

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donald, Bernice B.	2. Court or Organization Court of Appeals, Sixth	3. Date of Report 07/30/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Court of Appeals, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Court of Appeals 167 N. Main Street, #1132 Clifford Davis/Odell Horton Federal Building Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|-----------------------------------|--------------------------|
| 1. Chair, Center for Human Rights | American Bar Association |
| 2. _____ | _____ |
| 3. _____ | _____ |
| 4. _____ | _____ |
| 5. _____ | _____ |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----------|-------|
| 1. _____ | _____ |
| 2. _____ | _____ |
| 3. _____ | _____ |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Fordham University	February 20 - 22, 2018	New York, NY	Neuroscience Conference Speaker	Transportation, meals & lodging
2. University of Illinois	February 26 - 28, 2018	Champaign, IL	Symposium Speaker	Transportation, meals & lodging
3. ABA Criminal Justice Section	April 5 - 8, 2018	Tampa, FL	Council Meeting	Transportation, meals & lodging
4. Widener University School of Law	April 12 - 13, 2018	Chester, PA	Dignity Rights Symposium Speaker	Transportation, meals & lodging
5. ABA Criminal Justice Section	May 3 - 7, 2018	Jackson Hole, WY	Leadership Meeting	Transportation, meals & lodging
6. American Law Institute	May 21 - 22, 2018	Washington, D.C.	Annual Meeting	Transportation, meals & lodging

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7.	Equal Justice Society	June 21 - 24, 2018	Oakland, CA	Event Speaker	Transportation, meals & lodging
8.	National Employment Lawyers Association	June 27 - 29, 2018	Chicago, IL	Speaker	Transportation, meals & lodging
9.	ABA Criminal Justice Section	August 3 - 6, 2018	Chicago, IL	Annual Meeting, Speaker/Attendee	Transportation, meals & lodging
10.	Federal Bar Association	August 16 - 17, 2018	Cleveland, OH	Speaker	Transportation, meals & lodging
11.	Washington University School of Law	August 19 - 21, 2018	St. Louis, MO	Convocation Speaker	Transportation, meals & lodging
12.	USDOC	September 7 - 13, 2018	Baku, Azerbaijan	Speaker/Trainer on Intellectual Property	Transportation, meals & lodging
13.	John Jay College	September 25 - 26, 2018	New York, NY	Smart on Crime Summit Speaker	Transportation, meals & lodging
14.	Florida Bar Association	October 17 - 18, 2018	Tampa, FL	Criminal Justice Summit Speaker	Transportation, meals & lodging
15.	The Trial Network	October 25 - 28, 2018	Half Moon Bay, CA	Fall Conference Speaker	Transportation, meals & lodging
16.	National Conference of Bankruptcy Judges	October 28 - 31, 2018	San Antonio, TX	Annual Conference Speaker	Transportation, meals & lodging
17.	ABA Criminal Justice Section	November 1 - 4, 2018	Washington, D.C.	Fall Leadership Conference	Transportation, meals & lodging
18.	Center for Human Rights, UN Forum on Business and Human Rights	November 27 - 30, 2018	Geneva, Switzerland	Meeting Chair/Attendee	Transportation, meals & lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1 (H)									
2. Morgan Stanley Private Bank NA (Cash) (X)	A	Interest	J	T					
3. AAM Bahl & Graynor Inc. GWC (AFYCX)	A	Dividend	K	T					
4. Allianzgi Income & Growth C (AZNCX)	A	Dividend	J	T					
5. American Balanced C (BALCX)	A	Dividend	J	T					
6. American Mutual C (AMCFX)	A	Dividend	J	T					
7. Blackrock Hi Yield Bd PTF C (BHYCX)	A	Dividend	J	T					
8. BROKERAGE #1 (H)									
9. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
10. Columbia Emerging Mkts Cons (ECON)	A	Dividend	J	T					
11. Ishares S&P 500 Val ETF (IVE)	A	Dividend	J	T					
12. AB SMALL CAP GRW ADV (QUAYX)	A	Dividend	J	T					
13. Allianzgi NFG J Div Value (ADJPX)	B	Dividend	J	T	Buy (add'l)	12/31/18	J		
14. American AMCAP (AMCFX)	B	Dividend	J	T					
15. AMERICAN GW FD OF AMERICA F2 (GFFFX)	B	Dividend	J	T					
16. American WA Mutual (WMFFX)	A	Dividend	J	T					
17. ARIEL FUND (ARGFX)	A	Dividend			Sold	06/11/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Brown Adv Sm Cp FNDMNTL (BIAUX)	A	Dividend	J	T					
19. Cohen & Steers Pref Sec&Inc I (CPXIX)	A	Dividend	J	T					
20. Domini Int'l Social Equity Fund (DOMIX)	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
21. First Trust Preferred Sec & Inc I (FPEIX)	A	Dividend	J	T					
22. Guggenheim Tot Ret Bd I (GIBIX)	A	Dividend	K	T					
23. Hartford Midcap I (HFMIX)	A	Dividend	J	T					
24. John Hancock Shr Dur Cr Opp (JMBIX)	A	Dividend	J	T					
25. LORD ABBETT INCOME F (LAUFX)	A	Dividend	K	T					
26. Nuance Mid Cap Value Instnl (NMVLX)	A	Dividend	J	T	Buy	06/11/18	J		
27. BROKERAGE #2 (H)									
28. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
29. British American Tobacco (BTI)	A	Dividend	J	T					
30. Facebook (FB)		None	L	T					
31. First Horizon (FHN)	A	Dividend	J	T					
32. Ford Motor Co (F)	A	Dividend	J	T					
33. Proctor & Gamble (PG)	A	Dividend	K	T					

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34. LINCOLN LNL-CHOICEPLUS ASSURANCE SERIES VARIABLE ANNUITY (H)									
35. LVIP Blackrock Dividend Value		None	L	T					
36. LVIP Dimensional US Equity MNGD		None	K	T					
37. LVIP PIMCO Low Duration Bond		None	J	T					
38. LVIP Multi-Manager Global Equity		None	J	T					
39. LVIP JPM Select MID CAP Value MNGD		None	J	T					
40. LVIP Delaware VIP Diversified Income		None	K	T					
41. BROKERAGE #3 (H)									
42. Fidelity Blue Chip Growth (FBGRX) (X)	B	Dividend	K	T					
43. Fidelity 500 Index Inv (FUSEX) (X)	A	Dividend			Sold	06/06/18	J	A	
44. Amazon.com Inc (AMZN) (X)		None	J	T					
45. Microsoft Inc (MSFT) (X)	A	Dividend	J	T					
46. Twitter Inc (TWTR) (X)		None	J	T					
47. BROKERAGE #4 (H)									
48. Alteryx Inc (AYX) (X)		None	J	T					
49. Microsoft Corp (MSFT)	A	Dividend	J	T	Buy	01/29/18	J		

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50. Qualcomm Inc (QCOM) (X)	A	Dividend	J	T					
51. Square Inc (SQ) (X)		None			Sold	01/18/18	J		
52. Twitter (TWTR)		None	J	T					
53. Zoetis Inc (ZTS) (X)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bernice B. Donald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544