

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gilbert, John P.	2. Court or Organization UNITED STATES DISTRICT COURT - Southern District of Illinois	3. Date of Report 05/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 301 WEST MAIN STREET BENTON , IL 62812		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Southern Illinois University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

05/10/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

05/10/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Old National Bank N/K/A First Mid-Illinois Bank	Mortgage on Investment Property	M
2.	Patricia Noe	Contract for Deed on Investment Property	M
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

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05/10/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. COM. PEPSICO	A	Dividend	J	T					
2. COM. HUTTON TEL. TR.	B	Dividend	J	T					
3. COM. MFS GV.PL mutual funds	A	Dividend	K	T					
4. COM. FRANKLIN U.S.G.	A	Dividend	K	T					
5. COM. OLD NATIONAL BANK	D	Dividend	N	T					
6. COM. TEMPLETON GRWTH	A	Dividend	K	T					
7. NOBLE ENERGY	A	Dividend	J	T					
8. OLD NATL BANK N/K/A FIRST MID-ILLINOIS BANK - Accounts	A	Interest	J	T					
9. RENTAL PROPERTY: Jackson Co., IL (\$280,000)	A	Rent	M	S					
10. COM. BRISTOL MYERS	E	Dividend	J	T					
11. COM. AMER. ELEC. POWER	A	Dividend	J	T					
12. COM. ALLETE	A	Dividend	J	T					
13. COM. EXCEL ENERGY	A	Dividend	J	T					
14. COM. COCA COLA	A	Dividend	J	T					
15. COM. GEN. ELECTRIC	A	Dividend	J	T					
16. COM. EXXONMOBIL	A	Dividend	J	T					
17. COM. ORACLE	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. COM. CATERPILLAR	A	Dividend	J	T					
19. COM. WALMART	A	Dividend	J	T					
20. COM. WESTAR ENERGY	A	Dividend	J	T					
21. COM. MICROSOFT	A	Dividend	J	T					
22. COM. CISCO SYSTEMS	A	Dividend	J	T					
23. COM. PUTNAM GLOBAL	A	Dividend	J	T					
24. COM. REGIONS FINANCIAL CORP.	A	Dividend	J	T					
25. COM. VERIZON COMM.	A	Dividend	J	T					
26. COM. AMERICA MOVIL	A	Dividend	J	T					
27. COM. DTE ENERGY	A	Dividend	J	T					
28. COM. SOUTHERN COMPANY	A	Dividend	J	T					
29. COM. AES	A	Dividend			Sold	11/30/17	J	A	
30. COM. CORNING	A	Dividend	J	T					
31. COM. INTEL	A	Dividend	J	T					
32. COM. TEXAS INSTRUMENTS	A	Dividend	J	T					
33. COM. CAREMARK	A	Dividend	J	T					
34. COM. EXELON	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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35. COM. AMEREN	A	Dividend	K	T					
36. COM. NEE	A	Dividend	J	T					
37. COM. AGRBX	A	Dividend	J	T					
38. COM. NEW WORLD FUND	A	Dividend	J	T					
39. COM. GROWTH FUND OF AMERICA	A	Dividend	J	T					
40. COM. JOHN DEERE	A	Dividend	J	T					
41. COM. BANK OF AMERICA	A	Dividend	J	T					
42. BOND ILLINOIS FIN. AUTH.	A	Dividend	J	T					
43. COM. 3M COMPANY	A	Dividend	J	T					
44. COM. FIRST SOUTHERN BANK	A	Dividend	J	T					
45. COM. AT&T	A	Dividend	J	T	Buy	12/27/17	J		
46. COM. LORD ABBETT FLOATING RATE FD C	A	Dividend	J	T					
47. RENTAL PROPERTY: Sarasota, FL (\$250,000)	A	Rent	M	S					
48. COM. FRONTIER	A	Dividend	J	T					
49. BOND QWEST CORP. 6.125%	A	Interest	K	T					
50. BOND AFLAC 5.5%	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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51. WELLS FARGO - COMPASS MANAGED DIVERSIFIED STOCK INCOME PORTFOLIO	A	Dividend	K	T					
52. COM. KO	A	Dividend	J	T					
53. COM. F	A	Dividend	J	T					
54. BOND SIU 3.5%	A	Interest	K	T					
55. PFD. ALLSTATE 6.625	A	Interest	K	T					
56. PFD. WELLS FARGO 6.625	A	Interest	K	T					
57. PFD. JOHN HANCOCK	A	Interest	K	T	Buy	12/27/17	K		
58. COM. PG	A	Dividend	J	T					
59. BLACK ROCK EUROFUND	A	Dividend	J	T					
60. COM. UNP	A	Dividend	K	T					
61. COM. KMI	A	Dividend	J	T					
62. COM. T	A	Dividend	J	T					
63. COM. CAREMARK	A	Dividend	J	T					
64. COM. HYH	A	Dividend	J	T					
65. COM. KMB	A	Dividend	J	T					
66. PFD. WACHOVIA 7.25	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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67. COM. WPC	A	Dividend	J	T					
68. PFD. BAC	A	Dividend	J	T					
69. COM. APPLE	A	Dividend	J	T					
70. COM. COP	A	Dividend	J	T					
71. COM. ABBVIE INC.	A	Dividend	J	T					
72. COM. DG	A	Dividend	J	T					
73. AMERICAN FUND NGCRX	A	Dividend	K	T					
74. AMERICAN FUND NDCRX	A	Dividend	K	T					
75. COM. KOHLS	A	Dividend			Sold	09/22/17	J	A	
76. COM. MERCK	A	Dividend	J	T					
77. COM. WALT DISNEY	A	Dividend	J	T					
78. JOHN HANCOCK ETF	A	Dividend	J	T					
79. DAFT & PHELPS ETF	A	Dividend	J	T					
80. AMERICAN FUND UTBPX	A	Dividend	J	T					
81. VANGUARD S&P MID-CAP ETF	A	Dividend	K	T	Buy	02/03/17	K		
82. ISHARES CORE S&P SMALL CAP ETF	A	Dividend	J	T	Buy	02/13/17	J		
83. ISHARES CORE S&P MID CAP ETF	A	Dividend	J	T	Buy	02/13/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

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Gilbert, John P.

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84. COM. MEDTRONIC PLC	A	Dividend	J	T	Buy	09/22/17	J		
85. FIRST TRUST ELECTION PORTFOLIO 2016 SER 2	A	Dividend	J	T	Buy	02/03/17	J		
86. AMERICAN FUND NDCRX	A	Dividend	J	T	Buy	02/03/17	J		
87. AMERICAN FUND ABALX	A	Dividend	K	T	Buy	11/30/17	K		
88. PFD. SOUTHERN CO 5.25%	A	Interest	K	T	Buy	12/27/17	K		
89.									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

05/10/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Gilbert, John P.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John P. Gilbert**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544