

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eisenberg, Dorothy	2. Court or Organization United States Bankruptcy Court Eastern District of New York	3. Date of Report 05/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 290 Federal Plaza - P.O. Box 9013 Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Franklin New York Tax Free	C	Dividend	L	T					
2. HPQ (Hewlett Packard)	A	Dividend	J	T	Sold (part)	01/10/11	J	A	T
3. HPQ (Hewlett Packard) Shares	A	Dividend	J	T	Sold (part)	05/16/11	J	A	T
4. HPQ (Hewlett Packard)	A	Dividend	J	T	Sold (part)	05/16/11	J	A	T
5. JPM (JP Morgan Chase) Shares	A	Dividend	J	T	Sold (part)	05/16/11	J		T
6. JPM (JP Morgan Chase) Shares	A	Dividend	J	T	Buy	05/24/11	J		T
7. JPM (JP Morgan Chase) Shares	A	Dividend	J	T	Buy	08/15/11	J		T
8. JPM (JP Morgan Chase) Shares	A	Dividend	J	T	Buy	08/29/11	J		T
9. JPM (JP Morgan Chase) Shares	A	Dividend	J	T	Sold (part)	09/26/11	J		T
10. JPM (JP Morgan Chase) Shares	A	Dividend	J	T	Sold (part)	11/29/11	J		T
11. CERN (CERNER CORP) - shares		None	J	T	Sold (part)	05/18/11	J	D	T
12. CERN (CERNER CORP) - shares		None	J	T	Sold (part)	04/28/11	J	C	T
13. CERN (CERNER CORP) - shares		None	J	T	Sold (part)	04/29/11	J	C	T
14. Citibank Money Market	A	Interest	J	T					
15. Drefus Liquid Assets (formerly Weston)	A	Dividend	J	T					
16. T (AT&T) - Shares	A	Dividend	J	T	Sold (part)	01/10/11	J	A	T
17. T (AT&T) Shares	A	Dividend	J	T	Sold (part)	01/11/11	J	A	T

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. T (AT&T) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
19. AMZN (Amazon) Shares		None			Buy	06/24/11	J		T
20. AMZN (Amazon) Shares		None			Buy	08/11/11	J		T
21. AMZN (Amazon) Shares		None			Buy	08/30/11	J		T
22. AMZN (Amazon) Shares		None			Buy	09/15/11	J		T
23. AMZN (Amazon) Shares		None			Sold	09/26/11	J	A	T
24. AMT (American Tower) Shares		None	J	T	Buy	09/12/11	J		T
25. AMT (American Tower) Shares		None			Sold	09/26/11	J	A	T
26. AAPL (Apple) Shares		None	J	T	Sold (part)	01/10/11	J	A	T
27. AAPL (Apple) Shares		None	J	T	Sold (part)	03/24/11	J	A	T
28. AAPL (Apple) Shares		None			Sold (part)	04/04/11	J	A	T
29. AAPL (Apple) Shares		None			Sold	09/26/11	J	C	T
30. BIDU (Baidu) - Shares		None			Buy	09/15/11	J		T
31. BIDU (Baidu) - Shares		None			Buy	03/24/11	J		T
32. BIDU (Baidu) - Shares		None			Buy	08/30/11	J		T
33. BIDU (Baidu) - Shares		None			Sold (part)	05/06/11	J	A	T
34. BIDU (Baidu) - Shares		None			Sold (part)	05/16/11	J	A	T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. BIDU (Baidu) - Shares		None			Sold	09/26/11	J		T
36. BA (Boeing) Shares	A	Dividend			Buy	05/17/11	J		T
37. BA (Boeing) Shares	A	Dividend			Buy	05/24/11	J		T
38. BA (Boeing) Shares	A	Dividend			Buy	03/24/11	J		T
39. BA (Boeing) Shares	A	Dividend			Sold	09/26/11	J		T
40. COF (Capital One) Shares	A	Dividend			Buy	04/27/11	J		T
41. COF (Capital One) Shares	A	Dividend			Buy	05/17/11	J		T
42. COF (Capital One) Shares	A	Dividend			Buy	05/24/11	J		T
43. COF (Capital One) Shares	A	Dividend			Sold (part)	08/08/11	J		T
44. COF (Capital One) Shares	A	Dividend			Buy	09/15/11	J		T
45. COF (Capital One) Shares	A	Dividend			Sold	09/26/11	J		T
46. DE (Deere & Co.) Shares	A	Dividend			Sold	02/25/11	J	A	T
47. DIS (Disney) Shares	A	Dividend	J	T	Buy	03/02/11	J		T
48. DIS (Disney) Shares	A	Dividend	J	T	Buy	05/17/11	J		T
49. DIS (Disney) Shares	A	Dividend	J	T	Buy	05/24/11	J		T
50. DIS (Disney) Shares	A	Dividend	J	T	Sold (part)	08/05/11	J	A	T
51. DIS (Disney) Shares	A	Dividend	J	T	Sold (part)	09/26/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. DIS (Disney) Shares	A	Dividend	J	T	Buy	12/23/11	J		T
53. DTV (Direct TV) Shares		None			Sold (part)	01/05/11	J	A	T
54. DTV (Direct TV) Shares		None			Buy	05/17/11	J		T
55. DTV (Direct TV) Shares		None			Buy	05/24/11	J		T
56. DTV (Direct TV) Shares		None			Sold	09/26/11	J	A	T
57. DOW (Dow Chemical) Shares	A	Dividend	J	T	Sold (part)	02/25/11	J	A	T
58. DOW (Dow Chemical) Shares	A	Dividend			Buy	05/17/11	J		T
59. DOW (Dow Chemical) Shares	A	Dividend			Sold (part)	09/16/11	J		T
60. DOW (Dow Chemical) Shares	A	Dividend			Sold	09/06/11	J		T
61. DD (EI DuPont) Shares	A	Dividend	J	T	Sold (part)	02/25/11	J	A	T
62. DD (EI DuPont) Shares	A	Dividend	J	T	Sold (part)	05/16/11	J	A	T
63. DD (EI Dupont) Shares	A	Dividend	J	T	Buy	05/17/11	J		T
64. DD (EI Dupont) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
65. ESRX (Express Scripts) Shares		None	J	T	Sold (part)	04/04/11	J		T
66. ESRX (Express Script) Shares		None			Buy	05/24/11	J		T
67. ESRX (Express Script) Shares		None			Buy	08/02/11	J		T
68. ESRX (Express Script) Shares		None			Sold	09/26/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. F (Ford) Shares		None			Sold (part)	04/13/11	J	A	T
70. F (Ford) Shares		None			Buy	05/17/11	J		T
71. F (Ford) Shares		None			Sold (part)	07/08/11	J	A	T
72. F (Ford) Shares		None			Sold	08/05/11	J		T
73. GOOG (Google) Shares		None			Sold (part)	04/15/11	J		T
74. GOOG (Google) Shares		None			Sold (part)	06/20/11	J		T
75. GOOG (Google) Shares		None			Buy	08/11/11	J		T
76. GOOG (Google) Shares		None			Buy	08/30/11	J		T
77. GOOG (Google) Shares		None			Buy	09/15/11	J		T
78. GOOG (Google) Shares		None			Buy	09/20/11	J		T
79. GOOG (Google) Shares		None			Sold	09/26/11	J	A	T
80. HAL (Halliburton) Shares	A	Dividend			Buy	04/21/11	J		T
81. HAL (Halliburton) Shares	A	Dividend			Buy	05/17/11	J		T
82. HAL (Halliburton) Shares	A	Dividend			Buy	08/15/11	J		T
83. HAL (Halliburton) Shares	A	Dividend			Buy	08/30/11	J		T
84. HAL (Halliburton) Shares	A	Dividend			Sold (part)	09/20/11	J		T
85. HAL (Halliburton) Shares	A	Dividend			Sold (part)	09/22/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. HAL (Halliburton) Shares	A	Dividend			Sold	09/26/11	J		T
87. IBM - Shares	A	Dividend			Buy	05/17/11	J		T
88. IBM - Shares	A	Dividend			Buy	05/24/11	J		T
89. IBM - Shares	A	Dividend			Buy	09/15/11	J		T
90. IBM - Shares	A	Dividend			Sold	10/11/11	J	A	T
91. IBM (Shares	A	Dividend	J	T	Sold (part)	09/26/11	J	B	T
92. LVS (Las Vegas Sands) Shares		None			Sold (part)	02/04/11	J	A	T
93. LVS (Las Vegas Sands) Shares		None			Sold (part)	03/02/11	J	A	T
94. LVS (Las Vegas Sands) Shares		None			Sold	03/08/11	J		T
95. MCD (McDonalds) Shares	A	Dividend	J	T	Sold (part)	02/08/11	J	A	T
96. MCD (McDonalds) Shares	A	Dividend	J	T	Buy	08/11/11	J		T
97. MCD (McDonalds) Shares	A	Dividend	J	T	Sold (part)	09/26/11	J	A	T
98. MRK (Merck & Co) Shares	A	Dividend			Sold	01/03/11	J	A	T
99. MET (Met Life) Shares	A	Dividend	J	T	Buy	05/17/11	J		T
100. MET (Met Life) Shares	A	Dividend	J	T	Buy	06/30/11	J		T
101. MET (Met Life) Shares	A	Dividend	J	T	Sold (part)	09/06/11	J		T
102. MET (Met Life) Shares	A	Dividend	J	T	Sold (part)	09/26/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. NTAP (Netapp) Shares		None			Buy	04/27/11	J		T
104. NTAP (Netapp) Shares		None			Buy	05/12/11	J		T
105. NTAP (Netapp) Shares		None			Sold (part)	08/02/11	J		T
106. NTAP (Netapp) Shares		None			Sold	08/18/11	J		T
107. OXY (Occidental Petroleum) Shares	A	Dividend	J	T	Sold (part)	04/04/11	J	A	T
108. OXY (Occidental Petroleum) Shares	A	Dividend			Sold (part)	04/06/11	J	A	T
109. OXY (Occidental Petroleum) Shares	A	Dividend			Sold	04/13/11	J	A	T
110. ORCL (Oracle) Shares		None			Buy	05/17/11	J		T
111. ORCL (Oracle) Shares		None			Buy	05/24/11	J		T
112. ORCL (Oracle) Shares		None			Sold	09/26/11	J	A	T
113. BTU (Peabody Energy) Shares	A	Dividend			Sold (part)	04/13/11	J	A	T
114. BTU (Peabody Energy) Shares	A	Dividend			Sold (part)	05/16/11	J	A	T
115. BTU (Peabody Energy) Shares	A	Dividend			Sold (part)	06/11/11	J	A	T
116. BTU (Peabody Energy) Shares	A	Dividend			Sold (part)	06/13/11	J	A	T
117. BTU (Peabody Energy) Shares	A	Dividend			Sold	08/23/11	J		T
118. PM (Phillip Morris) Shares	A	Dividend			Sold (part)	01/05/11	J	A	T
119. PM (Phillip Morris) Shares	A	Dividend			Sold (part)	01/10/11	J	A	T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PM (Phillip Morris) Shares	A	Dividend			Sold (part)	01/13/11	J	A	T
121. PM (Phillip Morris) Shares	A	Dividend			Sold	09/26/11	J		T
122. RHT (Red Hat) Shares		None	J	T	Buy	05/17/11	J		T
123. RHT (Red Hat) Shares		None			Buy	05/24/11	J		T
124. RHT (Red Hat) Shares		None			Sold (part)	06/17/11	J	A	T
125. RHT (Red Hat) Shars		None			Sold	08/02/11	J		T
126. SLB (Schlumberger) Shares	A	Dividend			Buy	05/17/11	J		T
127. SLB (Schlumberger) Shares	A	Dividend			Buy	06/17/11	J		T
128. SLB (Schlumberger) Shares	A	Dividend			Buy	08/05/11	J		T
129. SLB (Schlumberger) Shares	A	Dividend			Sold (part)	09/20/11	J		T
130. SLB (Schlumberger) Shares	A	Dividend			Sold	09/26/11	J		T
131. HOT (Starwood) Shares		None	J	T	Buy	02/04/11	J		T
132. HOT (Starwood) Shares		None			Buy	05/17/11	J		T
133. HOT (Starwood) Shares		None			Buy	05/24/11	J		T
134. TGT (Target) Shares		None			Sold	02/02/11	J		T
135. TEVA (Teva Pharmacy) Shares		None			Sold	02/09/11	J		T
136. MMM (3M Co) Shares	A	Dividend	J	T	Sold (part)	01/05/11	J	A	T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. UNP (Union Pacific) Shares	A	Dividend			Buy	05/17/11	J		T
138. UNP (Union Pacific) Shares	A	Dividend			Sold (part)	08/2/11	J	A	T
139. UNP (Union Pacific) Shares	A	Dividend			Sold	09/26/11	J	A	T
140. UPS (United Parcel Service) Shares	A	Dividend	J	T	Buy	05/17/11	J		T
141. UPS (United Parcel Service) Shares	A	Dividend	J	T	Sold (part)	08/02/11	J	A	T
142. UPS (United Parcel Service) Shares	A	Dividend	J	T	Sold (part)	08/08/11	J		T
143. UPS (United Parcel Service) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
144. FCX (Freeport McMoran) Shares	A	Dividend			Sold (part)	01/26/11	J	A	T
145. FCX (Freeport McMoran) Shares	A	Dividend			Sold (part)	04/15/11	J	A	T
146. FCX (Freeport McMoran) Shares	A	Dividend			Buy	05/17/11	J		T
147. FCX (Freeport McMoran) Shares	A	Dividend			Sold	06/05/11	J		T
148. GS (Goldman Sachs) Shares	A	Dividend			Sold (part)	02/09/11	J	A	T
149. GS (Goldman Sachs) Shares	A	Dividend			Sold (part)	05/16/11	J		T
150. GS (Goldman Sachs) Shares	A	Dividend			Buy	05/24/11	J		T
151. GS (Goldman Sachs) Shares	A	Dividend			Sold (part)	08/08/11	J		T
152. GS (Goldman Sachs) Shares	A	Dividend			Sold	09/02/11	J		T
153. UTX (United Tech) Shares	A	Dividend	J	T	Buy	05/17/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. UTX (United Tech) Shares	A	Dividend	J	T	Buy	05/24/11	J		T
155. UTX (United Tech) Shares	A	Dividend	J	T	Buy	08/15/11	J		T
156. WFC (Wells Fargo) Shares	A	Dividend			Buy	02/09/11	J		T
157. WFC (Wells Fargo) Shares	A	Dividend			Sold (part)	04/15/11	J		T
158. WFC (Wells Fargo) Shares	A	Dividend			Sold	04/20/11	J		T
159. ARTQX - Mutual Fund	A	Dividend	J	T	Sold (part)	09/26/11	J	A	T
160. GGOIX - Mutual Fund		None			Sold (part)	01/17/11	J	A	T
161. GGOIX - Mutual Fund		None			Sold	05/17/11	J	C	T
162. OAKIX - Mutual Fund		None			Sold (part)	05/17/11	K	D	T
163. OAKIX - Mutual Fund		None			Sold	09/26/11	K		T
164. LZEMX - Mutual Fund	B	Dividend	K	T	Sold (part)	09/26/11	K		T
165. LZEMX - Mutual Fund	B	Dividend	K	T	Sold (part)	05/17/11	J	A	T
166. PTPPX - Mutual Fund	B	Dividend	K	T	Sold (part)	01/07/11	J		T
167. PTPPX - Mutual Fund	B	Dividend	K	T	Buy	05/17/11	J		T
168. PTPPX - Mutual Fund	B	Dividend	K	T	Buy	05/24/11	J		T
169. PTPPX - Mutual Fund	B	Dividend	K	T	Buy	09/26/11	J		T
170. PREMIX - Mutual Fund	B	Dividend	K	T	Buy	05/17/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. PREMIX - Mutual Fund	B	Dividend	K	T	Buy	05/24/11	J		T
172. PREMIX - Mutual Fund	B	Dividend	K	T	Buy	09/26/11	J		T
173. RYPRX - Mutual Fund	A	Dividend	J	T					
174. TGBAX - Mutual Fund	B	Dividend			Sold	09/26/11	J		T
175. C (Citigroup) Shares	A	Dividend			Buy	01/03/11	J		T
176. C (Citigroup) Shares	A	Dividend			Buy	01/05/11	J		T
177. C (Citigroup) Shares	A	Dividend			Buy	03/10/11	J		T
178. C (Citigroup) Shares	A	Dividend			Sold (part)	05/06/11	J		T
179. C (Citigroup) Shares	A	Dividend			Sold (part)	06/13/11	J		T
180. C (Citigroup) Shares	A	Distribution			Sold	09/26/11	J		T
181. MSFT (Microsoft) Shares	A	Dividend	J	T	Buy	07/11/11	J		T
182. MSFT (Microsoft) Shares	A	Dividend	J	T	Buy	07/19/11	J		T
183. MSFT (Microsoft) Shares	A	Dividend	J	T	Buy	08/05/11	J		T
184. MSFT (Microsoft) Shares	A	Dividend	J	T	Sold (part)	09/26/11	J		T
185. MSFT (Microsoft) Shares	A	Dividend	J	T	Buy	11/28/11	J		T
186. VOT (Vanguard Mid Corp.) Shares	A	Dividend	J	T	Buy	05/17/11	J		T
187. VOT (Vanguard Mid Corp.) Shares	A	Dividend	J	T	Buy	05/24/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. VOT (Vanguard Mid Corp) Shares	A	Dividend	J	T	Sold (part)	09/26/11	J		T
189. TIP - Shares	A	Dividend	J	T	Buy	09/26/11	J		T
190. Investco Money Market	A	Dividend	J	T					
191. MADVX - Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/11	J	A	T
192. FINSX - Mutual Fund		None	J	T	Buy	09/26/11	J		T
193. RTN (Raytheon) Shares		None	J	T	Buy	09/26/11	J		T
194. MLAIX - Mutual Fund		None	J	T	Buy	05/17/11	J		T
195. NGRRX - Mutual Fund	A	Dividend	J	T	Sold (part)	05/17/11	J	A	T
196. NGRRX - Mutual Fund	A	Dividend	J	T	Sold (part)	09/26/11	J		T
197. PTSPX - Mutual Fund	A	Dividend	J	T					
198. PHLPX - Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/11	J	A	T
199. NGRRX - Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/11	J	A	T
200. TGVIX - Mutual Fund	A	Dividend	J	T	Sold (part)	01/07/11	J	A	T
201. TGVIX - Mutual Fund	A	Dividend	J	T	Sold (part)	05/17/11	J	A	T
202. TGVIX - Mutual Fund	A	Dividend	J	T	Sold (part)	09/26/11	J		T
203. GSMCX - Mutual Fund		None			Sold (part)	01/07/11	J	A	T
204. GSMCX - Mutual Fund		None			Sold	05/17/11	J	A	T

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. JCAPX - Mutual Fund		None			Sold (part)	01/07/11	J	A	T
206. JCAPX - Mutual Fund		None			Sold	09/26/11	J		T
207. MCGIX - Mutual Fund		None			Sold (part)	01/07/11	J	A	T
208. MCGIX - Mutual Fund		None			Sold	05/17/11	J	B	T
209. Morgan Stanley Liquid Asset	A	Dividend	J	T					
210. ABT (Abbott Labs) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
211. AEP (American Elec. Power) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
212. ADP (Automatic Data Proc.) Shares		None	J	T	Buy	09/26/11	J		T
213. BBL (BHP) Shares	A	Dividend	J	T	Buy	11/30/11	J		T
214. BMY (Bristol Myers) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
215. CVX (Chevron) Shares	A	Dividend	J	T	Buy	04/15/11	J		T
216. CVX (Chevron) Shares	A	Dividend	J	T	Sold (part)	08/08/11	J		T
217. CVX (Chevron) Shares	A	Dividend	J	T	Sold (part)	08/09/11	J		T
218. CVX (Chevron) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
219. CMCSK (Comcast) Share	A	Dividend	J	T	Buy	09/26/11	J		T
220. COP (ConocoPhillips) Shares	A	Dividend	J	T	Sold (part)	05/03/11	J	A	T
221. COP (ConocoPhillips) Shares	A	Dividend	J	T	Sold (part)	05/13/11	J	A	T

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. COP (ConocoPhillips) Shares	A	Dividend	J	T	Sold (part)	08/08/11	J		T
223. XOM (Exxon Mobil) Shares	A	Dividend	J	T	Buy	02/25/11	J		T
224. XOM (Exxon Mobil) Shares	A	Dividend	J	T	Buy	04/04/11	J		T
225. XOM (Exxon Mobil) Shares	A	Dividend	J	T	Buy	04/06/11	J		T
226. GE (General Electric) Shares		None	J	T	Buy	09/26/11	J		T
227. GE (General Electric) Shares		None	J	T	Sold (part)	11/29/11	J		T
228. GIS (General Mills) Share	A	Dividend	J	T	Buy	09/26/11	J		T
229. HNZ (HJ Heinz)		None	J	T	Buy	09/26/11	J		T
230. HD (Home Depot) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
231. INTC (Intel) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
232. IP (International Paper) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
233. JCI (Johnson Control) Shares		None	J	T	Buy	11/16/11	J		T
234. KMB (Kimberly Clark) Share		None	J	T	Buy	09/26/11	J		T
235. NEE (Nextera) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
236. NEE (Nextera) Shares	A	Dividend	J	T	Buy	10/20/11	J		T
237. PPG (PPG Industries) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
238. PBCT (People United Financial) Shares	A	Dividend	J	T	Buy	09/26/11	J		T

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. PEP (Pepsico) Shares		None	J	T	Buy	09/26/11	J		T
240. PFE (Pfizer) Shares	A	Dividend	J	T	Buy	03/16/11	J		T
241. PFE (Pfizer) Shares	A	Dividend	J	T	Buy	05/04/11	J		T
242. PG (Proctor & Gamble) Shares	A	Dividend	J	T	Buy	05/13/11	J		T
243. PG (Proctor & Gamble) Shares	A	Dividend	J	T	Buy	05/24/11	J		T
244. PG (Proctor & Gamble) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
245. QCOM (Qualcomm) Shares	A	Dividend	J	T	Sold (part)	08/26/11	J		T
246. QCOM (Qualcomm) Shares	A	Dividend	J	T	Sold (part)	09/26/11	J		T
247. RTN (Raytheon Co) Shares	A	Dividend	J	T	Sold (part)	11/29/11	J	A	T
248. SE (Spectra Energy) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
249. SPLS (Staples) Shares		None	J	T	Buy	09/26/11	J		T
250. TXN (Texas Instruments)	A	Dividend	J	T	Buy	10/05/11	J		T
251. TWX (Time Warner) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
252. TOT (Total S.A.) Shares		None	J	T	Buy	09/26/11	J		T
253. TRV (Travelers) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
254. UTX (United Tech) Shares	A	Dividend	J	T	Sold (part)	09/26/11	J		T
255. VZ (Verizon) Shares	A	Dividend	J	T	Buy	09/26/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. WMT (Walmart) Shares		None	J	T	Buy	09/26/11	J		T
257. WM (Waste Management) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
258. WEC (Wisconsin) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
259. WEC (Wisconsin) Shares	A	Dividend	J	T	Buy	12/21/11	J		T
260. EFA (1Share MSCI) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
261. INVESTCO - Money Market	A	Dividend	J	T					
262. BSCFX - Mutual Fund		None	J	T	Buy	05/17/11	J		T
263. BSCFX - Mutual Fund		None	J	T	Buy	05/24/11	J		T
264. DTINX - Mutual Fund	A	Dividend	J	T	Buy	09/26/11	J		T
265. PLDPX - Mutual Fund	A	Dividend	J	T	Buy	09/26/11	J		T
266. HIEMX - Mutual Fund	A	Dividend	K	T	Buy	09/26/11	K		T
267. STHYX - Mutual Fund	A	Dividend	K	T	Buy	09/26/11	K		T
268. JCAPX - Mutual Fund		None	J	T	Buy	05/17/11	J		T
269. ARTQX - Mutual Fund		None	J	T	Buy	05/17/11	J		T
270. MADVX - Mutual Fund	A	Dividend	J	T	Buy	05/17/11	J		T
271. MADVX - Mutual Fund	A	Dividend	J	T	Buy	05/24/11	J		T
272. PTSPX - Mutual Fund	A	Dividend	J	T	Buy	09/26/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. PHLPX - Mutual Fund	A	Dividend	J	T	Buy	09/26/11	J		T
274. PCP (Precision Castparts) Shares	A	Dividend			Sold	09/26/11	J	A	T
275. COP (ConocoPhillips) Shares	A	Dividend	J	T	Sold (part)	08/09/11	J	A	T
276. COP (ConocoPhillips) Shares	A	Dividend	J	T	Buy	01/05/11	J		T
277. COP (ConocoPhillips) Shares	A	Dividend	J	T	Buy	02/08/11	J		T
278. COP (ConocoPhillips) Shares	A	Dividend	J	T	Buy	03/23/11	J		T
279. COP (ConocoPhillips) Shares	A	Dividend	J	T	Buy	02/19/11	J		T
280. MET (Metlife) Shares	A	Dividend	J	T	Buy	01/05/11	J		T
281. MET (Metlife) Shares	A	Dividend	J	T	Buy	03/03/11	J		T
282. QCOM (Qualcomm) Shares	A	Dividend	J	T	Buy	01/05/11	J		T
283. QCOM (Qualcomm) Shares	A	Dividend	J	T	Buy	01/28/11	J		T
284. CRM (Salesforce.com) Shares		None			Sold	02/25/11	J	A	T
285. HOT (Starwood) Shares		None			Sold	08/04/11	J		T
286. VALE Shares	A	Dividend			Sold	04/06/11	J	A	T
287. AGN (Allergan) Shares		None	J	T	Buy	09/22/11	J		T
288. AGN (Allergan) Shares		None			Sold	09/26/11	J	A	T
289. APA (Apache) Shares	A	Dividend			Buy	01/15/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. APA (Apache) Shares	A	Dividend			Buy	03/30/11	J		T
291. APA (Apache) Shares	A	Dividend			Buy	05/24/11	J		T
292. APA (Apache) Shares	A	Dividend			Sold (part)	09/06/11	J		T
293. APA (Apache) Shares	A	Dividend			Sold	09/26/11	J		T
294. AXP (American Express) Shares	A	Dividend			Buy	05/23/11	J		T
295. AXP (American Express) Shares	A	Dividend			Buy	06/17/11	J		T
296. AXP (American Express) Shares	A	Dividend			Buy	06/23/11	J		T
297. AXP (American Express) Shares	A	Dividend			Sold	09/26/11	J		T
298. BHP (Billiton) Shares		None			Buy	02/08/11	J		T
299. BHP (Billiton) Shares		None			Sold	05/13/11	J		T
300. CSX Shares	A	Dividend			Buy	01/13/11	J		T
301. CSX Shares	A	Dividend			Buy	01/26/11	J		T
302. CSX Shares	A	Dividend			Buy	03/24/11	J		T
303. CSX Shares	A	Dividend			Buy	05/17/11	J		T
304. CSX Shares	A	Dividend			Sold (part)	08/23/11	J		T
305. CSX Shares	A	Dividend			Sold (part)	09/22/11	J		T
306. CSX Shares	A	Dividend			Sold	09/26/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. CVS Shares	A	Dividend			Buy	06/02/11	J		T
308. CVS Shares	A	Dividend			Buy	08/24/11	J		T
309. CVS Shares	A	Dividend			Buy	09/06/11	J		T
310. CVS Shares	A	Dividend			Buy	09/15/11	J		T
311. CVS Shares	A	Dividend			Sold	09/26/11	J		T
312. EMC Corp. Shares		None			Buy	04/20/11	J		T
313. EMC Corp. Shares		None			Buy	04/21/11	J		T
314. EMC Corp. Shares		None			Buy	05/13/11	J		T
315. EMC Corp. Shares		None			Buy	05/17/11	J		T
316. EMC Corp. Shares		None			Buy	05/24/11	J		T
317. EMC Corp. Shares		None			Sold (part)	08/18/11	J		T
318. EMC Corp. Shares		None			Sold	09/26/11	J		T
319. GM Shares	A	Dividend			Buy	01/11/11	J		T
320. GM Shares	A	Dividend			Buy	04/04/11	J		T
321. GM Shares	A	Dividend			Buy	05/17/11	J		T
322. GM Shares	A	Dividend			Buy	05/24/11	J		T
323. GM Shares	A	Dividend			Sold	06/02/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. GR (Goodrich) Shares	A	Dividend			Buy	02/02/11	J		T
325. GR (Goodrich) Shares	A	Dividend			Sold	04/13/11	J		T
326. GWW (WW Grainger) Shares		None	J	T	Buy	09/26/11	J		T
327. JNPR (Juniper) Shares		None			Buy	05/13/11	J		T
328. JNPR (Juniper) Shares		None			Buy	05/17/11	J		T
329. JNPR (Juniper) Shares		None			Buy	05/24/11	J		T
330. JNPR (Juniper) Shares		None			Sold	09/20/11	J		T
331. KFT (Kraft) Shares		None			Buy	09/08/11	J		T
332. KFT (Kraft) Shares		None			Sold	09/26/11	J		T
333. MA (Mastercard) Shares		None			Buy	09/16/11	J		T
334. MA (Mastercard) Shares		None			Buy	09/20/11	J		T
335. MA (Mastercard) Shares		None			Sold	09/26/11	J		T
336. MADVX - Mutual Funds	A	Dividend	J	T	Sold (part)	09/26/11	J		T
337. OAKIX - Mutual Fund		None	J	T	Buy	05/24/11	J		T
338. NWSA (News Corp.) Shares		None	J	T	Buy	07/21/11	J		T
339. NWSA (News Corp.) Shares		None			Buy	08/15/11	J		T
340. NWSA (News Corp.) Shares		None			Buy	08/24/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. NWSA (News Corp.) Shars		None			Sold	09/26/11	J		T
342. RIO Shares	A	Dividend	J	T	Buy	02/09/11	J		T
343. RIO Shares	A	Dividend			Sold	05/03/11	J		T
344. STJ (St. Jude Medical) Shares		None			Buy	04/04/11	J		T
345. STJ (St. Jude Medical) Shares		None			Buy	05/03/11	J		T
346. STJ (St. Jude Medical) Shares		None			Buy	05/17/11	J		T
347. STJ (St. Jude Medical) Shares		None			Buy	05/24/11	J		T
348. STJ (St. Jude Medical) Shares		None			Sold (part)	09/16/11	J		T
349. STJ (St. Jude Medical) Shares		None			Sold (part)	09/22/11	J		T
350. STJ (St. Jude Medical) Shares		None			Sold	09/26/11	J		T
351. UNH (United Health) Shares		None			Buy	05/04/11	J		T
352. UNH (United Health) Shares		None			Buy	05/24/11	J		T
353. UNH (United Health) Shares		None			Buy	06/02/11	J		T
354. UNH (United Health) Shares		None			Buy	06/20/11	J		T
355. UNH (United Health) Shares		None			Sold	09/26/11	J		T
356. VIAB (Viacom) Shares	A	Dividend	J	T	Buy	05/06/11	J		T
357. VIAB (Viacom)	A	Dividend			Buy	05/12/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. VIAB (Viacom)	A	Dividend			Buy	05/24/11	J		T
359. VIAB (Viacom)	A	Dividend			Buy	07/11/11	J		T
360. VIAB (Viacom)	A	Dividend			Sold	09/26/11	J		T
361. WLP (Wellpoint) Shares	A	Dividend			Buy	03/1/11	J		T
362. WLP (Wellpoint) Shares	A	Dividend			Buy	04/15/11	J		T
363. WLP (Wellpoint) Shares	A	Dividend			Buy	04/27/11	J		T
364. WLP (Wellpoint) Shares	A	Dividend			Buy	05/17/11	J		T
365. WLP (Wellpoint) Shares	A	Dividend			Buy	05/24/11	J		T
366. WLP (Wellpoint) Shares	A	Dividend			Sold	09/26/11	J		T
367. XOM (Exxon) Shares	A	Dividend	J	T	Buy	05/24/11	J		T
368. XOM (Exxon) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
369. JNJ (Johnson & Johnson) Shares	A	Dividend	J	T	Buy	05/03/11	J		T
370. JNJ (Johnson & Johnson) Shares	A	Dividend	J	T	Buy	05/13/11	J		T
371. JNJ (Johnson & Johnson) Shares	A	Dividend	J	T	Buy	05/17/11	J		T
372. JNJ (Johnson & Johnson) Shares	A	Dividend	J	T	Buy	05/27/11	J		T
373. JNJ (Johnson & Johnson) Shares	A	Dividend	J	T	Buy	06/30/11	J		T
374. JNJ (Johnson & Johnson) Shares	A	Dividend	J	T	Sold (part)	09/26/11	J		T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. PFE (Pfizer) Shares	A	Dividend	J	T	Buy	05/17/11	J		T
376. PFE (Pfizer) Shares	A	Dividend	J	T	Buy	05/24/11	J		T
377. QCOM (Qualcomm) Shares	A	Dividend	J	T	Buy	04/15/11	J		T
378. QCOM (Qualcomm) Shares	A	Dividend	J	T	Buy	05/17/11	J		T
379. QCOM (Qualcomm) Shares	A	Dividend	J	T	Buy	05/24/11	J		T
380. RTN (Raytheon) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
381. MMM (3-M) Shares	A	Dividend	J	T	Buy	09/26/11	J		T
382. LZEMX - Mutual Fund	B	Dividend	K	T	Buy	05/17/11	J		T
383. LZEMX - Mutual Fund	B	Dividend	K	T	Buy	05/24/11	J		T
384. OAKIX - Mutual Fund		None	J	T	Buy	05/24/11	J		T
385. ORCL (Oracle) Shares		None			Buy	05/17/11	J		T
386. ORCL (Oracle) Shares		None			Buy	05/24/11	J		T
387. ORCL (Oracle) Shares		None			Sold	09/26/11	J	A	T
388. GWW (WW Grainger) Shares		None			Sold	10/28/11	J	A	T
389. RTN (Raytheon) Shares	A	Dividend	J	T	Sold (part)	11/29/11	J	A	T
390. MMM (3M) Shares	A	Dividend	J	T	Sold (part)	01/15/11	J	A	T
391. PREMIX - Mutual Fund	B	Dividend	K	T	Sold (part)	05/17/11	J	A	T

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. GOOG (Google) shares		None	J	T	Sold (part)	04/05/11	J	A	T
393. Nuance - Shares		None	J	T					
394. Nuance - Shares		None	J	T	Buy	07/25/11	J		T
395.									
396.									
397. Lincoln Life & Annuity	A	Int./Div.	K	T					
398. HSBC BANK checking acct./savings account	A	Interest	L	T					
399. Coop - ████████, NYC		None	N	W					
400. 7.30% Int. in No.2 Assoc. Ltd. has 12.5% int. in partnership		None	J	W					
401.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 28 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In 1990, all previously owned IRA accounts were transferred to Shuffro Rose & Ehrman, Investment Advisors, and placed into an IRA account wherein the firm has the discretion to buy or sell investments. I am not consulted before they act, but can instruct them to change the purchase after I learn of the transaction. In addition, in 1990, I opened a non-IRA account with Shufro Rose & Ehrman, similar to that stated above. These accounts have been transferred to Morgan Stanley Smith Barney as of early 2010. They have authority to buy and sell without my prior authorization. The report as to shares bought and sold was provided to me by my broker. The only purchase of stock by me is for shares in Nuance as reported.

VII. INVESTMENTS

The value of my interest in No. 2 Associates is difficult to determine since the value of the equity after expense can only be determined when the real property is sold.

FINANCIAL DISCLOSURE REPORT

Page 29 of 29

Name of Person Reporting

Eisenberg, Dorothy

Date of Report

05/24/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Dorothy Eisenberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544