

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gilliam Jr., Haywood S.	2. Court or Organization U.S. District Court, Northern District of California	3. Date of Report 07/30/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 1301 Clay Street Oakland, CA 94612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Board President	Northern District of California Practice Program
3. Board of Governors Member	Association of Business Trial Lawyers Northern California Chapter
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	San Francisco Superior Court (salary)
2. 2017	Equal Justice Society (compensation for contract work)
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Association of Business Trial Lawyers	October 5-6, 2017	San Diego, CA	Panelist: Judicial Perspectives in Managing Crisis Litigation	Transportation and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank cash accounts	A	Interest	L	T					
2. Chase cash accounts	A	Interest	J	T					
3. Security Benefit Life Total Value Annuity (fixed annuity)	A	Interest	L	T					
4. IRA #1 (H)									
5. Pershing Prime Reserves MMF (Y)									
6. Pershing Cash-Equivalent Account (Y)									
7. Federated Treasury Obligations Capital MMF	A	Int./Div.	J	T	Sold (part)	01/11/17	K		
8.					Buy (add'l)	01/12/17	J		
9.					Buy (add'l)	01/13/17	K		
10.					Sold (part)	01/25/17	J		
11.					Sold (part)	02/21/17	J		
12.					Sold (part)	03/03/17	J		
13.					Buy (add'l)	03/23/17	J		
14.					Sold (part)	04/10/17	J		
15.					Sold (part)	04/11/17	J		
16.					Sold (part)	04/28/17	J		
17.					Buy (add'l)	06/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$250,001 - \$500,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18.					Sold (part)	06/19/17	J		
19.					Sold (part)	06/21/17	J		
20.					Sold (part)	06/26/17	J		
21.					Sold (part)	07/10/17	J		
22.					Sold (part)	07/13/17	J		
23.					Buy (add'l)	07/31/17	J		
24.					Sold (part)	08/14/17	J		
25.					Sold (part)	08/15/17	J		
26.					Buy (add'l)	09/08/17	J		
27.					Sold (part)	09/29/17	J		
28.					Sold (part)	10/10/17	J		
29.					Sold (part)	12/22/17	J		
30.					Buy (add'l)	12/27/17	J		
31.					Buy (add'l)	12/28/17	J		
32. Steris PLC (STE)	A	Dividend	J	T	Buy	06/14/17	J		
33. Chubb Stock (CB)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
34.					Buy (add'l)	12/06/17	J		

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35. Aarons Inc (AAN)	A	Dividend	J	T	Buy	08/09/17	J		
36.					Buy (add'l)	09/27/17	J		
37. Albemarle Corp (ALB)	A	Dividend	J	T	Buy	06/21/17	J		
38. Andeavor (ANDV) (formerly Tesoro Logistics (TLLP))	A	Dividend	J	T	Buy	01/06/17	J		
39. Ameriprise Financial (AMP)	A	Dividend	J	T	Buy	01/06/17	J		
40. Antero Midstream Partners (AM)	A	Dividend	J	T	Buy	01/06/17	J		
41. Automatic Data Processing Stock (ADP)	A	Dividend			Sold (part)	01/06/17	J	A	
42.					Sold	07/25/17	J	B	
43. Bank of the Ozarks Stock (OZRK)	A	Dividend	J	T	Sold (part)	01/06/17	J	B	
44.					Sold (part)	02/02/17	J	A	
45. Becton Dickinson (BDX)	A	Dividend	J	T	Buy	01/06/17	J		
46.					Buy (add'l)	01/13/17	J		
47. Buckeye Partners (BPL)	A	Dividend	J	T	Buy	01/06/17	J		
48. Canadian National Railway (CNI)	A	Dividend	J	T	Buy	01/06/17	J		
49.					Sold (part)	06/07/17	J	A	
50. Cardinal Health Stock (CAH)	A	Dividend	J	T	Sold (part)	01/06/17	J		
51. Casey's General Store Stock (CASY)	A	Dividend	J	T	Buy (add'l)	01/06/17	J		

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52.					Buy (add'l)	08/09/17	J		
53. Church & Dwight Stock (CHD)	A	Dividend			Sold	01/06/17	J	B	
54. Costco Wholesale (COST)	A	Dividend	J	T	Buy	01/06/17	J		
55.					Buy (add'l)	02/15/17	J		
56.					Buy (add'l)	06/16/17	J		
57. Ecolab Stock (ECL)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
58. EOG Resources Stock (EOG)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
59. EQT Midstream Partners (EQM)	A	Dividend	J	T	Buy	01/06/17	J		
60. Enable Midstream Partners (ENBL)	A	Dividend	J	T	Buy	01/06/17	J		
61. Energy Transfer Partners LP (ETP)	A	Dividend			Buy (add'l)	01/06/17	J		
62.					Sold (part)	01/13/17	J		
63.					Sold	03/16/17	J		
64. Energy Transfer Equity LP (ETE) (X)		None			Sold	01/06/17	J		
65. Enterprise Products Partners LP (EPD)	A	Dividend	J	T	Sold (part)	01/06/17	J		
66. Factset Research Systems Stock (FDS)	A	Dividend			Sold (part)	01/06/17	J	A	
67.					Sold	02/02/17	J	B	
68. Flowers Foods Stock (FLO)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	

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69. General Dynamics (GD)	A	Dividend	J	T	Buy	01/06/17	J		
70. Genesis Energy LP (GEL)	A	Dividend			Sold (part)	01/06/17	J		
71.					Sold	12/18/17	J		
72. Hanover Ins Group (THG)	A	Dividend	J	T	Buy	07/13/17	J		
73.					Buy (add'l)	12/06/17	J		
74. Harris Corporation Stock (HRS)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
75. Helmerich & Payne Inc (HP)	A	Dividend			Buy	02/02/17	J		
76.					Buy (add'l)	07/10/17	J		
77.					Sold	09/01/17	J		
78. Henry Jack & Associates Stock (JKHY)	A	Dividend			Sold (part)	01/06/17	J		
79.					Sold	05/31/17	J	B	
80. Hormel Foods Stock (HRL)	A	Dividend	J	T	Sold (part)	01/06/17	J		
81. International Business Machines (IBM)	A	Dividend	J	T	Buy	12/20/17	J		
82. Illinois Tool Works (ITW)	A	Dividend	J	T	Buy	01/06/17	J		
83. Magellan Midstream Partners LP Stock (MMP)	A	Dividend	J	T	Sold (part)	01/06/17	J	D	
84. Medtronic PLC (MDT)		None			Buy	12/19/17	J		
85.					Sold	12/21/17	J		

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86. Microsoft Stock (MSFT)	A	Dividend	K	T	Sold (part)	01/06/17	J	A	
87.					Buy (add'l)	02/02/17	J		
88.					Buy (add'l)	02/28/17	J		
89. Nike Stock (NKE)	A	Dividend	J	T	Sold (part)	01/06/17	J		
90. Novo Nordisk Stock (NVO)	A	Dividend	J	T	Sold (part)	01/06/17	J		
91. Parker Hannifin Corporation Stock (PH)	A	Dividend	J	T					
92. Phillips 66 Partners (PSXP)	A	Dividend	J	T	Buy	01/06/17	J		
93. Perrigo Stock (PRGO)	A	Dividend			Sold (part)	01/06/17	J		
94.					Sold	01/13/17	J		
95. Plains All American Pipeline LP Stock (PAA)	A	Dividend			Sold	01/06/17	J		
96. Polaris Industries Stock (PII)	A	Dividend	J	T	Sold (part)	01/06/17	J		
97.					Sold (part)	12/06/17	J	B	
98. Raytheon Company (RTN)	A	Dividend	J	T	Buy	01/20/17	J		
99.					Buy (add'l)	02/02/17	J		
100. Rice Midstream Partners (RMP)	A	Dividend			Buy	04/06/17	J		
101.					Sold	12/22/17	J		
102. Roche Holdings Stock (RHHBY)	A	Dividend	J	T	Sold (part)	01/06/17	J		

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103.					Sold (part)	06/14/17	J		
104. Roper Industries Stock (ROP)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
105.					Sold (part)	02/02/17	J	A	
106. Ross Stores Stock (ROST)	A	Dividend	J	T	Buy (add'l)	01/06/17	J		
107. SEI Investments Stock (SEIC)	A	Dividend	J	T	Buy (add'l)	01/06/17	J		
108. Sherwin Williams Stock (SHW)	A	Dividend	J	T					
109. Shire PLC (SHPG)	A	Dividend	J	T	Buy	01/06/17	J		
110.					Buy (add'l)	02/28/17	J		
111.					Buy (add'l)	06/14/17	J		
112. Spectra Energy LP (SEP)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
113. Suncor Energy (SU)	A	Dividend	J	T	Buy	01/06/17	J		
114. Sunoco Logistics Partners LP (SXL)	A	Dividend			Sold (part)	01/06/17	J		
115.					Sold	03/16/17	J		
116. T. Rowe Price Group Stock (TROW)	A	Dividend			Sold (part)	01/06/17	J		
117.					Sold (part)	02/28/17	J		
118.					Sold	07/13/17	J	A	
119. TJX Stock (TJX)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Tallgrass Energy Partners (TEP)	A	Dividend	J	T	Buy	01/06/17	J		
121. Tallgrass Energy Group Cl A (TEGP)	A	Dividend	J	T	Buy	01/06/17	J		
122. Tencent Holdings (TCEHY)	A	Dividend	J	T	Buy	04/06/17	J		
123.					Buy (add'l)	08/10/17	J		
124.					Buy (add'l)	12/06/17	J		
125. Texas Instruments (TXN)	A	Dividend	K	T	Buy	01/06/17	J		
126.					Buy (add'l)	02/02/17	J		
127.					Buy (add'l)	02/28/17	J		
128.					Buy (add'l)	07/25/17	J		
129. Union Pacific Corp (UNP)	A	Dividend	J	T	Buy	04/25/17	J		
130.					Buy (add'l)	06/07/17	J		
131. United Technologies Corporation Stock (UTX)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
132.					Buy (add'l)	02/28/17	J		
133. Walmart Stores Inc. Stock (WMT)	A	Dividend	J	T	Buy (add'l)	06/16/17	J		
134. Western Gas Partners (WES)	A	Dividend	J	T	Buy	01/06/17	J		
135.					Buy (add'l)	01/13/17	J		
136. Western Gas Equity Partners (WGP)	A	Dividend	J	T	Buy	01/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Williams Partners (WPZ)	A	Dividend	J	T	Buy	01/06/17	J		
138. Xilinx Inc (XLNX)	A	Dividend	J	T	Buy	04/25/17	J		
139.					Buy (add'l)	06/14/17	J		
140.					Buy (add'l)	07/25/17	J		
141. Digital Realty Trust (DLR)	A	Dividend	J	T	Buy	01/06/17	J		
142. IRA # 2 (H)									
143. Pershing Government Account MMF (Y)									
144. Federated Treasury Obligations MNF (money market)	A	Distribution	J	T	Sold (part)	01/11/17	J		
145.					Buy (add'l)	01/20/17	J		
146.					Sold (part)	01/24/17	J		
147.					Buy (add'l)	02/13/17	J		
148.					Sold (part)	02/17/17	J		
149.					Buy (add'l)	03/03/17	J		
150.					Buy (add'l)	03/07/17	J		
151.					Sold (part)	03/10/17	J		
152.					Buy (add'l)	04/06/17	J		
153.					Sold (part)	04/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	04/12/17	J		
155.					Buy (add'l)	04/21/17	J		
156.					Sold (part)	04/25/17	J		
157.					Sold (part)	04/26/17	J		
158.					Buy (add'l)	05/03/17	J		
159.					Sold (part)	05/05/17	J		
160.					Buy (add'l)	05/10/17	J		
161.					Sold (part)	06/02/17	J		
162.					Buy (add'l)	06/08/17	J		
163.					Sold (part)	06/19/17	J		
164.					Buy (add'l)	06/22/17	J		
165.					Buy (add'l)	07/06/17	J		
166.					Sold (part)	07/10/17	J		
167.					Sold (part)	07/28/17	J		
168.					Sold (part)	08/04/17	J		
169.					Sold (part)	08/11/17	J		
170.					Buy (add'l)	08/22/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	08/28/17	J		
172.					Buy (add'l)	09/19/17	J		
173.					Buy (add'l)	10/01/17	J		
174.					Sold (part)	10/30/17	J		
175.					Sold (part)	11/02/17	J		
176.					Buy (add'l)	11/14/17	J		
177.					Buy (add'l)	11/21/17	J		
178.					Sold (part)	11/22/17	J		
179.					Sold (part)	11/30/17	J		
180.					Buy (add'l)	12/15/17	J		
181.					Buy (add'l)	12/18/17	J		
182.					Sold (part)	12/22/17	J		
183.					Buy (add'l)	12/28/17	J		
184. BlackRock Capital Investment Bonds (092533AB4)	A	Interest			Sold	03/01/17	J	A	
185. M/I Homes Bonds (55292PAB1)	A	Interest	J	T					
186. HCI Group Inc Bonds (40416EAB9)	A	Interest	J	T	Buy	03/07/17	J		
187. New Mtn Fin Corp Bonds (647551AB6)	A	Interest	J	T	Buy	07/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Redwood Trust Convertible Notes (758075AB1)	A	Interest			Sold	07/05/17	J	A	
189. Broadsoft Bonds (11133BAB8)	A	Interest			Sold	10/04/17	J	C	
190. Chart Industries Bonds (16115QAC4)	A	Interest			Sold	02/07/17	J		
191. Blackstone Mortgage Trust Bonds (09257WAA8)	A	Interest			Sold	04/27/17	J	B	
192. Apollo Commercial Real Estate Bonds (03762UAA3)	A	Interest			Sold	08/16/17	J	A	
193. Ryland Group Bonds (783764AS2)	A	Interest			Sold	09/18/17	J	A	
194. Renewable Energy Group Bonds (75972AAA1)	A	Interest			Sold	12/22/17	J	A	
195. Huron Consulting Group Bonds (447462AB8)	A	Interest	J	T					
196. ANI Pharmaceuticals Bonds (00182CAA1)	A	Interest	J	T					
197. Forestar Group Bonds (346232AB7)	A	Interest			Sold	04/05/17	J	A	
198. Rovi Corp Sr Nt Bonds (779376AD4)	A	Interest	J	T	Buy	12/20/17	J		
199. Echo Global Logistics Bonds (27875TAA9)	A	Interest	J	T					
200. PennyMac Bonds (70932AAB9)	A	Interest	J	T					
201. Calamp Corp. Bonds (128126AB5)	A	Interest	J	T					
202. Wells Fargo Bonds (94986RQH7)	A	Dividend			Sold	05/04/17	J	A	
203. Encore Capital Group Bonds (292554AF9)	A	Interest			Sold	10/25/17	J	B	
204. Portfolio Recovery Associates Bonds (73640QAB1)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Aceto Corp. Bonds (004446AD2)	A	Dividend	J	T					
206. Arbor Realty Tr Inc Bonds (038923AB4)	A	Interest	J	T	Buy	12/14/17	J		
207. On Semiconductor Bonds (682189AK1)	A	Interest	J	T					
208. TTM Technologies Bonds (87305RAD1)	A	Interest	J	T	Sold (part)	02/27/17	J	C	
209. Colony Capital Bonds (19624RAB2)	A	Interest	J	T					
210. Emergent Biosolutions Bonds (29089QAB1)	A	Interest			Sold	11/16/17	J	B	
211. Barclays Bank PLC Synthetic Convertible Notes 06741jv893 (06741JV89)		None	J	T					
212. Inphi Corp Bonds (45772FAC1)	A	Interest	J	T	Buy	10/26/17	J		
213. Societe Generale Medium Term Notes (83368W XV8)		None	J	T					
214. New York Mtg Tr Inc Fxd Rt Conv Nts (649604AD7)	A	Interest	J	T	Buy	01/19/17	J		
215. Blackstone Mtg Trust Bonds (09257WAB6)	A	Interest	J	T	Buy	05/02/17	J		
216. Atlas Air Bonds (049164BH8)	A	Interest	J	T					
217. Horizon Global Corp Bonds (44052WAA2)	A	Interest	J	T	Buy	04/21/17	J		
218. Apollo Commercial Real Estate Bonds (03762UAB1)	A	Interest	J	T	Buy	08/16/17	J		
219. Western Asset Mtg Cap Corp Bonds (95790DAA3)	A	Interest	J	T	Buy	10/26/17	J		
220. Integrated Device Tech Bonds (458118AC0)	A	Interest	J	T	Buy	04/20/17	J		
221. Theravance Inc Bonds (88338TAB0)	A	Interest	J	T	Buy	11/28/17	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Veeco Instrs Inc Bonds (922417AD2)	A	Interest	J	T	Buy	08/23/17	J		
223.					Buy (add'l)	08/23/17	J		
224. Macquarie Infrastructure Corp Bonds (55608BAB1)	A	Interest	J	T	Buy	11/20/17	J		
225. Amtrust Finl Svcs Bonds (032359AG6)	A	Interest	J	T	Buy	02/14/17	J		
226. Impax Laboratories Bonds (45256BAE1)	A	Interest			Sold	04/17/17	J		
227. Finisar Corp. Bonds (31787AAM3)	A	Interest	J	T	Sold (part)	12/13/17	J		
228. Interdigital Bonds (458660AD9)	A	Interest			Sold	01/13/17	J	B	
229. Prospect Cap Corp Bonds (74348TAR3)	A	Interest			Buy	04/07/17	J		
230.					Sold	11/09/17	J		
231. Nuance Comm Inc Bonds (67020YAK6)	A	Interest			Buy	08/01/17	J		
232.					Sold	08/16/17	J		
233. Cowen Inc Bonds (223622AB7)	A	Interest			Buy	10/31/17	J		
234.					Sold	12/12/17	J		
235. IRA # 3 (H)									
236. Pershing Government Account MMF (Y)									
237. Federated Treasury Obligations Capital MMF	A	Interest	J	T	Sold (part)	01/26/17	J		
238.					Buy (add'l)	02/09/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Sold (part)	02/21/17	J		
240.					Buy (add'l)	05/19/17	J		
241.					Sold (part)	05/22/17	J		
242.					Buy (add'l)	07/03/17	J		
243.					Sold (part)	07/05/17	J		
244. Barclays Bloomberg Commodity Index (DJP)		None	J	T	Buy	11/28/17	J		
245. Delaware Small Cap Core Fund (DCCIX)	A	Dividend			Sold	03/08/17	J	A	
246. Calamos Global Convertible Fund Class I (CXGCX)	A	Interest			Sold	02/03/17	J	A	
247. Deutsche Enhanced Commodity Strategy Fund (SKSRX)	A	Dividend			Sold	11/28/17	J	A	
248. Deutsche X-Trackers MSCI EAFE Equity ETF (DBEF)	A	Dividend	J	T	Buy (add'l)	03/22/17	J		
249.					Sold (part)	09/26/17	J	A	
250. DBX X-Trackers High Yield Corp BF (HYLB)	A	Dividend	J	T	Buy	11/28/17	J		
251. Edgewood Growth Fund Institutional Fund (EGFIX)	A	Dividend	J	T	Sold (part)	11/28/17	J	A	
252. Eaton Vance Floating Rate Fund (EIBLX)	A	Dividend	J	T					
253. Eaton Vance Global Macro Abs Ret (EGRIX)	A	Dividend	J	T	Buy	02/03/17	J		
254. Federated Strategic Value Dividend Fund (SVAIX)	A	Dividend	J	T					
255. iShares TR iBoxx USD Investment Grade Corporate Bond ETF (LQD)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Gilliam Jr., Haywood S.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. iShares Barclays 20+ Yr Treas Bd (TLT)	A	Dividend	J	T	Buy	10/04/17	J		
257. iShares TR Barclays 7-10 Year Treasury Bond ETF (IEF)	A	Dividend	J	T	Sold (part)	06/26/17	J	A	
258. iShares TR 1-3 Year Treasury Bond ETF (SHY)	A	Dividend	J	T	Buy (add'l)	02/03/17	J		
259. iShares TR MSCI ACWI ETF (ACWI)	A	Dividend	J	T	Sold (part)	03/22/17	J	A	
260. iShares TR Core MSCI EAFE (IEFA)	A	Dividend	J	T	Buy	11/28/17	J		
261. iShares TR MSCI EAFE Minimum Volatility ETF (EFAV)	A	Dividend			Sold	02/03/17	J	A	
262. iShares TR MBS ETF (MBB)	A	Dividend			Sold	11/28/17	J		
263. John Hancock Disciplined Value Fund Class A (JVLAX)	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
264.					Sold (part)	05/17/17	J	A	
265. John Hancock Global Absolute Return Strategies Class A (JHAAX)		None			Sold	02/03/17	J		
266. Neuberger Berman Long Short Fund Institutional Class (NLSIX)	A	Dividend	J	T					
267. Oppenheimer International Growth Fund (OIGYX)	A	Dividend	J	T					
268. Oppenheimer Developing Markets Fund (ODVYX)	A	Dividend	J	T	Sold (part)	11/28/17	J	A	
269. Oppenheimer Steelpath MLP Select 40 (MLPTX)	A	Dividend			Buy	01/25/17	J		
270.					Sold	06/29/17	J		
271. Pimco Short Asset Inv Fd Inst C1 (PAIDX)	A	Dividend			Buy	03/08/17	J		
272.					Sold	10/04/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. PowerShares DWA Momentum Portfolio ETF (PDP)	A	Dividend	J	T					
274. PowerShares S&P 500 Low Volatility Portfolio ETF (SPLV)	A	Dividend			Sold	02/03/17	J	A	
275. Prudential High Yield Fund Inc. (PHYZX)	A	Dividend			Sold	11/28/17	J	A	
276. SPDR Technology Select Sector ETF (XLK)	A	Dividend	J	T	Buy (add'l)	02/03/17	J		
277. SPDR Health Care Select Sector ETF (XLV)	A	Dividend			Sold	01/05/17	J	A	
278. SPDR Real Estate Select Sector ETF (XLRE) (Y)									
279. Templeton Global Bond Advantage Fund (TGABX)	A	Dividend	J	T					
280. Vanguard Bond Index Short Term Bd (BSV)	A	Dividend	J	T	Buy	06/26/17	J		
281. Vanguard Malvern Short-Term Inflation Protected Securities ETF (VTIP)	A	Dividend	J	T	Buy (add'l)	11/28/17	J		
282. Vanguard Intl Equity Index FTSE Europe (VGK)	A	Dividend	J	T	Buy	05/17/17	J		
283. Vanguard Intl Equity Index FTSE Pacific (VPL)	A	Dividend			Buy	02/03/17	J		
284.					Sold	04/20/17	J	A	
285. Vanguard Mtg Backed Secs (VMBS)	A	Dividend	J	T	Buy	11/28/17	J		
286. Vanguard Index Funds Vanguard REIT (VNQ)	A	Dividend	J	T	Buy	02/03/17	J		
287. Vanguard Index Funds Vanguard Total Stk Mkt (VTI)	A	Dividend	J	T	Buy	06/29/17	J		
288.					Buy (add'l)	09/26/17	J		
289. Vivaldi Multi Strategy Fund C11 (OMOIX)		None			Buy	02/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold	11/28/17	J	A	
291. Wisdomtree Japan Hedged Equity (DXJ)	A	Dividend			Buy	04/20/17	J		
292.					Sold	11/28/17	J	A	
293. Brokerage Account #1 (H)									
294. Gamco Global Gold, Natural Resources and Income Trust (GGN)	A	Dividend	J	T					
295. Tier REIT I (TIER)	A	Dividend	J	T					
296. COLLEGE 529 #1 (H)									
297. American Funds College 2018 Fund -- 529 (CNEAX)	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust #1 contained no reportable assets at any time during 2017, therefore it was removed in its entirety from Part VII.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Haywood S. Gilliam Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544