

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fitzgerald, Michael W.	2. Court or Organization United States District Court, Central District of California	3. Date of Report 04/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge; active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court 312 North Spring Street, Room 1653 Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Federal Bar Association, Los Angeles Chapter
2.	Director	Association of Business Trial Lawyers, Los Angeles Chapter
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Fitzgerald, Michael W.

Date of Report

04/29/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Association of Business Trial Lawyers, Los Angeles Chapter	10/12/14 to 10/18/14	Honolulu, Hawaii	Annual Seminar	Seminar fee; airfare; lodging; meals
2. University of Texas School of Law	03/05/14 to 03/07/14	Austin, Texas	Speaking Engagement	Airfare and car rental
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting
Fitzgerald, Michael W.

Date of Report
04/29/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Fitzgerald, Michael W.

Date of Report

04/29/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Cash Accounts	A	Interest	J	T					
2.									
3. Brokerage Account #1 (Pension) (H) (Y)									
4. AMG Yacktmán (formerly Yacktmán Fund Service CL) (YACKX)		None			Sold	08/04/14	M		
5. Artisan Intl Fund (ARTIX)		None			Sold	04/04/14	L		
6. Aston River Road (ARVIX)		None			Sold	08/04/14	L		
7. Dodge & Cox Intl Stock (DODFX)		None			Sold	08/04/14	L		
8. Fidelity Contra Fund (FCNTX)	B	Dividend			Sold	08/04/14	M		
9. FMI Large Cap Fund (FMIHX)	B	Dividend			Sold (part)	01/06/14	J	B	
10.					Sold	08/04/14	M		
11. Voya Midcap (formerly ING Midcap Oppty Fund) (NMCIX)		None			Sold	08/04/14	K		
12. JPMorgan Mid Cap Val (FLMVX)		None			Sold	08/04/14	K		
13. Loomis Sayles Small Cap (LSSIX)		None			Sold	08/04/14	K		
14. Mainstay Marketfield Fund (MFLDX)		None			Sold	08/04/14	L		
15. Oakmark Intl Small Cap (OAKEX)		None			Sold	08/04/14	L		
16. Schwab Govt Money Fund (SWGXX)		None			Buy (add'l)	01/08/14	L		
17.					Sold (part)	01/17/14	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Fitzgerald, Michael W.

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04/29/2015

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	04/16/14	J		
19.					Sold (part)	07/16/14	J		
20.					Sold	08/05/14	J		
21. Stone Ridge Reinsurance (SRRIX)		None			Sold	08/04/14	L		
22. Touchstone Sm Cap (TSFYX)		None			Sold	08/04/14	K		
23. UBS AB Jersey 2040F (MLPI)	B	Dividend			Sold	08/04/14	L		
24. Wasatch Emrg Mkt Sm (WAEMX)		None			Sold	08/04/14	K		
25. Wasatch Frontier Emrg Sm (WAFMX)		None			Sold	08/04/14	K		
26.									
27. Brokerage Account #2 (Personal) (H)									
28. AMG Yacktmán (formerly Yacktmán Fund Service CL) (YACKX)	A	Dividend	J	T					
29. Artisan Intl Fund Inv (ARTIX)	A	Dividend	J	T					
30. Aston River Road (ARVIX)	A	Dividend	J	T					
31. Center Coast MLP (CCCNX)	A	Dividend	J	T					
32. Dodge & Cox Intl Stock (DODFX)	A	Dividend	J	T					
33. Fidelity Contra Fund (FCNTX)	A	Dividend	J	T					
34. FMI Large Cap Fund (FMIHX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Fitzgerald, Michael W.

Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. JPMorgan Mid Cap Val (FLMVX)	A	Dividend	J	T					
36. Mainstay Marketfield Fd (MFLDX)		None	J	T					
37. Oakmark Intl Sm Cap (OAKEX)	A	Dividend	J	T					
38. Schwab Amt TF MMF (SWFXX)	A	Dividend	K	T	Sold (part)	01/09/14	J		
39.					Sold (part)	01/17/14	J		
40.					Sold (part)	07/16/14	J		
41.					Sold (part)	09/05/14	J		
42.					Sold (part)	10/22/14	J		
43.					Sold (part)	12/19/14	J		
44. Stone Ridge Reinsurance (SRRIX)	A	Dividend	J	T					
45. Touchstone Small Cap (TSFYX)	A	Dividend	J	T					
46. Wasatch Emrg Mkt Small (WAEMX)	A	Dividend	J	T					
47. Wasatch Frontier Emrg Sm (WAFMX)	A	Dividend	J	T					
48.									
49. Brokerage Account #3 (IRA) (H)									
50. AMG Yackman Fd Svc (YACKX)	D	Dividend	M	T	Buy	08/04/14	M		
51. American Beacon Gbl Evltn Frontier (AGEYX)	A	Dividend	L	T	Buy	11/04/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Fitzgerald, Michael W.

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04/29/2015

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Artisan Intl Fund Inv (ARTIX)	A	Dividend	L	T	Buy	08/04/14	L		
53. Aston River Road Ind Val Fd I (ARVIX)	B	Dividend	K	T	Buy	08/04/14	L		
54.					Sold (part)	11/03/14	K	A	
55. Dodge & Cox Intl Stock Fund (DODFX)	B	Dividend	L	T	Buy	08/04/14	L		
56. Fidelity Contra Fund (FCNTX)	D	Dividend	M	T	Buy	08/04/14	M		
57. FMI Large Cap Fund (FMIHX)	D	Dividend	M	T	Buy	08/04/14	M		
58. JPMorgan Mid Cap Value Inst (FLMVX)	C	Dividend	K	T	Buy	08/04/14	K		
59. Loomis Sayles Small Cap Growth Fund (LSSIX)	C	Dividend	K	T	Buy	08/04/14	K		
60. Mainstay Marketfield Fd Cl I (MFLDX)		None	K	T	Buy	08/04/14	L		
61.					Sold (part)	11/03/14	K	D	
62. Oakmark Intl Small Cap (OAKEX)	C	Dividend	L	T	Buy	08/04/14	L		
63. Schwab Govt Money Fund (SWGXX)		None	J	T	Buy	08/05/14	J		
64.					Sold (part)	10/22/14	J		
65.					Sold (part)	10/23/14	J		
66. Stone Ridge Reinsurance Risk Prem Interval (SRRIX)	D	Dividend	L	T	Buy	08/04/14	L		
67. Touchstone Small Cap Core Fd (TSFYX)	C	Dividend	K	T	Buy	08/04/14	K		
68. UBS AG Jersey 2040FE (MLPI)	A	Dividend	L	T	Buy	08/04/14	L		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Fitzgerald, Michael W.

Date of Report

04/29/2015

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69. Voya Midcap Oppty Fd I (NMCIX)	C	Dividend	K	T	Buy	08/04/14	K		
70. Wasatch Emrg Mkt Small (WAEMX)	A	Dividend	K	T	Buy	08/04/14	K		
71. Wasatch Frontier Emrg Sm (WAFMX)	A	Dividend	K	T	Buy	08/04/14	K		
72.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Fitzgerald, Michael W.

Date of Report

04/29/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Fitzgerald, Michael W.

Date of Report

04/29/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael W. Fitzgerald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544