

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayden, Katharine S.	2. Court or Organization US District Court - DNJ	3. Date of Report 04/25/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Court USPO & Courthouse Building Newark, New Jersey 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1/15-12/15	Partner, Law Firm - Walder Hayden, PA, Roseland, NJ
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Committee on Defender Services	5-31-15 - 6-3-15	Washington, DC	Committee Meeting	Hotel, Transportation, Meals
2. Committee on Defender Services	8-31-2015 - 9-1-2015	Washington, DC	Committee Budget Meeting	Hotel, Transportation, Meals
3. The China Law Center, Yale Law School	11-24-2015 - 11-29-2015	Beijing & Jinan, China	Mock Trial Workshop	Hotel, Transportation, Meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. - Arbor Realty Trust Inc. Common Stock	A	Dividend	J	T					
3. - Consolidated Edison Inc. Common Stock	A	Dividend	K	T					
4. - Duke Energy Corp New Common Stock	A	Dividend	J	T					
5. - Enterprise Prods Partners LP Common Stock		None	K	T	Buy (add'l)	12/15/15	J		
6. - GlaxoSmithKline PLC SP ADR Common Stock	A	Dividend	J	T					
7. - Kimberly Clark Corp. Common Stock	A	Dividend	K	T					
8. - JP Morgan Chase & Co. Common Stock	A	Dividend	K	T					
9. - Pfizer Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	07/03/15	J		
10.					Buy (add'l)	07/28/15	J		
11. - Sasol Ltd., Spons. ADR Common Stock	A	Dividend	J	T					
12. - Walt Disney Co. Common Stock	A	Dividend	K	T					
13. - Aqua America Inc. Common Stock	A	Dividend	K	T					
14. - AT&T Inc. Common Stock	B	Dividend	K	T					
15. - Honeywell Intl Inc. Common Stock	A	Dividend	K	T					
16. - Microsoft Corp Common Stock	A	Dividend	K	T					
17. - Mitsubishi UFJ Financial Group Inc. ADR Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 (See Columns C1 and D3)	J ~\$15,001 - \$50,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	Q ~Appraisal (See Column C2)	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Procter & Gamble Co. Common Stock	A	Dividend	K	T					
19. - Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T					
20. - CVS Caremark Corp. Common Stock	A	Dividend	J	T	Sold (part)	03/16/15	J	C	
21. - Seaspan Corp Common Stock		None			Sold	01/07/15	J	B	
22. - Energy Transfer Partners LP Common Stock		None			Buy (add'l)	10/13/15	J		
23.					Buy (add'l)	12/15/15	J		
24.					Sold	12/15/15	K		
25. - Home Depot Inc Common Stock	A	Dividend			Sold	05/07/15	J	D	
26. - Layne Christensen Co Common Stock		None			Sold	12/05/15	J		
27. - McDonalds Corp Common Stock	A	Dividend	K	T					
28. - Wells Fargo & Co Common Stock	A	Dividend	J	T					
29. - Bristol Myers Squibb Co. Common Stock	A	Dividend	K	T					
30. - Conagra Foods Inc. Common Stock	A	Dividend	K	T					
31. - Deere & Co. Common Stock	A	Dividend	K	T					
32. - General Electric Co. Common Stock	A	Dividend	K	T					
33. - Kellogg Co. Common Stock	A	Dividend	J	T					
34. - Mondelez Intl Inc. Common Stock	A	Dividend	J	T	Sold (part)	05/07/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - National Oilwell Varco Inc. Common Stock	A	Dividend	J	T					
36. - Nustar Energy LP Common Stock		None	K	T					
37. - Parker-Hannifin Corp. Common Stock	A	Dividend	K	T	Sold (part)	05/07/15	J	B	
38. - Philip Morris Intl Inc. Common Stock	B	Dividend	K	T					
39. - Quanta Services Inc. Common Stock		None	J	T	Buy (add'l)	02/05/15	J		
40. - Raytheon Company Common Stock	A	Dividend	K	T					
41. - Florida Citizens Pty Ins Corp Sr Sec Municipal Bond	B	Interest	K	T					
42. - NJ Edu Facs Auth Rev Rfndg Bonds - Uni of Med/Den of NJ	C	Interest	L	T					
43. - New Jersey ST Edl Facs Auth Rev Rfndg - Kean Univ Bond	B	Interest	K	T					
44. - California St Var Purp Genl Oblig Municipal Bond	B	Interest	K	T					
45. - AXT Inc. Common Stock		None			Sold	09/10/15	J		
46. - Boeing Co. Common Stock	B	Dividend	K	T					
47. - New York Community Bancorp Common Stock	A	Dividend	J	T					
48. - Public Service Enterprise Grp Common Stock	A	Dividend	J	T					
49. - Casino Reinvestment Dev Auth NJ Bond	A	Interest	K	T					
50. - NJ Higher Ed Assistant Auth Bond	B	Interest	K	T					
51. - El Du Pont De Nemours & Co Common Stock	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Emerson Electric Co Common Stock	A	Dividend	J	T					
53. - Nucor Corp Common Stock	A	Dividend	J	T					
54. - Morgan Stanley Bank NA Bank Deposit Program	A	Interest	N	T					
55. - Buckeye Partners LP		None	J	T					
56. - Eaton Corp. PLC SHS Common Stock	A	Distribution	J	T					
57. - Kinder Morgan Inc. Common Stock	A	Dividend			Sold (part)	06/12/15	J	B	
58.					Sold	12/15/15	J		
59. - Kraft Foods Group Inc. Common Stock	A	Dividend			Merged (with line 89)	07/06/15	J	B	See Note in Part VIII
60. - MSA Safety Inc. Common Stock	A	Dividend	J	T					
61. - Pentair Plc Common Stock	A	Dividend	J	T	Sold (part)	03/26/15	J	B	
62.					Sold (part)	07/23/15	J	B	
63. - Weyerhaeuser Co. Common Stock	A	Distribution	J	T					
64. - Xylem Inc. Common Stock	A	Dividend	K	T					
65. - Apple Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	02/24/15	J		
66. - BP PLC ADS Common Stock	A	Dividend	J	T					
67. - Cablevision Systems Corp Common Stock	A	Dividend			Sold	09/10/15	J	D	
68. - Gaslog Ltd Common Stock	A	Distribution			Sold	12/15/15	J		

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69. - Hartford Fin Sers Grp Inc Common Stock	A	Dividend	J	T	Sold (part)	03/26/15	J	A	
70. - Hess Corporation Common Stock	A	Dividend			Sold	05/07/15	J		
71. - Johnson Controls Incorporated Common Stock	A	Dividend			Sold	12/15/15	J		
72. - Timken Co Common Stock	A	Dividend	J	T					
73. - Puerto Rico Elec Pwr Auth Rev Ref Ser- MM Bond	B	Interest	K	T					
74. - Conoco Phillips Common Stock	A	Dividend	J	T					
75. - Exelon Corp. Common Stock	A	Dividend	J	T					
76. - Halliburton Co Common Stock	A	Dividend	J	T					
77. - Halyard Health Inc Common Stock		None	J	T	Buy (add'l)	02/24/15	J		
78. - Now Inc Common Stock		None			Sold	01/07/15	J		
79. - Seaworld Entertainment Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	01/07/15	J		
80.	A	Distribution			Buy (add'l)	02/26/15	J		
81.					Buy (add'l)	05/07/15	J		
82. - Timkensteel Corp Common Stock		None			Sold	01/20/15	J		
83. - Walgreens Boots AllianceCommon Stock	A	Dividend			Sold	04/01/15	J	C	
84. - John Hancock Bk & Thrift Fund	A	Dividend	J	T	Buy (add'l)	01/20/15	J		
85. - Merck & Co Inc Common Stock	A	Dividend	J	T	Buy	04/01/15	J		

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86. - Steel Dynamics Inc Common Stock	A	Dividend	J	T	Buy	01/20/15	J		
87.					Buy (add'l)	01/23/15	J		
88. - Pimco Dynamic Credit Inc Common Stock	A	Dividend	J	T	Buy	01/20/15	J		
89. - Kraft Heinz Co Common Stock (Merger from line 59)	A	Dividend	J	T					
90. BROKERAGE ACCOUNT #2(H)									
91. - Morgan Stanley Bank NA	A	Int./Div.	K	T					
92. IRA/401K ACCOUNTS (H)									
93. - IRA Morgan Stanley Bank NA	B	Interest	K	T					
94. - IRA Smith Barney Money Funds Class A	D	Interest	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 59 - Due to a merger, all shares of Kraft Foods were replaced with shares of Kraft Heinz (see line 89) on 7/6/2015, with no loss recognized, therefore gain realized on redeemed shares of Kraft Foods

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Katharine S. Hayden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544