

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Woods, Gregory H.	2. Court or Organization Southern District of New York	3. Date of Report 5/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Courthouse 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 03/1999	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
2. 01/2005	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
3. 01/2005	Debevoise & Plimpton LLP Cash Balance Retirement Plan for Partners. No further contributions by employer.

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Woods, Gregory H.

Date of Report

5/13/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Yale University	10/23/2014 to 10/24/2014	Yale Law School	Travel to receive award/ speak with students	Hotel, parking, dinner
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Woods, Gregory H.

Date of Report

5/13/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Woods, Gregory H.

Date of Report

5/13/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Federal Money Market Fund	A	Dividend	K	T	Sold (part)	05/12/14	K		
2. Vanguard Infla-Protected Sec..	A	Dividend	J	T	Sold (part)	05/12/14	K		
3. Vanguard Prime Money Market	A	Dividend	K	T					
4. Vanguard Total Bond Mkt Index	A	Dividend	K	T					
5. Vanguard Wellington Fund	A	Dividend	J	T					
6. Vanguard Selected Value Fund	A	Dividend	J	T					
7. Vanguard Windsor Fund	B	Dividend	K	T					
8. Vanguard Mid-Cap Growth Fund	B	Dividend	K	T					
9. Vanguard Morgan Growth Fund	C	Dividend	K	T					
10. Vanguard Explorer Fund	B	Dividend	K	T					
11. Vanguard Health Care Fund	B	Dividend	J	T					
12. Vanguard US Value Fund	A	Dividend	J	T					
13. Vanguard Total Int'l Stock Index	A	Dividend	L	T	Buy (add'l)	05/12/14	K		
14. Vanguard Inst Index Fund	C	Dividend	M	T					
15. Vanguard Retire Savings Trust III	A	Dividend	J	T					
16. Vanguard Extended Mkt Index	A	Dividend	J	T					
17. Blackrock Large Cap Growth Fund C	C	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Woods, Gregory H.

Date of Report

5/13/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Merrill Lynch CMA NY Municipal Money	A	Dividend	O	T					
19. CREF Stock Account		None	J	T					
20. CREF Growth Account		None	J	T					
21. CREF Social Choice Account		None	J	T					
22. Cash Balance Plan (Defined Benefit Plan from Debevoise)		None	L	T					
23. JPMorgan Chase Cash Accounts	A	Interest	O	T					
24. New York 529 College Savings Direct Plan									
25. --Aggressive Age-Based Option: Growth Portfolio		None	K	T					
26. --Moderate Age-Based Option: Moderate Growth Portfolio		None	K	T					
27. --Conservative Age-Based Option: Conservative GrowthPortfolio		None	K	T	Buy (add'l)	12/29/14	J		
28. --Aggressive Age-Based Option: Moderate Growth Portfolio		None	K	T					
29. --Moderate Age-Based Option: Conservative Growth Portfolio		None	K	T					
30. --Conservative Age-Based Option: Income Portfolio		None	K	T	Buy (add'l)	12/29/14	J		
31. --Inflation Protected Securities Portfolio		None	L	T	Buy (add'l)	12/29/14	J		
32. MGJ Realty Corporation (Common Stock of Private Corp.)	B	Distribution	L	W					
33. [REDACTED] (Common Stock of Private Corp.)	G	Distribution	O	W					
34. [REDACTED] (Debt of Private Corp.)	E	Interest	O	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Woods, Gregory H.

Date of Report

5/13/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Woods, Gregory H.

Date of Report

5/13/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gregory H. Woods**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544