

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabtree, Daniel D.	2. Court or Organization U.S. District Court, District of Kansas	3. Date of Report 08/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Daniel D. Crabtree United States District Court, District of Kansas 444 Southeast Quincy, Room 405 Topeka, Kansas 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Equity Investors, LLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Salary, employment by Unified Government of Wyandotte County/Kansas City, Kansas

2. 2017

Director's Fees, paid for service as Director of Lead Bank

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	David and Demi Kiersznowski	outings with close personal friends, as described in part VIII	\$1,154.00
2.	Bill and Christy Gautreaux	outings with close personal friends, as described in part VIII	\$1,200.00
3.	Kansas City Royals Baseball Club	attendance at Major League Baseball games, as described in part VIII	\$410.00
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AG Mortgage Investment Trust (REIT)	A	Dividend	J	T					
2. Citigroup Inc. Com New	A	Dividend	J	T					
3. Eaton Vance Large-Cap Value Fund	C	Distribution	K	T					
4. Eaton Vance Tax-Managed Growth Fund	A	Distribution	J	T					
5. Energy Transfer Partners LP	C	Distribution	K	T					
6. Fidelity Advisor New Insights Fund	E	Distribution	M	T					
7. General Electric Co.	A	Dividend			Sold	09/08/17	K	A	
8. Great Plains Energy, Inc.	A	Dividend	J	T					
9. Growth Fund of America	C	Distribution	K	T					
10. Intel Corp	A	Dividend	K	T					
11. Invesco American Franchise Fund	B	Distribution	K	T					
12. Invesco Charter Fund	C	Distribution	L	T					
13. Invesco Gloabl Small & Mid Cap Growth Fund	C	Dividend	K	T					
14. Learning Quest Aggressive Track: Moderate Portfolio (529)		None	M	T					
15. Obsidian Energy (f/k/a Penn West Petroleum Ltd.)		None	J	T					
16. Targa Resources Corp	B	Dividend	K	T					
17. Country Club Bank (cash accounts)	A	Interest	N	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. United Missouri Bank, n.a. (Health Savings Account)	A	Interest	J	T					
19. US Bank (cash account)		None	J	T					
20. Federated Capital Reserves	A	Interest	M	T					
21. AT&T Inc. Com	B	Dividend	K	T					
22. Alibaba Group SHS ADR		None	K	T					
23. Allianz SE Spon ADR Repstg		None	K	T					
24. Apple Inc Com	A	Dividend	L	T	Buy (add'l)	12/18/17	J		
25. Astrazeneca PLC Sponsored ADR	A	Dividend	J	T					
26. KKR & Co LP Del Com Units	A	Distribution	K	T					
27. Kinder Morgan Inc Del Com	A	Dividend	J	T					
28. Prospect Cap Corp Com	B	Dividend	J	T					
29. Walgreen Boots Alliance Inc. Com	A	Dividend	K	T					
30. Yahoo Inc Com		None			Redeemed	06/16/17	K		
31. Altaba		None	K	T	Spinoff (from line 30)	06/16/17	K		
32. Oppenheimer Senior Floating Rate Fund	B	Dividend	M	T					
33. Oppenheimer Steelpath MLP Alpha	D	Dividend	L	T					
34. Talmer Bank & Trurst (FDIC insured deposit)	A	Interest	L	T					

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35. Country Club Financial Services (FDIC insured deposit)	A	Interest	N	T					
36. Transamerica Life Insurance Company (Flexible Premium Universal Life)	A	Interest	J	T					
37. Fidelity Advisor Large Cap Stock Fund	D	Distribution	M	T					
38. Fidelity Advisor (Focus) Health Care Fund		None	L	T					
39. Fidelity Advisor Consumer Staples Fund	B	Distribution	K	T					
40. Fidelity Advisor Energy Fund	A	Dividend	K	T					
41. Fidelity Advisor International Capital Appreciation Fund	A	Dividend	K	T					
42. Fidelity Advisor International Growth Fund	A	Dividend	K	T					
43. Fidelity Advisor Mid Cap Value Fund	D	Dividend	L	T					
44. Vanguard Natural Resources Fund	A	Distribution			Redeemed	08/01/17	J		
45. VNR Financial Corp.	A	Distribution	J	T	Spinoff (from line 44)	08/01/17	J		
46. American Funds New Perspective Fund	B	Distribution	K	T					
47. American Funds Balanced Fund	B	Dividend	K	T					
48. Calamos Strategic Total Return Fund	B	Dividend	K	T					
49. BlackRock Equity Dividend Fund	B	Dividend	K	T					
50. AllianzGI NFJ Dividend International & Premium Strategy Fund	B	Dividend	K	T					
51. Kraneshares TR China A ETF	A	Distribution	K	T	Buy	08/18/17	K		

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52. Wisdom Tree Emerging Markets High Dividend Fund ETF	A	Distribution	K	T	Buy	05/10/17	J		
53. Owens-Corning Inc.		None	J	T	Buy	12/18/17	J		
54. Amazon.com Inc.		None	J	T	Buy	12/18/17	J		
55. Vanguard Mid Cap Growth Investment Fund (X)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, line 1: The aggregate gift amount shown on this line was provided by close personal friends and the appearance by either of them (or any of their companies or associated foundations) would require that I take no official action with respect to the matter. Both individuals and their associated entities are listed on my recusal list and have been so listed since the time I was appointed. The amount shown in part V consists of the total value of various outings to professional and college games, some together as friends and others involving use of tickets they hold. Some but not all of these outings [REDACTED], who also are close personal friends of the Kiersznowski family [REDACTED].

Part V, line 2: The aggregate gift amount shown on this line was provided by close personal friends and the appearance by either of them (or any of their companies or associated foundations) would require that I take no official action with respect to the matter. Both individuals and their associated entities are listed on my recusal list and have been so listed since the time I was appointed. The amount shown in part V consists of the total value of two outings to charitable events (college scholarship dinner and gallery opening) where they had purchased tables (or otherwise served in a sponsorship role) and invited [REDACTED] to attend with them as their guests.

Part V, line 3: The gift shown on this line was provided by a former client for whom I served as General Counsel before I was appointed to my current position. This baseball club is listed on my recusal list and its appearance (or the appearance of any of its owners) would require that I take no official action with respect to the matter.

Part VII, line 15: My holdings in this entity did not change. The entity changed its name, however, during the reporting period. The name change took effect on June 26, 2017.

Part VII, line 55: Recently, [REDACTED] learned that [REDACTED] an interest in the Kansas Public Employees Retirement System. This interest is invested in the holding shown on line 55. [REDACTED] know when [REDACTED] made the contributions that comprise this interest, but we believe it dates back to 1990's when [REDACTED] worked for a municipal government in Kansas. I did not report this interest in my earlier filings because we did not discover it until recently. Line 55 does not provide an acquisition date for this reason.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel D. Crabtree**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544