT Emerging Mkts Consumer Growth Fd", line #97. The stock's name changed in 2015.

Note 9: To Part VII, #81: the asset listed here as "Wisdomtree US QLT DIV GRW ETF IV" is the same asset that was listed in the 2014 report as "Wisdomtree US Divid ETF US Divid Growth FD", line #109. The stock's name changed in 2015.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/12/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Pat E. Morgenstern-Clarren

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544