

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sargis, Ronald H.	2. Court or Organization Bankruptcy Court, Eastern District of California	3. Date of Report 05/7/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Bankruptcy Court, Eastern District of California 501 I Street, Suite 3-200 Sacramento, CA 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee -- Trust #1	Trust #1, Family Member 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 01-05/2015	University of Pacific, McGeorge School of Law - Teaching Bkcy Course	\$2,400.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	State of California, California Department of Public Health --- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. California Association of Collectors	10/13-14/2016	Monterey, CA	Speaking at Annual Convention	One night stay, dinner, and mileage
2. National Conference of Bankruptcy Judges	04/21-23/2016	Washington, DC	NCBJ Legislaive Committee Meeting	Two nights stay, air fare (coach), per diem, cab, airport parking
3. National Conference of Bankruptcy Judges	09/26-29/2016	Miami, Fla	NCBJ Legislative Committee and Annual Meetings	Three nights stay, air fare (coach), two meals while traveling, cab, airport parking
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, Sacramento, California	D	Rent	N	W					
2. Bank of America Demand Accounts	F	Interest	K	T					
3. Golden 1 Credit Union Demand Account	A	Interest	J	T					
4. Bank of the West Demand Account	C	Interest	J	T					
5. Bank of America Accounts, Dependant									See VIII Note
6. Bank of America Accounts, Dependant									See VIII Note
7. State Farm Whole Life Insurance	B	Int./Div.	J	T					
8. MINERAL RIGHTS, Livingston, CA		None	J	W					
9. XXXXXXXXXXXXX									
10. Brokerage Account #1									
11. MERRIL LYNCH DIRECT DEPOSIT (CASH ACCOUNT)	A	Int./Div.	J	T					
12. ADT CORP	A	Distribution	J	T					
13. PENTAIR LTD	A	Distribution	J	T					
14. TYCO INTERNAT TYC	A	Dividend	J	T					
15. COVIDIEN PLC COV	A	Dividend	J	T					
16. TECONNECTIVITY LTD	A	Dividend	J	T					
17. CESCA THERAPEUTICS, INC (fka Thermogenesis KOOL		None	J	T					See Part VIII Note

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. NOKIA NOK	A	Dividend	J	T					
19. SIRIUS XM SIRI	A	Dividend	J	T					
20. MEDTRONIC PLC SHS	A	Dividend	J	T	Spinoff (from line 15)	01/27/15	J		
21. ORACLE CORP ORCL	B	Dividend	M	T					See Part VIII Note
22. XXXXXXXXXXXXXXXX									
23. Brokerage Account #2									See VIII Note, Acct Closed
24. CASH ACCOUNT, GOLDMAN SACHS BANK USA (X)									
25. DISCOVER BANK									
26. ORACLE ORCL									See VIII Note Transferred
27. XXXXXXXXXXXXXXXX									
28. Brokerage Account # 2-1									
29. Federated Cap Reserves	A	Int./Div.	J	T					
30. DREYFUS TECH GROWTH DTGBX	B	Distribution	K	T					
31. XXXXXXXXXXXXXXXX									
32. Brokerage Account #3									
33. HARTFORD CAP APPRC FD HCACX	A	Dividend	J	T					
34.	A	Distribution	J	T	Buy (add'l)	12/15/15	J		

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35. XXXXXXXXXXXXXXXX									
36. Brokerage Account #4 Dependent									See Part VII Note
37. XXXXXXXXXXXXXXXX									
38. BROKERAGE ACCOUNT #5 Dependants									See Part VII Note
39. XXXXXXXXXXXXXXXX									
40. BROKERAGE ACCOUNT #8 IRA Rollover									
41. GENERAL MARKET CASH FUND, RW BAIRD	A	Dividend	K	T					
42. DEUTSCHE X-TRACKERS MSCI EAF HEDGED EQUITY ETF	A	Dividend	J	T	Sold (part)	08/20/15	J	A	
43.	A	Distribution							
44. FIRST TRUST NYSE ARCA BIOTECHNOLOGY INDEX ETF FBT	A	Dividend	J	T					
45. GLOBAL X FTSE NORDIC REGION ETF GXF	A	Dividend	J	T					
46. ISHARES MSCI SWITZERLAND INDEX EFT EWL	A	Dividend	J	T					
47. ISHARES MSCI JAPAN ETF EWJ	A	Dividend	K	T	Buy (add'l)	02/20/15	J		
48.					Buy (add'l)	03/18/15	J		
49.					Buy (add'l)	06/24/15	J		
50.					Buy (add'l)	08/25/15	J		
51. ISHARES CORE S&P 500 ETF IVV	A	Dividend	J	T	Sold (part)	02/06/15	J	A	

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52.					Sold (part)	04/02/15	J	A	
53.					Buy (add'l)	07/01/15	J		
54.					Buy (add'l)	08/25/15	J		
55.					Sold (part)	10/27/15	J	A	
56. ISHARES MSCI EAFE INDEX ETF EFA	A	Dividend	J	T					
57. ISHARES MSCI EAFE GROWTH ETF EFG			K	T	Buy	12/01/15	K		See Part VIII Note
58. ISHARES US HOME CONSTRUCTION ETF ITB	A	Dividend	J	T	Buy (add'l)	07/29/15	J		
59. ISHARES US CONSUMER GOODS ETF IYK	A	Dividend	K	T	Buy	07/29/15	J		
60.					Buy (add'l)	10/27/15	J		
61. ISHARES CURRENCY HEDGED MSCI GERMAN ETF HEWG	A	Dividend	J	T	Buy	01/13/15	J		
62.					Buy (add'l)	04/01/15	J		
63.					Buy (add'l)	04/24/15	J		
64.					Sold (part)	11/10/15	J	A	
65.					Sold (part)	12/01/15	J	A	
66. ISHARES MSCI UNITED KINGDOM INDEX ETF EWU	A	Dividend	J	T					
67. MARKET VESTORS OIL SERVICES ETF OIH	A	Dividend	J	T	Buy	01/06/15	J		
68.					Buy (add'l)	09/22/15	J		

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69. POWERSHARES AEROSPACE & EDFENSE ETF PPA	A	Dividend	J	T	Buy	01/06/15	J		
70. POWERSHARES KBW BANK ETF KBWB	A	Dividend	J	T	Buy	06/02/15	J		
71.					Buy (add'l)	07/29/15	J		
72. POWERSHARES S&P 500 EX RATE SENSITIVE LOW VLTU ETF XRLV	A	Dividend	J	T	Buy	02/10/15	J		
73.					Buy (add'l)	04/16/15	J		
74.					Buy (add'l)	07/01/15	J		
75.					Buy (add'l)	07/01/15	J		
76. POWERSHARES EUROPE CURRENCY HEDGED LOW VOLATILITY ETF FXEU	A	Dividend	J	T	Buy	07/28/15	J		
77. SECTOR FINANCIAL SELECT SECORT SPDR ETF XLF	A	Dividend	J	T					
78. SPDR SERIES TRUST SPDR MORGAN STANLEY TECHNOLOGY ETF MTK	A	Dividend	J	T	Buy	01/06/15	J		
79.					Buy (add'l)	01/15/15	J		
80.					Buy (add'l)	06/02/15	J		
81.					Buy (add'l)	07/29/15	J		
82.	C	Distribution							See Part VIII Note
83. VANGUARD FTSE EUROPE ETF VGK	A	Dividend	J	T	Buy	08/13/15	J		
84. VANGUARD FTSE DEVELOPED MKETS ETF VEA	A	Dividend	J	T					
85. VANGUARD LARGE CAP ETF VV	A	Dividend	L	T	Sold (part)	03/06/15	J	A	

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86.					Sold (part)	03/18/15	J	A	
87.					Sold (part)	04/01/15	J	A	
88.					Sold (part)	06/02/15	J	B	
89.					Sold (part)	07/29/15	J	D	
90.					Sold (part)	09/22/15	J	B	
91. WISDOMTREE TRUST EUROPE HEDGED EQUITY FUND ETF HEDJ	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
92.	B	Distribution			Sold (part)	11/10/15	J	A	
93.					Sold (part)	12/01/15	J	A	
94. WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	A	Dividend	J	T	Sold (part)	06/24/15	J	A	
95.	A	Distribution			Sold (part)	11/10/15	J	A	
96.					Sold (part)	12/01/15	J	A	
97. WISDOMTREE LARGE CAP DIVIDEND ETF DLN	A	Dividend	J	T	Sold (part)	01/06/15	J	A	
98. WISDOMTREE JAPAN SMALL CAP DIVIDEND ETF DFJ	A	Dividend	J	T					
99. ALPS RIVERFRONT STRATEGIC INCOE FUND RIGS	A	Dividend	J	T	Buy (add'l)	02/20/15	J		
100.	A	Distribution			Buy (add'l)	03/18/15	J		
101.					Sold (part)	06/24/15	J	A	
102.					Sold (part)	08/27/15	J	A	

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103. PIMCO ENHANCED SHORT MATURITY ACTIVE ETF MINT			K	T	Buy	12/29/15	K		See Part VIII Note
104. -----									
105. All Interests Fully Divested in Yr for Brokerage Account #8									
106. GLOBAL X MLP & ENERGY INFRASTRUCTURE MLPX ETF	A	Dividend			Sold (part)	07/01/15	J	A	
107.					Sold	07/01/15	J	A	
108. FLEXSHARES TRUST QLTQ DIV INDEX FUND QDF	A	Dividend			Sold	03/18/15	J	A	
109. ISHARES MSCI SPAIN ETF EWP					Sold	01/13/15	J	A	See Part VIII Note
110. ISHARES MSCI AUSTRALIA ETG EWA	A	Dividend			Sold	08/20/15	J	A	
111. ISHARES ASIA 50 ETF AIA	A	Dividend			Sold	09/22/15	J	A	
112. ISHARES MICROCAP ETF IWC					Sold (part)	01/06/15	J	A	See Part VIII Note
113.					Sold	01/15/15	J	A	
114. ISHARES US ENERGY ETF	A	Dividend			Buy	02/06/15	J		
115.					Sold	09/22/15	J	A	
116. ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF AAXJ	A	Dividend			Sold (part)	08/13/15	J	A	
117.					Sold	08/20/15	J	A	
118. ISHARES CURRENCY HEDGED MSCI ITALY ETF					Buy	08/27/15	J		See Part VIII Note
119.					Sold	12/01/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sargis, Ronald H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. POWERSHARES S&P 500 HIGH DIVIDEND PORT ETF SPHD	A	Dividend			Buy	02/10/15	J		
121.					Sold	06/24/15	J	A	
122. SCHWAB US REIT ETF SCHH					Sold	02/10/15	J	A	See Part VIII Note
123. SECTOR ENERGY SELECT SECTOR SPDR ETF XLE					Sold	01/07/15	J	A	See Part VIII Note
124. CREDIT SUISSE NASSAU BRH EQUAL WEIGHT MLP ETN LKD CUSHING 30 MLP MLPN					Sold	01/07/15	J	A	See Part VIII Note
125. BARCLAYS BANK PLC ETN+ SELECT MLP ETNS ATMP	A	Int./Div.			Buy (add'l)	01/06/15	J		
126.					Buy (add'l)	02/20/15	J		
127.					Sold (part)	07/01/15	J	A	
128.					Sold	07/28/15	J	A	
129. SECTOR CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF XLY	A	Dividend			Buy (add'l)	01/15/15	J		
130.					Sold (part)	04/01/15	J	A	
131.					Sold	10/27/15	J	A	
132. XXXXXXXXXXXXXXXX									
133. Brokerage Account #9 IRA Rollover									See Part VIII Note
134. CASH/MONEY MARKET -- RW Baird General MMKT Class A	A	Int./Div.	J	T					
135. CAPITAL WORLD GWTH & INCOME FD CWGFX	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sargis, Ronald H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136.	A	Distribution							
137. DAVIS NEW YORK VENTURE DNVYX	A	Dividend	L	T	Sold (part)	06/26/15	K	B	
138.	D	Distribution							
139. GATEWAY GATEX	B	Dividend	K	T					
140. GROWTH FUND AMER GFAFX	A	Dividend	L	T	Sold (part)	04/21/15	J	A	
141.	D	Distribution			Sold (part)	06/26/15	K	D	
142. HARRIS ASSOC INVT OAKMARK INTL FD OAKIX	A	Dividend	K	T					
143.	A	Distribution							
144. ISHARES RUSSELL MID CAP ETF IWR	A	Dividend	K	T	Buy	06/26/15	K		
145. IVY FDS INC ASSET STRATEGY FD WASYX	A	Dividend	K	T	Sold (part)	04/21/15	J	A	
146.	C	Distribution			Sold (part)	06/26/15	J	A	
147. PRINCIPAL MID CAP PMCPX	A	Dividend	K	T	Buy	06/26/15	K		
148.	B	Distribution							
149. STRATTON SMALL CAP VALUE STSCX	A	Dividend	K	T					
150.	B	Distribution							
151.									
152.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.									
154. CALAMOS CONVERTIBLE FUND CICVX	B	Dividend	K	T					
155.	A	Distribution							
156. ADVISORY RESEARCH MLP & ENERGY INCOE INFIX	A	Dividend	J	T					
157. NATIXIS ASG GLOBAL ALTERNATIVES GAFYX			K	T	Buy	06/26/15	K		See Part VIII Note
158. PRINCIPAL GLOBAL DIVERSIFIED INCM PGDPX	A	Dividend	K	T					
159. BLACKROCK STRATEGIC INCOME OPPTY S INSTL BSIIX	A	Dividend	K	T	Buy	06/26/15	K		
160. EATON VANCE GLOBAL MACRO ABSOLUTE RETURN CL 1 EIGMX	B	Dividend	K	T	Buy	06/26/15	K		
161. LOOMIS SAYLES BOND INSTL LSBDX	B	Dividend	K	T	Buy (add'l)	06/26/15	K		
162.	B	Distribution							
163. TEMPLETON GLOBAL BOND ADVISOR TGBAX	A	Dividend	K	T	Buy (add'l)	06/26/15	K		
164. -----									
165. All Interests Fully Divested in Yr for Brokerage Acct #9									
166. GUGGENHEIM MID CAP VALUE A -- SEVAX					Sold (part)	04/21/15	J	A	See Part VIII Note
167.					Sold	06/26/15	K	C	
168. KEELEY FUNDS SMALL CAP VALUE KSCIX					Sold	06/26/15	K	D	See Part VIII Note
169. PERMANENT PORTFOLIO FD PRPEX					Sold (part)	01/27/15	J	A	See Part VIII Note

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sargis, Ronald H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170.					Sold (part)	04/21/15	J	A	
171.					Sold	06/26/15	L	A	
172. VANGUARD INTER TERM BOND FUND VBHX	A	Dividend							
173.	A	Distribution			Sold	06/26/15	K	A	
174. XXXXXXXXXXXXXXX									
175. Trust #1									
176. CALIFORNIA BANK & TRUST -- DEPOSIT ACCOUNTS	A	Interest	K	T					
177. BANK OF AMERICA, N.A. DEPOSIT ACCOUNT	A	Interest	K	T					
178. US GOVERNMENT BONDS	C	Interest	M	T					
179.									
180.									
181.									
182.									
183.									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Assets:

Line 5: Child no longer a dependent in 2015.

Line 6: Child no longer a dependent in 2015

Line 17: Thermogenesis (KOOL) name change to Cesca Therapeutics, Inc.

Line 21: Oracle stock transferred from Brokerage Account 2, which was closed.

Line 25: Brokerage Account 2 closed September 2015, with all assets transferred to Brokerage Account #1.

Line 36: Child no longer a dependent in 2015

Line 38: Child no longer a dependent in 2015

Line 56: There was no income for Ishares MSCI EAFE Growth in 2015.

Line 82: Distribution was issuance of stock in company to shareholders

Line 103: There was no income for PIMCO Enhanced Short Maturity Active ETF in 2015.

Line 108: There was no income for Flexshares Trust Qlty Div Index in 2015.

Line 111: There was no income for Ishares Asia 50 ETF IWC in 2015.

Line 118: There was no income for Ishares Currency Hedged MSCI Italy in 2015.

Line 122: There was no income for Schwab US REIT ETF in 2015.

Line 123: There was no income for Sector Energy Select Sector Spdr ETF in 2015.

Line 124: There was no income for Credit Suisse Nassau BRH Equal Weight in 2015.

Line 132: All dividends and distributions were immediately reinvested by purchasing shares in the fund which issued the dividend or distribution.

Line 157: There was no income for Natixis ASG Global Alternatives in 2015.

Line 166: There was no income for Guggenheim Mid Cap Value in 2015.

Line 168: There was no income for Kelley Small Cap Value in 2015.

Line 169: There was no income for Permanent Portfolio FD in 2015

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Sargis, Ronald H.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ronald H. Sargis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544