

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gotsch, Michael G.	2. Court or Organization United States District Court	3. Date of Report 10/18/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 10/18/2016
7. Chambers or Office Address 201 Robert A. Grant Courthouse 204 S. Main St. South Bend, IN 46601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Judge	St. Joseph Circuit Court, State of Indiana
2. Board Member	St. Joseph County Bar Association
3. Board Member	Judicial Council of Indiana
4. Board Member	Boy Scouts of America: LaSalle Council, and Area 6, Central Region
5. Commission Member	Indiana Commission on Evidence-Based Pretrial Release

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1. 2016	The Indiana Public Retirement System (INPRS), may direct percentage of blind investments
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Judge, St. Joseph Circuit Court	\$133,836.84
2. 2015	Judge, St. Joseph Circuit Court	\$132,470.37
3. 2016	Judge, St. Joseph Circuit Court	\$92,930.94
4. 2014	Univeristy of Notre Dame Law School	\$3,247.00
5. 2015	Univeristy of Notre Dame Law School	\$3,247.00
6. 2016	Univeristy of Notre Dame Law School	\$2,550.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014 -2016	Special Assistant to the President, University of Notre Dame
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA (H)									
2. -SPAXX-Fidelity Gov.	A	Int./Div.	J	T					
3. -FDYSX-Fidelity Global	A	Int./Div.	K	T					
4. -FSRRX-Fidelity Strategic	A	Int./Div.	K	T					
5. -VGELX-Vanguard Energy Fund Admiral Shares	A	Int./Div.	J	T					
6. -VGENX-Vanguard Energy Fund Investor Shares	A	Int./Div.	J	T					
7. -VFIIX-Vanguard GNMA Fund Investor Shares	A	Int./Div.	J	T					
8. -VGHAX-Vanguard Health Care Fund Admiral Shares	A	Int./Div.	J	T					
9. -VGHCX-Vanguard Health Care Fund Investor Shares	A	Int./Div.	K	T					
10. -VAIPX-Vanguard Inflation Protected Securities Fund Admiral Shares	A	Int./Div.	J	T					
11. -VIPSX-Vanguard Inflation Protected Securities Fund Investor Shares	A	Int./Div.	K	T					
12. -VSMAX-Vanguard Small Cap Index Fund Admiral Shares	A	Int./Div.	J	T					
13. -NAESX-Vanguard Small Cap Index Fund Investor Shares	A	Int./Div.	J	T					
14. -VSEQX-Vanguard Strategic Equity Fund	A	Int./Div.	J	T					
15. -VTSAX-Vanguard Total Stock Market Index Fund Admiral Shares	A	Int./Div.	L	T					
16. -VUSXX-Vanguard Treasury Money Market Fund	A	Int./Div.	J	T					
17. -VWENX-Vanguard wellington Fund Admiral Shares	A	Int./Div.	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -VWELX-Vanguard Wellington Fund Investor Shares	A	Int./Div.	K	T					
19. -VWNDX-Vanguard Windsor Fund Investor Shares	A	Int./Div.	J	T					
20. PRPFX-Permanent Portfolio Fund IRA Brokerage	A	Int./Div.	K	T					
21. -PASDX-PIMCO ALL ASSET CL, Fund	A	Int./Div.	K	T					
22. -PGMDX-PIMCO GLOBAL MULTI ASSET, Fund	A	Int./Div.	J	T					
23. -PMPIX-PROFUNDUS PRECIOUS METALS, Fund	A	Int./Div.	J	T					
24. -SNXFX-SCHWAB 1000 Index Fund	A	Int./Div.	J	T					
25. SCHWAB FIXED INCOME US TREASURY BONDS	A	Interest	J	T					
26. SCHWAB CASH & MONEY MARKET FUND	A	Interest	K	T					
27. PASDX-PIMCO ALL ASSET CL D	A	Int./Div.	L	T					
28. FRANKLIN ELECTRIC FELE	A	Int./Div.	J	T					
29. FIFTH THIRD BANK ACCOUNTS	A	Int./Div.	J	T					
30. NOTRE DAME FEDERAL CREDIT UNION ACCOUNTS	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts continued

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael G. Gotsch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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